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## EQUATIONAL CATEGORIES

by Jiri ROSICKÝ

This paper contains some structure theory of equational categories (in the sense of Linton [3]), of Beck categories (see Manes [5]) and of completions that they provide. It continues the previous author's investigations [10 and 11].

We shall work in a given universe  $\mathcal{U}$  in Zermelo-Fraenkel set theory with the axiom of choice. The universe  $\mathcal{U}_f$  of hereditarily finite sets is permitted. Elements of  $\mathcal{U}$  will be called sets, subsets of  $\mathcal{U}$  classes and sets (in the sense of ZF) will be called metaclasses. It would be possible to work more generally in a suitable set theory with the above three levels of sets. There are the corresponding levels of categories: small categories, categories and metacategories.

The category of all sets will be denoted by  $\mathcal{S}(\mathcal{U})$  (briefly by  $\mathcal{S}$ ). Under a *concrete category* we will mean a couple  $(\mathcal{A}, U)$  consisting of a category  $\mathcal{A}$  and of a faithful functor  $U: \mathcal{A} \rightarrow \mathcal{S}$ . Sometimes we will denote it briefly by  $\mathcal{A}$ . A *concrete functor*  $F: (\mathcal{A}, U) \rightarrow (\mathcal{A}', U')$  is a functor  $F: \mathcal{A} \rightarrow \mathcal{A}'$  such that  $U'.F = U$ . A *concrete subcategory* means a subcategory such that the inclusion functor is concrete. More generally, we could work with categories over  $\mathcal{S}$ , i.e. without the assumption of faithfulness of  $U$ . But the much more important generalization consists in the replacement of  $\mathcal{S}$  by an arbitrary category  $\mathcal{X}$ . In order to be more concise we shall work over  $\mathcal{S}$  and shall not present the results in their full generality.

### I. EQUATIONAL CATEGORIES.

A *type*  $t$  is defined as a metaclass of operation symbols. Their arities are arbitrary cardinals (i.e. the cardinals belonging to  $\mathcal{U}$ ).  $t_n$  will

denote the metaclass of all  $n$ -ary operation symbols from  $t$ . An *equational metacategory*  $(t, l)\text{-Alg}$  consists of all  $t$ -algebras satisfying a given metaclass  $l$  of equations. If  $\mathfrak{R}$  is a  $t$ -algebra and  $f \in t$  then  $f^{\mathfrak{R}}$  denotes the interpretation of  $f$  in  $\mathfrak{R}$ . The reasons why  $t$  and  $l$  are meta-classes will appear gradually. Now we only indicate that if  $t$  is a class then  $t\text{-Alg}$  need not be a category. Some smallness conditions on equational categories are discussed in Reiterman [7].

It is well-known that *monadic categories* coincide with equational categories  $(\mathfrak{U}, U)$  such that  $U$  has a left adjoint (see Linton [3]) and that Beck's theorem characterizes them as concrete categories such that the underlying functor into  $\mathfrak{S}$  has a left adjoint and creates coequalizers of  $U$ -absolute pairs. We remark that equational metacategories are quite natural from the point of view of universes. In the case of  $\mathfrak{U}_\varphi$ ,  $(t, l)\text{-Alg}$  consists of all finite universal algebras from a variety given by a set (in the sense of ZF) of finitary operations. Since a variety often has infinite free algebras over finite sets,  $(t, l)\text{-Alg}$  generally is far from being monadic.

Under an  $\infty$ -filtered limit we mean a limit taken over an ordered metaclass such that any of its subsets has a lower bound. The dual concept is an  $\infty$ -filtered colimit. We remark that class-indexed colimits of categories are categories, which is not true for limits (even  $\infty$ -filtered).

1.1. THEOREM. *Any equational metacategory is an  $\infty$ -filtered limit of equational categories. If  $\mathfrak{U} \neq \mathfrak{U}_\varphi$  then equational metacategories coincide with  $\infty$ -filtered limits of monadic categories (limits in the sense of concrete categories and concrete functors).*

PROOF. Let  $\mathfrak{E} = (t, l)\text{-Alg}$  be an equational metacategory. Assign to each set  $s \subset t$  the category  $\mathfrak{E}_s = (s, l_s)\text{-Alg}$  where  $l_s$  consists of all equations from  $l$  written by means of operation symbols of  $s$  (i.e.  $(t, l)$  is the conservative extension of  $(s, l_s)$ ). We get the concrete functors

$$R_{s', s}: \mathfrak{E}_{s'} \rightarrow \mathfrak{E}_s, \text{ for } s \subset s',$$

of reducts and similarly  $R_s: \mathfrak{E} \rightarrow \mathfrak{E}_s$ . It is easy to see that  $R_s$  form the limit cone for  $R_{s', s}$ . Moreover, if  $\mathfrak{U} \neq \mathfrak{U}_\varphi$  then  $\mathfrak{E}_s$  is monadic.

Conversely, let  $F_d: \mathcal{A} \rightarrow \mathfrak{M}_d$  be an  $\infty$ -filtered limit of  $F_{d,d'}: \mathfrak{M}_d \rightarrow \mathfrak{M}_{d'}$ . If  $\mathfrak{M}_d = (t_d, I_d)\text{-Alg}$  then  $F_{d,d'}$  induce morphisms

$$e_{d',d}: (t_{d'}, I_{d'}) \rightarrow (t_d, I_d)$$

of equational theories. Then  $\mathcal{A}$  is isomorphic to  $(t, I)\text{-Alg}$  where  $(t, I)$  is the colimit of  $e_{d',d}$ .

Under a *monadic completion* of a concrete category  $\mathcal{A}$  we shall mean a reflection of  $\mathcal{A}$  into monadic categories. It therefore consists of a monadic category  $\mathfrak{M}(\mathcal{A})$  and of a concrete functor  $M_{\mathcal{A}}: \mathcal{A} \rightarrow \mathfrak{M}(\mathcal{A})$  (briefly denoted by  $M$ ) such that for any concrete functor  $F: \mathcal{A} \rightarrow \mathfrak{M}$  monadic there exists a unique concrete functor  $\hat{F}: \mathfrak{M}(\mathcal{A}) \rightarrow \mathfrak{M}$  with  $\hat{F} \cdot M = F$ . Following Linton [4],  $\mathfrak{M}(\mathcal{A})$  exists iff  $(\mathcal{A}, U)$  is tractable, i.e. iff there exists the codensity monad  $R_U$  of  $U$ , and  $R_U$  then is the monad of  $\mathfrak{M}(\mathcal{A})$ . Of course, an *equational completion* of  $\mathcal{A}$  is a reflection  $E_{\mathcal{A}}: \mathcal{A} \rightarrow \mathfrak{E}(\mathcal{A})$  of  $\mathcal{A}$  into equational categories.

1.2. COROLLARY. *An equational completion of  $\mathcal{A}$  is a reflection of  $\mathcal{A}$  into equational metacategories. (Proof follows by 1.1.)*

If  $(\mathcal{A}, U)$  is a concrete metacategory then its *canonical type*  $t_{\mathcal{A}}$  consists of all natural transformations  $U^n \rightarrow U$  where  $n$  runs over cardinals ( $U^n(A)$  is defined as  $U(A)^n$ ). Any  $A \in \mathcal{A}$  gives rise to the  $t_{\mathcal{A}}$ -algebra  $L(A)$  by the setting

$$\phi^{L(A)} = \phi_A \quad \text{for any } \phi \in t_{\mathcal{A}}.$$

If  $I_{\mathcal{A}}$  denotes all the equations which hold in  $L(\mathcal{A})$  (i.e. for any  $L(A)$ ,  $A \in \mathcal{A}$ ) then we get the equational metacategory  $\mathfrak{L}(\mathcal{A}) = (t_{\mathcal{A}}, I_{\mathcal{A}})\text{-Alg}$  (see Linton [4]). Then  $L_{\mathcal{A}}: \mathcal{A} \rightarrow \mathfrak{L}(\mathcal{A})$  is a concrete functor. Following [4], if  $\mathcal{A}$  is tractable then  $L: \mathcal{A} \rightarrow \mathfrak{L}(\mathcal{A})$  is the monadic completion of  $\mathcal{A}$ . If  $F: \mathcal{A} \rightarrow (t, I)\text{-Alg}$  is a concrete functor then any term  $p$  of type  $\hat{t}$  defines the natural transformation  $pF \in t_{\mathcal{A}}$  by means of

$$(pF)_A = p^{F(A)} \quad \text{for all } A \in \mathcal{A}.$$

1.3. LEMMA. *Any concrete functor  $F: \mathcal{A} \rightarrow (t, I)\text{-Alg}$  can be factorized*

through  $L_{\mathcal{Q}}$ .

PROOF. The desired concrete functor

$$\hat{F}: \mathcal{L}(\mathcal{A}) \rightarrow (t, l)\text{-Alg} \quad \text{with} \quad \hat{F}.L = F$$

is given by means of

$$f^{\hat{F}}(\mathfrak{R}) = (fF)\mathfrak{R} \quad \text{for all } \mathfrak{R} \in \mathcal{L}(\mathcal{A}) \quad \text{and all } f \in t.$$

A concrete category  $\mathcal{A}$  will be called *canonically equational* if  $L_{\mathcal{A}}$  is an isomorphism. An example of an equational category  $\mathcal{A}$  which is not canonically equational and such that  $\mathcal{L}(\mathcal{A})$  is a category (see [6]) shows that, in spite of 1.3,  $L_{\mathcal{A}}$  need not be an equational completion of  $\mathcal{A}$  (and not only owing to the size of  $\mathcal{L}(\mathcal{A})$ ). The reason is that  $\mathcal{L}(\mathcal{A})$  may contain too many operations. Nevertheless, 1.3 makes a monad from  $\mathcal{L}$ , which implies that whenever  $\mathcal{L}(\mathcal{A})$  is not canonically equational then the same holds for  $\mathcal{L}(\mathcal{L}(\mathcal{A}))$  (see [11]).

## 2. BECK CATEGORIES.

We recall that a *Beck category* is a concrete category  $(\mathcal{A}, U)$  such that  $U$  creates limits and coequalizers of  $U$ -absolute pairs. A *Beck completion* of a concrete category  $(\mathcal{A}, U)$  is a reflection  $B_{\mathcal{A}}: \mathcal{A} \rightarrow \mathcal{B}(\mathcal{A})$  of  $\mathcal{A}$  into Beck categories.

2.1. THEOREM. *Let  $(\mathcal{A}, U)$  be a small concrete category. Then  $M_{\mathcal{A}}$  is the Beck completion of  $\mathcal{A}$  (it even is the reflection of  $\mathcal{A}$  into Beck meta-categories).*

PROOF. Consider a concrete functor  $F: \mathcal{A} \rightarrow \mathcal{B}$  into a Beck metacategory  $(\mathcal{B}, V)$ . Denote the forgetful functor of  $\mathfrak{M}(\mathcal{A})$  by  $W$  and let  $S$  or  $T$  be the right Kan extension of  $F$  or  $M$  resp. along  $U$ . We recall that  $R$  denotes the codensity monad of  $U$ . Let

$$\sigma: S.U \rightarrow F, \quad \tau: T.U \rightarrow M \quad \text{and} \quad \rho: R.U \rightarrow U$$

be the natural transformations exhibiting the corresponding Kan extensions. Clearly

$$W.T = V.S = R \quad \text{and} \quad W\tau = V\sigma = \rho.$$

There are unique natural transformations  $\bar{\sigma}: S.R \rightarrow S$  and  $\bar{\tau}: T.R \rightarrow T$  such that

$$\sigma.S\rho = \sigma.\bar{\sigma}U \quad \text{and} \quad \tau.T\rho = \tau.\bar{\tau}U.$$

Evidently,  $W\bar{\tau} = V\bar{\sigma} = \mu$  is the multiplication of the monad  $R$ .

Any  $R$ -algebra  $(X, h)$  gives rise to the absolute coequalizer

$$R^2 X \begin{array}{c} \xrightarrow{\mu_X} \\ \xrightarrow{Rb} \end{array} RX \xrightarrow{h} X$$

The parallel pair on the left is the image by  $W$  or  $V$  of

$$TRX \begin{array}{c} \xrightarrow{\bar{\tau}_X} \\ \xrightarrow{Tb} \end{array} TX \quad \text{or} \quad SRX \begin{array}{c} \xrightarrow{\bar{\sigma}_X} \\ \xrightarrow{sb} \end{array} SX$$

resp. The  $R$ -algebra  $(X, h)$  is the creating object in  $\mathfrak{M}(\mathfrak{A})$  and we shall denote the creating object in  $\mathfrak{B}$  by  $\hat{F}(X, h)$ . It is easy to see that  $\hat{F}: \mathfrak{M}(\mathfrak{A}) \rightarrow \mathfrak{B}$  is a concrete functor. Since  $M(A) = (UA, \rho_A)$ ,  $\hat{F}.M = F$  holds. The unicity of  $\hat{F}$  is proved in [10] (see 3.7).

2.2. THEOREM. *Any concrete category  $\mathfrak{A}$  has a Beck completion which even is a reflection of  $\mathfrak{A}$  into Beck metacategories.*

PROOF.  $\mathfrak{A}$  is an  $\infty$ -filtered colimit of the diagram consisting of all small full subcategories of  $\mathfrak{A}$  together with the inclusions.  $\mathfrak{M}$  takes this diagram to the diagram  $D$  of monadic categories. Theorem 2.1 together with the fact that an  $\infty$ -filtered colimit of Beck categories is a Beck category implies that the colimit of  $D$  is the Beck completion of  $\mathfrak{A}$ .

2.3. COROLLARY. *Beck categories coincide with  $\infty$ -filtered colimits of monadic categories.*

2.3 is proved in [10]; the indicated colimits are class-indexed and of concrete categories.  $B_{\mathfrak{A}}$  is full iff there is a full concrete functor from  $\mathfrak{A}$  into a Beck category. If  $B_{\mathfrak{A}}$  is full then  $U$  reflects limits and coequalizers of  $U$ -absolute pairs. The converse is true provided  $U$  creates limits (see [10], 4.3).

### 3. BIRKHOFF SUBCATEGORIES.

A *Birkhoff subcategory* is defined as a full subcategory of an equational metacategory closed under products, subalgebras and homomorphic images (see Manes [5]). Birkhoff subcategories behave well in weakly compact universes. A universe  $\mathcal{U}$  is weakly compact if for any tree which is a class and all its levels are sets there exists a path through it.  $\mathcal{U}_\ell$  is weakly compact following König's Lemma. Concerning weakly compact cardinals, see e. g. [2].

The use of weak compactness in our situation lies in the following lemma (if  $\mathcal{B} \subset \mathcal{D} \subset \mathcal{A}$  then an extension of  $\phi$  to  $\mathcal{D}$  means a natural transformation  $\psi: \mathcal{W}^{\mathcal{D}} \rightarrow \mathcal{W}$ , where  $\mathcal{W}$  is the restriction of  $U$  on  $\mathcal{D}$  such that  $\psi_B = \phi_B$  for all  $B \in \mathcal{B}$ ).

3.1. LEMMA. *Let  $\mathcal{U}$  be weakly compact,  $(\mathcal{B}, V)$  be a full concrete subcategory of a concrete category  $(\mathcal{A}, U)$  and  $\phi: V^n \rightarrow V$  be a natural transformation which can be extended to  $\mathcal{B} \cup \mathcal{C}$  for any set  $\mathcal{C}$  of objects of  $\mathcal{A}$ . Then  $\phi$  can be extended to  $\mathcal{A}$ .*

PROOF. The class of objects of  $\mathcal{A}$  which do not belong to  $\mathcal{B}$  is a union of an ascending chain  $\mathcal{C}_0 \subset \dots \subset \mathcal{C}_\alpha \subset \dots$  of its subsets indexed by all ordinals. Consider the tree  $T$  which consists of all extensions of  $\phi$  to  $\mathcal{C}_\alpha$  with the ordering given by the restrictions. Since any  $\mathcal{C}_\alpha$  is a set, levels of  $T$  are sets too. Then a path through  $T$  provides the extension of  $\phi$  to  $\mathcal{A}$ .

3.2. THEOREM. *Let  $\mathcal{U}$  be weakly compact and  $\mathcal{A}$  be a concrete category. Then the concrete functor  $K: \mathcal{B}(\mathcal{A}) \rightarrow \mathcal{L}(\mathcal{A})$  given by 2.2 is the full embedding making  $\mathcal{B}(\mathcal{A})$  a Birkhoff subcategory of  $\mathcal{L}(\mathcal{A})$ .*

PROOF. The fullness of  $K$  is proved in 6.9 of [10] in the case of  $\mathcal{U}_\ell$ . The proof for a weakly compact universe is the same because 3.1 plays the role of 6.8 of [10]. Since the underlying functor  $\mathcal{W}$  of  $\mathcal{B}(\mathcal{A})$  creates limits any morphism  $f: A \rightarrow A'$  of  $\mathcal{B}(\mathcal{A})$  with

$$\mathcal{W}(A) = \mathcal{W}(A') \quad \text{and} \quad \mathcal{W}(f) = id_{\mathcal{W}A}$$

must be the identity on  $A = A'$ . Hence  $K$  is a full embedding. The fact that  $\mathfrak{B}(\mathfrak{A})$  is a Birkhoff subcategory of  $\mathfrak{L}(\mathfrak{A})$  is proved in 6.10 of [10] for  $\mathfrak{U}_\varphi$  and the weakly compact case is the same, again.

3.3. COROLLARY. *If  $\mathfrak{U}$  is weakly compact then Beck categories coincide with Birkhoff subcategories of equational metacategories.*

3.4. COROLLARY. *Let  $\mathfrak{U}$  be weakly compact and  $(\mathfrak{A}, U)$  be a concrete category such that  $U$  creates limits. Then the following conditions are equivalent:*

(i) *There exists a full concrete embedding of  $\mathfrak{A}$  into an equational metacategory.*

(ii)  *$U$  reflects coequalizers of  $U$ -absolute pairs.*

Proof follows by 3.3 and Theorem 4.3 of [10].

3.5. LEMMA (Goralčík, Koubek). *Let  $\mathfrak{U}$  be weakly compact and  $(\mathfrak{B}, V)$  be a Birkhoff subcategory of an equational category  $(\mathfrak{E}, U)$ . Then any natural transformation  $\phi: V^n \rightarrow V$  can be extended to  $\mathfrak{E}$ .*

PROOF. Let  $\mathfrak{E} = (t, l)\text{-Alg}$ . For any set  $\mathcal{C}$  of algebras from  $\mathfrak{B}$  there exists a term  $p(\mathcal{C})$  of type  $t$  such that

$$p(\mathcal{C})^{\mathfrak{R}} = \phi^{\mathfrak{R}} \quad \text{for all } \mathfrak{R} \in \mathcal{C}$$

(see the proof of the Proposition from [11]).

Let  $\mathcal{Z}$  be a set of algebras from  $\mathfrak{E}$  not belonging to  $\mathfrak{B}$ . Define the equivalence relation on the meta-class of all  $n$ -ary terms of type  $t$  as follows:

$$p \sim q \quad \text{iff } p^{\mathfrak{R}} = q^{\mathfrak{R}} \quad \text{for all } \mathfrak{R} \in \mathcal{Z}.$$

Since  $\sim$  has only a set of equivalence classes, there is an equivalence class  $T$  such that  $\mathfrak{B}$  is the union of all  $\mathcal{C}$  such that  $p(\mathcal{C}) \in T$ . The setting  $\psi^{\mathfrak{R}} = p^{\mathfrak{R}}$  for  $p \in T$  gives the extension of  $\phi$  to  $\mathfrak{B} \cup \mathcal{Z}$ .

Thus the result follows from 3.1.

In an arbitrary  $\mathfrak{U}$ , 3.5 holds for any  $\mathfrak{E}$  such that the number of its objects has the tree property. Goralčík and Koubek (see [1]) have proved



3.5 in  $\mathcal{U}_\phi$  for any equational metacategory  $\mathcal{E}$  by means of the following argument. Under a regular extension of  $\phi$  we shall mean an extension of  $\phi$  which is induced by a term (in the sense of the beginning of the proof of 3.5) on any set of algebras. The proof of 3.5 shows that  $\phi$  can be regularly extended to each  $\mathfrak{R} \in \mathcal{E}$ . The assertion now follows from the fact that there is a maximal regular extension of  $\phi$ . Indeed, any filtered set of regular extensions has an upper bound. However, the last statement holds only for  $\mathcal{U}_\phi$  because otherwise  $\infty$ -filtered does not mean filtered.

The next theorem is the extension of Birkhoff variety Theorem to the equational case (for  $\mathcal{E} = (t, l)\text{-Alg}$  being monadic implies that  $t_{\mathcal{E}} = t$ ). This theorem was proved by Reiterman (see [8]) in  $\mathcal{U}_\phi$ . Goralčík and Koubek (see [1]) have generalized the theorem to any equational metacategory (in  $\mathcal{U}_\phi$  again; see the above remark) and they have also simplified Reiterman's original proof. Their proof starts from 3.5 and works for a weakly compact universe, too. For the reader's convenience, we write down how 3.6 follows from 3.5. We remark that this derivation is analogous to the proof of 6.10 of [10] (6.10 uses the types  $t_{\mathcal{C}_a}$ , 3.6  $t_{\mathfrak{M}(\mathcal{C}_a)}$ ; these types generally are distinct). We mention that 3.6 does not infer that  $\mathcal{B}$  is equational.

3.6. THEOREM (Reiterman). *Let  $\mathcal{U}$  be weakly compact and  $\mathcal{B}$  be a Birkhoff subcategory of an equational category  $\mathcal{E}$ . Then  $\mathcal{B}$  is determined in  $\mathcal{E}$  by equations of the type  $t_{\mathcal{E}}$  (i. e. if  $\phi_{\mathfrak{R}} = \psi_{\mathfrak{R}}$  for any  $\phi, \psi \in t_{\mathcal{E}}$  having the same restriction on  $\mathcal{B}$  then  $\mathfrak{R} \in \mathcal{B}$ ).*

PROOF. Let  $\mathcal{E} = (t, l)\text{-Alg}$  and  $U$  be the forgetful functor of  $\mathcal{E}$ . Following [10] 6.5,  $\mathcal{B}$  is a union of an ascending chain  $\mathfrak{M}_0 \subset \dots \mathfrak{M}_\alpha \subset \dots$  of monadic categories indexed by all the ordinals. Let  $\mathfrak{R}$  be an algebra from  $\mathcal{E}$  not belonging to  $\mathcal{B}$  and  $n$  be the cardinality of  $U(\mathfrak{R})$ . For any  $\alpha$  there are  $n$ -ary terms  $p_\alpha, q_\alpha$  of type  $t$  such that the equation  $p_\alpha^- = q_\alpha^-$  holds on  $\mathfrak{M}_\alpha$  and not on  $\mathfrak{R}$ . Since there is only a set of mappings  $U(\mathfrak{R})^n \rightarrow U(\mathfrak{R})$  we may assume that

$$(p_\alpha)^{\mathfrak{R}} = (p_\beta)^{\mathfrak{R}} \quad \text{and} \quad (q_\alpha)^{\mathfrak{R}} = (q_\beta)^{\mathfrak{R}} \quad \text{for any } \alpha, \beta.$$

Since terms induce natural transformations, following 3.1 and 3.5 there are  $\phi, \psi \in t_{\mathfrak{E}}$  having the same restriction on  $\mathfrak{B}$  and such that  $\phi_{\mathfrak{A}} \neq \psi_{\mathfrak{A}}$ .

**4. EQUATIONAL COMPLETION.**

4.1. LEMMA. *Let  $\mathfrak{A}$  be a concrete category and  $\phi, \psi \in t_{\mathfrak{E}}(\mathfrak{A})$  such that  $\phi E_{\mathfrak{A}} = \psi E_{\mathfrak{A}}$ . Then  $\phi = \psi$ .*

PROOF. Let  $\mathfrak{E}(\mathfrak{A}) = (t, l)\text{-Alg}$  and consider the type  $t' = t \cup \{\phi, \psi\}$ . Then  $l$  is a theory of type  $t'$ , and let  $l' = l \cup \{\phi = \psi\}$ . Then

$$\mathfrak{E} = (t', l)\text{-Alg} \quad \text{and} \quad \mathfrak{E}' = (t', l')\text{-Alg}$$

are equational categories. Denote by  $T: \mathfrak{E}' \rightarrow \mathfrak{E}$  the inclusion and by  $R: \mathfrak{L}(\mathfrak{E}(\mathfrak{A})) \rightarrow \mathfrak{E}$  the reduct. Since  $\phi E_{\mathfrak{A}} = \psi E_{\mathfrak{A}}$ , there is a concrete functor  $F: \mathfrak{A} \rightarrow \mathfrak{E}'$  such that

$$R \cdot L_{\mathfrak{E}(\mathfrak{A})} \cdot E_{\mathfrak{A}} = T \cdot F.$$

Hence there is a concrete functor

$$\hat{F}: \mathfrak{E}(\mathfrak{A}) \rightarrow \mathfrak{E}' \quad \text{with} \quad T \cdot \hat{F} = R \cdot L_{\mathfrak{E}(\mathfrak{A})}.$$

But it follows that  $\phi = \psi$ .

Now, we can state the main theorem.

4.2. THEOREM. *Let  $\mathfrak{U}$  be weakly compact and  $\mathfrak{A}$  a concrete category. Then an equational completion of  $\mathfrak{A}$  exists iff  $\mathfrak{B}(\mathfrak{A})$  is equational and  $B_{\mathfrak{A}}$  is in this case the equational completion of  $\mathfrak{A}$ .*

PROOF. If  $\mathfrak{B}(\mathfrak{A})$  is equational then  $B_{\mathfrak{A}}$  evidently is the equational completion of  $\mathfrak{A}$ . Assume that  $\mathfrak{E}(\mathfrak{A}) = (t, l)\text{-Alg}$  exists. There appear concrete functors

$$\hat{E}: \mathfrak{B}(\mathfrak{A}) \rightarrow \mathfrak{E}(\mathfrak{A}) \quad \text{and} \quad \hat{L}: \mathfrak{E}(\mathfrak{A}) \rightarrow \mathfrak{L}(\mathfrak{A})$$

with

$$\hat{E} \cdot B = E \quad \text{and} \quad \hat{L} \cdot E = L$$

(concerning  $\hat{L}$  see 1.2). Since  $\hat{L} \cdot \hat{E}$  is the functor  $K$  from 3.2,  $\mathfrak{B}(\mathfrak{A})$  is a Birkhoff subcategory of  $\mathfrak{L}(\mathfrak{A})$  and hence of  $\mathfrak{E}(\mathfrak{A})$ , too. Following 3.6 and 4.1,  $\mathfrak{E}(\mathfrak{A}) = \mathfrak{B}(\mathfrak{A})$  holds.

Hence a Beck category which is not equational can not have an equational completion. Concerning such a Beck category see [10], 6.1.

A concrete category  $\mathcal{A}$  will be called *stable* if

$$\phi_{\mathfrak{R}} = (\phi L)^{\mathfrak{R}} \text{ for all } \phi \in t\mathcal{Q}(\mathcal{A}) \text{ and all } \mathfrak{R} \in \mathcal{L}(\mathcal{A}).$$

4.3. THEOREM. *Consider the following statements for a given concrete category  $\mathcal{A}$ :*

- (i)  $L_{\mathcal{A}}: \mathcal{A} \rightarrow \mathcal{L}(\mathcal{A})$  is the Beck completion of  $\mathcal{A}$ .
- (ii)  $L_{\mathcal{A}}: \mathcal{A} \rightarrow \mathcal{L}(\mathcal{A})$  is the equational completion of  $\mathcal{A}$ .
- (iii)  $\mathcal{A}$  is stable and  $\mathcal{L}(\mathcal{A})$  is a category.

Then (i)  $\Rightarrow$  (ii)  $\Rightarrow$  (iii). If  $\mathcal{U}$  is weakly compact, then all three statements are equivalent.

PROOF. Clearly (i)  $\Rightarrow$  (ii). Assume (ii) and let  $\phi \in t\mathcal{Q}(\mathcal{A})$ . Let  $\psi \in t\mathcal{Q}(\mathcal{A})$  be given by the setting

$$\psi_{\mathfrak{R}} = (\phi L)^{\mathfrak{R}} \text{ for all } \mathfrak{R} \in \mathcal{L}(\mathcal{A}).$$

Since  $\phi L = \psi L$ ,  $\phi = \psi$  holds by 4.1 and thus  $\mathcal{A}$  is stable.

Let  $\mathcal{U}$  be weakly compact and (iii) hold. Following 3.6,  $\mathcal{B}(\mathcal{A})$  is determined in  $\mathcal{L}(\mathcal{A})$  by equations of type  $t\mathcal{Q}(\mathcal{A})$  and hence by equations of type  $t\mathcal{A}$  because  $\mathcal{A}$  is stable. However, if an equation of type  $t\mathcal{A}$  holds in  $\mathcal{B}(\mathcal{A})$  then it also holds in  $\mathcal{A}$  and thus in  $\mathcal{L}(\mathcal{A})$ , too. Therefore we have  $\mathcal{B}(\mathcal{A}) = \mathcal{L}(\mathcal{A})$  and (i) is proved.

If  $\mathcal{L}(\mathcal{A})$  is monadic then  $\mathcal{A}$  clearly is stable and thus 4.3 implies that 2.1 holds for any tractable  $\mathcal{A}$  in a weakly compact  $\mathcal{U}$ . Concerning an example of a stable concrete category  $\mathcal{A}$  such that  $\mathcal{L}(\mathcal{A})$  is not monadic, see [11]. If  $\mathcal{A}$  is stable then it is easy to see that  $\mathcal{L}(\mathcal{A})$  is canonically equational. I do not know whether the converse is true or not. Notice nevertheless that the consequence of 3.6 is that if  $\mathcal{U}$  is weakly compact and  $\mathcal{L}(\mathcal{A})$  a canonically equational category, then  $B_{\mathcal{A}}$  is the equational completion of  $\mathcal{A}$ .

4.4. PROPOSITION. *Let  $(t, l)\text{-Alg}$  be an equational completion of  $\mathcal{A}$ . Then*

$(t_{\mathcal{Q}}, l_{\mathcal{Q}})$  is a conservative extension of  $(t, l)$ .

PROOF. The result is given by the fact that for all terms  $p, q$  of type  $t$ ,  $p E_{\mathcal{Q}} = q E_{\mathcal{Q}}$  implies, by 4.1, that the equation  $p = q$  follows from  $l$ .

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