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HEINRICH KLEISLI

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COSHAPE-INVARIANT FUNCTORS AND MACKEY'S INDUCED REPRESENTATION THEOREM

by Heinrich KLEISLI*)

In this paper it is shown how to obtain Mackey's induced representation theorem by means of a simple categorical argument. This is done in the situation where we are given a finite group G, a subgroup H, and where we consider G/H as a discrete measure space (see, for instance, [C], page 88). The method employed indicates generalisations to the situation where G and H are non-discrete topological groups. Therefore, the categorical argument will again be described in detail, although it is already fully explained in [F-K].

1. A THEOREM ON COSHAPE-INVARIANT FUNCTORS.

Let \emptyset be a (fixed) closed category. All categories, functors, etc., are to be regarded as \emptyset -categories, \emptyset -functors, etc. All Kan extensions are supposed to be pointwise, that is, given by their Kan formula (see [D], Theorem I.4.3, formula (1)).

DEFINITION 1.1. Let $K:\mathcal{P}\to\mathcal{T}$ be a functor, \mathcal{P} a small category, and \mathcal{O} a complete closed category. Then the coshape of K is the category K which has the same objects as \mathcal{T} and where

$$_{K} S(X,Y) = Nat(\mathcal{I}(K \cdot, X), \mathcal{I}(K \cdot, Y)),$$

the \mathbb{O} -object of natural transformations between the functors $\mathcal{I}(K-,X)$ and $\mathcal{I}(K-,Y)$.

The identity map between the objects of $\mathcal I$ and of $_K \mathcal S$ can easily be made into a functor $D \colon \mathcal I \to _K \mathcal S$.

*) This paper was written while the author was on leave of absence and visiting Queen's University, Canada.

DEFINITION 1.2. We say that a functor $F: \mathcal{T} \to \mathcal{O}$ is coshape-invariant (with respect to K) if it factors through D.

THEOREM 1.3. Assume that the base category $\mathbb O$ is complete. Let $K: \mathcal P \to \mathcal T$ be a functor between small categories, and let $F: \mathcal T \to \mathbb O$ be a coshape-invariant functor $F = \overline{F}D$. If the functor \overline{F} can be extended along the embedding $E: K \to \mathbb O^{\operatorname{pop}}$ to a cocontinuous functor \widehat{F} , then F is a left Kan extension along K.

Let $Y: \mathcal{P} \to \mathcal{O}^{\mathcal{P}^{op}}$ denote the Yoneda functor. By the definition of the generalised tensor product (see [A], page 2) and by the Yoneda lemma we have

$$\mathbb{O}^{\mathcal{P}^{op}}(G \otimes \mathcal{P} Y, H) = Nat(G \cdot, \mathbb{O}^{\mathcal{P}^{op}}(Y \cdot, H)) \approx \mathbb{O}^{\mathcal{P}^{op}}(G, H),$$

whence $G \otimes g Y \approx G$. Now, \hat{F} is cocontinuous, so that

$$\hat{F} - = \hat{F}(-\otimes \varphi Y) \approx -\otimes \varphi \hat{F} Y,$$

and therefore,

$$F = \hat{F} E D = \mathcal{I}(\cdot, K) \otimes \varphi \hat{F} Y = Lan_K \hat{F} Y.$$

Since $E: {}_K \mathbb{S} \to \mathbb{O}^{\mathcal{P}^{op}}$ is an embedding, the left Kan extension $Lan_E \overline{F}$ is an ordinary extension of \overline{F} along E, which can be computed by means of the Kan formula

$$(Lan_E \overline{F}) = \mathbb{O}^{\mathcal{G}op}(E, -) \otimes_{K} \overline{S} \overline{F}.$$

This yields the following corollary of Theorem 1.3.

COROLL ARY 1.4. In the situation of Theorem 1.3, if $Lan_E \overline{F}$ is cocontinuous, then F is a left Kan extension along K.

2. APPLICATION TO COSHAPE-INVARIANT REPRESENTATIONS.

We shall apply Corollary 1.4 to the following special situation. As base category O we choose the category k-Mod of k-vector spaces. Let T = kG and P = kH be the group algebras over k of a discrete group G and of a subgroup H of G, and let $K: P \to T$ denote the canonical embedding. We consider P and T as single object categories (enriched over k-Mod) and $K: P \to T$ as a (enriched) functor.

Then the coshape of K is again a (enriched) single object category, given by the endomorphism algebra $S = End_{pop} T$ of T considered as right P-module. The algebra homomorphism $D: T \to S$ which associates with each element t of T the left multiplication $x \mapsto tx$ in T yields the corresponding functor D.

A linear representation $R: G \rightarrow GL(V)$ of the group G by linear transformations on the vector space V can be considered as a kG-module that is, a (enriched) functor $R: kG \rightarrow k$ -Mod. Hence the following definition.

DEFINITION 2.1. We say that a linear representation $R: kG \rightarrow k\text{-}Mod$ is coshape-invariant (with respect to K) if it factors through $D: kG \rightarrow S$; in other words, if the representation module admits an S-module structure extending its kG-module structure.

A linear representation $R: kG \rightarrow k\text{-Mod}$ is a left Kan extension along K of a linear representation $Q: kH \rightarrow k\text{-Mod}$ if it is of the form

$$R = Hom_{k,G}(K, kG) \otimes_{kH} Q = kG \otimes_{kH} Q;$$

in other words, if it is induced by Q.

Corollary 1.4 of Theorem 1.3 yields now the following result.

THEOREM 2.2. Let G be a group and H a subgroup of finite index and denote by $K: kH \to kG$ the canonical embedding of the corresponding group algebras. A necessary and sufficient condition for a linear representation $R: G \to GL(V)$ to be induced by a linear representation $Q: H \to GL(V)$ is that the functor $R: kG \to k\text{-Mod}$ is coshape-invariant with respect to K.

The necessity of the condition is obvious. In order to show that it is also sufficient, by Corollary 1.4 it suffices to check that $Lan_E \bar{R}$ is cocontinuous, where \bar{R} is an extension of R along D.

The Kan formula for $Lan_E \overline{R}$ becomes

$$(Lan_{E}\overline{R}) - = Hom_{kH^{op}}(E, -) \otimes_{S} \overline{R} \approx Hom_{kH^{op}}(kG, -) \otimes_{S} \overline{R},$$

where $Hom_{kH^{\circ p}}(kG, M)$ has the right S-module structure induced by the natural S-module structure on kG. By hypothesis, the subgroup H of G has finite index so that kG considered as right kH-module is finitely gen-

erated and free. Hence, the functor $Hom_{kH^{op}}(kG, -)$ is cocontinuous, and therefore also the functor $Lan_F \bar{R}$.

It remains to be shown that Theorem 2.2 is a version of Mackey's induced representation Theorem, namely in the situation where G/H is a discrete measure space.

A spectral measure P on the discrete measure space G/H is a function P on G/H with values in $End_k\ V$ where V is a finite dimensional k-vector space (that is, an element of $\mathbb{O}=k\text{-}Mod$), satisfying the following properties:

$$(2.1) P(x)^2 = P(x) for all x in G/H,$$

$$(2.2) P(x)P(y) = 0 for x \neq y,$$

(2.3)
$$\sum_{x \in G/H} P(x) = ld.$$

If we choose k=C, we can find a scalar-product on V such that all endomorphisms P(x) are projectors, that is, Hermitian idempotent operators.

Now let V be the representation module of a linear representation $R: G \to GL(V)$. Mackey speaks of an *imprimitivity system P of R based* on G/H if a spectral measure P satisfies the following additional property:

(2.4)
$$R(g)P(x) = P(gx)R(g)$$
 for all g in G and x in G/H .

Mackey's induced representation Theorem (see [C], Theorem 10) says that a linear representation $R: G \to GL(V)$ is induced by a linear representation of a subgroup H of G if and only if it possesses an imprimitivity system P based on G/H. It is obtained from Theorem 2.2 by means of the following easily proved lemma.

LEMMA 2.3. Let G be a group and H a subgroup. Denote by kG and kH the corresponding group algebras. Every kH^{op} -endomorphism s of kG can be written in a unique way as sum

$$s = \sum_{x \in G/H} D(a_x) \circ \pi_x,$$

where the π_x are projectors given by

$$\pi_x(g) = \delta_{x \circ gH} g \text{ for all } g \text{ in } G.$$

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Suppose the linear representation R to be coshape-invariant, that is, $R = \overline{R}D$ for some (enriched) functor $\overline{R} : S \rightarrow k\text{-Mod}$. Defining P by setting

$$P(x) = \overline{R}(\pi_x)$$
 for all $x \in G/H$,

we obtain an imprimitivity system of R based on G/H.

Conversely, assume that R possesses such an imprimitivity system. Then we define $R: S \to k \text{-}Mod$ as follows. Let $s = \sum_{x \in G/H} D(a_x) \circ \pi_x$ be an element of $S = End_{LH} \circ p \ k \ G$. Put

$$\bar{R}(s) = \sum_{x \in G/H} R(a_x) \circ P(x),$$

and verify the functor properties (of an enriched functor). Since

$$D(a) = \sum_{x \in G/H} D(a) \circ P(x),$$

we also have $\overline{R}D = R$.

REMARK 2.4. There are other versions of Theorem 2.2, where G and H are non-discrete topological groups, and where, of course, the hypothesis that H is a subgroup of finite index is replaced by another condition assuring the sufficiency of coshape-invariance for a linear representation to be induced. The new hypothesis depends on the choice of the closed category O (replacing k-Mod) and the form of the single object categories P and P (replacing the group algebras P).

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Institut de Mathématiques Faculté des Sciences FRIBOURG. SUISSE.