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## Some Remarks on Global Solutions to Nonlinear Dissipative Mildly Degenerate Kirchhoff Strings.

MARINA GHISI

ABSTRACT - We investigate the evolution problem

$$u_{tt} + \delta u_t - m \left( \int_{\Omega} |\nabla u|^2 \right) \Delta u + f(u) = 0, \\ u(0, x) = u_0(x), \quad u_t(0, x) = u_1(x), \quad x \in \Omega, t \geq 0$$

where  $\Omega \subset \mathbb{R}^n$  is a bounded open set,  $\delta > 0$ , and  $m$  is a locally Lipschitz continuous function, with  $m(0) = 0$  and  $m(r) > 0$  in a neighbourhood of 0. We prove that, if  $\beta = \max\{1, [n/2]\}$ , this problem has a unique global solution for positive times, provided that  $(u_0, u_1) \in (H_0^\beta \cap H^{\beta+1})(\Omega) \times H_0^\beta(\Omega)$  and  $u_0, u_1, f$  satisfy suitable smallness assumptions and the non-degeneracy condition  $u_0 \neq 0$  holds. We prove also that  $(u(t), u_t(t), u_{tt}(t)) \rightarrow (0, 0, 0)$  in  $(H_0^\beta \cap H^{\beta+1})(\Omega) \times H^\beta(\Omega) \times H^{\beta-1}(\Omega)$  as  $t \rightarrow \infty$ .

### 1. Introduction.

Let  $\Omega \subseteq \mathbb{R}^n$  be an open domain,  $H := L^2(\Omega)$ , with norm  $\|\cdot\|$  and scalar product  $\langle \cdot, \cdot \rangle$ . Let us set  $A := -\Delta$ , with domain  $D(A) := (H_0^1 \cap H^2)(\Omega)$ . We consider the Cauchy problem

$$(1.1) \quad \begin{cases} u''(t) + \delta u'(t) + m(\|A^{1/2}u(t)\|^2)Au(t) + f(u(t)) = 0, & t \geq 0, \\ u(0) = u_0, \quad u'(0) = u_1, \end{cases}$$

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where  $\delta > 0$ ,  $m : ]0, +\infty[ \rightarrow ]0, +\infty[$  is a locally Lipschitz continuous function.

If  $\Omega$  is an interval of the real line, this equation is a model for the damped small transversal vibrations of an elastic string with fixed endpoints.

The case  $\delta = 0$ ,  $f = 0$  (free vibrations) has long been studied: the interested reader can find appropriate references in the surveys of A. Arosio [1] and S. Spagnolo [15].

In the case  $\delta = 0$ ,  $f(u) = \pm |u|^\alpha u$  with large  $\alpha$  and  $m(r) \geq \nu > 0$ , P. D'Ancona and S. Spagnolo [4] proved that if  $u_0, u_1 \in C_0^\infty(\mathbb{R}^n)$  are small, then problem (1.1) has a global solution.

The case  $\delta > 0$  and  $f = 0$  was considered by E. H. De Brito, Y. Yamada and K. Nishihara [2,14,3,10] if  $m(r) \geq \nu > 0$  and by K. Nishihara and Y. Yamada [11] and in [6] if  $m(r) \geq 0$ . In [6] it was proved that if  $(u_0, u_1) \in D(A) \times D(A^{1/2})$  are small enough and  $m(\|A^{1/2}u_0\|^2) \neq 0$ , there exists a unique global solution  $u(t)$  of (1.1) and that  $(u, u', u'') \rightarrow (u_\infty, 0, 0)$  in  $D(A) \times D(A^{1/2}) \times H$  as  $t \rightarrow +\infty$ ; moreover either  $u_\infty = 0$  or  $m(\|A^{1/2}u_\infty\|^2) = 0$ .

Here we are interested in the case in which  $f \neq 0$ , i.e. we have a non-linear perturbation effect (for example the presence of an external force).

The case  $m(r) \geq \nu > 0$ ,  $\delta > 0$ ,  $f(u) = |u|^\alpha u$  has been considered by M. Hosoya and Y. Yamada [7] under the following condition:

$$(1.2) \quad 0 \leq \alpha < \frac{2}{n-4} \quad \text{if } n \geq 5, \quad 0 \leq \alpha < +\infty \quad \text{if } n \leq 4.$$

They proved that, if the initial data are small enough, problem (1.1) has a global solution and such a solution decays exponentially as  $t \rightarrow +\infty$ .

Degenerate equations ( $m(r) \geq 0$ ) were considered by K. Ono [12] and in [5] when  $n \leq 3$ ,  $\delta > 0$  and  $f(u)u \geq 0$ . In [12] it was proved that if  $m(r) = r^\gamma$ ,  $f(u) = |u|^\alpha u$  and the initial data  $(u_0, u_1) \in D(A) \times D(A^{1/2})$  are small enough,  $u_0 \neq 0$ , and:

$$(1.3) \quad \alpha > 2\gamma - 1 \quad \text{if } n = 1, 2, \quad \alpha > 4\gamma - 2 \quad \text{if } n = 3,$$

then problem (1.1) has a global solution, that decay as  $t \rightarrow +\infty$ .

In [5] the quoted result was extended to a general function  $m(r)$  with  $m(0) = 0$ ,  $m(r) > 0$  in  $]0, r_0]$  when, for some  $\varepsilon > 0$ :

either  $f(y)y \geq 0$  and:

$$(1.4) \quad \max_{|y| \leq s} |f'(y)| \leq \begin{cases} Cm(s^{2+\varepsilon}) & \text{if } n = 1, 2, \\ Cm(s^4) & \text{if } n = 3, \end{cases}$$

or  $f(0) = 0, f' \geq 0$  and:

$$(1.5) \quad \max_{|y| \leq s} |f'(y)| \leq \begin{cases} Cm(s^{2+\varepsilon}) s^{-1+\varepsilon} & \text{if } n = 1, 2, \\ Cm(s^4) s^{-2+\varepsilon} & \text{if } n = 3. \end{cases}$$

Moreover K. Ono [13] proved that (1.1) has a global solution, if  $f(u) = \pm |u|^\alpha u$ ,  $(u_0, u_1) \in D(A) \times D(A^{1/2})$  are small enough and at least one of the following conditions is verified:

1.  $m(r) = r^\nu$ ,  $u_0 \neq 0$ ,  $n \leq 3$ , and

$$(1.6) \quad \alpha > 2\gamma \quad \text{if } n = 1, 2 \quad \alpha > 4\gamma - 2 \quad \text{if } n = 3.$$

2.  $m(r) \geq \nu > 0$ , and satisfies (1.2) (see also R. Ikehata [8]).

He use the modified potential well method and the general theory on the energy decay in Nakao [9]. Unfortunately this method does not seem to be extendible to the case of more general  $m$ .

Our purpose is to consider problem (1.1) where  $m$  is any non-negative locally Lipschitz continuous function, and  $m(0) = 0$ ,  $m(r) > 0$  in a neighbourhood of 0 and  $f(u)u$  is not necessary positive.

Let us denote

$$\beta = \max \left\{ 1, \left[ \frac{n}{2} \right] \right\} \quad \text{and} \quad B := A^{1/2}$$

where  $[x]$  is the integer part of  $x$ .

We prove that there exists a unique global solution provided that  $(u_0, u_1) \in D(B^{\beta+1}) \times D(B^\beta)$  and  $u_0, u_1, f$  satisfy suitable smallness assumptions (cf. Theorem 2.2) and the non-degeneracy condition  $u_0 \neq 0$  holds. Moreover we prove that  $u(t) \rightarrow 0$  as  $t \rightarrow \infty$ . (cf. Theorem 2.3).

The differences with respect to the case considered in [5] are of two different types: first the term  $f$  is «not positive» and this compels us to modify the estimates (for example there exist no positive conserved energies...); here we must estimate with care some terms that in the case in [5] are negligible. Second we consider the case of all space dimensions, then we need more accurate estimates, in particular to reduce the requests at the minimum on the perturbation term.

*Notations*

In this paper, we denote by  $a_{i,n}$  some constants such that

$$\begin{aligned} \|u\| &\leq a_{1,n} \|Bu\| && u \in D(B) \\ \|u\|_\infty &\leq a_{2,n} \|Bu\|^\lambda \|B^{\beta+1}u\|^{1-\lambda} && u \in D(B^{\beta+1}) \\ \|u\|_{p_0} &\leq a_{3,n} \|Bu\| && u \in D(B) \\ \|Bu\| &\leq a_{4,n} \|B^{\beta+1}u\| && u \in D(B^{\beta+1}) \end{aligned}$$

where  $p_0 = \frac{2n}{n-2}$  if  $n \geq 3$  and

$$\lambda = \begin{cases} 1 & \text{if } n = 1, \\ 1 - \varepsilon & \text{if } n = 2, \\ 1 - \frac{n-2}{2\beta} & \text{if } n \geq 3. \end{cases}$$

**2. Statement of the results.**

In this section we state the main results of this paper. For sake of completeness, we recall the following local existence result.

**THEOREM 2.1.** *(Local existence) Let  $\delta > 0$ , let  $m$  be a locally Lipschitz continuous function,  $f \in C^\beta(\mathbb{R})$ , and let  $(u_0, u_1) \in D(B^{\beta+1}) \times D(B^\beta)$  with  $m(\|Bu_0\|^2) > 0$ .*

*Then there exists  $T > 0$  such that problem (1.1) has a unique solution*

$$u \in C^2([0, T]; D(B^{\beta-1})) \cap C^1([0, T]; D(B^\beta)) \cap C^0([0, T]; D(B^{\beta+1})).$$

*Moreover,  $u$  can be uniquely continued to a maximal solution defined in an interval  $[0, T_*[$ , and at least one of the following statements holds:*

- (i)  $T_* = \infty$ ;
- (ii)  $\limsup_{t \rightarrow T_*^-} (\|B^\beta u'(t)\|^2 + \|B^{\beta+1}u(t)\|^2) = +\infty$ ;
- (iii)  $\liminf_{t \rightarrow T_*^-} m(\|Bu(t)\|^2) = 0$ .

The proof is standard and we can obtain it by following the outline of the one in [5] with the obvious changes in the notations. We can state the global existence's result.

**THEOREM 2.2.** *(Global existence) Let  $\delta > 0$ , and let  $m$  be a locally Lipschitz continuous function with  $m(0) = 0$  and  $m(r) > 0$  in  $]0, r_0]$  for some  $r_0 > 0$ . Let us assume that  $f \in C^\beta(\mathbb{R})$  verifies in a neighbourhood of 0 the following conditions:*

(i) if  $n = 1, 2$ :  $f(0) = 0$  and for some  $\varepsilon_1, \varepsilon_2 > 0$ :

$$(2.1) \quad \max_{|y| \leq s} |f'(y)| \leq Cm(s^{2+\varepsilon_1}) s^{\varepsilon_2}$$

(ii) if  $n \geq 3$ :  $f(0) = f'(0) = \dots = f^{(\beta-1)}(0) = 0$  and there exists some  $\eta \geq 0$  such that:

$$(2.2) \quad \max_{|y| \leq s} \frac{|f^\beta(y)|}{|y|^\eta} \leq Cm(s^{2/\lambda}) s^{-(\eta - \tilde{\eta} + \beta - \beta_0) + (\varepsilon - \tilde{\eta})/\lambda}$$

for some  $\varepsilon > 0$ , where  $\tilde{\eta} = \min \left\{ \eta, \frac{2}{n-2} \right\}$  and  $\beta_0 := \max \{ 1, [\beta/2] \}$ .

Moreover let us assume that the initial data  $(u_0, u_1) \in D(B^{\beta+1}) \times D(B^\beta)$  are small enough and satisfy the non-degeneracy condition  $u_0 \neq 0$ .

Then problem (1.1) admits a unique global solution

$$u \in C^2([0, +\infty[; D(B^{\beta-1})) \cap C^1([0, \infty[; D(B^\beta)) \cap C^0([0, \infty[; D(B^{\beta+1})).$$

If  $n \leq 3$ ,  $m(r) = r^\gamma$  and  $|f'(u)| \leq k|u|^\alpha$ , by Theorem 2.2 we obtain the result in [12] (cf. (1.6)).

Finally we have the following result.

**THEOREM 2.3.** *(Asymptotic behaviour) Let us assume that all the hypotheses of Theorem 2.2 are satisfied.*

Then we have that:

(i)  $m(\|Bu(t)\|^2) > 0$  for all  $t \geq 0$ ;

(ii)  $(u(t), u'(t), u''(t)) \rightarrow (0, 0, 0)$  in the space  $D(B^{\beta+1}) \times D(B^\beta) \times D(B^{\beta-1})$  as  $t \rightarrow \infty$ .

The proof of Theorem 2.3 relies on a result about the asymptotic behaviour of solutions of the linearization of (1.1) (see Lemma 3.1 for the precise statement).

### 3. Proofs.

#### 3.1. Proof of Theorem 2.2.

Case  $n = 1, 2$

We use the following notations:

$$\phi_\varepsilon(n) := \begin{cases} (a_{1,1}^\varepsilon a_{2,1})^{-2/\varepsilon} & n = 1 \\ (a_{2,2})^{-2/\varepsilon} & n = 2. \end{cases}$$

$$\mu_f(s) := \max_{|y| \leq s} |f'(y)|, \quad \sqrt{c} := C.$$

With these notations we can rewrite, without loss of generality, (2.1) as follows:

$$(3.1) \quad \mu_f(s^{1-\varepsilon_1}) \leq \sqrt{c} m(s^2) s^{\varepsilon_2} \quad s \in [0, \sqrt{r_0}]$$

for some constants  $0 < \varepsilon_1, \varepsilon_2 < 1$ .

Let us set:

$$\sigma := \min \{ \phi_{\varepsilon_1}, r_0 a_{1,n}^{-2}, (2\sqrt{c} a_{1,n}^{2+\varepsilon_2})^{-2/\varepsilon_2} \},$$

$$M := \max_{|r| \leq r_0} m(r), \quad L := \sup_{|r| \leq r_0} |m'(r)|.$$

Let us assume that, for a suitable  $0 < \sigma_1 \leq \sigma$ :

$$F_0 := F(0) + H_0 \frac{a_{1,n}^{2\varepsilon_2} c}{\delta} \sigma_1^{\varepsilon_2} < \sigma_1, \quad LG_0 \sqrt{F_0} < \frac{\delta}{4}$$

where

$$H_0 := \frac{4}{\delta} a_{1,n}^2 M \sigma_1 + 2\langle u_0, u_1 \rangle + \delta \|u_0\|^2$$

$$F(0) := \frac{\|Bu_1\|^2}{m(\|Bu_0\|^2)} + \|B^2 u_0\|^2$$

$$G_0 := \max \left\{ \frac{\|u_1\|}{m(\|Bu_0\|^2)}, \frac{2}{\delta} (\sqrt{c} r_0^{\varepsilon_2} a_{1,n}^2 + 1) \sqrt{F_0} \right\}.$$

We prove that under these smallness assumptions the solution  $u$  of (1.1) is a global solution.

In the following let us denote

$$c(t) = m(\|Bu(t)\|^2).$$

Let us assume that  $m \in C^1([0, +\infty[; \mathbb{R})$ , and let  $[0, T_*[$  be the maximal interval where the solution exists.

*Step 1.* Let us define:

$$F(t) := \frac{\|Bu'(t)\|^2}{c(t)} + \|B^2u(t)\|^2 + \frac{\delta}{2} \int_0^t \frac{\|Bu'(s)\|^2}{c(s)} ds,$$

$$T := \sup \left\{ \tau \in [0, T_*[ : c(t) > 0, \left| \frac{c'(t)}{c(t)} \right| \leq \frac{\delta}{2}, F(t) \leq \sigma_1 \quad \forall t \in [0, \tau] \right\}.$$

We show that  $T = T_*$ . Let us assume by contradiction that  $T < T_*$ . Since  $|c'(t)| \leq \frac{\delta}{2}c(t)$  in  $[0, T[$  we have that

$$(3.2) \quad 0 < c(0) e^{-\delta T/2} \leq c(t) \leq c(0) e^{\delta T/2} \quad t \in [0, T[.$$

Moreover, by  $\|B^2u(t)\|^2 \leq \sigma$  we obtain:

$$\|Bu(t)\|^2 \leq \alpha_{1,n}^2 \|B^2u(t)\|^2 \leq r_0 \quad t \in [0, T[.$$

Since  $c(\cdot)$ ,  $c'(\cdot)$ , and  $F(t)$  are continuous functions, by the maximality of  $T$  we have that necessarily

$$(3.3) \quad \left| \frac{c'(T)}{c(T)} \right| = \frac{\delta}{2};$$

or

$$(3.4) \quad F(T) = \sigma_1.$$

*Step 2.* Firstly, let us remark that, since:

$$(3.5) \quad \|u\|_\infty \leq \phi_{\varepsilon_1}^{-\varepsilon_1/2} \|Bu\|^{1-\varepsilon_1} \|B^2u\|^{\varepsilon_1} \leq \|Bu\|^{1-\varepsilon_1}$$

then, using  $f(0) = 0$ :

$$(3.6) \quad \int_{\Omega} |f(u)u| dx = \int_{\Omega} |f'(\xi_u)u^2| dx \leq \alpha_{1,n}^2 \mu_f (\|Bu\|^{1-\varepsilon_1}) \|Bu\|^2.$$

Furthermore, by taking the scalar product of the equation (1.1) with  $u$ ,



and integrating on  $[0, t]$  we obtain:

$$\begin{aligned}
 & \int_0^t (c(s)\|Bu(s)\|^2 + \langle f(u(s)), u(s) \rangle) ds = \\
 & = \int_0^t \|u'(s)\|^2 ds + \langle u_0, u_1 \rangle - \langle u(t), u'(t) \rangle + \frac{\delta}{2} \|u_0\|^2 - \frac{\delta}{2} \|u(t)\|^2 \\
 & \leq a_{1,n}^2 M \left( \int_0^t \frac{\|Bu'(s)\|^2}{c(s)} ds + \frac{\|Bu'(t)\|^2}{2\delta c(t)} \right) + \langle u_0, u_1 \rangle + \frac{\delta}{2} \|u_0\|^2 \\
 & \leq \frac{2}{\delta} a_{1,n}^2 M \sigma_1 + \langle u_0, u_1 \rangle + \frac{\delta}{2} \|u_0\|^2.
 \end{aligned}$$

Hence, for  $t \in [0, T[$ , by (3.6):

$$(3.7) \quad \int_0^t c(s)\|Bu(s)\|^2 ds - \sqrt{c} a_{1,n}^2 \int_0^t c(s)\|Bu(s)\|^{2+\varepsilon_2} ds \leq \frac{1}{2} H_0.$$

Furthermore, since  $\sqrt{c} a_{1,n}^{2+\varepsilon_2} \sigma_1^{\varepsilon_2/2} \leq 1/2$ , then:

$$(3.8) \quad \int_0^t c(s)\|Bu(s)\|^2 ds \leq H_0$$

*Step 3.* We prove that (3.4) is false. A standard calculation show that on  $[0, T[$  we have:

$$\begin{aligned}
 F'(t) & \leq - \left( \frac{3}{2} \delta + \frac{c'(t)}{c(t)} \right) \frac{\|Bu'(t)\|^2}{c(t)} + \frac{2}{c(t)} \|Bu'(t)\| \|f'(u(t)) Bu(t)\| \\
 & \leq \frac{1}{\delta c(t)} \|f'(u(t)) Bu(t)\|^2.
 \end{aligned}$$

Using (3.1), and (3.5) we obtain:

$$\begin{aligned}
 (3.9) \quad \|f'(u(t)) Bu(t)\|^2 & \leq \mu_f (\|Bu(t)\|^{1-\varepsilon_1})^2 \|Bu(t)\|^2 \\
 & \leq cm (\|Bu(t)\|^2)^2 \|Bu(t)\|^{2+2\varepsilon_2};
 \end{aligned}$$

hence, by (3.8), for all  $t \in [0, T]$ :

$$(3.10) \quad F(t) \leq F(0) + \frac{c}{\delta} a_{1,n}^{2\varepsilon_2} \sigma_1^{\varepsilon_2} \int_0^t c(s) \|Bu(s)\|^2 ds \leq F_0 < \sigma_1.$$

This contradicts (3.4).

*Step 4.* We prove that (3.3) is false. Let us define  $G(t) := \frac{\|u'(t)\|}{c(t)}$ . By a simple computation, on  $[0, T[$  we obtain:

$$(G^2(t))' \leq -\delta G^2(t) + 2G(t) \|Bu(t)\| + 2G(t) \frac{\|f(u(t))\|}{c(t)}.$$

Moreover, since  $f(0) = 0$ , by (3.1) and (3.5) we have:

$$(3.11) \quad \int_{\Omega} f(u(t, x))^2 \leq a_{1,n}^2 \mu_f (\|Bu(t)\|^{1-\varepsilon_1})^2 \|Bu(t)\|^2 \\ \leq cr_0^{\varepsilon_2} a_{1,n}^4 m(\|Bu(t)\|^2)^2 \|B^2 u(t)\|^2.$$

By this fact:

$$(G^2(t))' \leq -G(t)(\delta G(t) - 2(1 + \sqrt{cr_0^{\varepsilon_2} a_{1,n}^2}) \sqrt{F_0}).$$

Hence, by a standard ODE's inequality we have:

$$(3.12) \quad G(T) \leq \max \left\{ G(0), \frac{2(1 + \sqrt{cr_0^{\varepsilon_2} a_{1,n}^2}) \sqrt{F_0}}{\delta} \right\} = G_0.$$

By (3.10) - (3.12), we have then

$$\left| \frac{c'(T)}{c(T)} \right| = \left| \frac{2m'(|Bu(T)|^2) \langle u'(T), B^2 u(T) \rangle}{c(T)} \right| \\ \leq 2L \frac{|u'(T)|}{c(T)} |B^2 u(T)| \\ \leq 2LG_0 \sqrt{F_0} < \frac{\delta}{2}.$$

This contradicts (3.3).

*Step 5.* Let us assume by contradiction that  $T_* < +\infty$ . By (3.2) and (3.8) it follows that

$$\liminf_{t \rightarrow T_*^-} m(\|Bu(t)\|^2) \geq m(\|Bu_0\|^2) e^{-\delta T_*/2} > 0,$$

$$\limsup_{t \rightarrow T_*^-} (\|Bu'(t)\|^2 + \|B^2 u(t)\|^2) \leq \max\{1, c(0) e^{\delta T_*/2}\} F_0 < +\infty.$$

By the last statement of Theorem 2.1 this is a contradiction. This completes the proof if  $m'$  is continuous. If  $m$  is only locally Lipschitz continuous, thesis follows from a standard approximation argument. ■

Case  $n \geq 3$

In the following we denote by  $\bar{a}, \bar{b}, \bar{c} \dots$  some constants independent from the initial data, which we use in the proof. Moreover let us define:

$$\mu_f(s) := \max_{|y| \leq s} \left| \frac{f^\beta(y)}{y^\eta} \right|$$

With these notations we can rewrite, without loss of generality, (2.2) as follows:

$$(3.13) \quad \mu_f(s^\lambda) \leq C m(s^2) s^{\varepsilon - \bar{\eta} - \lambda(\eta - \bar{\eta} + \beta - \beta_0)} \quad s \in [0, \sqrt{r_0}]$$

for some constant  $0 < \varepsilon < 1$ . We can also assume  $r_0 \leq 1$ .

Let us set:

$$\sigma := \min\{1, a_{2,n}^{2/(\lambda-1)}, r_0 a_{4,n}^{-2}, (4a_{1,n}^2 \bar{a})^{-1/\varepsilon}\},$$

$$M := \max_{|r| \leq r_0} m(r), \quad L := \sup_{|r| \leq r_0} |m'(r)|.$$

Let us assume that, for a suitable  $0 < \sigma_1 \leq \sigma$ :

$$F_0 := F(0) + \frac{\bar{a}}{\delta} H_0 \sigma_1^\varepsilon < \sigma_1, \quad LG_0 \sqrt{F_0} < \frac{\delta}{4}$$

where

$$H_0 := \frac{4}{\delta} M \sigma_1 + 2 \langle B^\beta u_0, B^\beta u_1 \rangle + \delta \|B^\beta u_0\|^2$$

$$F(0) := \frac{\|B^\beta u_1\|^2}{m(\|B u_0\|^2)} + \|B^{\beta+1} u_0\|^2$$

$$G_0 := \max \left\{ \frac{\|u_1\|}{m(\|B u_0\|^2)}, \frac{\bar{\delta}}{\delta} (F_0^{(\lambda\beta_0 + \varepsilon)/2} + \sqrt{F_0}) \right\}.$$

We prove that under these smallness assumptions the solution  $u$  of (1.1) is a global solution.

In the following let us denote

$$c(t) = m(\|B u(t)\|^2).$$

Let us assume that  $m \in C^1([0, +\infty[; \mathbb{R})$ , and let  $[0, T_*[$  be the maximal interval where the solution exists.

*Step 1.* Let us define

$$F(t) := \frac{\|B^\beta u'(t)\|^2}{c(t)} + \|B^{\beta+1} u(t)\|^2 + \frac{\delta}{2} \int_0^t \frac{\|B^\beta u'(s)\|^2}{c(s)} ds.$$

Let us set

$$T := \sup \left\{ \tau \in [0, T_*[ : c(t) > 0, \left| \frac{c'(t)}{c(t)} \right| \leq \frac{\delta}{2}, F(t) \leq \sigma_1 \forall t \in [0, \tau] \right\}.$$

We show that  $T = T_*$ . Let us assume by contradiction that  $T < T_*$ . Since  $|c'(t)| \leq \frac{\delta}{2} c(t)$  in  $[0, T[$  we have that

$$(3.14) \quad 0 < c(0) e^{-\delta T/2} \leq c(t) \leq c(0) e^{\delta T/2} \quad t \in [0, T[.$$

Moreover, by  $\|B^{\beta+1} u(t)\|^2 \leq \sigma$  we obtain:

$$\|B u(t)\|^2 \leq a_{4,n}^2 \|B^{\beta+1} u(t)\|^2 \leq r_0 \quad t \in [0, T[,$$

and

$$\|u(t)\|_\infty \leq \|B u(t)\|^\lambda \quad t \in [0, T[.$$

Since  $c(\cdot)$ ,  $c'(\cdot)$ , and  $F(t)$  are continuous functions, by the maximality of  $T$

we have that necessarily

$$(3.15) \quad \left| \frac{c'(T)}{c(T)} \right| = \frac{\delta}{2};$$

or

$$(3.16) \quad F(T) = \sigma_1.$$

*Step 2.* In this step we denote various constants depending only from  $n$  by  $c$ . Let us set

$$q = \frac{n}{n-2}, p = \begin{cases} +\infty & \text{if } \tilde{\eta} = 0 \\ \frac{n}{(n-2)\tilde{\eta}} & \text{if } \tilde{\eta} > 0. \end{cases}$$

Let  $\beta_1, \dots, \beta_\nu > 0$  be integers such that  $\beta_1 + \dots + \beta_\nu = \beta$ .

Let us suppose that  $k$  of the  $\beta_j$  are equal to 1. We can assume that they are the first  $k$ . Let us define for  $j = k+1, \dots, \nu$ :

$$\frac{1}{p_j} = \frac{2}{n-2} \left( \frac{n}{2} - \beta - 1 + \beta_j \right).$$

Now let us assume that  $k \geq 1$  and let us set, for  $\nu \geq 2$ :

$$\frac{1}{p_{0,\nu}} = \frac{1}{k} \left( 1 - \frac{2}{n-2} \left( \frac{n}{2} (\nu - k) + \beta(1 - \nu + k) - \nu \right) \right).$$

Using the Sobolev inequalities we have then:

$$\|B^\beta u\|_{2q} \leq c \|B^{\beta+1} u\|, \quad \|B^{\beta_j} u\|_{2qp_j} \leq c \|B^{\beta+1} u\| \quad j = k+1, \dots, \nu.$$

Furthermore, since:

$$0 < \theta = \left( \frac{1}{2} - \frac{1}{2qp_{0,\nu}} \right) \frac{n}{\beta} = \frac{1-\nu}{k} + 1 + (\nu-1) \frac{n-2}{2\beta k} < 1$$

we have:

$$\|Bu\|_{2qp_{0,\nu}} \leq c \|Bu\|^{1-\theta} \|B^{\beta+1} u\|^\theta.$$

By  $k/p_0, \nu + 1/p_{k+1} + \dots + 1/p_\nu = 1$  we can then also deduce:

$$\|B^{\beta_1} u \dots B^{\beta_\nu} u\|_{2q}^2 \leq \begin{cases} c \|B^{1+\beta} u\|^{2\nu} & \text{if } k = 0 \\ c \|Bu\|^{2(\nu-1)\lambda} \|B^{\beta+1} u\|^{2+2(\nu-1)(1-\lambda)} & \text{if } k \geq 1. \end{cases}$$

We are now able to estimate  $\|B^\beta f(u)\|$ .

Since, for all  $b = (b_1, \dots, b_n)$  we have:

$$\partial^b f(u) = \sum_{\nu=1}^{|b|} \sum_{\substack{B_1 + \dots + B_\nu = b \\ |B_i| > 0}} c_{b, B_1, \dots, B_\nu} f^{(\nu)}(u) \partial^{B_1} u \dots \partial^{B_\nu} u$$

then:

$$\begin{aligned} \|B^\beta f(u)\|^2 &\leq c \sum_{\nu=1}^{\beta} \sum_{\substack{\beta_1 + \dots + \beta_\nu = \beta \\ \beta_i > 0}} \|f^{(\nu)}(u) B^{\beta_1} u \dots B^{\beta_\nu} u\|^2 \\ &\leq c \mu_f (\|Bu\|^\lambda)^2 \sum_{\nu=1}^{\beta} \sum_{\substack{\beta_1 + \dots + \beta_\nu = \beta \\ \beta_i > 0}} \|u^{\eta + \beta - \nu} B^{\beta_1} u \dots B^{\beta_\nu} u\|^2 \\ &\leq c \mu_f (\|Bu\|^\lambda)^2 \|u\|_{2n(n-2)}^2 \times \\ &\quad \times \sum_{\nu=1}^{\beta} \sum_{\substack{\beta_1 + \dots + \beta_\nu = \beta \\ \beta_i > 0}} \|u\|_\infty^{2(\eta - \tilde{\eta} + \beta - \nu)} \|B^{\beta_1} u \dots B^{\beta_\nu} u\|_{2q}^2 \end{aligned}$$

Now let us remark that if  $\beta \geq 2$  and  $\nu \leq \beta_0$  then there exists  $\beta_1 + \dots + \beta_\nu = \beta$  with  $\beta_i \geq 2$  for all  $i = 1, \dots, \nu$ . Moreover if  $\nu > \beta_0$  and  $\beta_1 + \dots + \beta_\nu = \beta$  then at least one of the  $\beta_i$  is equal to 1. Furthermore:

$$2\lambda(\beta_0 - \nu) + 2(\nu - 1)\lambda = 2\lambda(\beta_0 - 1) \geq 0.$$

Hence, using  $\|Bu\| \leq a_{4,n} \|B^{1+\beta} u\| \leq 1$  we obtain:

$$\begin{aligned} (3.17) \quad \|B^\beta f(u)\|^2 &\leq c c(t)^2 \|Bu\|^{2\varepsilon} \|B^{\beta+1} u\|^2 \sum_{\nu=1}^{\beta} \|B^{1+\beta} u\|^{2\lambda(\beta_0 - \nu) + 2(\nu-1)} \\ &\leq \bar{a} c^2(t) \|Bu\|^{2\varepsilon} \|B^{\beta+1} u\|^2 \\ &\leq \bar{a} c^2(t) \sigma_1^\varepsilon \|B^{\beta+1} u\|^2 \leq \frac{1}{4a_{1,n}^2} c^2(t) \|B^{\beta+1} u\|^2. \end{aligned}$$

*Step 3.* By applying to the equation (1.1) the operator  $B^{\beta-1}$ , taking the scalar product of the obtained equation with  $B^{\beta+1} u$ , and integrating

on  $[0, T]$ , we obtain:

$$\begin{aligned} & \int_0^T c(t) \|B^{\beta+1} u(t)\|^2 dt + \int_0^T \langle B^\beta f(u(t)), B^\beta u(t) \rangle dt = \\ & = \int_0^T \|B^\beta u'(t)\|^2 dt - \langle B^\beta u'(T), B^\beta u(T) \rangle - \frac{\delta}{2} \|B^\beta u(T)\|^2 + \\ & \quad + \langle B^\beta u_1, B^\beta u_0 \rangle + \frac{\delta}{2} \|B^\beta u_0\|^2 \\ & \leq M \left( \int_0^T \frac{\|B^\beta u'(t)\|^2}{c(t)} dt + \frac{1}{2\delta} \frac{\|B^\beta u'(T)\|^2}{c(T)} \right) + \frac{H_0}{2} - \frac{2}{\delta} M\sigma_1 \leq \frac{H_0}{2}. \end{aligned}$$

Hence, using (3.17):

$$(3.18) \quad \int_0^T c(t) \|B^{\beta+1} u(t)\|^2 dt \leq H_0.$$

*Step 4.* We prove that (3.16) is false. By a simple calculation using (3.17) in  $[0, T[$  we have:

$$F'(t) \leq \frac{1}{\delta} \frac{\|B^\beta f(u(t))\|^2}{c(t)} \leq \frac{\bar{a}}{\delta} \sigma_1^\varepsilon c(t) \|B^{\beta+1} u(t)\|^2$$

hence, by (3.18):

$$(3.19) \quad F(t) \leq F(0) + \frac{\bar{a}}{\delta} \sigma_1^\varepsilon H_0 = F_0 < \sigma_1.$$

*Step 5.* We prove that (3.15) is false. Let us firstly remark that, since  $f(u) = \frac{f^{(\beta)}(\xi_u)}{\beta!} u^\beta$ , hence:

$$\|f(u(t))\|^2 \leq \begin{cases} c_0 \frac{\mu_f(\|Bu(t)\|^\lambda)^2}{\beta!} \|u\|_\infty^{2\beta} & \text{if } \eta = 0 \\ \frac{\mu_f(\|Bu(t)\|^\lambda)^2}{\beta!} \|u\|_\infty^{2(\beta + \eta - \tilde{\eta})} \|u\|_{2/\tilde{\eta}}^{2\tilde{\eta}} & \text{otherwise.} \end{cases}$$

Therefore by (3.13):

$$(3.20) \quad \|f(u(t))\|^2 \leq \bar{c}^2 c^2(t) \|B^{\beta+1} u(t)\|^{2(\varepsilon + \lambda\beta_0)}.$$

We can now easily estimate  $G(t) := \frac{\|u'(t)\|}{c(t)}$  as follows:

$$\begin{aligned} (G^2(t))' &\leq -\delta G^2(t) + 2G \left( \|B^2 u(t)\| + \frac{\|f(u(t))\|}{c(t)} \right) \\ &\leq -G(t)(\delta G(t) - \bar{b}(\sqrt{F_0} + F_0^{(\varepsilon + \lambda\beta_0)/2})), \end{aligned}$$

hence, by a standard ODE's inequality we obtain  $G(t) \leq G_0$ . Then as in proof of case  $n = 1, 2$ , step 4:

$$\left| \frac{c'(T)}{c(T)} \right| \leq 2LG_0\sqrt{F_0} < \frac{\delta}{2}.$$

*Step 5.* We can conclude as in step 5 of proof of case  $n = 1, 2$ . ■

### 3.2. Asymptotic behaviour.

In order to study the asymptotic behaviour of the solutions of (1.1), we consider the linearized problem

$$(3.21) \quad \begin{cases} v''(t) + \delta v'(t) + c(t) B^2 v(t) + f(t, x) = 0, & t \geq 0, \\ v(0) = v_0, \quad v'(0) = v_1. \end{cases}$$

In the following lemma we examine the asymptotic behaviour of the solutions of (3.21).

**LEMMA 3.1.** *Let  $\delta > 0$ . Let  $c : [0, +\infty[ \rightarrow ]0, +\infty[$  be a Lipschitz continuous bounded function such that*

$$\left| \frac{c'(t)}{c(t)} \right| \leq \frac{\delta}{2} \quad \text{for a.e. } t \geq 0.$$

*Let  $f : [0, +\infty[ \times \Omega \rightarrow \mathbb{R}$  be a continuous function such that  $f(t, \cdot) \in D(B^\beta)$  for all  $t \geq 0$  and*

$$\int_0^{+\infty} \frac{1}{c(s)} \|B^\beta f(s)\|^2 ds < +\infty, \quad \sup_{t \geq 0} \frac{\|f(t)\|}{c(t)} < +\infty.$$

*Let  $v$  be the unique global solution of (3.21) with  $(v_0, v_1) \in D(B^{\beta+1}) \times D(B^\beta)$ .*



Then there exists  $v_\infty \in D(B^{\beta+1})$  such that

$$(3.22) \quad v(t) \rightarrow v_\infty \quad \text{in } D(B^{\beta+1}),$$

$$(3.23) \quad v'(t) \rightarrow 0 \quad \text{in } D(B^\beta),$$

as  $t \rightarrow \infty$ . Furthermore, if  $v_\infty \neq 0$ , then necessarily  $c(t) \rightarrow 0$  as  $t \rightarrow \infty$ .

PROOF OF LEMMA 3.1. We only give a sketch of the proof, we refer to [5] for the details.

Step 1. Let us consider the function

$$H(t) := \frac{\|B^\beta v'(t)\|^2}{c(t)} + \|B^{\beta+1}v(t)\|^2 - \frac{1}{\delta} \int_0^t \frac{1}{c(s)} \|B^\beta f(s)\|^2 ds.$$

A simple computation shows that

$$(3.24) \quad H'(t) \leq -\frac{\delta}{2} \frac{\|B^\beta v'(t)\|^2}{c(t)}.$$

By this fact we obtain:

1. for all  $t \geq 0$ :

$$\begin{aligned} \frac{\|B^\beta v'(t)\|^2}{c(t)} + \|B^{\beta+1}v(t)\|^2 + \frac{\delta}{2} \int_0^t \frac{\|B^\beta v'(s)\|^2}{c(s)} ds &\leq \\ &\leq \frac{\|B^\beta v_1\|^2}{c(0)} + \|B^{\beta+1}v_0\|^2 + \int_0^{+\infty} \frac{1}{\delta c(s)} \|B^\beta f(s, \cdot)\|^2 ds =: \gamma_0. \end{aligned}$$

2. Since the function  $c(\cdot)$  is bounded then:

$$(3.25) \quad \int_0^{+\infty} \|B^\beta v'(t)\|^2 dt < +\infty$$

3. The function  $H$  is non-increasing, hence there exists:

$$F_\infty := \lim_{t \rightarrow \infty} \frac{\|B^\beta v'(t)\|^2}{c(t)} + \|B^{\beta+1}v(t)\|^2.$$

If  $F_\infty = 0$ , then (3.22) holds true with  $v_\infty = 0$ . Since the function  $c$  is bounded, then also (3.23) follows from  $F_\infty = 0$ .

Therefore from now on we assume that  $F_\infty > 0$ .

*Step 2.* We show that

$$(3.26) \quad \int_0^\infty c(t) \|B^{\beta+1} v(t)\|^2 dt < +\infty.$$

Indeed, applying the operator  $B^{\beta-1}$  to the equation (3.21) and taking its scalar product with  $B^{\beta+1} v$  and integrating on  $[0, T]$ , it follows that

$$\begin{aligned} \int_0^T c(t) \|B^{\beta+1} v(t)\|^2 dt &\leq \langle B^\beta v_1, B^\beta v_0 \rangle + \left( \frac{2}{\delta} \|c\|_\infty + \frac{\delta a_{1,n}^2}{2} \right) \gamma_0 + \\ &\quad + \frac{1}{2} \int_0^T c(t) \|B^{\beta+1} u(t)\|^2 dt + \frac{\delta}{2} \|B^\beta v_0\|^2. \end{aligned}$$

Hence

$$\int_0^T c(t) \|B^{\beta+1} v(t)\|^2 dt \leq 2 \langle B^\beta v_1, B^\beta v_0 \rangle + \delta \|B^\beta v_0\|^2 + 2 \left( \frac{2}{\delta} \|c\|_\infty + \frac{\delta a_{1,n}^2}{2} \right) \gamma_0.$$

Passing to the limit as  $T \rightarrow \infty$ , we obtain (3.26).

*Step 3.* From (3.25) and (3.26) it follows that

$$\int_0^\infty c(t) \left( \frac{\|B^\beta v'(t)\|^2}{c(t)} + \|B^{\beta+1} v(t)\|^2 \right) dt < +\infty.$$

Since, for  $t \geq \bar{T}$ :

$$\frac{\|B^\beta v'(t)\|^2}{c(t)} + \|B^{\beta+1} v(t)\|^2 \geq \frac{F_\infty}{2} > 0,$$

then also

$$(3.27) \quad \int_0^\infty c(t) dt < +\infty.$$

Since  $c(\cdot)$  is Lipschitz continuous, it follows that  $c(t) \rightarrow 0$  as  $t \rightarrow \infty$ . Since  $\|B^\beta v'(t)\|^2 \leq c(t) \gamma_0$ , then (3.23) is proved.

*Step 4.* We show that (3.22) holds true with the additional assumptions that  $(v_0, v_1) \in D(B^{\beta+3}) \times D(B^{\beta+2})$ ,  $f(t, \cdot) \in D(B^{\beta+2})$  for every  $t$  and

$$(3.28) \quad \int_0^{+\infty} \frac{\|B^{\beta+2}f(t)\|}{c(t)} dt < +\infty, \quad \sup_{t \geq 0} \frac{\|B^{\beta+1}f(t)\|}{c(t)} < +\infty.$$

To this end, let us introduce the function

$$\widehat{H}(t) := \frac{\|B^{\beta+2}v'(t)\|^2}{c(t)} + \|B^{\beta+3}v(t)\|^2 - \frac{1}{\delta} \int_0^t \frac{1}{c(s)} \|B^{\beta+2}f(s)\|^2 ds.$$

As in Step 1, it is possible to prove that  $\widehat{H}$  is non-increasing, and that for every  $t \geq 0$ :

$$\|B^{\beta+3}v(t)\|^2 \leq \widehat{\gamma}_0.$$

Now let us consider the function  $\widehat{G}(t) := \frac{\|B^{\beta+1}v'(t)\|}{c(t)}$ . By a standard ODE's inequality, it follows that

$$\widehat{G}(t) \leq \max \left\{ \widehat{G}(0), \frac{2}{\delta} \left( \sqrt{\widehat{\gamma}_0} + \sup_{t \geq 0} \frac{\|B^{\beta+1}f(t)\|}{c(t)} \right) \right\}.$$

By (3.27), this implies that

$$\int_0^{+\infty} \|B^{\beta+1}v'(t)\| dt < +\infty$$

and therefore  $B^{\beta+1}v(t)$  has a limit as  $t \rightarrow \infty$ .

*Step 5.* We show that (3.22) hold true for every initial data  $(v_0, v_1) \in D(B^{\beta+1}) \times D(B^\beta)$ .

To this end, let us consider a sequence  $\{(v_{0n}, v_{1n})\} \subseteq D(B^{\beta+3}) \times D(B^{\beta+2})$  converging to  $(v_0, v_1)$  in  $D(B^{\beta+1}) \times D(B^\beta)$  and  $f_n$  as in step 4, with:

$$\int_0^{+\infty} \frac{1}{c(t)} \|B^\beta(f(t) - f_n(t))\|^2 dt \rightarrow 0 \quad \text{as } n \rightarrow +\infty.$$

Let  $\{v_n\}$  be the corresponding solutions of (3.21), and let us set  $w_n :=$

$:= v - v_n$ . Since  $w_n$  is a solution of (3.21), with  $f - f_n$  in place of  $f$ , we have that

$$\begin{aligned} \frac{\|B^\beta w_n'(t)\|^2}{c(t)} + \|B^{\beta+1} w_n(t)\|^2 &\leq \frac{\|B^\beta(v_{1,n} - v_1)\|^2}{c(0)} + \|B^{\beta+1}(v_{0,n} - v_0)\|^2 + \\ &+ \frac{1}{\delta} \int_0^{+\infty} \frac{1}{c(t)} \|B^\beta(f(t) - f_n(t))\|^2 dt. \end{aligned}$$

This proves that  $\{B^{\beta+1} v_n\} \rightarrow B^{\beta+1} v$  uniformly in  $[0, +\infty[$ . Since  $B^{\beta+1} v_n(t)$  has a limit as  $t \rightarrow \infty$  for every  $n \in \mathbb{N}$  (see Step 4), then necessarily  $B^{\beta+1} v(t)$  has a limit as  $t \rightarrow \infty$ .

This completes the proof of (3.22). ■

**PROOF OF THEOREM 2.3.** We use the same notations as in the proof of Theorem 2.2 case  $n = 1, 2$  (resp. case  $n \geq 3$ ). Let us firstly remark that  $u$  is the solution of (3.21) with

$$c(t) = m(\|Bu(t)\|^2), \quad (v_0, v_1) = (u_0, u_1), \quad f(t, x) = f(u(t, x)).$$

In Step 1 of the proof of Theorem 2.2 case  $n = 1, 2$  (resp. Step 1 of case  $n \geq 3$ ), we showed that  $c(t) > 0$  for every  $t \geq 0$  (this proves statement (i)), and

$$\left| \frac{c'(t)}{c(t)} \right| \leq \frac{\delta}{2} \quad \forall t \geq 0.$$

Moreover in this step we proved also that  $\|Bu\| \leq r_0$ , hence  $c(\cdot)$  is bounded. Since  $m$  is locally Lipschitz continuous, and  $\|B^\beta u'(t)\|^2 \leq F(t)c(t) \leq F_0 c(t)$  (see (3.10) (resp. (3.19))), then it turns out that  $c(\cdot)$  is globally Lipschitz continuous. Finally, by (3.8) (3.9), (3.11) (resp. (3.17), (3.18), (3.20)):

$$\int_0^{+\infty} \frac{\|B^\beta f(u(t))\|^2}{c(t)} dt \leq \begin{cases} \tilde{c} \int_0^{+\infty} c(t) \|Bu(t)\|^2 < +\infty & \text{if } n = 1, 2 \\ \tilde{c} \int_0^{+\infty} c(t) \|B^{\beta+1} u(t)\|^2 < +\infty & \text{if } n \geq 3 \end{cases}$$

$$\frac{\|f(u(t))\|^2}{c^2(t)} \leq \tilde{c} \|B^{\beta+1} u(t)\|^2 < c_0$$

for some  $c_0$  independent from  $t$ .

By Lemma 3.1, there exists  $u_\infty \in D(B^{\beta+1})$  such that  $u \rightarrow u_\infty$  in  $D(B^{\beta+1})$  and  $u' \rightarrow 0$  in  $D(B^\beta)$ . Let us assume that  $u_\infty \neq 0$ , then by the last statement of Lemma 3.1 we have that  $c(t) \rightarrow 0$  as  $t \rightarrow \infty$ , hence

$$0 = \lim_{t \rightarrow \infty} m(\|Bu(t)\|^2) = m(\|Bu_\infty\|^2).$$

Since  $\|Bu_\infty\|^2 \leq r_0$ , hence must be  $u_\infty = 0$ . Furthermore, by applying  $B^{\beta-1}$  to the equation (1.1),  $u'' \rightarrow 0$  in  $D(B^{\beta-1})$ . ■

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