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# Multicanonical systems of elliptic surfaces in small characteristics\*

Dedicated to Frans Oort on the occasion of his 60th birthday

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**Abstract.** We determine the smallest integer M such that the multicanonical system  $|mK_S|$  gives the structure of elliptic surface for any elliptic surface S with Kodaira dimension  $\kappa(S) = 1$  and for any integer  $m \ge M$ . We also investigate the structure of elliptic surfaces of type  $(p, p, \ldots, p)$ .

#### 0. Introduction

Let k be an algebraically closed field of characteristic p, and  $f \colon S \to C$  an elliptic surface over k with a nonsingular complete curve C. As is well known, for an elliptic surface S with Kodaira dimension  $\kappa(S)=1$ , there exists a positive integer m such that the multicanonical system  $|mK_S|$  gives a unique structure of elliptic surface. In this paper, we consider the following problem.

PROBLEM. Find the smallest integer M such that the multicanonical system  $|mK_S|$  gives the structure of elliptic surface for any elliptic surface S with Kodaira dimension  $\kappa(S) = 1$  and for any integer  $m \ge M$ .

For any analytic elliptic surface  $f \colon S \to C$  with  $\kappa(S) = 1$  over the field  $\mathbb C$  of complex numbers, Iitaka showed that for any  $m \geqslant 86$ , the multicanonical system  $|mK_S|$  gives a unique structure of elliptic surface  $f \colon S \to C$ . He also showed that the number 86 is best possible, that is, there exists an example of an elliptic surface S with  $\kappa(S) = 1$  such that  $|85K_S|$  doesn't give the structure of elliptic surface. In the paper [4], we showed that for any algebraic elliptic

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surface  $f\colon S\to C$  with  $\kappa(S)=1$  over k and for any  $m\geqslant 14$ , the multicanonical system  $|mK_S|$  gives a unique structure of elliptic surface, and that the number 14 is best possible if p=0 or  $p\geqslant 5$ . In this paper, we show, first, that in case p=3, the number 14 is best possible. Secondly, we show that in case p=2, for any  $m\geqslant 12$   $|mK_S|$  gives a unique structure of elliptic surface and that the number 12 is best possible. To prove these results, we use the theory of purely inseparable coverings obtained by p-closed rational vector fields (cf. [2] and [5]), and investigate the structure of elliptic surfaces of type  $(p,p,\ldots,p)$  (for the definition, see Section 2). In the final section we give some examples of wild fibres of elliptic surfaces constructed by our method.

## 1. Preliminaries

In this section, we recall some basic facts on rational vector fields and elliptic surfaces. For a non-singular complete algebraic variety X of dimension n defined over an algebraically closed field k of characteristic p > 0, we use the following notation:

 $\mathcal{O}_X$ : the structure sheaf of X,  $K_X$ : a canonical divisor on X,

 $\Phi_{|mK_{K}|}$ : the rational mapping associated with the multicanonical

system  $|mK_X|$ ,

 $\kappa(X)$ : the Kodaira dimension of X,

 $c_n(X)$ : the *n*th Chern number of X,

 $\chi(X, \mathcal{O}_X) = \sum_{i=0}^n (-1)^i \dim_k H^i(X, \mathcal{O}_X),$ 

 $Pic^0(X)$ : the Picard scheme of X,

For divisors  $E_1$  and  $E_2$  on X,  $E_1 \sim E_2$  (resp.  $E_1 \equiv E_2$ ) means that  $E_1$  is linearly (resp. numerically) equivalent to  $E_2$ . Sometimes, a Cartier divisor and the associated invertible sheaf will be identified. For a group G and an element  $\sigma$  of G, we denote by  $\langle \sigma \rangle$  the subgroup generated by  $\sigma$ .

Let D be a non-zero rational vector field on X. D is called a p-closed vector field if there exists a rational function f on X such that  $D^p = fD$ . In particular, D is called multiplicative if  $D^p = D$ , and D is called additive if  $D^p = 0$ . Let  $\{\operatorname{Spec} A_i\}_{i\in I}$  be an affine open covering of X. We set  $A_i^D = \{\alpha \in A_i \mid D(\alpha) = 0\}$ . Then,  $X^D$  is defined by  $\bigcup_{i\in I}\operatorname{Spec} A_i^D$ . It is well known that  $X^D$  is normal. If D is p-closed, then the canonical projection  $\pi_D\colon X\to X^D$  is a purely inseparable morphism of degree p. Conversely, if  $\pi\colon X\to Y$  is a finite purely inseparable morphism of degree p with a normal variety Y, then there exists a rational p-closed vector field D such that  $\pi=\pi_D$  and  $Y=X^D$ . Moreover,  $X^D$  is non-singular if and only if D is divisorial (cf. [5, Section 1]). For a p-closed vector field D, we denote by (D) the divisor associated with D. The

following lemma is a special case in Rudakov and Shafarevich [5, Section 1, Proposition 1].

LEMMA 1.1. Let X be a non-singular complete algebraic surface over k, and D a divisorial p-closed rational vector field on X. Let  $\pi\colon X\to X^D$  be the natural morphism. For an irreducible curve C on X, C' denotes the image of C in  $X^D$ . If C is an integral curve for D, then  $\pi_*C=pC'$  and  $\pi^*C'=C$ . If C is not an integral curve for D, then  $\pi_*C=C'$  and  $\pi^*C'=pC$ .

For details of these facts, see [5].

Now, let  $f: S \to C$  be an elliptic surface defined over k with a non-singular complete curve C. Throughout this paper, we assume that no fibers of f contain exceptional curves of the first kind. Let T be the torsion part of  $R^1 f_* \mathcal{O}_S$ . Since C is a non-singular curve, we have

$$R^1 f_* \mathcal{O}_S \simeq \mathcal{L} \oplus \mathcal{T},$$
 (1.1)

where  $\mathcal{L}$  is an invertible sheaf. By  $m_i E_i$   $(i=1,2,\ldots,n)$ , we denote the multiple singular fibers of  $f \colon S \to C$  with multiplicities  $m_i$ . We have the canonical divisor formula,

$$K_S \sim f^*(K_C - \mathcal{L}) + \sum_{i=1}^n a_i E_i,$$
 (1.2)

where  $a_i$ 's are integers such that  $0 \leqslant a_i \leqslant m_i - 1$ , and where

$$-\operatorname{deg} \mathcal{L} = \chi(S, \mathcal{O}_S) + t \quad \text{with } t = \operatorname{length} \dot{\mathcal{T}}. \tag{1.3}$$

We have

$$K_S^2 = 0. (1.4)$$

By Noether's formula and (1.4), we have

$$\chi(S, \mathcal{O}_S) = c_2(X)/12 \geqslant 0.$$

We set  $P_i = f(E_i)$ . Then, the following conditions are equivalent.

- (i)  $T_{P_i} = 0$ ,
- (ii)  $\dim_k H^0(m_i E_i, \mathcal{O}_{m_i E_i}) = 1,$
- (iii) ord  $\mathcal{O}_S(E_i)|_{E_i} = m_i$ .

In this case, the multiple fiber  $m_i E_i$  is called a tame fiber, and we have  $a_i = m_i - 1$ . If a multiple fiber is not tame, it is called a wild fiber. Namely, a multiple fiber  $m_i E_i$  is wild if and only if one of the following equivalent conditions is satisfied.

- (i)  $\mathcal{T}_{P_i} \neq 0$ ,
- (ii)  $\dim_k H^0(m_i E_i, \mathcal{O}_{m_i E_i}) \geqslant 2$ ,

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(iii) 
$$1 \leqslant \operatorname{ord} \mathcal{O}_S(E_i)|_{E_i} \leqslant m_i - 1$$
.

For these facts, see Bombieri and Mumford [1]

Finally, we recall results on the multicanonical systems of elliptic surfaces in [3] and [4]. Using the notation above, we have

$$H^0ig(S,\mathcal{O}_S(mK_S)ig)\simeq H^0igg(C,\mathcal{O}_Cigg(mK_C-m\mathcal{L}+\sum_{i=1}^n[ma_i/m_i]P_iigg)igg),$$

where [x] is the integral part of a rational number x. We set

$$W_m = mK_C - m\mathcal{L} + \sum_{i=1}^n [ma_i/m_i]P_i.$$

We denote by g the genus of C. Then,  $\kappa(S)$  is equal to 1 if and only if

$$2g - 2 + \chi(S, \mathcal{O}_S) + t + \sum_{i=1}^{n} a_i / m_i > 0.$$
 (1.5)

If deg  $W_m \ge 2g+1$ , then  $W_m$  is very ample, therefore,  $\Phi_{|mK_S|}$  gives a unique structure of the elliptic surface. Hence, we must look for the lowest bound M for m such that under the condition (1.5), deg  $W_m \ge 2g+1$  holds for any  $m \ge M$  (cf. [3] and [4]).

# 2. Elliptic Surfaces of Type (p,p,...,p)

In this section, let k be an algebraically closed field of characteristic p>0. Let  $f\colon S\to \mathbb{P}^1$  be an elliptic surface with  $\chi(S,\mathcal{O}_S)=0$  over the projective line  $\mathbb{P}^1$ . Then, as is easily seen (cf. [4]),  $f\colon S\to \mathbb{P}^1$  has no singular fibers except multiple fibers  $m_iE_i$   $(i=1,2,\ldots,n)$  with elliptic curves  $E_i$ . Put  $\nu_i=\mathrm{ord}\mathcal{O}_S(E_i)|_{E_i}$ .

DEFINITION 2.1 (cf. [4, Definition 3.1]). The elliptic surface  $f: S \to \mathbb{P}^1$  as above is called of type  $(m_1, m_2, \dots, m_n \mid \nu_1, \nu_2, \dots, \nu_n)$ . The elliptic surface  $f: S \to \mathbb{P}^1$  as above is called of type  $(m_1, m_2, \dots, m_n)$  if  $\nu_i = m_i$  for all i.

In particular, the multiple fibers of an elliptic surface of type  $(m_1, m_2, \ldots, m_n)$  are tame. Let  $\widetilde{C}$  be a non-singular complete algebraic curve over k. The following lemma is an easy exercise of an elementary calculus.

# LEMMA 2.2. Let $\Delta$ be a rational vector field on $\widetilde{C}$ .

- (i) If  $\Delta$  is multiplicative, then the zero points of  $\Delta$  are simple.
- (ii) If  $\Delta$  has at least one simple zero point, then  $\Delta$  is not additive.

Let E be an ordinary elliptic curve. Then, there exists a non-zero regular vector field  $\delta$  on E such that  $\delta^p = \delta$ . Let  $\Delta$  be a non-zero multiplicative rational vector field on  $\widetilde{C}$ , and n the number of zero points of  $\Delta$ . Since deg  $\Delta = 2 - 2g$ , the sum of orders of poles of  $\Delta$  is equal to n-2+2g. We set  $\widetilde{S} = E \times \widetilde{C}$ . We extend  $\delta$  and  $\Delta$  naturally to vector fields on  $\widetilde{S}$ , which we denote by the same letters. We denote by D the vector field on  $\widetilde{S}$  defined by  $D = \delta + \Delta$ . Set

$$S = \widetilde{S}^D$$
 and  $C = \widetilde{C}^{\Delta}$ .

We have an elliptic surface

$$f: S \longrightarrow C,$$
 (2.1)

where f is the morphism induced from the projection  $pr\colon \widetilde{S}=E\times \widetilde{C}\to \widetilde{C}$  (cf. [2]).

THEOREM 2.3. Under the notation as above, the singular fibers of the elliptic surface in (2.1) consist of n tame multiple fibers  $pE_i$  (i = 1, 2, ..., n) with multiplicity p, where  $E_i$ 's are elliptic curves. The canonical divisor of S is given by

$$K_S \sim f^*(K_C - \mathcal{L}) + \sum_{i=1}^n (p-1)E_i,$$
 (2.2)

where the divisor  $\mathcal{L}$  is given as in (1.2) with deg  $\mathcal{L} = 0$ .

*Proof.* Let  $\widetilde{P}_i$   $(i=1,2,\ldots,n)$  be zero points of  $\Delta$ . We have a diagram

$$S \stackrel{\pi}{\longleftarrow} E \times \widetilde{C} = \widetilde{S}$$

$$\downarrow pr$$

$$C \stackrel{F}{\longleftarrow} \widetilde{C}$$

where F (resp.  $\pi$ ) is the natural morphism given by  $\Delta$  (resp. D). F is nothing but the Frobenius morphism. By Lemma 1.1, multiple fibers of f exist only over  $P_i = F(\widetilde{P}_i)$  ( $i = 1, 2, \ldots, n$ ). We set  $pr^*(\widetilde{P}_i) = \widetilde{E}_i$  ( $\simeq E$ ) and  $f^*(P_i) = m_i E_i$  ( $i = 1, 2, \ldots, n$ ). Since  $\pi^* \circ f^*(P_i) = pr^* \circ F^*(P_i)$  and  $\pi^*(E_i) = \widetilde{E}_i$  by Lemma 1.1, we have

$$m_i \widetilde{E}_i = p \widetilde{E}_i$$
.

Therefore, we have  $m_i = p$ . The canonical divisor formula of S is given by

$$K_S \sim f^*(K_C - \mathcal{L}) + \sum_{i=1}^n a_i E_i$$

with integers  $a_i$   $(0 \le a_i \le p-1)$ . Since  $\pi$  is radicial, we have  $c_2(S) = c_2(\widetilde{S}) = 0$ . Since  $\chi(S, \mathcal{O}_S) = c_2(S)/12 = 0$ , we have

$$-\deg \mathcal{L} = \chi(S, \mathcal{O}_S) + t = t,$$

where t is the length of the torsion part of  $R^1 f_* \mathcal{O}_S$ . Since  $K_{\tilde{S}} \sim \pi^* K_S + (p-1)(D)$ , we have

$$K_{\tilde{S}} \equiv pr^*(p\{(2g-2)+t\}P) + \sum_{i=1}^n a_i \tilde{E}_i - (p-1)(n-2+2g)pr^*(P)$$

with a point P of  $\widetilde{C}$ . Taking the degrees of both sides, we have

$$2g-2=p(2g-2+t)+\sum_{i=1}^{n}a_{i}-(p-1)(n-2+2g).$$

Therefore, we have

$$pt + \sum_{i=1}^{n} a_i = n(p-1).$$
 (2.3)

Suppose that there exist some wild fibers of f. We may assume that  $pE_i$   $(i=1,2,\ldots,\ell)$  are wild with  $\ell\geqslant 1$ , and  $pE_i$   $(i=\ell+1,\ldots,n)$  are tame. Then, we have  $t\geqslant \ell$ , and  $a_i=p-1$   $(i=\ell+1,\ldots,n)$ . Since  $a_i\geqslant 0$  for all i, the left-hand side of (2.3) is greater than the right-hand side of (2.3). A contradiction. Therefore, all multiple fibers  $pE_i$   $(i=1,\ldots,n)$  are tame, that is, we have t=0 and  $a_i=p-1$   $(i=1,\ldots,n)$ . Hence, the canonical divisor formula of S is given by

$$K_S \sim f^*(K_C - \mathcal{L}) + \sum_{i=1}^n (p-1)E_i$$
 with deg  $\mathcal{L} = 0$ .

As a special case of Theorem 2.3, we have the following result.

COROLLARY 2.4. Under the same notation as in Theorem 2.3 except for  $\widetilde{C} = \mathbb{P}^1$ , the elliptic surface  $f \colon S \to \mathbb{P}^1$  constructed as in (2.1) is of type  $(p, p, \ldots, p)$  with n multiple fibers. The canonical divisor  $K_S$  of S is given by

$$K_S \sim f^*(-2P) + \sum_{i=1}^n (p-1)E_i.$$

The following lemma is well known. We give here an easy direct proof for the reader's convenience.

LEMMA 2.5. Let  $f: S \to \mathbb{P}^1$  be an elliptic surface without degenerate fibers. Then, S is isomorphic to  $E \times \mathbb{P}^1$  with an elliptic curve E, and f is given by the projection  $pr: E \times \mathbb{P}^1 \to \mathbb{P}^1$ .

*Proof.* Since  $K_S \sim f^*(-2P)$  for a point P of  $\mathbb{P}^1$ , we have the geometric genus  $p_g(S) = 0$  and  $\kappa(S) = -\infty$ . Therefore, the Picard scheme of S is reduced and we have  $\dim_k H^1(S, \mathcal{O}_S) = 1$  by  $\chi(S, \mathcal{O}_S) = 0$ . Therefore, the dimension of the Albanese variety  $\mathrm{Alb}(S)$  of S is equal to one . Since  $\kappa(S) = -\infty$ , S is a ruled surface. Using the argument by the Stein factorization, we see that  $g\colon S \to \mathrm{Alb}(S)$  gives the structure of ruled surface. We set  $E = \mathrm{Alb}(S)$ . Let G be a general fiber of g. Then,  $G^2 = 0$ . Since G is isomorphic to  $\mathbb{P}^1$ , the vertual genus of G is equal to G. Therefore, we have G = 0. Therefore we have

$$(G \cdot f^{-1}(P)) = 1. (2.4)$$

By the universality of fiber product, we have the morphism

$$(f,g): S \longrightarrow \mathbb{P}^1 \times E.$$

By (2.4), we see that 
$$(f,g)$$
 is an isomorphism. The rest is clear.  $\Box$ 

THEOREM 2.6. Let  $f: S \to \mathbb{P}^1$  be an elliptic surface of type  $(p, p, \ldots, p)$ . Then, there exist an ordinary elliptic curve E and a rational multiplicative vector field  $D = \delta + \Delta$  on  $E \times \mathbb{P}^1$  such that

$$S \simeq (E \times \mathbb{P}^1)^D$$
,  $\mathbb{P}^1 \simeq (\mathbb{P}^1)^\Delta$ 

and such that f is the natural morphism from  $(E \times \mathbb{P}^1)^D$  to  $(\mathbb{P}^1)^\Delta$ . Here,  $\delta$  (resp.  $\Delta$ ) is a non-zero regular (resp. rational) multiplicative vector field on E (resp.  $\mathbb{P}^1$ ).

*Proof.* Let  $pE_i$   $(i=1,2,\ldots,n)$  be the multiple fibers of  $f\colon S\to \mathbb{P}^1$ . Since the multiple fiber  $pE_i$  is tame by assumption,  $\mathcal{O}_S(E_i)|_{E_i}$  is of order p. Since  $\mathcal{O}_S(E_i)|_{E_i}$  is an element of  $\mathrm{Pic}^0(E_i)$ , we see that  $E_i$  is an ordinary elliptic curve.

First, we show that, taking the base change by the Frobenius morphism  $F: \mathbb{P}^1 \to \mathbb{P}^1$ , and taking the normalization of the fiber product, we have the following diagram:

$$S \stackrel{\pi}{\longleftarrow} \widetilde{S}$$

$$f \downarrow \qquad \qquad \downarrow \widetilde{f} \qquad ,$$

$$\mathbb{P}^{1} \stackrel{F}{\longleftarrow} \mathbb{P}^{1} \qquad (2.5)$$

where  $\pi$  is a purely inseparable finite morphism of degree p, and where  $\tilde{f} \colon \widetilde{S} \to \mathbb{P}^1$  is an elliptic surface without multiple fibers. Take a wild fiber  $pE_i$ , and set  $P_i = f(pE_i)$ . Let x be a local parameter at  $P_i$ , and let V be a small affine open neighborhood of  $P_i$  such that x - x(P) is a local parameter at any point P of V. We consider the invertible sheaf  $\mathcal{O}_S(E_i)|_{f^{-1}(V)}$  on  $f^{-1}(V)$ . Since  $pE_i$  is

linearly equivalent to zero on  $f^{-1}(V)$ , we see that  $\mathcal{O}_S(E_i)|_{f^{-1}(V)}$  is of order p. Take an affine open covering  $\{U_\lambda\}_{\lambda\in\Lambda}$  of  $f^{-1}(V)$ . Let  $x_\lambda$  be a local equation of  $E_i$  on  $U_\lambda(\lambda\in\Lambda)$ . Then, there exists a unit  $u_\lambda$  on  $U_\lambda$  such that

$$f^*(x) = u_{\lambda} x_{\lambda}^p$$
 on each  $U_{\lambda}$  (2.6)

The transition functions  $f_{\lambda\mu} = x_{\lambda}/x_{\mu}$  on  $U_{\lambda} \cap U_{\mu}$   $(\lambda, \mu \in \Lambda)$  give the invertible sheaf  $\mathcal{O}_S(E_i)|_{f^{-1}(V)}$ . Using

$$f_{\lambda\mu}^p = x_{\lambda}^p/x_{\mu}^p = (x/u_{\lambda})/(x/u_{\mu}) = u_{\mu}/u_{\lambda},$$

we can construct the non-trivial purely inseparable covering of  $f^{-1}(V)$  of degree p defined by

$$u_{\lambda} = z_{\lambda}^{p} \quad \text{on } U_{\lambda}, \qquad z_{\mu} = f_{\lambda\mu}z_{\lambda} \quad \text{on } U_{\lambda} \cap U_{\mu}.$$
 (2.7)

We denote this covering by  $\rho: \widetilde{f^{-1}(V)} \to f^{-1}(V)$ . Now, we consider a regular 1-form  $\omega$  on  $f^{-1}(V)$  defined by

$$\omega = du_{\lambda}/u_{\lambda}$$
 on  $U_{\lambda}$  ( $\lambda \in \Lambda$ ).

Since the cocycle  $\{f_{\lambda\mu}\}$  is non-trivial, we see that  $\omega$  is not zero. By (2.7), we have  $\rho^*\omega = 0$ . By (2.6), we have

$$\rho^* f^* (\mathrm{d} x/x) = \rho^* (\mathrm{d} u_\lambda/u_\lambda) = \rho^* \omega = 0.$$

Therefore, there exists an element y of the function field  $k(f^{-1}(V))$  such that  $\rho^*f^*(x)=y^p$ . This means that  $k(\mathbb{P}^1)=k(x)$  is not algebraically closed in  $k(f^{-1}(V))$ , and by the base change by the Frobenius morphism, the fiber product W is birationally equivalent to  $f^{-1}(V)$ :

$$f^{-1}(V) \stackrel{\theta}{\longleftarrow} W \stackrel{\eta}{\longleftarrow} \widetilde{f^{-1}(V)}$$

$$f \downarrow \qquad \qquad \downarrow g \qquad , \qquad (2.8)$$

$$V \stackrel{F}{\longleftarrow} V$$

where  $\rho = \theta \circ \eta$ . Set  $\tilde{f} = g \circ \eta$  and take the point  $\widetilde{Q}$  such that  $F(\widetilde{Q}) = Q$ . Since  $\mathcal{O}_S(E_i)|_{E_i}$  is of order p, the restriction of this covering  $\rho$ :  $\widehat{f^{-1}(V)} \to f^{-1}(V)$  on  $E_i$  is non-trivial. Therefore,  $\widehat{f^{-1}(V)}$  is non-singular on  $\widetilde{f}^{-1}(Q)$  (cf. [4, p. 312]), and  $\widetilde{f}^{-1}(Q)$  is a regular fiber. Since the fiber of f is an elliptic curve or a multiple elliptic curve, we get the diagram (2.5) by (2.8).

By Lemma 2.5, there exists an elliptic curve E such that  $\widetilde{S} \simeq E \times \mathbb{P}^1$  and such that  $\widetilde{f}$  is the second projection. Since  $\pi^{-1}(E_i) \simeq E$ , E must be an ordinary elliptic curve. We fix a non-zero regular multiplicative vector field  $\delta$  on E. Since

 $\pi$  is a purely inseparable finite morphism of degree p, there exists a p-closed divisorial vector field D on  $E \times \mathbb{P}^1$  such that  $S = (E \times \mathbb{P}^1)^D$  (cf. Rudakov and Shafarevich [5, Section 1]). Since D is divisorial, by the argument in Ganong and Russell [2, 3.7.1], we may assume that D is a non-zero p-closed vector field of the form

$$D = \delta + h(x)\frac{\partial}{\partial x},$$

where x is a local coordinate of an affine line in  $\mathbb{P}^1$ . We set  $\Delta = h(x) \frac{\partial}{\partial x}$ . Since D is p-closed and  $\delta$  is multiplicative, we conclude that D is multiplicative. Therefore,  $\Delta$  is multiplicative. Hence, by Lemma 2.2, the zero points of  $\Delta$  are simple. The rest is clear by Lemma 1.1.

COROLLARY 2.7. Let k be an algebraically closed field of characteristic 2, and let  $f: S \to \mathbb{P}^1$  be an elliptic surface of type (2, 2, ..., 2) over k. Then, the number of multiple fibers is even.

*Proof.* Under the notation similar to that in Theorem 2.6, the elliptic surface  $f: S \to \mathbb{P}^1$  is expressed as

$$f \colon S \simeq (E \times \mathbb{P}^1)^D \longrightarrow (\mathbb{P}^1)^\Delta \simeq \mathbb{P}^1,$$

where  $\Delta$  is a rational vector field on  $\mathbb{P}^1$  whose zero points are all simple. The number of zero points of  $\Delta$  is equal to the number of multiple fibers of  $f: S \longrightarrow \mathbb{P}^1$  by Theorem 2.3. Using a local coordinate x of an affine line in  $\mathbb{P}^1$ , we write  $\Delta$  as

$$\Delta = h(x)\frac{\partial}{\partial x},$$

where h(x) is a non-zero rational function on  $\mathbb{P}^1$ . Since  $\Delta^2 = \Delta$ , we have a differential equation in characteristic 2:

$$\frac{\partial}{\partial x}h(x) = 1.$$

Solving the differential equation in characteristic 2 on the affine line in  $\mathbb{P}^1$ , we have  $h(x) = x + g(x)^2$  with an arbitrary rational function g(x). Hence, the order of each pole of h(x) is even. Let y = 1/x be a local coordinate of the point at infinity. Then, we have

$$\frac{\partial}{\partial x} = -y^2 \frac{\partial}{\partial y} = y^2 \frac{\partial}{\partial y}.$$

Therefore the order of pole of  $\Delta$  at the point at infinity is also even. Hence, the sum of the orders of poles of  $\Delta$  is even. Since the degree of the divisor given by  $\Delta$  is equal to 2, we conclude that the number of zero points of  $\Delta$  is even.  $\Box$ 

#### 3. Characteristic 3

In this section, let k be an algebraically closed field of characteristic 3. Let E be an ordinary elliptic curve, and  $\delta$  a non-zero regular multiplicative vector field on E. We take two different points a, b of order two of E, and consider two translations  $T_a$  and  $T_b$  defined by a and b, respectively. Let x be a local coordinate of an affine line in  $\mathbb{P}^1$ . We set

$$\Delta = (x^3 - 1/x) \frac{\partial}{\partial x}.$$

Then, we have  $\Delta^3 = \Delta$  and  $\Delta$  has four simple zero points on  $\mathbb{P}^1$ :

$$\Omega = \{1, -1, \sqrt{-1}, -\sqrt{-1}\}.$$

We consider two automorphisms of  $\mathbb{P}^1$  defined by

$$\sigma'$$
:  $x \mapsto -x$ ,  $\tau'$ :  $x \mapsto 1/x$ .

These automorphisms are of order 2 and induce permutations of the elements of  $\Omega$ . The vector field  $\Delta$  is invariant under  $\sigma'$  and  $\tau'$ . We set

$$D = \delta + \Lambda$$

and

$$\sigma = T_a \times \sigma', \quad \tau = T_b \times \tau'.$$

Then, D is a multiplicative vector field on  $E \times \mathbb{P}^1$ , and  $\sigma, \tau$  are automorphisms of order 2 of  $E \times \mathbb{P}^1$  which have no fixed points on  $E \times \mathbb{P}^1$ .  $\sigma$  and  $\tau$  commute with each other, and D is invariant under  $\sigma, \tau$ . We set

$$S_1 = (E \times \mathbb{P}^1)^D, \qquad C_1 = (\mathbb{P}^1)^{\Delta} \quad (\simeq \mathbb{P}^1).$$

Then we have a diagram

$$S_{1} \xleftarrow{\pi_{1}} E \times \mathbb{P}^{1}$$

$$f_{1} \downarrow \qquad \qquad \downarrow pr \qquad ,$$

$$C_{1} \xleftarrow{F_{1}} \mathbb{P}^{1}$$

$$(3.1)$$

where  $\pi_1$  and  $F_1$  are natural morphisms, and where  $f_1$  is the morphism induced from the projection pr. We set

$$P_1 = F_1(1),$$
  $P_2 = F_1(-1),$   $P_3 = F_1(\sqrt{-1}),$ 

$$P_4 = F_1(-\sqrt{-1}), \qquad P_5 = F_1(0), \qquad P_6 = F_1(\infty).$$

Then,  $f_1$  has four tame multiple fibers

$$f_1^{-1}(P_i) = 3E_i$$
  $(i = 1, 2, 3, 4)$ 

with elliptic curves  $E_i$ . Automorphisms  $\sigma$  and  $\tau$  induce automorphisms  $\sigma_1$  and  $\tau_1$ , respectively, of order 2 of  $S_1$  which have no fixed points on  $S_1$ . Automorphisms  $\sigma'$  and  $\tau'$  induce automorphisms  $\sigma'_1$  and  $\tau'_1$ , respectively, of order 2 of  $C_1$ . We have

$$\tau_1(E_1) = E_1, \qquad \tau_1(E_2) = E_2, \qquad \tau_1(E_3) = E_4, \qquad \tau_1(E_4) = E_3,$$

$$\tau'_1(P_1) = P_1, \qquad \tau'_1(P_2) = P_2, \qquad \tau'_1(P_3) = P_4, \qquad \tau'_1(P_4) = P_3,$$

and

$$\sigma_1(E_1) = E_2,$$
  $\sigma_1(E_2) = E_1,$   $\sigma_1(E_3) = E_4,$   $\sigma_1(E_4) = E_3,$   $\sigma_1'(P_1) = P_2,$   $\sigma_1'(P_2) = P_1,$   $\sigma_1'(P_3) = P_4,$   $\sigma_1'(P_4) = P_3.$ 

The automorphisms  $\sigma_1'$  has two fixed points  $P_5$  and  $P_6$  on  $C_1$ . Automorphisms  $\sigma_1$  and  $\sigma_1$  commute with each other. We set

$$S_2 = S_1/\langle \sigma_1 \rangle, \qquad C_2 = C_1/\langle \sigma_1' \rangle \quad (\simeq \mathbb{P}^1).$$

Then, we have a diagram

$$S_{2} \stackrel{\pi_{2}}{\longleftarrow} S_{1}$$

$$f_{2} \downarrow \qquad \qquad \downarrow f_{1} , \qquad (3.2)$$

$$C_{2} \stackrel{F_{2}}{\longleftarrow} C_{1}$$

where morphisms  $\pi_2$ ,  $F_2$  and  $f_2$  are similar to those in (3.1). We have

$$F_2(P_1) = F_2(P_2)$$
 and  $F_2(P_3) = F_2(P_4)$ .

We set

$$Q_1 = F_2(P_1),$$
  $Q_3 = F_2(P_3),$   $Q_5 = F_2(P_5),$   $Q_6 = F_2(P_6).$ 

Then,  $f_2$  has four tame multiple fibers

$$f_2^{-1}(Q_1) = 3F_1,$$
  $f_2^{-1}(Q_3) = 3F_3,$   
 $f_2^{-1}(Q_5) = 2F_5,$   $f_2^{-1}(Q_6) = 2F_6.$ 

 $\tau_1$  (resp.  $\tau_1'$ ) induces an automorphism  $\tau_2$  (resp.  $\tau_2'$ ) of order 2 of  $S_2$  (resp.  $C_2$ ).  $\tau_2$  has no fixed points on  $S_2$ . We have

$$\tau_2(F_1) = F_1, \qquad \tau_2(F_3) = F_3, \qquad \tau_2(F_5) = F_6, \qquad \tau_2(F_6) = F_5,$$

$$\tau_2'(Q_1) = Q_1, \qquad \tau_2'(Q_3) = Q_3, \qquad \tau_2'(Q_5) = Q_6, \qquad \tau_2'(Q_6) = Q_5.$$

We set

$$S = S_2/\langle \tau_2 \rangle, \qquad C = C_2/\langle \tau_2' \rangle \quad (\simeq \mathbb{P}^1).$$

Then, we have a diagram

$$S \stackrel{\pi_3}{\longleftarrow} S_2$$

$$f \downarrow \qquad \qquad \downarrow f_2 \quad , \tag{3.3}$$

$$C \stackrel{F_3}{\longleftarrow} C_2$$

where morphisms  $\pi_3$ ,  $F_3$  and f are similar to those in (3.1). We have

$$F_3(Q_5) = F_3(Q_6).$$

We set

$$R_1 = F_3(Q_1), \qquad R_3 = F_3(Q_3), \qquad R_5 = F_3(Q_5).$$

Then, f has three tame multiple fibers:

$$f^{-1}(R_1) = 6G_1, f^{-1}(R_3) = 6G_3, f^{-1}(R_5) = 2G_5,$$

where  $G_1, G_3$  and  $G_5$  are ordinary elliptic curves. Hence, we have in characteristic 3 an elliptic surface of type (2,6,6). For this elliptic surface,  $|mK_S|$  gives a unique structure of the elliptic surface for any  $m \ge 14$ , and  $|13K_S|$  does not give the structure of elliptic surface. Hence, using results in [4, Section 5], we have the following theorem.

THEOREM 3.1. Let k be an algebraically closed field of characteristic 3. If S is an elliptic surface defined over k with  $\kappa(S)=1$ , then  $\Phi_{|mK_S|}$  gives a unique structure of elliptic surface for any  $m\geqslant 14$ . Moreover, the number 14 is best possible.

### 4. Characteristic 2

In this section, let k be an algebraically closed field of characteristic 2. First, we prove that there doesn't exist an elliptic surface of type (2, 6, 6) in characteristic 2.

Suppose there exists an elliptic surface  $f \colon S \to \mathbb{P}^1$  of type (2,6,6). We denote by  $2E_1,6E_2,6E_6$  the multiple fibers, and we set  $P_i = f(E_i)$  (i=1,2,3). We consider the invertible sheaf  $L = \mathcal{O}_S(2E_2 - 2E_3)$ . Since  $L^{\otimes 3} \simeq \mathcal{O}_S$ , we have an étale covering  $\widetilde{S}$  of S of degree 3 by a standard method. We denote by E the fiber on a general point P of  $\mathbb{P}^1$ . Since the restriction of E on E (resp.  $E_1$ ) is

trivial, we see that the étale covering restricted on E (resp.  $E_1$ ) splits into three pieces of E (resp.  $E_1$ ). Hence, taking the normalization of the base curve  $\mathbb{P}^1$  in the function field of  $\widetilde{S}$ , we have a diagram

$$S \stackrel{\pi}{\longleftarrow} \widetilde{S}$$

$$f \downarrow \qquad \qquad \downarrow \widetilde{f} ,$$

$$\mathbb{P}^1 \stackrel{\phi}{\longleftarrow} \mathbb{P}^1$$

where  $\pi$  is the étale covering of degree 3 which we constructed above, and where  $\phi$  is the morphism of degree 3 given by the normalization which ramifies only at  $P_2$  and  $P_3$ . Since  $\phi^{-1}(P_1)$  consists of three points, and  $\phi^{-1}(P_2)$  (resp.  $\phi^{-1}(P_3)$ ) consists of one point, the elliptic surface  $\tilde{f} \colon \widetilde{S} \to \mathbb{P}^1$  has five multiple fibers with multiplicity 2. Since  $2E_1$  (resp.  $6E_2$ , resp.  $6E_3$ ) is tame, the order of the normal bundle  $\mathcal{O}_S(E_1)|_{E_1}$  (resp.  $\mathcal{O}_S(E_2)|_{E_2}$ , resp.  $\mathcal{O}_S(E_3)|_{E_3}$ ) is two (resp. six, resp. six). Using these facts, we see that all multiple fibers of  $\tilde{f}$  are tame. Hence, the elliptic surface  $\tilde{f} \colon \widetilde{S} \to \mathbb{P}^1$  is of type (2,2,2,2,2). This contradicts Corollary 2.7. Hence, there doesn't exist an elliptic surface of type (2,6,6).

Now, we construct an elliptic surface of type (2,5,10) in characteristic 2. Let E be an ordinary elliptic curve, and  $\delta$  a non-zero regular multiplicative vector field on E. We take a point a of order 5 of E, and consider the translation  $T_a$  given by a. Let x be a local coordinate of an affine line in  $\mathbb{P}^1$ . We consider a rational vector field given by

$$\Delta = (x - 1/x^4) \frac{\partial}{\partial x}$$

on  $\mathbb{P}^1$ . We have  $\Delta^2 = \Delta$ . Let  $\zeta$  be a primitive fifth root of unity. We take the automorphism  $\sigma'$  of order 5 defined by

$$\sigma'$$
:  $x \longmapsto \zeta x$ .

Then,  $\Delta$  is invariant under  $\sigma'$ . We set

$$D = \delta + \Delta, \qquad \sigma = T_a \times \sigma'.$$

Then, D is a rational multiplicative vector field on  $E \times \mathbb{P}^1$ , and  $\sigma$  is an automorphism of order 5 of  $E \times \mathbb{P}^1$  which has no fixed points on  $E \times \mathbb{P}^1$ . D is invariant under  $\sigma$ . As in Section 3, we have an elliptic surface  $\tilde{f} \colon (E \times \mathbb{P}^1)^D \to (\mathbb{P}^1)^\Delta \simeq \mathbb{P}^1$  of type (2,2,2,2,2,2). On the elliptic surface, we have the automorphism  $\tilde{\sigma}$  of order 5 which is induced from  $\sigma$ . Then, taking the quotient by  $\langle \tilde{\sigma} \rangle$ , we have an elliptic surface

$$f \colon S \longrightarrow \mathbb{P}^1$$

of type (2,5,10). Since  $|mK_S|$  gives a unique structure of the elliptic surface for any  $m \geqslant 12$ , and  $|11K_S|$  doesn't give the structure of elliptic surface. Hence, by careful calculations as in [4, Section 5] in characteristic 2, we have the following theorem.

THEOREM 4.1. Let k be an algebraically closed field of characteristic 2. If S is an elliptic surface defined over k with  $\kappa(S)=1$ , then  $\Phi_{|mK_S|}$  gives a unique structure of elliptic surface for any  $m\geqslant 12$ . Moreover, the number 12 is best possible.

# 5. Examples of Multiple Fibers

In this section, let k be an algebraically closed field of characteristic p > 0. We give some examples of elliptic surfaces which have multiple fibers obtained by vector fields. We denote by x a local coordinate of an affine line in  $\mathbb{P}^1$ .

EXAMPLE 5.1. We fix a supersingular elliptic curve E, and a non-zero regular additive vector field  $\delta$  on E. Let  $\Delta$  be a rational vector field on  $\mathbb{P}^1$  given by

$$\Delta = x^{pn} \frac{\partial}{\partial x}$$

with a positve integer n. We set  $D = \delta + \Delta$ . This gives an additive vector field on  $E \times \mathbb{P}^1$ . We set  $S = (E \times \mathbb{P}^1)^D$  and  $C = (\mathbb{P}^1)^\Delta$ . C is isomorphic to  $\mathbb{P}^1$ , and we have a diagram

$$S \overset{\pi}{\longleftarrow} E \times \mathbb{P}^1 \\ \downarrow f \qquad \qquad \downarrow pr \\ \mathbb{P}^1 \overset{F}{\longleftarrow} \mathbb{P}^1$$

where F,  $\pi$  are natural morphisms, and where f is the morphism induced from the projection pr. The morphism F is nothing but the Frobenius morphism. We set  $P_0 = F(0)$ ,  $f^{-1}(P_0) = pG_0$  and  $pr^{-1}(\infty) = E_{\infty}$ . Then we have

$$(D) = -(pn-2)E_{\infty}.$$

The elliptic surface  $f: S \to \mathbb{P}^1$  has only one multiple fiber  $f^{-1}(P_0) = pG_0$ . Since  $G_0$  is a supersingular elliptic curve, the Picard variety of  $G_0$  is also supersingular, therefore, it has no points of order p. Therefore, the normal bundle  $\mathcal{O}_S(G_0)|_{G_0}$  is trivial. Hence,  $f^{-1}(P_0)$  is a wild fiber. The canonical divisor formula of S is given by a form

$$K_S \sim f^*((t-2)P) + aE_0$$

with non-negative integers t, a  $(0 \le a \le p-1)$  and a point P of  $\mathbb{P}^1$ . Let  $\widetilde{P}$  be the point of  $\mathbb{P}^1$  such that  $F(\widetilde{P}) = P$ . Since we have

$$K_{E \times \mathbb{P}^1} \sim \pi^* K_S + (p-1)(D)$$
 (5.1)

and

$$\pi^* K_S \sim pr^{-1}((t-2)p\tilde{P}) + a(pr^{-1}(0)),$$
 (5.2)

taking the degrees of both sides in (5.1) and (5.2), we have

$$-2 = p(t-2) + a - (p-1)(pn-2).$$

Therefore, we have

$$a = p(-t + (p-1)n). (5.3)$$

Since  $0 \le a \le p-1$ , we conclude

$$a = 0$$
 and  $t = (p - 1)n$ .

Hence, the canonical divisor of S is given by

$$K_S \sim f^{-1}(((p-1)n-2)P).$$

It is easy to see that if p=2 and n=1, then S is a ruled surface over an elliptic curve  $(\kappa(S)=-\infty)$ , if either p=2, n=2, or p=3, n=1, then S is a hyperelliptic surface  $(\kappa(S)=0)$ , and otherwise, S is an elliptic surface with  $\kappa(S)=1$  (see also [2]).

EXAMPLE 5.2. We give here an example of an elliptic surface with one tame fiber and one wild fiber. We fix an ordinary elliptic curve E, and a non-zero regular multiplicative vector field  $\delta$  on E. Let  $\Delta$  be a rational vector field on  $\mathbb{P}^1$  given by

$$\Delta = (x^p - x) \frac{\partial}{\partial x}.$$

It is easy to see that  $\Delta$  is multiplicative. We take a point a of order p of E, and consider the translation  $T_a$  given by a. We consider the automorphism of order p of  $\mathbb{P}^1$  defined by

$$\sigma'$$
:  $x \longmapsto x + 1$ .

We set  $D=\delta+\Delta$  and  $\sigma=T_a\times\sigma'$ . Then D is a p-closed rational vector field of  $\mathbb{P}^1\times E$ , and  $\sigma$  is an automorphism of order p of  $\mathbb{P}^1\times E$  which has no fixed points on  $\mathbb{P}^1\times E$ . D is invariant under  $\sigma$ . We set  $\widetilde{S}=(E\times\mathbb{P}^1)^D$  and  $\widetilde{C}=(\mathbb{P}^1)^\Delta$  ( $\simeq\mathbb{P}^1$ ). Then, the elliptic surface  $\widetilde{f}\colon\widetilde{S}\to\widetilde{C}$  has p tame multiple fibers with multiplicity p, where  $\widetilde{f}$  is the natural morphism. We denote the multiple fibers by  $p\widetilde{E}_i$  ( $i=1,2,\ldots,p$ ). The automorphism  $\sigma$  (resp.  $\widetilde{\sigma}'$ ) induces an automorphism  $\widetilde{\sigma}$  (resp.  $\widetilde{\sigma}'$ ) of order p of  $\widetilde{S}$ ;  $\widetilde{\sigma}$  is fixed-point-free. The group  $\langle\widetilde{\sigma}\rangle$  acts transitively on the set of multiple fibers. We set  $S=\widetilde{S}/\langle\widetilde{\sigma}\rangle$  and  $C=\widetilde{C}/\langle\widetilde{\sigma}'\rangle$ . Then, we have an elliptic surface  $f\colon S\to C\simeq\mathbb{P}^1$  and a diagram

$$S \stackrel{\pi}{\longleftarrow} \widetilde{S}$$

$$f \downarrow \qquad \qquad \downarrow \widetilde{f} ,$$

$$C \stackrel{\phi}{\longleftarrow} \widetilde{C}$$

where  $\phi$  and  $\pi$  are natural morphisms, and where  $\pi$  is an étale morphism of degree p. The elliptic surface  $f\colon S\to C\simeq \mathbb{P}^1$  has two multiple fibers of multiplicity p: one comes from multiple fibers of  $\tilde{f}$  which is tame, and the other comes from the fixed point of  $\tilde{\sigma}'$ . We denote by  $pE_0$  the former tame multiple fiber, and by  $pE_\infty$  the later multiple fiber. We take a point P of P0, and a point P1 of P2 such that P3 is given by

$$K_S \sim f^{-1}((t-2)P) + (p-1)E_0 + aE_{\infty}$$

with non-negative integers t,a  $(0\leqslant a\leqslant p-1)$ . We set  $P_{\infty}=\tilde{f}(E_{\infty})$ , and denote by  $\widetilde{P}_{\infty}$  the unique point of  $\widetilde{C}$  such that  $\phi(\widetilde{P}_{\infty})=P_{\infty}$ . We set  $\widetilde{E}_{\infty}=\tilde{f}^{-1}(\widetilde{P}_{\infty})$ . This fiber is a regular fiber. Since  $\pi$  is étale, we have  $K_{\widetilde{S}}\sim\pi^*K_S$ . Therefore, by the canonical divisor formula, we have

$$\tilde{f}^{-1}(-2\tilde{P}) + (p-1)\tilde{E}_1 + \ldots + (p-1)\tilde{E}_p$$

$$\sim \tilde{f}^{-1}((t-2)pP) + (p-1)\tilde{E}_1 + \ldots + (p-1)\tilde{E}_p + a\tilde{E}_{\infty}.$$

Therefore, taking the degrees of both sides, we have

$$-2 = (t-2)p + a.$$

Since  $0 \le a \le p-1$ , we have

$$a = p - 2$$
 and  $t = 1$ .

Hence, we have the canonical divisor formula

$$K_S \sim f^{-1}(-P) + (p-1)E_0 + (p-2)E_{\infty}.$$

This gives an example of an elliptic surface which has one tame fiber and one wild fiber. Incidently, if p=2, then S is a ruled surface over an elliptic curve  $(\kappa(S)=-\infty)$ , if p=3, then S is a hyperelliptic surface  $(\kappa(S)=0, 3K_S\sim 0)$ , and otherwise, S is an elliptic surface with  $\kappa(S)=1$ .

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