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S. JANECKO

T. MOSTOWSKI

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# Relative generic singularities of the Exponential Map

S. JANECZKO<sup>1</sup> and T. MOSTOWSKI<sup>2</sup>

<sup>1</sup>Mathematical Institute, Polish Academy of Sciences, Warsaw, Poland,  
and Institute of Mathematics, Warsaw University of Technology,  
Pl. Politechniki 1, 00661 Warsaw, Poland

<sup>2</sup>Institute of Mathematics, University of Warsaw, ul. Banacha 2, Warsaw, Poland

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**Abstract.** We investigate generic properties of the Exponential Map defined as  $\text{Exp}(v) = h_1^v$ , for a vector field  $v \in \Gamma(g)$  (where  $\Gamma(g)$  denotes the Lipschitz sections of a subsheaf  $g$  of vector subspaces of the sheaf of all smooth vector fields on a smooth manifold  $M$  and  $h_t^v$  is the flow generated by  $v$ ). We study restrictions of  $\text{Exp}$  to a suitable class of germs of submanifolds of  $M$ , and find necessary and sufficient conditions for a subsheaf  $h \subset g$  such that for a generic vector field  $v \in \Gamma(h)$  the singularities of the flow of  $v$  arise as singularities of the flow of a generic vector field belonging to  $\Gamma(g)$ . Applications of these results to Riemannian and sub-Riemannian geometry are presented and the context is chosen to include a theorem of A. Weinstein concerning the Riemannian Exponential Map.

## 1. Introduction

The main motivation of this paper lies in understanding the theorem of A. Weinstein [12]; in fact, the paper is just a slight generalization, with an easy direct proof, of that theorem. For a smooth  $n$ -dimensional manifold  $X$ , we consider the space  $\mathcal{G}$  of all smooth complete Riemannian metrics on  $X$ , endowed with  $C^\infty$ -Whitney topology. For each  $g \in \mathcal{G}$  and  $q \in X$ ,  $\exp(g)|_q: T_q X \rightarrow X$  is the smooth map called the classical exponential map. To each  $v \in T_q X$  it assigns the end point of the unique geodesic curve  $\gamma: [0, 1] \rightarrow X$ ,  $\gamma(0) = q$ ,  $\dot{\gamma}(0) = v$ . Let us write  $g_{ij}(q) = \langle \frac{\partial}{\partial q_i}|_q, \frac{\partial}{\partial q_j}|_q \rangle$  for the entries of the matrix of the metric  $g$  and  $g^{ij}(q)$  for the inverse matrix of  $g_{ij}(q)$  then the function  $H(q, p) = \frac{1}{2} \sum_{i,j=1}^n g^{ij}(q) p_i p_j$  on  $T^* X$ , defines a Hamiltonian vector field whose trajectories in  $T^* X$  project onto geodesics in  $X$  (cf. [2]). The exponential map is a Lagrangian map, i.e.  $\text{Exp}(g)_q = \pi_X \circ h_1^{vH}|_{T_q X}$ , where  $h_t^{vH}$  is the flow of the Hamiltonian vector field defined by  $H$  and  $h_i^{vH}|_{T_q X}$  is a Lagrangian immersion (cf. [1]). Recall that any germ of a Lagrangian immersion can be obtained in the above way by taking for  $H$  a suitable function on  $T^* X$ , (not necessarily quadratic with respect to  $p$ ). By  $\mathfrak{h}$  we denote the space of quadratic Hamiltonians, and by  $\mathfrak{g}$  the space of all smooth Hamiltonians. It was stated by [12] (cf. [11]) that for a generic metric on  $X$ , i.e. for a generic  $H \in \mathfrak{h}$  the map  $\text{Exp}(g)|_q$  has only the singularities which are generic

for Lagrangian maps, i.e. for a generic Hamiltonian  $H \in \mathfrak{g}$ . Now we consider the problem in a more general way.

Let  $g$  be a subsheaf of vector subspaces of the sheaf of all smooth vector fields on a smooth manifold  $M$ . There are two natural questions to ask.

- (1) Does  $g$  admit a subsheaf  $h \subset g$  (we call it accessible) such that for a generic  $v \in \Gamma(h)$  (where  $\Gamma(h)$  denotes the Lipschitz sections of  $h$ ) the singularities of the flow of  $v$  are the same as the singularities of the flow of a generic vector field  $w \in \Gamma(g)$ .
- (2) What are necessary and sufficient conditions for the existence of such pairs  $g, h$ ?

In attempting to answer these questions we define the Exp-map as  $\text{Exp}(v) = h_1^v$  for  $v \in \Gamma(g)$ , where  $h_t^v$  is the flow generated by  $v$ , and we study the restrictions of Exp to a suitable class of germs of submanifolds  $W$ . We impose some rather natural restrictions on  $g$ , e.g. we say assume that any vector field on  $X$ , which is a “piecewise section of  $g$ ” can be approximated by sections of  $g$ . Then we find necessary and sufficient conditions, which answer our second question.

The paper is organized in three sections. In section 2 we formulate the problem and describe the assumed properties of the sheaf  $g$ . Then we give examples of sheaves satisfying these properties: the sheaf of all smooth vector fields, the sheaf of Hamiltonian vector fields and the sheaf of Hamiltonian vector fields with quadratic Hamiltonians. Section 3 contains the main results. We prove that the image of the  $r$ -jet of the Exp-map

$$E^r: \Gamma(g) \times W \rightarrow J^r(W, X)$$

is a submersive submanifold, and that a subspace  $h$  of  $g$  is accessible if and only if  $E^r|_{\Gamma^*(h) \times W}$  is a submersion. The necessary condition ( $\alpha$ -property) and the sufficient condition ( $\beta$ -property) for  $E^r|_{\Gamma^*(h) \times W}$  to be a submersive map are found using the perturbation technique for the differential equation  $\dot{x} = v(x)$ . The last section of the paper contains applications to the Riemannian and sub-Riemannian cases, which were most interesting to us. As a consequence, a shorter proof of the standard genericity theorem for the Exp-map on a Riemannian manifold is presented (cf. [12, 5]) and an obstruction to the genericity of the Exp-map regarded as a family of Lagrangian maps is indicated. Analogous genericity results are obtained for sub-Riemannian Hamiltonians. In that case the image of the Exp-map is an isotropic submanifold and the generic properties of the sub-Riemannian Exp-map are reduced to those of isotropic submanifolds in the cotangent bundle.

## 2. Formulation of the problem

Let  $M$  be a locally trivial fiber bundle over  $X$ ,  $\pi: M \rightarrow X$ . Let  $g$  be a subsheaf of vector subspaces of the sheaf of all smooth vector fields,  $g \subset \Xi(M)$  on  $M$ . By

$\Gamma(g)$  we denote the space of Lipschitz sections of  $g$  over  $M$ . Let  $v \in \Gamma(g)$  and let  $t \rightarrow h_t^v: M \rightarrow M$  be a flow on  $M$  generated by  $v$ . Suppose that at each point  $x \in M$  we are given a space of germs  $\mathcal{M}_x$  of a class of submanifolds of  $M$  through  $x$ .

Let

$$\mathcal{M} = \bigcup_{x \in M} \mathcal{M}_x.$$

We shall assume that for every  $v \in \Gamma(g)$  and  $W_x \in \mathcal{M}_x$ ,  $h_t^v(W_x) \in \mathcal{M}_{h_t^v(x)}$ .

Let  $W \in \mathcal{M}$ , we define the Exp-map in the following way ([6]);

$$\text{Exp}_v: W \rightarrow X, \quad \text{Exp}_v = \pi \circ h_1^v|_W. \tag{1}$$

By  $J^r = J^r(W, X)$  we denote the space of  $r$ -jets of smooth mappings  $W \rightarrow X$ . Let  $\pi_r: J^r \rightarrow X$  denote the canonical projection onto the image space of the mapping. We have a natural map

$$E^r: \Gamma(g) \times W \rightarrow J^r(W, X),$$

we write also

$$E = E^r: \Gamma(g) \rightarrow C^\infty(W, J^r), \quad E^r(v); W \rightarrow J^r(W, X),$$

where  $E^r(v)$  is the  $r$ -jet extension of  $\text{Exp}_v$ .

Let  $h$  be a sheaf of vector subspaces of  $g$ . Let  $A^r$  be a submanifold of the jet space  $J^r(W, X)$ .

**DEFINITION 2.1.** We say that  $A^r \subset J^r(W, X)$  is *typical for  $E^r$*  if there is a residual subset  $\Gamma'(g)$  of  $\Gamma(g)$  such that for every  $v \in \Gamma'(g)$  the corresponding jet-extension  $E^r(v)$  is transversal to  $A^r$ .

In what follows we are interested in finding the subspaces of  $g$  which retain the typicality property for  $E^r$ .

**DEFINITION 2.2.** We say that the subsheaf  $h \subset g$  is *accessible* if for every submanifold  $A^r$ , which is typical for  $E^r$ , there exists an open and dense subset  $\Gamma'(h)$  in  $\Gamma(h)$ , such that for every  $w \in \Gamma'(h)$  the corresponding jet extension  $E^r(w)$  is transversal to  $A^r$ .

In what follows we fix  $x_0 \in M$  with  $\pi(x_0) = 0 \in X \cong \mathbb{R}^n$ . Let  $W = W_{x_0} \in \mathcal{M}_{x_0}$ . We denote

$$J^* = \pi_r^{-1}(\mathbb{R}^n - \{0\}), \quad J_g^* = E^r(\Gamma(g))(W) \cap J^*;$$

clearly  $\Gamma^*(g) = \{v \in \Gamma(g); v(x_0) \neq 0\}$ , is an open subset of  $\Gamma(g)$ .

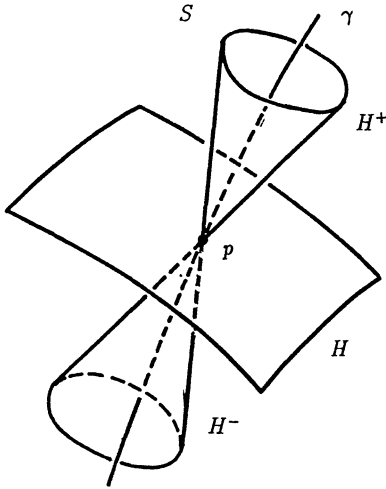


Fig. 1.

2.1. PROPERTIES OF THE SHEAF  $g$

By  $g_{x_0}$  we denote the space of germs at  $x_0$  of the sheaf  $g$ . Without loss of generality we introduce two important assumptions which have to be satisfied by our sheaf  $g$ .

PROPERTY 2.3. *If  $w \in g_{x_0}$  and  $v \in \Gamma(g)$ , then*

$$(h_t^v)_* w \in g_{h_t^v(x_0)};$$

*it follows that  $h_1^v$  induces an isomorphism  $(h_1^v)_*: g_{x_0} \rightarrow g_{x_1}$ , and  $x_1 = h_1^v(x_0)$ .*

Let  $v \in \Gamma^*(g)$ ; by  $\gamma$  we denote the integral curve of  $v$  starting at  $x_0$ .

PROPERTY 2.4. *Let us take a point  $p$  on the curve  $\gamma$  and a section  $w$  of  $g$  defined in a neighbourhood of  $p$  such that  $j_p^r w = 0$ . Then we assume that there exist:*

1. *a hypersurface  $H$ , separating  $M$  into two half-spaces  $H^+$  and  $H^-$ , (as illustrated in Figure 1) transversal to  $\gamma$  at the point  $p \in H \cap \gamma$ , and*
2. *a family of vector fields  $P_\epsilon(v, w) \in \Gamma^*(g)$ , parametrized by  $\epsilon \neq 0$ , depending linearly on  $w$  with the following property*

$$P_\epsilon(v, w) \xrightarrow{\epsilon \rightarrow 0} \begin{cases} v & \text{on } H^- \\ v + w & \text{on } H^+ \end{cases}.$$

*$P_\epsilon$  converges uniformly together with its derivatives up to order  $r$ , outside an open neighbourhood  $U$  of  $p$  and in a cone-like neighbourhood  $S$  of the curve  $\gamma$ ,*

$$S = \{x \in M; d(x, \gamma) < Cd(x, p)\}$$

for some positive constant  $C$ , and a metric  $d(\cdot, \cdot)$  on  $M$ .

One can briefly state this assumption as follows:

Every vector field on  $M$ , which is a “piecewise section of  $g$ ” can be approximated by sections of  $g$ .

Unless otherwise stated, in what follows, we assume both of these properties hold for our sheaf  $g$ .

Now we show how these assumptions work in some special situations.

**EXAMPLE 2.5.** Let  $g$  be the sheaf of all smooth vector fields on  $M$ . Then we can simply define

$$P_\epsilon(v, w) = v + \varphi_\epsilon w,$$

where  $\varphi_\epsilon$  is a smooth function on  $M$ , such that

$$|D^a \varphi_\epsilon| \leq \frac{C_a}{\epsilon^a},$$

vanishing on  $H^-$ , varying on the strip of distance  $\epsilon$  from  $H$  and equal 1 on the rest of  $H^+$ .

**EXAMPLE 2.6.** Let  $g$  be the sheaf of all Hamiltonian vector fields on  $M = (T^*X, \omega_X)$ , where  $\omega_X$  is the Liouville symplectic form on the cotangent bundle  $T^*X$ . Let  $v, w$  be Hamiltonian vector fields with Hamiltonians  $H, K$  respectively, i.e.  $\omega_X(v, \bullet) = -dH, \omega_X(w, \bullet) = -dK$ . Let us denote the above correspondence of 1-forms and vector fields by  $J$ . We put

$$P_\epsilon(v, w) = Jd(H + \varphi_\epsilon K),$$

where  $\varphi_\epsilon$  is defined as in Example 2.5.

**EXAMPLE 2.7.** Let  $g$  be the sheaf of Hamiltonian vector fields with Hamiltonians quadratic with respect to  $p: H(q, p) = \sum_{i,j} g^{ij}(q)p_i p_j$  ( $(q, p)$  denote the standard Darboux coordinates on  $T^*X$ ). Then the hypersurface  $\mathcal{H}$  is defined by a smooth function  $L$  on  $X, \mathcal{H} = \{(q, p): L(q) = 0\}$ , and the function  $\varphi_\epsilon$  depends only on  $q$ .

### 3. A transversality theorem

We start with a description of the image space of  $(\text{Exp})_*$ . Let  $g_x^{(r+1)}$  be the space of germs at  $x$  of vector fields in  $g$  vanishing at  $x$  together with all derivatives up to order  $r$ . By  $J^r g_x$  we denote the jet-space of vector fields

$$J^r g_x = \frac{g_x}{g_x^{(r+1)}}.$$

By  $\pi^*g$  we shall denote the sheaf of  $TX$ -valued vector fields on  $M$  along  $\pi$ ; i.e. the fields of the form

$$x \rightarrow \pi_*v(x),$$

where  $v$  is a section of  $g$ .

PROPOSITION 3.1.

$J_g^*$  is an immersive submanifold of  $J^r$

and the tangent space  $T_zJ_g^*$  can be identified with

$$\{j_{x_1}^r w; w \in \pi^*g_{x_1}|_{W_{x_1}}\},$$

where  $j_{x_1}^r w$  is the  $r$ -jet of  $w$  and  $z$  is equal to the  $r$ -jet  $E^r(v)$ .

*Proof.* As we remarked in Section 2

$$J_g^* = E^r(\Gamma^*(g))(W) \cap J^r.$$

We will show that  $(E^r)_*$  has constant rank on  $\Gamma^*(g)$ .

Let  $\xi \in \Gamma(g)$  and let  $t \rightarrow v + t\xi$  be a line in  $\Gamma(g)$ . Consider the curve  $\gamma: t \rightarrow E^r(v + t\xi) \in C^\infty(W, J^r)$ . The tangent vector to  $\gamma$  can be thought of as

$$\left. \frac{d}{dt} E^r(v + t\xi) \right|_{t=0} = j^r \left. \frac{d}{dt} \pi_*(y(x, 1, t)) \right|_{t=0} = j^r \pi_*(u(x, 1)),$$

where  $y(x, s, t)$  is a solution of the equation (with parameter  $t$ )

$$\partial_s y(x, s, t) = (v + t\xi)(y(x, s, t)). \tag{2}$$

We can view  $y$  as a perturbation of the solution of the equation  $\dot{x} = v(x)$ . Thus we can write  $y$  in the form

$$y(x, s, t) = y_0(x, s) + tu(x, s) + o(t).$$

From (2) we see that  $u(x, s)$  satisfies the equation

$$\partial_s u(x, s) = Dv(y_0(x, s))u(x, s) + \xi(y_0(x, s)), \tag{3}$$

where  $y_0(x, s)$  is a solution of the equation

$$\partial_s y_0(x, s) = v(y_0(x, s)). \tag{4}$$

We can also write  $h_t^v(x) = y_0(x, t)$ .

By Property 2.3 we have the following result.

LEMMA 3.2. *The linear part of the perturbation  $y$  is given by*

$$u(x, 1) = \int_0^1 (h_{1-t}^v)_* \xi(h_t^v(x)) dt \in g_{x_1}, \tag{5}$$

*Proof.* We write

$$u(x, s) = \int_0^s (h_{s-t}^v)_* \xi(h_t^v(x)) dt. \tag{6}$$

Obviously (6) satisfies equation (3):

$$\begin{aligned} \partial_s u(x, s) &= \xi(h_s^v(x)) + \int_0^s Dv(h_{s-t}^v(x))(h_{s-t}^v)_* \xi(h_t^v(x)) dt \\ &= \xi(h_s^v(x)) + \int_0^s \left( \frac{\partial}{\partial s} h_s^v \right)_* (h_{-t}^v)_* \xi(h_t^v(x)) dt \\ &= \xi(h_s^v(x)) + Dv(h_s^v(x)) \int_0^s (h_s^v)_* (h_{-t}^v)_* \xi(h_t^v(x)) dt, \end{aligned}$$

where

$$\int_0^s (h_s^v)_* (h_{-t}^v)_* \xi(h_t^v(x)) dt = u(x, s). \tag{7}$$

Q.E.D.

Now we prove that  $T_z J_g^* \hookrightarrow J^r g$ . Indeed by (7) we can approximate  $u \in T_z J^* g$  by Riemann sums: each summand

$$(h_{-(1-t)}^v)_* u(x, 1) = \xi(h_t^v(x)) \tag{8}$$

belongs, by Property 2.3, to  $J^r g_{x_1}$ .  $J^r g_{x_1}$  is a vector subspace of the (finite dimensional) vector space of all jets of vector fields and therefore closed.

To prove that  $J^r g \hookrightarrow T_z J_g^*$  we have to show that for every  $u$  there exists a  $\xi$  such that (6) is satisfied. This will follow from the fact that  $g$  has, by assumption, Property 2.4. First, we can assume that  $u \in g_{x_1}^{(r)}$ . We choose a suitable hypersurface  $H$  (cf. Property 2.4) intersecting transversally the trajectory  $\gamma$  of  $v$  starting at  $x_0$ . Then apply Property 2.4 putting  $w = (h_{-\delta}^v)_* u$ , and  $p = h_{1-\delta}^v(x_0)$  (cf. Figure 1). Let us denote by  $\xi_0$  the vector field equal to  $v$  over  $H^-$  and  $v + w$  over  $H^+$ .  $\xi_0$  induces a flow  $h_t^{\xi_0}$  which gives a smooth  $h_1^{\xi_0}$  in a neighbourhood of  $x_0$  and let  $E^r(\xi_0)$  be its  $r$ -jet at  $x_0$ . By Property 2.4 we have an approximating family  $P_\epsilon$  for which, for sufficiently small  $\epsilon$ , we have

$$E^r(P_\epsilon(v, w)) = E^r(v) + \xi + o(|\xi|) + A_\epsilon,$$



(for more details see the proof of Theorem 3.8), where  $A_\epsilon \xrightarrow{\epsilon \rightarrow 0} 0$  uniformly. Since  $\dim J^r g_x$  is independent of  $x$ , then  $T_z J_g^*$  is independent of  $z$ , so  $J_g^*$  is an immersive submanifold. Q.E.D.

REMARK 3.3. We conjecture that the space  $J_g^*$  is a submanifold of  $J(W, X)$ .

The following corollary follows from the above proof.

COROLLARY 3.4. The mapping  $E^r: \Gamma^*(g) \times W \rightarrow J_g^*$  is a submersion.

Let  $h \subset g$ , be a subspace of  $g$ . We introduce the following space of Lipschitz sections of  $h$

$$\Gamma^*(h) = \{v \in \Gamma(h): v(x_0) \neq 0\}.$$

PROPOSITION 3.5. A subspace  $h$  of  $g$  is an accessible subspace of the space  $g$  if and only if

$$E^r|_{\Gamma^*(h) \times W}: \Gamma^*(h) \times W \rightarrow J_g^*$$

is a submersion.

*Proof.* First we prove that “only if” part. Let  $E^r|_{\Gamma^*(h) \times W}$  be a submersion and let  $A^r \subset J^r$  be a typical submanifold for  $E^r$ . Then by Thom-Abraham transversality theorem (cf. [6]), there exists an open and dense subset  $\mathcal{A} \subset \Gamma^*(h)$  such that for every  $a \in \mathcal{A}$  the mapping  $E^r(a): W \rightarrow J_g^*$ , is transversal to  $A^r$ . Thus the subspace  $h$  is accessible. To prove the “if” part, we note that the accessibility of  $h$  implies transversality of  $E^r$  to an arbitrary point from  $J_g^*$ . But this is exactly the submersivity of  $E^r|_{\Gamma^*(h) \times W}$ . Q.E.D.

REMARK 3.6. If  $E^r|_{\Gamma^*(h) \times W}$  is a submersion then there exists a finite dimensional subspace  $h_0$  of  $h$ , such that if  $v \in \Gamma^*(h)$  and  $t \rightarrow E^r(tv) \in J_g^*$  is a curve, then for every  $t \neq 0$  we have

$$T_{E^r(tv)J_g^*} = \text{Im}(E^r|_{\Gamma^*(tv+h_0)})_*|_{E^r(tv)}. \tag{9}$$

So the mapping  $E^r: \Gamma^*(tv + h_0) \rightarrow J_g^*$  is a submersion.

Let  $v, \xi \in \Gamma^*(g)$ . We introduce the following iterated bracket of  $v$  and  $\xi$ :

$$[v, \xi]_i = \underbrace{[v, [\dots, [v, \xi], \dots, ]]}_{i \times} \in \Gamma^*(g), \tag{10}$$

where  $[v, \xi]_0 = \xi, [v, \xi]_1 = [v, \xi]$ .

Let  $h$  be a subsheaf of  $g$ .

DEFINITION 3.7. We say that  $h$  satisfies the  $\alpha$ -property if for almost all  $v \in h$ , for every  $w \in g_{x_0}^{(k)}$ ,  $k \leq r$ ,  $x_0 \in M$ , and every  $W_{x_0} \in \mathcal{M}$  there exists an  $l \in \mathbb{N} \cup \{0\}$  and germs of vector fields  $\xi_0, \xi_1, \dots, \xi_l \in h_{x_0}$ , such that

$$\pi_*([v, \xi_0]_j + [v, \xi_1]_{j-1} + \dots + \xi_j)|_{W_{x_0}} \in \pi^*(g)_{x_0}^{(k+1)}|_{W_{x_0}} \tag{\alpha_j}$$

$$\left( \text{i.e. } j_{x_0}^k \left( \pi_* \sum_{i=0}^j [v, \xi_i]_{j-i}|_{W_{x_0}} \right) = 0 \right), \quad \text{for } j = 0, \dots, l - 1,$$

and

$$\pi_*([v, \xi_0]_l + [v, \xi_1]_{l-1} + \dots + \xi_l - w)|_{W_{x_0}} \in \pi^*(g)_{x_0}^{(k+1)}|_{W_{x_0}} \tag{\alpha_l}$$

$$\left( \text{i.e. } j_{x_0}^k \left( \pi_* \sum_{i=0}^l [v, \xi_i]_{l-i}|_{W_{x_0}} \right) = j_{x_0}^k(\pi_* w|_{W_{x_0}}) \right).$$

By  $\pi^*(g)_{x_0}^{(k+1)}$  we denote the space, defined by  $g$ , of germs of sections of the induced bundle  $\pi^*TX$ , with zero  $k$ -jet at  $x_0$ .

THEOREM 3.8. *Let  $g$  be the sheaf of analytic vector fields. Let  $E^r|_{\Gamma^*(h) \times \mathcal{M}}$  be a submersive map. Then  $h$  satisfies the  $\alpha$ -property.*

*Proof.* We know that for some finite dimensional subspace  $h_0$ ,  $h_0 \subset h$ ,  $E^r|_{\Gamma^*(tv+h_0) \times \mathcal{M}}$  is submersive. Let  $B_0$  be a closed ball in  $h_0$ . Then  $E^r(tv + B_0)$  contains some neighbourhood of  $E^r(tv)$ . Making use of the assumption of analyticity of  $g$  we have that  $E^r(tv + B_0)$  is an analytic subset of  $J_g^*$ . Thus we immediately obtain that (see [7]) there exists an  $N \in \mathbb{N}$  such that for every  $w \in \Gamma^*(g)$

$$E^r(t(v + t^{N-1}w)) \in E^r(tv + B_0). \tag{11}$$

Thus for every  $t$  there exists  $\xi \in B_0$  such that

$$E^r(t(v + t^{N-1}w)) = E^r(t(v + \xi)). \tag{12}$$

Using Puiseux theorem we can assume that  $\xi$  is a convergent fractional power series:

$$\xi = \xi(t^{1/m})$$

(depending also on  $w$ ).

We notice that  $E^r(t(v + \xi))$  is the  $r$ -jet at  $x_0$  of the mapping  $\pi(z(x, 1, t))$ , where  $z(x, s, t)$  satisfies the equation

$$\partial_s z(x, s, t) = [t(v + \xi)](z(x, s, t)). \tag{13}$$

Let us denote  $u(x, t) = z(x, 1, t)$ . Inserting  $\bar{s} = st$  into (13) we find that  $u(x, \bar{s})$  (which obviously depends also on  $\xi$ ), satisfies

$$\partial_{\bar{s}} u(x, \bar{s}) = (v + \xi)(u(x, \bar{s})); \quad (14)$$

thus concluding, we see that:  $E^r(t(v + \xi))$  is the  $r$ -jet at  $x_0$  of  $u(x, t)$ , where  $u(x, \bar{s})$  is the solution of (14).

Let us look on (14) as a perturbation of the equation

$$\partial_{\bar{s}} y_0(x, \bar{s}) = v(y_0(x, \bar{s})),$$

which is clearly satisfied by  $y_0(x, \bar{s}) = h_{\bar{s}}^v(x)$ . We linearize (14); it is easy to see that the linear (with respect to  $\xi$ ) term  $y = y_{\xi}(x, \bar{s})$  satisfies

$$\partial_{\bar{s}} y = Dv(y_0)y + \xi(\bar{s}, y_0), \quad (15)$$

where  $u(x, \bar{s}) = y_0(x, \bar{s}) + y_{\xi}(x, \bar{s}) + \{\text{terms of order } \geq 2 \text{ with respect to } \xi\}$  and  $y(x, 0) \equiv 0$ ,  $y_0(x, 0) = x$ .

Now we expand  $\xi$  with respect to  $\bar{s}$

$$\xi = \xi_0 + \xi_1 \bar{s} + \dots,$$

where  $\xi_i = \xi_i(x)$ . Then from (15) the  $r$ -jet (with respect to  $x$ ) of the linear term of the expansion of  $y$  with respect to  $\bar{s} = t$  is

$$t j_{x_0}^r \xi_0(x).$$

That is just the right hand side of the equation (12). If  $j_{x_0}^r \xi_0(x) \neq 0$  then similar arguments applied to the left hand side of (12) give the equality

$$t^N j_{x_0}^r w = t j_{x_0}^r \xi_0. \quad (16)$$

So the  $\alpha$ -property is satisfied for  $k = r$  and  $l = 0$ , if we put  $N = 1$ .

If  $j_{x_0}^r \xi_0 = 0$ , then we have to consider the second term of the expansion of  $y$  with respect to  $\bar{s}$ . To do so we differentiate both sides of (15) with respect to  $\bar{s}$ , and put  $\bar{s} = t = 0$ .

Now we have

$$\partial_{\bar{s}}^2 y|_{\bar{s}=0} = D\xi_0(x)v(x) + \xi_1(x). \quad (17)$$

Since  $j_{x_0}^r \xi_0 = 0$ ,  $j_{x_0}^r [v, \xi_0] = j_{x_0}^r D\xi_0 v$ , so we get

$$j_{x_0}^r \partial_{\bar{s}}^2 y|_{\bar{s}=0} = j_{x_0}^r ([v, \xi_0] + \xi_1). \quad (18)$$

and

$$j_{x_0}^r y(x, t) = \left(\frac{t^2}{2}\right) j_{x_0}^r([v, \xi_0] + \xi_1) + o(t^2). \tag{19}$$

Comparing both sides of (12) we obtain the  $\alpha$ -property satisfied for  $k = r$  and  $l = 1$ , provided  $j_{x_0}^r([v, \xi_0] + \xi_1) \neq 0$ .

If  $j_{x_0}^r([v, \xi_0] + \xi_1) = 0$  then we continue the above procedure. Finally we arrive at the following statement:

Let  $l \in \mathbb{N}$  be the smallest number for which

$$j_{x_0}^r \left( \sum_{i=0}^l [v, \xi_i]_{l-i} \right) \neq 0.$$

Then

$$j_{x_0}^r y(x_0, t) = \left(\frac{t^l}{l!}\right) j_{x_0}^r \left( \sum_{i=0}^l [v, \xi_i]_{l-i} \right) + o(t^l).$$

Passing to vector fields along  $\pi$  we see that this statement ends the proof of Theorem 3.8, i.e. we have got that the  $\alpha$ -property is a necessary condition for the submersivity of  $E^r|_{\Gamma^*(h) \times \mathcal{M}}$ . Q.E.D.

Now we are going to state the corresponding sufficient condition. For this purpose we assume: at each fiber  $h_x$  of  $h$ , equipped with the inverse limit topology ( $h_x = \varprojlim_{U \ni x} h_U$ , where  $U$  denotes an open neighbourhood of  $x$ ), there is a distinguished open set  $h_x^0 \subset h_x$ . We take  $v$  to be a Lipschitz section of  $h^0$  and write  $v \in \Gamma(h^0)$ , i.e. for any  $x \in M$ ,  $v(x) \in h_x^0$ .

**DEFINITION 3.9.** We say that  $h$  satisfies the  $\beta$ -property if for every  $v \in \Gamma(h^0)$ , for every  $w \in g_{x_0}^{(k)}$ ,  $k \leq r$ ,  $x_0 \in M$ , and every  $W_{x_0} \in \mathcal{M}$  there exists an  $l \in \mathbb{N}$  and the germ of a vector field  $\xi \in h_{x_0}^0$ , such that

$$\pi_*([v, \xi]_j|_{W_{x_0}}) \in \pi^*(g)_{x_0}^{(k+1)}|_{W_{x_0}} \tag{\beta_j}$$

(i.e.  $j_{x_0}^k(\pi_*([v, \xi]_j|_{W_{x_0}})) = 0$ ), for  $j = 0, \dots, l - 1$ ,

and

$$\pi_*([v, \xi]_{l-w}|_{W_{x_0}}) \in \pi^*(g)_{x_0}^{(k+1)}|_{W_{x_0}} \tag{\beta_l}$$

(i.e.  $j_{x_0}^k(\pi_*([v, \xi]_{l-w}|_{W_{x_0}})) = 0$ ).

**THEOREM 3.10.** *Let a subsheaf  $h$  of  $g$  satisfy the  $(\beta)$ -property. Then  $E^r|_{\Gamma^*(h) \times \mathcal{M}}$  is a submersion.*

*Proof.* Let  $v \in \Gamma^*(h)$ , and  $h_t^v$  be the flow corresponding to  $v$ . We denote

$$g_{x_1} = \bigoplus_{k \leq r} \frac{g_{x_1}^{(k)}}{g_{x_1}^{(k+1)}} \oplus g^{(r+1)}, \quad x_1 = h_1^v(x_0),$$

and by

$$pr_k: g_{x_1}^{(k)} \rightarrow \frac{g_{x_1}^{(k)}}{g_{x_1}^{(k+1)}}$$

we denote the canonical projection. We choose  $w_{ki} \in g_{x_1}^{(k)}$ ,  $i \in I_k \subset \mathbf{N}$ , such that  $\{pr_k(w_{ki})\}_{i \in I_k}$  form a basis of the vector space  $g_{x_1}^{(k)}/g_{x_1}^{(k+1)}$ . The set of all elements of the form  $\{pr_k(w_{ki}), 0 \leq k \leq r, i \in I_k\}$  gives a basis of the space  $g_{x_1}/g_{x_1}^{(r+1)}$ .

Take any element  $\bar{w} = w_{ki}$  of our basis. Let  $w = \pi_* \bar{w}|_{W_{x_1}}$ . We show that for  $\lambda \in \mathbf{R}$  sufficiently close to zero, there exists a  $\xi_\lambda \in \Gamma^*(h)$ , such that the tangent vector  $(d/dt)(E^r(v + t\xi_\lambda))|_{t=0}$  to the curve  $t \rightarrow E^r(v + t\xi_\lambda)$  is equal to  $\lambda w + o(\lambda)$ . In fact, let  $\xi$  be a section of  $h$ , defined in a neighbourhood  $U$  of  $x_1$  such that

$$\pi_*([v, \xi]_l - \bar{w})|_{W_{x_1}} \in \pi^*(g)_{x_1}^{(k+1)}|_{W_{x_1}},$$

where

$$\pi_*([v, \xi]_j)|_{W_{x_1}} \in \pi^*(g)_{x_1}^{(k+1)}|_{W_{x_1}}, \quad \text{for } j = 0, \dots, l - 1.$$

Let us take a  $\delta > 0$ . We consider  $(h_{-\delta}^v)_*(\xi) \in h_{x_\delta}$ ,  $x_\delta = h_{1-\delta}^v(x_0)$ , i.e. the vector field  $\xi \in g_{x_1}^{(k+1)}$ , moved to the point  $x_\delta$ . We assume that  $\delta$  is so small that  $x_1 \in h_{-\delta}^v(U)$ . Let us take a suitable hypersurface  $H$  transversal to the trajectory  $\gamma: [0, 1] \ni \rightarrow h_t^v(x_0)$  at the point  $x_\delta$ , (cf. Property 2.4). We consider two parts  $H^-$  and  $H^+$  of an open neighbourhood of the trajectory (see Figure 2 below).

Now we consider the following (cf. Property 2.4),

$$\xi_0 = \begin{cases} v & \text{on } H^- \\ v + \xi & \text{on } H^+ \end{cases}.$$

Let

$$\mathcal{E}_t \left( v + \begin{cases} 0 & \text{on } H^- \\ \xi & \text{on } H^+ \end{cases} \right)$$

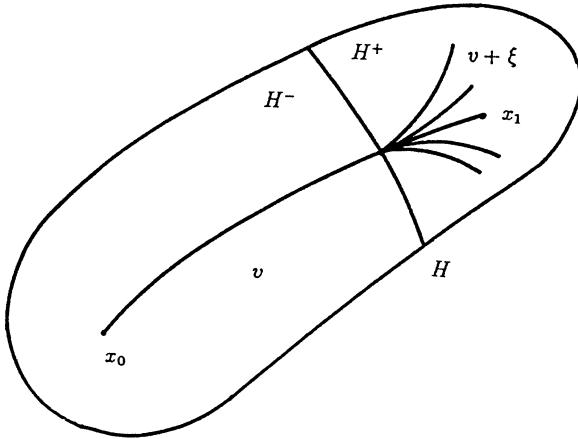


Fig. 2.

denote the flow induced by  $\xi_0$  on  $M$ . By the transversality of  $H$  to  $\gamma$  we know that

$$\mathcal{E}_1 \left( v + \begin{cases} 0 & \text{on } H^- \\ \xi & \text{on } H^+ \end{cases} \right)$$

is smooth in a neighbourhood of  $x_0$ . Thus we can have its  $r$ -jet,

$$\mathcal{E}^r \left( v + \begin{cases} 0 & \text{on } H^- \\ \xi & \text{on } H^+ \end{cases} \right).$$

As before, we denote by

$$E^r \left( v + \begin{cases} 0 & \text{on } H^- \\ \xi & \text{on } H^+ \end{cases} \right)$$

the  $r$ -jet of  $\mathcal{E}_1(\xi_0)$  at  $x_0$ .

We compute the linear terms of

$$E^r \left( v + \begin{cases} 0 & \text{on } H^- \\ \xi & \text{on } H^+ \end{cases} \right)$$

with respect to  $\xi$ . Essentially we repeat the proof of Theorem 3.8, where we studied the equation (15). In the present case we obtain that the solution of (15) has the expansion

$$j^k \pi_* y(x, \delta) = \left( \frac{\delta^l}{l!} \right) j^k \pi_* ([v, \alpha]) + o(\delta^l) = \left( \frac{\delta^l}{l!} \right) w + o(\delta^l),$$

which proves that

$$E^r \left( v + \begin{cases} 0 & \text{on } H^- \\ \xi & \text{on } H^+ \end{cases} \right) = E^r(v) + \left( \frac{\delta^l}{l!} \right) w + o(\delta^l).$$

The field  $\xi$  is  $(k+1)$ -flat at  $x_\delta$  so we use the Property 2.4 and write the approximating family  $P_\epsilon(v, \xi)$ . Obviously we have

$$E^r(P_\epsilon(v, \xi)) = E^r \left( v + \begin{cases} 0 & \text{on } H^- \\ \xi & \text{on } H^+ \end{cases} \right) + E_\epsilon(v, \xi),$$

where  $E_\epsilon \xrightarrow{\epsilon \rightarrow 0} 0$ .

Then for sufficiently small  $\epsilon$  we have

$$E^r(P_\epsilon(v, \xi)) = E^r(v) + \left( \frac{\delta^l}{l!} \right) w + o(\delta^l) + A_\epsilon,$$

where  $A_\epsilon \xrightarrow{\epsilon \rightarrow 0} 0$ . We take  $\epsilon$  so small that  $|A_\epsilon| < o(\delta^l)$ ,  $\lambda = \frac{\delta^l}{l!}$  and  $\xi_\lambda = P_\epsilon(v, \xi) - v$ . Q.E.D.

Our results can be briefly recapitulated as follows.

**COROLLARY 3.11.** *If  $h$  is accessible then  $h$  satisfies the  $\alpha$ -property. If  $h$  satisfies the  $\beta$ -property then  $h$  is accessible.*

#### 4. Genericity of Exp for Riemannian and sub-Riemannian metrics

Let  $M$  be the cotangent bundle;  $M = T^*X$ ,  $\dim X = n$ , with  $\pi = \pi_X: T^*X \rightarrow X$  the canonical bundle projection. By  $g$  we denote the sheaf of Hamiltonian vector fields on  $T^*X$ . Let  $h$  be a subsheaf of  $g$  and let  $\mathcal{M}$  denote a class of germs of submanifolds of  $M$ ; unspecified for the moment by  $\bar{g}$  and  $\bar{h}$  we denote the corresponding sheaves of local Hamiltonians on  $T^*X$ ; thus  $\bar{g}$  is the sheaf of germs of functions  $f$ , such that  $Jdf$  is a section of  $\bar{g}$ . For  $W \in \mathcal{M}$  and  $\bar{p}_0 \in W$  we denote by  $\mathcal{F}_{W_{\bar{p}_0}}$  the space of germs of functions on  $W$ , at  $p_0$ ;  $\mathcal{F}_{W_{\bar{p}_0}}^{(k)}$  are the germs vanishing up to order  $k - 1$  at  $\bar{p}_0$ .

Let  $v_{f_1}, v_{f_2} \in \Gamma^*(g)$ ,  $v_{f_i} = Jdf_i$ , where  $f_1, f_2$  are the corresponding Hamiltonians. We have

$$[v_{f_1}, v_{f_2}] = v_{\{f_1, f_2\}},$$

where  $\{.,.\}$  denotes the standard Poisson bracket on  $T^*X$ . In the Darboux coordinates  $(q, p)$  on  $T^*X$  we have, of course

$$(\pi_X)_* v_f = \partial_p f,$$

where by  $\partial_p f$  we denote the  $n$ -tuple  $(\partial_{p_1} f, \dots, \partial_{p_n} f) \in (\bar{g}_{\bar{p}_0})^n$ .

**PROPOSITION 4.1.** *The  $\alpha$ -property for the subsheaf  $h \subset g$  is equivalent to the following condition:*

( $\alpha$ ): *For almost all  $f \in \bar{h}_{\bar{p}_0}$ , for every  $\eta \in \bar{g}_{\bar{p}_0}^{(k)}$ ,  $k \leq r$  and  $W_{\bar{p}_0} \in \mathcal{M}_{\bar{p}_0}$ , there exist: an  $l \in \mathbf{N} \cup \{0\}$  and  $f_0, f_1, \dots, f_l \in \bar{h}_{\bar{p}_0}$  such that*

$$\left. \sum_{i=0}^j \partial_p \{f, f_i\}_{j-i} \right|_{W_{\bar{p}_0}} \in (\mathcal{F}_{W_{\bar{p}_0}}^{(k+1)})^n,$$

$$\left( \text{i.e. } j_{\bar{p}_0}^k \left( \sum_{i=0}^j \partial_p \{f, f_i\}_{j-i} \right) \Big|_{W_{\bar{p}_0}} = 0 \right),$$

for  $j = 0, \dots, l - 1$ , and

$$\left. \left( \partial_p \eta - \sum_{i=0}^l \partial_p \{f, f_i\}_{l-i} \right) \right|_{W_{\bar{p}_0}} \in (\mathcal{F}_{W_{\bar{p}_0}}^{(k+1)})^n.$$

$$\left( \text{i.e. } j_{\bar{p}_0}^k \partial_p \eta|_{W_{\bar{p}_0}} = j_{\bar{p}_0}^k \sum_{i=0}^l \partial_p \{f, f_i\}_{l-i} \Big|_{W_{\bar{p}_0}} \right).$$

By a straightforward modification of the above  $\alpha$ -property we obtain the  $\beta$ -property expressed in terms of Hamiltonians.

Let  $\mathcal{L}_{\bar{p}}$ ,  $\bar{p} \in T^*X$  denote the space of germs at  $\bar{p}$  of Lagrangian submanifolds in  $(T^*X, \omega_X)$ . If  $v \in \Gamma(g)$  then the class  $\mathcal{L} = \cup_{\bar{p} \in T^*X} \mathcal{L}_{\bar{p}}$  is obviously preserved by the flow of symplectomorphisms  $h_t^v$ . From now on we take  $\mathcal{L}$  as  $\mathcal{M}$ .

Let us fix a germ at  $\bar{p}$  of a Lagrangian submanifold  $W_{\bar{p}} \in \mathcal{L}_{\bar{p}}$ . We shall study  $E|_{W_{\bar{p}}}$ , or more formally

$$\text{Exp}_v|_{W_{\bar{p}}} = \pi_X \circ h_1^v|_{W_{\bar{p}}}, \quad q = \pi_X(\bar{p}),$$

(in the most interesting and classical case  $W_{\bar{p}}$  is the germ of the fibre  $T_q^*X$ ). We notice that in standard terminology  $h_1^v|_{W_{\bar{p}}}$  is a Lagrangian embedding and  $\text{Exp}_v|_{W_{\bar{p}}}$  is the corresponding Lagrangian projection.

We shall now discuss the genericity property of the Exp-map in the Riemannian geometry.

Let  $h \subset g$  denote the subsheaf of Hamiltonian vector fields with quadratic Hamiltonians with respect to  $p$ . We look on  $\Gamma(h)$  as the space of geodesic vector fields on  $X$  with the families of quadratic nondegenerate forms on  $T^*X$  playing the role of nondegenerate Hamiltonians. In what follows we assume  $\det(h^{ij}) \neq 0$



for our Hamiltonian vector fields  $v_H \in \Gamma(h^0)$ ,  $H = \Sigma h^{ij} p_i p_j$ , (see Definition 3.9).

**THEOREM 4.5.** *Let  $\bar{p} \in T^*V$ . There exists an open and dense set of Riemannian metrics  $h' \subset \Gamma(\bar{h})$ , such that for every  $f \in h'$ , the Exp-map  $\text{Exp}_{v_f}|_{W_{\bar{p}}}$  has only the singularities appearing in generic Lagrangian projections.*

*Proof.* First we prove the accessibility of  $h$ . It is enough to check the sufficient condition, i.e. the  $\beta$ -property for our sheaf  $h$ ; Without loss of generality we take  $\bar{p}_0 = (0, p_0)$ ; let  $W_{\bar{p}_0} = W_{p_0}$  be an element of  $\mathcal{L}_{\bar{p}_0}$ . We denote  $\mathcal{F}_{p_0} = \mathcal{F}_{W_{p_0}}$  the space of germs at  $p_0$  of analytic functions on  $W_{p_0}$ . Thus the  $\beta$ -property reads as follows:

Let  $f \in \bar{h}_{\bar{p}_0}$  be a nondegenerate Hamiltonian at  $q = 0$ . Let  $k \in \mathbf{N}$ . For every  $\bar{\eta} \in g_{\bar{p}_0}^{(k)}$ , there exists an  $l \in \mathbf{N} \cup \{0\}$  and  $f_0 \in \bar{h}_{\bar{p}_0}$  such that

$(\beta_j)$ :

$$\partial_p \{f, f_0\}_j|_{W_{p_0}} \in (\mathcal{F}_{p_0}^{(k+1)})^n, \quad \text{for } j = 0, \dots, l - 1$$

and

$(\beta_l)$ :

$$(\partial_p \eta - \partial_p \{f, f_0\}_l)|_{W_{p_0}} \in (\mathcal{F}_{p_0}^{(k+1)})^n.$$

We write

$$f = \sum_{ij} g^{ij}(q) p_i p_j.$$

Let us take

$$f_0 = \sum_{ij} h^{ij}(q) p_i p_j \in \bar{g}_{\bar{p}_0}^{(N)}. \tag{20}$$

Then

$$\{f, f_0\}_l = \partial_{v_f}^l f_0 = \sum_{ijkrs} \left( g^{ij}(q) p_j \frac{\partial}{\partial q^i} - g^{,k}(q) p_i p_j \frac{\partial}{\partial p_k} \right)^l h^{rs}(q) p_r p_s.$$

Let  $i_{W_{p_0}}$  be a Lagrangian embedding of  $W_{p_0}$  into  $T^*X$ . First assume that  $i_{W_{p_0}}(W_{p_0})$  can be described by

$$q = \phi(p), \quad \phi(p) = (\phi_1(p), \dots, \phi_n(p)). \tag{21}$$

Then the  $(\beta_l)$ -condition can be written in the form

$$\partial_p \eta(q, p)|_{\{q=\phi(p)\}}$$

$$= \partial_p \sum_{i_1, \dots, j_{l+2}} g^{i_3 j_3}(q) \dots g^{i_{l+2} j_{l+2}}(q) h_{i_3 \dots j_{l+2}}^{i_1 i_2}(q) p_{i_1} \dots p_{i_{l+2}}|_{\{q=\phi(p)\}},$$

for the lowest degree terms in  $(q, p)$ .

Let us define  $I = \langle \phi_1, \dots, \phi_n \rangle$ , the ideal in  $\mathcal{F}_{p_0} (= \mathcal{F}_{W_{p_0}})$  generated by  $\phi_1(p), \dots, \phi_n(p)$ . We consider  $\mathcal{F}_{p_0}$  as a graded ring with respect to  $I^s, s \in \mathbf{N}$ . Let  $\eta \in \bar{g}_{p_0}$ . We put

$$w = \partial_p \eta|_{q=\phi(p)} \in (\mathcal{F}_{p_0}^{(N)})^n.$$

Let  $s$  be the biggest integer such that

$$w \in (I^s)^n. \tag{22}$$

The matrix  $g^{ij}(0)$  is invertible, so we can find  $l \in \mathbf{N} \cup \{0\}$  and  $f_0 = \sum_{i,j} h^{ij}(q) p_i p_j$  (i.e. a matrix  $h^{ij}(q)$ ), such that

$$\begin{aligned} & \sum_{i_1, \dots, j_{\kappa+2}} g^{i_3 j_3}(\phi(p)) \dots g^{i_{\kappa+2} j_{\kappa+2}}(\phi(p)) h_{i_3 \dots j_{\kappa+2}}^{i_1 i_2} \\ & \times (\phi(p)) \partial_p (p_{i_1} \dots p_{i_{\kappa+2}}) \in (I^{s+1})^n, \end{aligned}$$

for  $\kappa = 0, \dots, l - 1$ , and

$$\begin{aligned} & \sum_{i_1, \dots, j_{l+2}} g^{i_3 j_3}(\phi(p)) \dots g^{i_{l+2} j_{l+2}}(\phi(p)) h_{i_3 \dots j_{l+2}}^{i_1 i_2} \\ & \times (\phi(p)) \partial_p (p_{i_1} \dots p_{i_{l+2}}) - w \in (I^{s+1})^n. \end{aligned}$$

But from (22) we have  $I^{s+1} \subset \mathcal{F}_{p_0}^{(N+1)}$ . Thus we obtain the  $(\beta)$ -condition, i.e.

$$\partial_p \{f, f_0\}_\kappa|_{q=\phi(p)} \in (\mathcal{F}_{p_0}^{(N+1)})^n, \quad \text{for } \kappa = 0, \dots, l - 1,$$

and

$$\partial_p \{f, f_0\}_l|_{q=\phi(p)} - w \in (\mathcal{F}_{p_0}^{(N+1)})^n.$$

Let us discuss briefly what modifications should be done if (21) is not satisfied. Suppose for a moment that  $W_{p_0}$  is transversal to the fibres of  $T^*X$ . In this case we write  $i_{W_{p_0}}(q) = (q, \psi(q))$  and the  $(\beta)$ -condition is satisfied immediately. In fact, for any  $w = (w^1, \dots, w^n) \in (\mathcal{F}_{p_0}^{(N)})^n$  (elements of  $\mathcal{F}_{p_0}$  are parametrized by  $q$ ) there exists an  $f_0 = \sum_{i,j} h^{ij}(q) p_i p_j$  such that

$$w^k(q) = \sum_i h^{ik}(q) \psi_i(q) \pmod{(\mathcal{F}_{p_0}^{(N+1)})}.$$

This is obvious; taking  $p_0 = (1, 0, \dots, 0)$  we find  $h^{1k}(q) = w^k(q)$ .

The most general “ $IJ$ ”-case,  $I \cup J = \{1, \dots, n\}$ ,  $I \cap J = \emptyset$ , where

$$i_{W_{p_0}}(q_I, p_J) = (q_I, \phi_J(q_I, p_J), \psi_I(q_I, p_J), p_J)$$

can be treated in a similar way by mixing both methods.

Q.E.D.

REMARK 4.3. A slightly stronger genericity result is true also for the map  $h_1^v|_{W_{p_0}} : W_{p_0} \rightarrow T^*X$ . In this case the  $(\beta)$ -condition is fulfilled and one can state the result as follows:

Let  $\bar{p} \in T^*X$ . There exists an open and dense subset  $h'$  of quadratic hamiltonians  $h$  such that for every  $f \in \Gamma(h')$  the Lagrangian embedding  $h_1^{vf}|_{W_{p_0}}$  is generic in the space of all mappings  $h_1^v|_{W_{p_0}}$  induced by general Hamiltonian vector fields  $v \in \Gamma(g)$ . (This extends the Theorem 1 in [11], p. 735).

REMARK 4.4. Let us choose a family of germs  $W_{\bar{p}(q)}$  of fibers of  $T^*X$  defined by a section  $X \rightarrow T^*X$ ,  $X \ni q \rightarrow \bar{p}(q) \in T^*X$ . Then we define

$$\text{Exp}_q = \text{Exp}_v|_{W_{\bar{p}(q)}}$$

and look on it as a family of maps parametrized by  $q \in X$ . C.T.C. Wall ([11], Conjecture 2, p. 735) conjectured that for a generic metric on  $X$ , i.e.  $f = \sum_{i,j} g^{ij} p_i p_j$ ,  $\text{Exp} = \{\text{Exp}_q : q \in X\}$  is a generic  $n$ -parameter family of Lagrangian projections (cf. [1]). A straightforward calculation shows that the necessary condition  $(\alpha)$  is not fulfilled. In this case we have  $W = T^*X$ . Thus the “Wall Conjecture” is not true (cf. [5, 3]). In fact there is an infinite number of constraints resulting from the obvious formula:

$$(h_t^v)_*v = v, \quad v = Jdf. \tag{23}$$

Since  $f$  is quadratic with respect to  $p$ , this implies that  $(h_t^v)_*v$  must be linear with respect to  $v$ , and this is a strong constraint for  $h_t^v$ . To be more explicit, let  $(p, Q) \rightarrow G_t(p, Q)$ ,  $\det((\partial^2 G_t / \partial p \partial Q)(p, Q)) \neq 0$ , be a generating function for  $h_t^v$ , (for a standard notation see [2]). By  $(q, p) \rightarrow Q_t(q, p)$  we denote the solution of the equation  $q - (\partial G_t / \partial p)(p, \bullet) = 0$ . We define

$$\Phi^j(q, p) = \partial_{q_l} Q_t^j(q, p) \sum_i g^{il}(q) p_i - \partial_{p_s} Q_t^j(q, p) \sum_{ik} g_{,s}^{ik}(q) p_i p_k.$$

By a simple verification we find

$$\partial_p^\alpha (\Phi^j \circ (h_t^v)^{-1})(q, p) \equiv 0, \quad \text{for } |\alpha| > 1.$$

One can conjecture that all constraints satisfied by the family  $\text{Exp}$  arise from the one above simply by differentiation.

Now let us pass to the Exp-map in the sub-Riemannian geometry (cf. [9]). Let  $M = T^*X$  be as above, and  $\dim X = n + 1$ . By  $V \subset TX$  we denote a smooth distribution of hyperplanes on  $X$ , i.e. a subbundle of  $TX$ . All our arguments are valid for any dimension of  $V$ , however for simplicity of notation, we shall assume that  $\text{codim } V = 1$ . Locally  $V$  is annihilated by a 1-form,

$$\omega = dq^{n+1} + \sum_{i=1}^n A_i(q) dq^i. \tag{24}$$

Let  $H: T^*X \rightarrow R$  be a smooth function. We say that the Hamiltonian vector field  $v_H$  with hamiltonian  $H$  is *horizontal* if

$$(\pi_X)_* v_H|_{\bar{p}} \in V_{\pi_X(\bar{p})}, \quad \text{for all } \bar{p} \in T^*X.$$

By  $k$  we denote the sheaf of horizontal Hamiltonian vector fields. An easy check shows that if  $v_f \in k$ , then

$$f = \bar{f}(q, p'_1, \dots, p'_n),$$

for some smooth function  $\bar{f}$  and  $p'_i = p_i - A_i(q)p_{n+1}$ .

Let  $V$  be equipped with a quadratic nondegenerate form  $\langle \cdot, \cdot \rangle$  varying smoothly on  $q \in X$ . By  $g^{ij}$  we denote the inverse matrix to that defined by  $\langle \cdot, \cdot \rangle$ . Analogously to the usual Riemannian case we have the sheaf (subsheaf of  $k$ )  $h$  of horizontal geodesic vector fields defined by the quadratic Hamiltonians

$$f(q, p) = \sum_{ij=1}^n g^{ij}(q)(p_i - A_i(q)p_{n+1})(p_j - A_j(q)p_{n+1}).$$

Both these sheaves are subsheaves of the sheaf of Hamiltonian vector fields  $g$ . By  $\bar{h}$  we denote the space of Hamiltonians quadratic in  $p' = (p'_1, \dots, p'_n)$ .

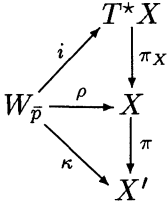
Let  $X_i = (\partial/\partial q^i) - A_i(q)(\partial/\partial q^{n+1})$ ,  $i = 1, \dots, n$ ; they give a basis of sections of  $V$ . Let  $q \in X$ . If the basic vector fields  $X_i$ , along with all their commutators span  $T_q X$  then the distribution  $V$  is said to satisfy the *Hörmander condition* at  $q$ . If this condition is fulfilled at every  $q \in X$  then  $V$  is called also a *non-holonomic distribution* (cf. [9, 10]).

Let  $I$  be a submanifold of  $T^*X$ ,  $\dim I \leq n + 1$ . If  $\omega_X|_I = 0$ , then we call  $I$  an isotropic submanifold. Let  $\mathcal{I}_{\bar{p}}$ ,  $\bar{p} \in T^*X$  denote the space of germs at  $\bar{p}$ , of isotropic submanifolds of dimension  $n$  in  $(T^*X, \omega_X)$ . We see that  $\mathcal{I} = \cup_{\bar{p} \in T^*X} \mathcal{I}_{\bar{p}}$  is preserved by the flow of symplectomorphisms  $h_t^v$ ,  $v \in \Gamma(g)$ .

In what follows we assume that we are given a fibering  $\pi$  on  $X$ ,  $\pi: X \rightarrow X'$ , such that  $\ker \pi_* \oplus V = TX$ . Locally  $\pi(q) = (q')$ ,  $q = (q', q^{n+1})$ ,  $q' = (q^1, \dots, q^n)$ . Let  $W_{\bar{p}} \in \mathcal{I}_{\bar{p}}$ . The inclusion  $i_{W_{\bar{p}}}: W_{\bar{p}} \rightarrow T^*X$  is called an isotropic immersion of  $W_{\bar{p}}$ , and  $\rho_{W_{\bar{p}}} = \pi_X \circ i_{W_{\bar{p}}}$  an *isotropic projection*. Now we define

$$\kappa_{W_{\bar{p}}}: W_{\bar{p}} \rightarrow X', \quad \kappa_{W_{\bar{p}}} = \pi \circ \rho_{W_{\bar{p}}};$$

these maps we will call *subisotropic maps*. A smooth mapping  $\kappa: W_{\bar{p}} \rightarrow X'$  is subisotropic if and only if there exists an isotropic immersion  $i: W_{\bar{p}} \rightarrow T^*X$  such that the following diagram commutes



Let  $f \in \mathfrak{h}$ , and  $\text{Exp}_{v_f}$  be the corresponding Exp-map. We denote  $\tilde{\text{Exp}}_{v_f} = \pi \circ \text{Exp}_{v_f}$  and we call  $\tilde{\text{Exp}}_{v_f}$  a *sub-Exp-map*. Adapting the proof of the Theorem 4.2 we obtain the following result.

**THEOREM 4.5.** *Let  $\bar{p} \in T^*X$ . Then there exists an open and dense set of quadratic Hamiltonians  $\mathfrak{h}' \subset \mathfrak{h}$ , such that if  $f \in \mathfrak{h}'$ , then the sub-Exp-map  $\tilde{\text{Exp}}_{v_f}|_{W_{\bar{p}}}$ , for any projection  $\pi$ , has only the singularities appearing in generic subisotropic maps.*

Now let  $q_0 \in X$  and let  $H^{n-1}$  be an isotropic subspace of  $T_{q_0}^*X$ ,  $\bar{p}_0 \in H^{n-1}$ ,  $\bar{p}_0 \neq 0$ . What is interesting for us now is the description of all possible generic singularities of germs

$$(H, \bar{p}_0) \xrightarrow{\text{exp}(g)} X,$$

where  $g$  varies over the space of all Riemannian metrics on  $V$ . To get such a description we apply Theorem 4.5. Thus if  $\pi: X \rightarrow X'$  is any projection (as in the statement of the Theorem) then all generic singularities of

$$\tilde{E} = \pi \circ \text{exp}(g)$$

are of the form

$$p = \frac{\partial F}{\partial q}(\lambda, q)|_{\Sigma_F},$$

where

$$\Sigma_F = \left\{ (\lambda, q): \frac{\partial F}{\partial \lambda_i} \Big|_{H^{n-1} \times X} = 0 \right\},$$

with the generating family  $F$  having only the generic singularities (see [4]).

To get  $\text{exp}(g)$  from  $\pi \circ \text{exp}(g)$  we remark that every line  $l$  in  $H^{n-1}$  passing through 0 is sent by  $\text{exp}(g)$  into a geodesic, and thus is a horizontal curve with

a non-zero tangent vector, which is projected by  $\pi$  onto a non-zero vector. Let  $l_p$  be the line passing through 0 and  $p$ ; let  $\xi_0 = \bar{p}_0$  be considered as a vector in  $T_{p_0}l_{\bar{p}_0}$ ,  $x'_0 = \tilde{E}(\bar{p}_0)$ , and

$$v'_0 = (\tilde{E}_*)_{\bar{p}_0}(\xi_0).$$

Let  $N' \subset X'$  be any germ of a submanifold at  $x'_0$  transversal to  $v'_0$  and of codimension one. Let  $N \subset X$  be any submanifold of codimension 2, such that  $\pi|_N: N \rightarrow N'$  is a diffeomorphism and  $N$  is “generic” with respect to  $V$ . Now we lift  $\tilde{E}$  to a map  $E$  into  $X$  such that  $N$  lies in the image of  $E$  and the curves  $\tilde{E}(l_p)$  ( $p$  close to  $\bar{p}_0$ ) are lifted into horizontal curves. We shall illustrate this procedure a little bit later, in the 2-dimensional case.

Now we investigate the generic subisotropic maps. We denote

$$D = \{p \in W_{\bar{p}}: \text{Im}(\rho_*)_p \text{ is not transversal to } V_{\rho(p)}\}$$

and

$$\Delta = \kappa(D).$$

We will call  $\Delta$  a horizontal set of  $\rho$ .  $\Delta$  is the set of points of the image manifold  $S = \rho(W_{\bar{p}})$ , in which  $S$  is tangent to the distribution  $V$ . Let  $\Gamma$  denote the set of critical points of  $\kappa$  and  $\Sigma = \kappa(\Gamma)$  denote the set of its critical values.

LEMMA 4.6. *Let  $V$  be a contact distribution ( $V$  satisfies the strong bracket generating hypothesis [9]), then for a generic subisotropic map  $\kappa$ ,  $D$  is a curve.*

*Proof.* We show this fact for  $n = 2, \dim X = 3$ .  $\pi: X \rightarrow X', \pi(q^1, q^2, q^3) = (q^1, q^2)$ . The general case is straightforward. Distribution  $V$  is annihilated by

$$\omega = dq^3 + \sum_{i=1}^2 A_i(q^1, q^2) dq^i. \tag{25}$$

$\rho(W_{\bar{p}})$  is covered by geodesics. Without loss of generality we restrict our considerations to the vertical  $W_{\bar{p}} \subset T_{\pi_X(\bar{p})}^*X$ . We choose the parameterization  $\{u_1, u_2\}$  of  $W_{\bar{p}}$ , such that  $u_1$  parameterizes the geodesics (obviously horizontal with respect to  $V$ ). Then

$$\frac{\partial \rho^3}{\partial u_1} + \sum_{i=1}^2 A_i \circ \kappa \frac{\partial \rho^i}{\partial u_1} \equiv 0.$$

The second equation

$$F(u_1, u_2) = \left( \frac{\partial \rho^3}{\partial u_2} + \sum_{i=1}^2 A_i \circ \kappa \frac{\partial \rho^i}{\partial u_2} \right) (u_1, u_2) = 0,$$

on maximal smooth strata of  $S$  defines a smooth curve. We see that  $\nabla F \neq 0$ . In fact

$$\frac{\partial F}{\partial u_1} = \left( \frac{\partial A_2}{\partial q^1} - \frac{\partial A_1}{\partial q^2} \right) \left( \frac{\partial \rho_1}{\partial u_2} \frac{\partial \rho_2}{\partial u_1} - \frac{\partial \rho_1}{\partial u_1} \frac{\partial \rho_2}{\partial u_2} \right) \neq 0$$

outside of the set of critical points of  $\kappa$ , because

$$[X_1, X_2] = \left( \frac{\partial A_2}{\partial q^1} - \frac{\partial A_1}{\partial q^2} \right) \frac{\partial}{\partial q^3} \neq 0.$$

Q.E.D.

Now we assume,  $\dim X = 3$ . For a contact distribution we have the following result.

**THEOREM 4.7.** *Let  $V$  be a contact distribution on  $R^3$ , annihilated by  $\omega = dq^3 + \sum_{i=1}^2 A_i(q^1, q^2) dq^i$ ,  $\pi: R^3 \rightarrow R^2: (q^1, q^2, q^3) \rightarrow (q^1, q^2)$ , is the projection. Then for a generic subisotropic map;*

- (1)  $\rho$  is an immersion,  $\Delta = \emptyset$  and  $\kappa$  is a diffeomorphism, fold or cusp-map.
- (2)  $\rho$  is an immersion,  $\Gamma = \emptyset$  and  $\Delta$  is smooth curve.
- (3)  $\rho$  is a singular map of corank 1, right-left equivalent ( $\mathcal{A}$ -equivalent, [8]) to Whitney's Cross-cap ( $S_0$ ) with horizontal and critical sets tangent with the second order tangency.

*Proof.* For generic isotropic map, the corresponding map  $\kappa$  is one of the Whitney's stable cases of smooth mappings  $R^2, 0 \rightarrow R^2, 0$  provided  $S$  is a smooth hypersurface of  $R^3$ . If  $S$  is the remaining stable case – the Cross-cap (cf. [8]), then the subisotropic map  $\kappa$  is a fold-map. Distribution  $V$ , defined by  $\omega$  is transversal to the fibers of  $\pi$ , so we easily see that, in the smooth case of  $S$ , the horizontal ( $\Delta$ ) and critical ( $\Gamma$ ) sets are disjoint, which proves the first two cases.

For the lifting of  $\kappa$  we can write

$$z(u_1, u_2) = - \int_0^{u_1} x(s, u_2) \frac{\partial y}{\partial s}(s, u_2) ds + \phi(u_2),$$

where we use the notation  $(q) = (x, y, z)$ . Thus for  $x, y, z$  we write the following expansions

$$\begin{aligned} x &= \beta_1 u_1 + \beta_2 u_2 + \beta_{11} u_1^2 + \beta_{12} u_1 u_2 + \beta_{22} u_2^2 + \mathbf{m}^3, \\ y &= \alpha_1 u_1 + \alpha_2 u_2 + \alpha_{11} u_1^2 + \alpha_{12} u_1 u_2 + \alpha_{22} u_2^2 + \mathbf{m}^3, \\ z &= -\frac{2}{3} \alpha_{11} \beta_1 u_1^3 - \left(\frac{1}{2} \beta_1 \alpha_{12} + \alpha_{11} \beta_2\right) u_1^2 u_2 - \alpha_{12} \beta_2 u_1 u_2^2 \\ &\quad + \gamma_2 u_2 + \gamma_{22} u_2^2 - \alpha_1 \int_0^{u_1} x(s, u_2) ds + \mathbf{m}^3, \end{aligned}$$

where  $\mathbf{m}$  denotes the maximal ideal in the space of germs of smooth functions of variables  $u_1, u_2$ .

The cases  $(\beta_1\alpha_2 - \alpha_1\beta_2) = 0$ , and  $\alpha_1 = 0$  may appear transversally, then generically  $\gamma_2 \neq 0$  and we have the smooth case of  $S$ . So we have to assume  $\alpha_1 = (\partial_y/\partial u_1) \neq 0$ . In this case we define new coordinates of  $W_{\bar{p}}$

$$(u_1, u_2) \rightarrow (y, u_2) = (y(u_1, u_2), u_2).$$

Now the equation  $(\partial z/\partial u_1) + x(\partial y/\partial u_1) \equiv 0$  is transformed into  $(\partial z/\partial y)(\partial y/\partial u_1) + x(\partial y/\partial u_1) \equiv 0$ , which finally is equivalent to

$$\frac{\partial z}{\partial y}(y, u_2) = -x(y, u_2).$$

Thus

$$z(y, u_2) = - \int_0^y x(s, u_2) ds + \phi(u_2).$$

By [4]  $\kappa: (y, u_2) \rightarrow (x(y, u_2), y)$  is a fold-map, so  $(\partial x/\partial u_2) = 0$  and  $(\partial^2 x/\partial u_2^2) \neq 0$ . Writing

$$x(y, u_2) = \beta_1 y + \beta_{11} y^2 + \beta_{12} y u_2 + \beta_{22} u_2^2 + \mathbf{m}^3$$

we have

$$z(y, u_2) = \gamma_2 u_2 - \frac{1}{2} \beta_1 y^2 + \gamma_{22} u_2^2 - \frac{1}{3} \beta_{11} y^3 - \frac{1}{2} \beta_{12} y^2 u_2 - \beta_{22} y u_2^2 + \gamma_{222} u_2^3 + \mathbf{m}^4.$$

Finally

$$\rho: (y, u_2) \rightarrow (\beta_1 y + \beta_{11} y^2 + \beta_{12} y u_2 + \beta_{22} u_2^2 + \mathbf{m}^3, y, \gamma_2 u_2 - \frac{1}{2} \beta_1 y^2 + \gamma_{22} u_2^2 + \mathbf{m}^3), \quad \beta_{22} \neq 0,$$

and the singular case:  $\gamma_2 = 0$  and  $\gamma_{22} \neq 0$  may happen generically.

By a left coordinate change we obtain

$$j^2 \rho(0) = (\beta_{12} y u_2 + \beta_{22} u_2^2, y, \gamma_{22} u_2^2).$$

The coordinate change

$$U_2 = u_2 + \frac{\beta_{12}}{2\beta_{22}} y$$

now transforms  $\rho$  to a map germ whose 2-jet is following

$$j^2 \rho(0) = \left( \beta_{22} u_2^2 - \frac{\beta_{12}^2}{4\beta_{22}} y^2, y, \gamma_{22} \left( u_2 - \frac{\beta_{12}}{2\beta_{22}} y \right)^2 \right).$$



The left coordinate change

$$X = \bar{X} - \frac{\beta_{12}^2}{4\beta_{22}} Y^2,$$

$$Z = \bar{Z} + \frac{\gamma_{22}\beta_{12}^2}{4\beta_{22}^2} Y^2 + \frac{\gamma_{22}}{\beta_{22}} \bar{X}$$

gives

$$j^2\rho(0) = \left( \beta_{22}u_2^2, y, -\frac{\gamma_{22}\beta_{12}}{\beta_{22}}u_2y \right),$$

which is 2-determined and describes Whitney's Cross-cap.

Now we easily check that the equation of horizontal points  $D$  is transformed, in new coordinates, to the following one,

$$\frac{\partial z}{\partial u_2}(y, u_2) = 0,$$

i.e.

$$2\gamma_{22}u_2 - \frac{1}{2}\beta_{12}y^2 + 2\beta_{22}yu_2 + 3\gamma_{222}u_2^2 + \mathbf{m}^3 = 0.$$

Thus for  $D$  we obtain

$$u_2 = \frac{\beta_{12}}{4\gamma_{22}}y^2 + \mathbf{m}^3.$$

Analogously for the set of critical points of  $\kappa$

$$\Gamma: u_2 = -\frac{\beta_{12}}{2\beta_{22}}y + \mathbf{m}^2.$$

So the order of the tangency of  $\Delta$  and  $\Sigma$  is given by the formula

$$x = \frac{\beta_{12}^2}{4\beta_{22}}y^2 + \mathbf{m}^3.$$

Q.E.D.

**REMARK 4.8.** One can explicitly calculate the Exp-map in the case of Heisenberg group  $\mathbf{H} = \mathbf{R}^3$  (cf. [9]), equipped with the distribution  $V$  annihilated by

$$\omega = dz + \frac{1}{2}(y dx - x dy),$$

and Hamiltonian

$$H(p, q) = \frac{1}{2}(p_1 - \frac{1}{2}yp_3)^2 + \frac{1}{2}(p_2 + \frac{1}{2}xp_3)^2.$$

One computes

$$\begin{aligned} h_1^{vH}|_{\{q=0\}}(p) = & \left( \frac{1}{2}(p_1(\cos p_3 + 1) - p_2 \sin p_3), \frac{1}{2}(p_2(\cos p_3 + 1) \right. \\ & \left. - p_1 \sin p_3), p_3, \frac{1}{p_3}(p_2(\cos p_3 - 1) + p_1 \sin p_3), \right. \\ & \left. \frac{1}{p_3}(-p_1(\cos p_3 - 1) \right. \\ & \left. + p_2 \sin p_3), (p_1^2 + p_2^2) \left( \frac{p_3 - \sin p_3}{2p_3^2} \right) \right). \end{aligned}$$

We take  $\pi(x, y, z) = (x, y)$ . Then we have  $\exp(g): W_{\bar{p}} \rightarrow X$ , and

$$\begin{aligned} \tilde{E}|_{W_{\bar{p}}} = & \left( \frac{1}{p_3}(p_2(\cos p_3 - 1) + p_1 \sin p_3), \frac{1}{p_3}(-p_1(\cos p_3 - 1) \right. \\ & \left. + p_2 \sin p_3), (p_1^2 + p_2^2) \left( \frac{p_3 - \sin p_3}{2p_3^2} \right) \right) \Big|_{W_{\bar{p}}}, \end{aligned}$$

where

$$W_{\bar{p}} = \{(p_1, p_2, p_3) : Ap_1 + Bp_2 + Cp_3 = 0\}.$$

By simple check we find that if

$$\bar{p} \in \{(p_1, p_2, p_3); p_3 = 2k\pi\},$$

and

$$p_3 = ap_1 + bp_2 + 2k\pi,$$

then  $\exp(g)$  is not generic. In other cases it is an immersion.

The set of singular values of  $\pi_X \circ h_1^{vH}$  (the usual caustic of Exp-map) is formed by the family of rotationally invariant paraboloids

$$z = (x^2 + y^2) \frac{2a - \sin 2a}{4(1 - \cos 2a)},$$

and the line  $x = 0$ ,  $y = 0$ , where  $a$  is a solution of the equation  $\operatorname{tg} x = x$ . Simplifying the system by isometry  $(x, y, z) \rightarrow (x, y, z - \frac{1}{2}xy)$ , we obtain the generating family for the isotropic map  $h_1^{vH}|_{\{q=0\}}$ , namely

$$F(x, y, z, \lambda) = x\lambda_1 \cos \lambda_3 + y\lambda_2 \cos \lambda_3 + z\lambda_3 - \frac{\sin 2\lambda_3}{4\lambda_3} + \frac{\lambda_1\lambda_2}{\lambda_3}(\cos \lambda_3 - 1) \cos \lambda_3.$$

**Note added in proof.** 1. We note that the integral formula (7) implies the accessibility criterion. 2. In the Hamiltonian case, for  $p_0 \neq 0$ , condition  $(\beta)$  is not satisfied. However the straightforward proof of Theorem 4.5 follows from the integral formula.

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