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## The classification problem in Teoplitz $\mathbf{Z}_2$ -extensions

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**Abstract.** A large class  $\mathcal{F}^*$  of regular 0–1 Toeplitz sequences is determined which enjoy the following property: every finitary isomorphism between Toeplitz  $\mathbf{Z}_2$ -extensions  $T_\eta, T_{\eta'}, \eta, \eta' \in \mathcal{F}^*$ , can be extended to a topological isomorphism. Uncountably many ergodic Toeplitz  $\mathbf{Z}_2$ -extensions with partly continuous spectrum are constructed such that every two are finitarily isomorphic but not topologically conjugate.

### Introduction

In this paper we study three kinds of isomorphisms between dynamical systems arising from regular 0–1 Toeplitz sequences and between  $\mathbf{Z}_2$ -extensions of such systems: metric, finitary and topological isomorphisms. In the case of Toeplitz dynamical systems we have the following property: every metric isomorphism is a finitary isomorphism. On the other hand finitary isomorphism does not imply topological conjugacy. Each Toeplitz sequence  $\eta$  determines a  $\mathbf{Z}_2$ -extension of a Toeplitz dynamical system induced by  $\eta$  given by a cocycle defined by the zero coordinate. The question arises: what happens in the case of such  $\mathbf{Z}_2$ -extensions of Toeplitz systems?

In general metric isomorphism does not imply finitary isomorphism, nor does finitary isomorphism imply topological isomorphism. Nevertheless, we find a large class  $\mathcal{F}^*$  of regular Toeplitz sequences such that every finitary isomorphism of  $\mathbf{Z}_2$ -extensions of systems determined by elements from the class  $\mathcal{F}^*$  can be extended to a topological isomorphism. The class  $\mathcal{F}^*$  includes regular Toeplitz sequences having “holes” at sufficiently large distance and such that  $\mathbf{Z}_2$ -extensions are ergodic. In particular, finitary isomorphism of Morse dynamical systems coincides with topological conjugacy.

We also show that for sequences from  $\mathcal{F}^*$  the quantity of “holes” in  $n_i$ -skeletons is an invariant of finitary isomorphisms of  $\mathbf{Z}_2$ -extensions.

Lastly we produce uncountably many ergodic with partly continuous spectrum  $\mathbf{Z}_2$ -extensions of Toeplitz sequences, such that every two (different) are finitarily isomorphic, but not topologically conjugate.

### Section 1. Preliminary definitions

Let  $(X, T), (X', T')$  be strictly ergodic systems (in some compact metric space). Let  $\mu(\mu')$  be the unique  $T(T')$  invariant measure on  $X(X')$ . A metric isomorphism  $\varphi: (X, T, \mu) \rightarrow (X', T', \mu')$  is said to be *finitary* if  $\varphi, \varphi^{-1}$  are essentially continuous (e. continuous), i.e. if there exist  $X_0 \subseteq X, X'_0 \subseteq X', \mu(X_0) = \mu(X'_0) = 1$  such that  $\varphi|_{X_0}, \varphi^{-1}|_{X'_0}$  are continuous.

By a *topological isomorphism* we mean a homeomorphism  $\varphi: X \rightarrow X'$  such that  $\varphi \circ T = T' \circ \varphi$ . We say that  $(X, T)$  and  $(X', T')$  are *topologically conjugate* if there exists a topological isomorphism between  $(X, T)$  and  $(X', T')$ . If  $(X, T), (X', T')$  are topologically conjugate, then  $(X, T, \mu), (X', T', \mu')$  are finitarily isomorphic.

If  $\varphi$  is a finitary isomorphism between  $(X, T, \mu)$  and  $(X', T', \mu')$  then we say that  $\varphi$  can be extended to a topological isomorphism, if there exists a topological isomorphism  $\bar{\varphi}$  such that  $\varphi = \bar{\varphi}$  on some subset of measure one.

### Section 2. Dynamical systems arising from Toeplitz regular sequences

We recall some basic definitions and results; we refer the reader to [8] for more details.

Let  $\Omega = \{0, 1\}^{\mathbb{Z}}$ . For  $x = (x[n]), y = (y[n]) \in \Omega$  we define

$$d(x, y) = \frac{1}{1 + \min\{|i|: x[i] \neq y[i]\}}.$$

Then  $(\Omega, d)$  is a compact metric space. Denote by  $S$  the left shift homeomorphism on  $\Omega$ .

$\eta \in \Omega$  is called a *Toeplitz sequence* if for each  $i \in \mathbb{Z}$  there exists  $n \geq 1$  such that

$$\eta[i + k \cdot n] = \eta[i], \quad k \in \mathbb{Z}. \quad (1)$$

By *n-skeleton* of  $\eta$  we will mean the sequence  $\eta_n \in \{0, 1, \infty\}^{\mathbb{Z}}$  such that  $\eta_n[i] = \eta[i]$  for  $i$  satisfying (1) and  $\eta_n[i] = \infty$  in the contrary case.

By a *period structure* of nonperiodic Toeplitz sequence  $\eta$  we mean increasing sequence  $\{n_t\}$  of positive integers such that

- (i)  $n_t | n_{t+1}$ ,
- (ii)  $n_t$  is an essential period of  $n_t$ -skeleton  $\eta_{n_t}$ , i.e. there is no positive integer  $m < n_t$  being a period of three-symbols sequence  $\eta_{n_t}$ ,  $t \geq 0$ .

Each non-periodic Toeplitz sequence possesses the period structure.

Let  $\eta \in \Omega$  be a Toeplitz sequence with the period structure  $\{n_t\}, n_t | n_{t+1}, t \geq 0$ .

In the sequel  $\eta_t$  denotes the  $n_t$ -skeleton of  $\eta$ . Put  $I_t = I_t(\eta) = \{0 \leq i \leq n_t - 1: \eta_t[i] = \infty\}$ .  $\eta$  is said to be *regular* if  $\lim_t(1/n_t)|I_t| = 0$  (here  $|A|$  denotes the cardinality of  $A$ ). Now take a regular, non-periodic Toeplitz sequence  $\eta$  with a period structure  $\{n_t\}$ . Denote by  $\overline{\mathcal{O}}(\eta)$  the closure of the orbit of  $\eta$ . Then  $(\overline{\mathcal{O}}(\eta), S)$  is strictly ergodic. Denote by  $\mu = \mu_\eta$  the unique  $S$  invariant measure on  $\overline{\mathcal{O}}(\eta)$ . Let  $G = G_\eta = \varprojlim \mathbf{Z}/n_t\mathbf{Z}$  be the inverse limit group. Denote by  $\hat{1}$  the element  $\hat{1} = (1, 1, \dots) \in G$  and  $\hat{n} = n \cdot \hat{1}$ ,  $n \in \mathbf{Z}$ . Then  $(G, \hat{1})$  is a compact monothetic group with generator  $\hat{1}$  and  $(G, \hat{1})$  is the maximal equicontinuous factor of  $(\overline{\mathcal{O}}(\eta), S)$  ([2], [8]). Denote by  $\pi = \pi_\eta: \overline{\mathcal{O}}(\eta) \rightarrow G$  the factor map, such that  $\pi^{-1}(\hat{0}) = \{\eta\}$ . Then  $\pi$  is one-to-one on the set of Toeplitz sequences in  $\overline{\mathcal{O}}(\eta)$  and this set has  $\mu$ -measure one. Therefore the dynamical system  $(\overline{\mathcal{O}}(\eta), S, \mu)$  is metrically isomorphic to  $(G, \hat{1}, \lambda)$ , where  $\lambda$  is the normalized Haar measure on  $G$ .

**THEOREM 1.** *Every metric isomorphism between  $(\overline{\mathcal{O}}(\eta), \mu_\eta, S)$  and  $(\overline{\mathcal{O}}(\eta'), \mu_{\eta'}, S)$  ( $\eta, \eta'$  are regular Toeplitz sequences) is a finitary isomorphism.*

*Proof.* Let  $\varphi: \overline{\mathcal{O}}(\eta) \rightarrow \overline{\mathcal{O}}(\eta')$  be a metric isomorphism. Denote by  $\Lambda_\eta, \Lambda_{\eta'}$  the eigenvalue groups of  $(G_\eta, \hat{1}, \lambda)$ ,  $(G_{\eta'}, \hat{1}, \lambda)$ . Since  $\Lambda_\eta = \Lambda_{\eta'}$  we may assume  $G = G_\eta = G_{\eta'}$ .

$$\begin{array}{ccc} \overline{\mathcal{O}}(\eta) & \xrightarrow{\varphi} & \overline{\mathcal{O}}(\eta') \\ \pi_\eta \downarrow & & \downarrow \pi_{\eta'} \\ G & \xrightarrow{\psi} & G \end{array}$$

The map  $\psi = \pi_{\eta'} \circ \varphi \circ (\pi_\eta)^{-1}$  is a metric automorphism of  $(G, \hat{1}, \lambda)$  ( $\psi$  is defined on some subset of  $G$  of measure one). Thus  $\psi$  is a translation of  $G$ ,  $\psi(g) = g + g_0$ ,  $g \in G$ . Since  $\psi, \psi^{-1}$  are e. continuous we have that  $\varphi, \varphi^{-1}$  are e. continuous.

**REMARK 1.** It follows from Lemma 10 that if  $\eta, \eta'$  are regular Toeplitz sequences having the same period structure (in this case  $(\overline{\mathcal{O}}(\eta), \mu_\eta, S)$  and  $(\overline{\mathcal{O}}(\eta'), \mu_{\eta'}, S)$  are metrically and finitarily isomorphic),  $(\overline{\mathcal{O}}(\eta), \mu_\eta, S)$  and  $(\overline{\mathcal{O}}(\eta'), \mu_{\eta'}, S)$  need not be topologically conjugate.

Assume now  $\eta$  is a regular, non-periodic Toeplitz sequence with the period structure  $\{n_t\}$ . Then we can consider  $\eta$  as the map  $\eta: G \rightarrow \mathbf{Z}_2 = \{0, 1\}$  defined in the following way:  $\eta(g) = \eta_t[j_t]$  (for sufficiently large  $t$ ), where  $g = (j_t) \in G$ . The map  $\eta$  is correctly defined on a subset of  $G$  of measure one. Similarly, if  $g_0 = (j_t) \in G$ , then we can consider  $\eta \circ g_0$  as a sequence  $(\eta \circ g_0)[i] = \eta_t[i + j_t]$  for sufficiently large  $t$  (note that in this case  $\eta \circ g_0$  need not be Toeplitz sequence – the hole may be included in it), or as a map  $\eta \circ g_0: G \rightarrow \mathbf{Z}_2$ . Note that

$$(\eta \circ g_0)(g) = \eta(g + g_0).$$

The following lemma will be needed in further considerations.

LEMMA 1. *If  $\varphi: \bar{\mathcal{O}}(\eta) \rightarrow \bar{\mathcal{O}}(\eta')$  is a topological isomorphism ( $\eta, \eta'$  are regular, non-periodic Toeplitz sequences with the period structure  $\{n_t\}$ ), then  $\varphi(\eta)$  is a Toeplitz sequence and  $\varphi(\eta) = \eta' \circ g_0$  for some  $g_0 \in G$ .*

*Proof.* Let  $A_g = (\pi_\eta)^{-1}(g)$ ,  $B_g = (\pi_{\eta'})^{-1}(g)$ ,  $g \in G$ . Since  $\pi_{\eta'} \circ \varphi: (\bar{\mathcal{O}}(\eta), S) \rightarrow (G, \hat{1})$  is a factor and  $(G, \hat{1})$  is a maximal equicontinuous factor of  $(\bar{\mathcal{O}}(\eta), S)$  we can find a factor map  $\psi: (G, \hat{1}) \rightarrow (G, \hat{1})$  such that

$$\psi \circ \pi_\eta = \pi_{\eta'} \circ \varphi. \tag{2}$$

Clearly  $\psi(g) = g + g_0$  for some  $g_0 \in G$  and all  $g \in G$ . Take  $g \in G$ . If  $u \in A_g$  and  $\varphi(u) \in B_h$ , then by (2)  $\psi(g) = h$ , i.e.  $\varphi(A_g) \subseteq B_{g+g_0}$ . Since  $\{A_g\}, \{B_g\}$  are partitions in  $\bar{\mathcal{O}}(\eta), \bar{\mathcal{O}}(\eta')$  and  $\varphi$  is a surjective map, we have  $\varphi(A_g) = B_{g+g_0}$ ,  $g \in G$ . Since  $|B_{g_0}| = 1$ , we obtain that  $\eta' \circ g_0$  is Toeplitz sequence and  $\varphi(\eta) = \eta' \circ g_0$ .

REMARK 2. It follows from the equality  $B_{g_0} = \{\varphi(\eta)\}$  and from Lemma 1 that  $g_0$  is determined uniquely.

### Section 3. Finitary and topological isomorphism of Toeplitz $\mathbf{Z}_2$ -extensions

Let  $\eta$  be a regular, non-periodic Toeplitz sequence. The dynamical system  $(\bar{\mathcal{O}}(\eta) \times \mathbf{Z}_2, T_\eta, \tilde{\mu})$ , where  $\mathbf{Z}_2 = \{0, 1\}$ ,  $\mu = \mu_\eta \times \mathfrak{g}$ ,  $\mathfrak{g}(\{0\}) = \mathfrak{g}(\{1\}) = \frac{1}{2}$  and

$$T_\eta(y, i) = (Sy, i + y[0]), \quad y \in \bar{\mathcal{O}}(\eta), \quad i \in \mathbf{Z}_2$$

is called the *Toeplitz  $\mathbf{Z}_2$ -extension of  $(\bar{\mathcal{O}}(\eta), \mu_\eta, S)$ .*

Note that the cocycle  $\Phi: \bar{\mathcal{O}}(\eta) \rightarrow \mathbf{Z}_2$  defined by  $\Phi(y) = y[0]$  satisfies the condition

$$\Phi = \eta \circ \pi \text{ a.e.} \tag{3}$$

Indeed, define in  $\bar{\mathcal{O}}(\eta)$  and  $G$  the following partitions:

$$D_t^i = \{S^m \eta: m \equiv i \pmod{n_t}\}, \quad E_t^i = \{(j_t)_0^\infty: j_t = i\}, \quad i = 0, 1, \dots, n_t - 1, t \geq 0.$$

We have  $\pi D_t^i = E_t^i$ . Now let  $y \in D_t^i$  and suppose that  $\eta_t[i] \neq \infty$ . Then  $\Phi(y) = \eta_t[i]$  and  $(\eta\pi)(y) = h_t[i]$ . Since  $\pi, \pi^{-1}$  are e. continuous, it follows from (3) that  $(\bar{\mathcal{O}}(\eta) \times \mathbf{Z}_2, T_\eta, \tilde{\mu})$  is finitarily isomorphic to  $(G \times \mathbf{Z}_2, \bar{T}_\eta, \tilde{\lambda})$ , where  $\tilde{\lambda} = \lambda \times \mathfrak{g}$  and

$$\bar{T}_\eta(g, i) = (g + \hat{1}, i + \eta(g)), \quad g \in G, \quad i \in \mathbf{Z}_2.$$

In the sequel we use the common notation  $T_\eta$  for  $T_\eta, \bar{T}_\eta$ .

Denote by  $\mathcal{T}$  the set of all regular, non-periodic Toeplitz sequences with the period structure  $\{n_t\}$  such that  $T_\eta$  is ergodic. It follows from [7] that for  $\eta \in \mathcal{T}$ ,  $(\mathcal{C}(\eta) \times \mathbf{Z}_2, T_\eta)$  is strictly ergodic.

Suppose that  $\eta, \eta' \in \mathcal{T}$  and  $(G \times \mathbf{Z}_2, T_\eta, \tilde{\lambda}), (G \times \mathbf{Z}_2, T_{\eta'}, \tilde{\lambda})$  are finitarily isomorphic. Let  $W$  be a finitary isomorphism. It follows from [6] that  $W$  is of the form

$$W(g, i) = (g + g_0, i + p(g)) \quad \text{a.e., } g \in G, i \in \mathbf{Z}_2, \tag{4}$$

where  $p: G \rightarrow \mathbf{Z}_2$  is a measurable function satisfying the equality

$$p \circ \hat{1} + p = \eta + \eta' \circ g_0 \tag{5}$$

(we use the symbol  $+$  to denote addition mod 2 in  $\mathbf{Z}_2$ ). Since  $W$  is e. continuous we obtain that  $p$  is e. continuous. On the other hand, if  $W$  is of the form (4) and  $p: G \rightarrow \mathbf{Z}_2$  is e. continuous function satisfying the condition (5), then  $W$  is a finitary isomorphism.

Let  $\mathcal{T}^*$  be the class defined as follows:  $\eta \in \mathcal{T}^*$  if  $\eta \in \mathcal{T}$  and there is  $\rho > 0$  such that for  $t \geq 0$

$$\eta_t[i] = \eta_t[j] = \infty, \quad i \neq j \Rightarrow |i - j| \geq \rho \cdot \eta_t. \tag{6}$$

The following proposition says that the quantity of holes in  $\eta_t$  is invariant under finitary isomorphisms (in  $\mathcal{T}^*$ ).

**PROPOSITION 1.** *If  $\eta, \eta' \in \mathcal{T}^*$  and  $T_\eta, T_{\eta'}$  are finitarily isomorphic, then  $|I_t(\eta)| = |I_t(\eta')|$  for sufficiently large  $t$ .*

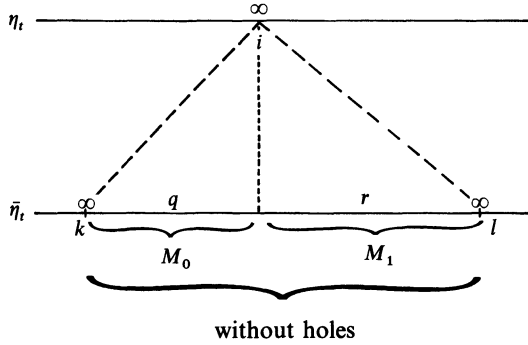
*Proof.* Take  $\eta, \eta' \in \mathcal{T}^*$  and suppose that  $T_\eta, T_{\eta'}$  are finitarily isomorphic. Let  $p: G \rightarrow \mathbf{Z}_2$  be e. continuous and  $g_0 \in G$  such that (5) is satisfied. Put  $\tilde{\eta} = \eta' \circ g_0$  and denote by  $\tilde{\eta}_t$  the  $n_t$ -skeleton of  $\tilde{\eta}$ . Take  $\rho > 0$  such that (6) is satisfied by  $\eta, \eta'$  (and thus by  $\tilde{\eta}$ ). Let  $E_t^i = \{g = (j_s) \in G: j_s = i\}, i = 0, \dots, n_t - 1, t \geq 0$ . Define

$$J_t = \{0 \leq i \leq n_t - 1: p|_{E_t^i} = a \text{ a.e. for some } a \in \mathbf{Z}_2\}, \quad t \geq 0.$$

Since  $p$  is e. continuous we obtain  $1/n_t \cdot |J_t| \rightarrow 1$ . Fix  $t \geq 0$  such that

$$\frac{|J_t|}{n_t} > 1 - \frac{1}{4}\rho \tag{7}$$

Fix  $i \in I_t = I_t(\eta)$ . For  $a, b \in \mathbf{Z}$  by  $a \oplus b, a \ominus b$  we mean  $(a + b) \pmod{n_t}, (a - b) \pmod{n_t}$ . Let  $q \geq 0$  be the smallest integer such that  $k = i \ominus q \in \tilde{I}_t = \tilde{I}_t(\tilde{\eta})$  and let  $r \geq 1$  be the smallest integer such that  $l = i \oplus r \in \tilde{I}_t$ .



Put

$$M_0 = \{i \ominus j: 0 \leq j \leq \min(q - 1, \frac{1}{4}n_t \cdot \rho)\},$$

$$M_1 = \{i \ominus j: 1 \leq j \leq \min(r, \frac{1}{4}n_t \cdot \rho)\}$$

(if  $q = 0$  then we put  $M_0 = \emptyset$ ). It follows from the definition that for  $j \in M_0 \setminus \{i\}$ , we have  $\eta_t[j] \neq \infty, \bar{\eta}_t[j] \neq \infty$  and for  $j \in M_1 \setminus \{l\}, \eta_t[j] \neq \infty$  and  $\bar{\eta}_t[j] \neq \infty$ . Note that from (5) if  $j \in J_t$  and  $\eta_t[j] \neq \infty, \bar{\eta}_t[j] \neq \infty$ , then  $1 \oplus j \in J_t$ . Similarly if  $1 \oplus j \in J_t$  and  $\eta_t[j] \neq \infty, \bar{\eta}_t[j] \neq \infty$  then  $j \in J_t$ . Hence for  $a = 0, 1$   $M_a \cap J_t = \emptyset$  or  $M_a \subseteq J_t$ . Note that we can find  $a \in \{0, 1\}$  with the property

$$M_a \cap J_t = \emptyset, \quad M_{1-a} \subseteq J_t. \tag{8}$$

Indeed, if  $M_0, M_1 \subseteq J_t, M_0 \neq \emptyset$ , then  $i, 1 \oplus i$  belong to  $J_t$  and since  $\bar{\eta}_t[i] \neq \infty$  we obtain  $\eta_t[i] \neq \infty$ , i.e.  $i \notin I_t$ . Moreover  $M_0 \subseteq J_t$  or  $M_1 \subseteq J_t$  because in the contrary case

$$|J_t| \leq n_t - (|M_0| + |M_1|) \leq n_t - \frac{1}{4}\rho \cdot n_t$$

and in view of (7) we obtain a contradiction. Thus the property (8) holds. Set  $\alpha_t(i) = -q$  if  $a = 0$  and  $\alpha_t(i) = r$  otherwise. The properties (7) and (8) give  $|\alpha_t(i)| < \frac{1}{4}\rho n_t$ . Therefore the map  $\beta_t: I_t \rightarrow \bar{I}_t, \beta_t(i) = i + \alpha_t(i)$  is one-to-one. This implies  $|I_t| \leq |\bar{I}_t|$ . Similarly  $|\bar{I}_t| \leq |I_t|$ , so  $|I_t| = |\bar{I}_t|$ .

REMARK 3. For  $i \in I_t$  ( $t$  sufficiently large) we put

$$K_t(i) = \begin{cases} \{i \oplus j: j = 1, \dots, \alpha_t(i)\} & \text{if } \alpha_t(i) > 0, \\ \{i \ominus j: j = 0, 1, \dots, \alpha_t(i) + 1\} & \text{if } \alpha_t(i) < 0, \\ \emptyset & \text{if } \alpha_t(i) = 0. \end{cases}$$

$$K_t = \bigcup_{i \in I_t} K_t(i).$$

It follows from the proof of Proposition 1 that  $J_t = X_t \setminus K_t$ , where  $X_t = \{0, \dots, n_t - 1\}$ .

Now suppose  $\eta, \eta'$  are Toeplitz sequences. Denote by  $\eta + \eta'$  the Toeplitz sequence:  $(\eta + \eta')[i] = \eta[i] + \eta'[i]$ . By  $\check{\eta}$  we mean the following 0-1 sequence:

$$\begin{aligned} \check{\eta}[0] &= 0, \check{\eta}[i] = \eta[0] + \dots + \eta[i - 1] \quad \text{for } i \geq 1 \text{ and} \\ \check{\eta}[i] &= \eta[-1] + \dots + \eta[i] \quad \text{for } i \leq -1. \end{aligned}$$

Let  $g \in G$  and assume that  $\eta' \circ g$  is Toeplitz sequence. Then by  $\theta^{(g)}$  we denote one-sided sequence defined in the following way:

$$\theta^{(g)}[i] = (\eta + \eta' \circ g)^\vee[i], \quad i \geq 1.$$

We are going to show the main theorem of this paper.

**THEOREM 2.** *If  $W$  is a finitary isomorphism between  $T_\eta, T_{\eta'}$ , where  $\eta, \eta' \in \mathcal{F}^*$ , then we can extend  $W$  to a topological isomorphism between  $(\bar{\mathcal{O}}(\eta) \times \mathbf{Z}_2, T_\eta)$  and  $(\bar{\mathcal{O}}(\eta') \times \mathbf{Z}_2, T_{\eta'})$ .*

The proof of this theorem consists of several lemmas. First we show

**LEMMA 2.** *Let  $W: \bar{\mathcal{O}}(\eta) \times \mathbf{Z}_2 \rightarrow \bar{\mathcal{O}}(\eta') \times \mathbf{Z}_2$  be a topological isomorphism between Toeplitz  $\mathbf{Z}_2$ -extensions  $T_\eta$  and  $T_{\eta'}$ , where  $\eta, \eta' \in \mathcal{F}$ . Then there is a topological isomorphism  $\varphi: \bar{\mathcal{O}}(\eta) \rightarrow \bar{\mathcal{O}}(\eta')$  and a continuous function  $p: \bar{\mathcal{O}}(\eta) \rightarrow \mathbf{Z}_2$  such that*

$$W(y, i) = (\varphi(y), i + p(y)), \quad i \in \mathbf{Z}_2, \quad y \in \bar{\mathcal{O}}(\eta), \tag{9}$$

$$p(Sy) + p(y) = y[0] + (\varphi(y))[0], \quad y \in \bar{\mathcal{O}}(\eta). \tag{10}$$

*Proof.* We show first that

$$W \circ \sigma = \sigma \circ W, \tag{11}$$

where  $\sigma(y, i) = (y, i + 1)$ . We may consider  $W$  as a metric isomorphism between  $\mathbf{Z}_2$ -extensions  $(G \times \mathbf{Z}_2, T_\eta, \tilde{\lambda})$  and  $(G \times \mathbf{Z}_2, T_{\eta'}, \tilde{\lambda})$  (this isomorphism we denote by  $W'$ ). Then  $W'$  is of the form (4). Since  $W' \circ \sigma = \sigma \circ W'$  a.e. (here  $\sigma(g, i) = (g, i + 1)$ ) we can find  $u \in \bar{\mathcal{O}}(\eta)$  such that  $(W\sigma)(u, i) = (\sigma W)(u, i)$ ,  $i \in \mathbf{Z}_2$ . Put  $Y = \{S^m u, m \in \mathbf{Z}\} \times \mathbf{Z}_2$ . By continuity of  $W, \sigma, \sigma^{-1}$  it suffices to show that  $W = \sigma W \sigma^{-1}$  on  $Y$ . Take  $(S^m u, i) \in Y$ . It is obvious that  $\sigma T_\eta = T_\eta \sigma$  and therefore from the equality

$$T_\eta^m(y, k) = (S^m y, k + \check{y}[m]), \quad k \in \mathbf{Z}_2 \tag{12}$$



we obtain

$$\begin{aligned}(W\sigma)(S^m u, i) &= W(S^m u, i + 1) = (WT_\eta^m)(u, \check{u}[m] + i + 1) \\ &= (T_\eta^m W)(u, \check{u}[m] + i + 1) = (\sigma T_\eta^m W)(u, \check{u}[m] + i) \\ &= (\sigma W)(S^m u, i).\end{aligned}$$

Now, for  $(y, i) \in \bar{\mathcal{O}}(\eta) \times \mathbf{Z}_2$  we set  $W(y, i) = ((W_1(y, i), W_2(y, i)))$ . As a simple consequence of (11) we obtain

$$W_1(y, 1) = W_1(y, 0), \quad W_2(y, 1) = W_2(y, 0) + 1, \quad y \in \bar{\mathcal{O}}(\eta).$$

Thus, putting  $\varphi(y) = W_1(y, 0)$ ,  $p(y) = W_2(y, 0)$ ,  $y \in \bar{\mathcal{O}}(\eta)$  we get (9).  $p$  is continuous, since  $W$  is continuous;  $\varphi$  is a topological isomorphism because  $W$  is a topological isomorphism. Lastly, the equality (10) is a consequence of  $W \circ T_\eta = T_{\eta'} \circ W$ .

REMARK 4. If  $\varphi: \bar{\mathcal{O}}(\eta) \rightarrow \bar{\mathcal{O}}(\eta')$  is a topological isomorphism and  $p: \bar{\mathcal{O}}(\eta) \rightarrow \mathbf{Z}_2$  is a continuous function and (9), (10) hold, then it is easy to see that  $W$  is a topological isomorphism between  $T_\eta, T_{\eta'}$ .

Now, we are in a position to give another form of Theorem 2. To this end fix  $\eta, \eta' \in \mathcal{T}$  such that  $T_\eta$  and  $T_{\eta'}$  are topologically conjugate. Let  $W$  be a topological isomorphism between  $T_\eta$  and  $T_{\eta'}$  and suppose that  $W$  is of the form (9). Then  $W$  determines exactly one  $g_0 \in G$  which satisfies Lemma 1. Denote by  $G_t \subset G$  the set of all  $g_0$  such that there is a topological isomorphism  $W$  between  $T_\eta$  and  $T_{\eta'}$  which determines  $g_0$ . Similarly, every finitary isomorphism  $W$  between  $T_\eta$  and  $T_{\eta'}$  determines a unique  $g_0 \in G$  such that (4) holds. Let  $G_f$  be the set of all  $g_0 \in G$  such that  $g_0$  is determined by some finitary isomorphism of  $T_\eta$  and  $T_{\eta'}$ . It is not hard to see that  $g_0 \in G_f$  iff there is a measurable e. continuous function  $p: G \rightarrow \mathbf{Z}_2$  such that (5) holds. Clearly  $G_t \subseteq G_f$ . Note that every  $g_0 \in G_f$  is determined exactly by two finitary isomorphisms  $W, \bar{W}$ . These isomorphisms satisfy  $W = \sigma \circ \bar{W}$  a.e. This is a consequence of ergodicity of  $(G, \lambda, \hat{1})$  and the equality (5). Similarly every  $g_0 \in G_t$  is determined exactly by two topological isomorphisms  $W, \bar{W}$  and  $W = \sigma \bar{W}$ . Indeed, assume that

$$W(y, i) = (\varphi(y), i + p(y)), \quad \bar{W}(y, i) = (\bar{\varphi}(y), i + p(y)), \quad i \in \mathbf{Z}_2, \quad y \in \bar{\mathcal{O}}(\eta),$$

where  $\varphi(A_g) = B_{g+g_0}$ ,  $\bar{\varphi}(A_g) = B_{g+g_0}$ ,  $g \in G$ . Then  $\varphi = \bar{\varphi}$  a.e. and from (10) and ergodicity of  $(\bar{\mathcal{O}}(\eta), \mu_\eta, S)$  we obtain  $p = p + a$  a.e. Hence there is  $u \in \bar{\mathcal{O}}(\eta)$  such that  $W(u, i) = \sigma^a \bar{W}(u, i)$ ,  $i \in \mathbf{Z}_2$ . This and (11) imply that  $W(S^m u, i) = (\sigma^a \bar{W})(S^m u, i)$ ,  $i \in \mathbf{Z}_2$ . Since  $\{(S^m u, i): m \in \mathbf{Z}, i \in \mathbf{Z}_2\}$  is a dense in  $\bar{\mathcal{O}}(\eta) \times \mathbf{Z}_2$  and  $W, \bar{W}, \sigma$  are continuous, we have  $W = \sigma^a \bar{W}$ .

By the above, for  $\eta, \eta' \in \mathcal{T}^*$  Theorem 2 says that

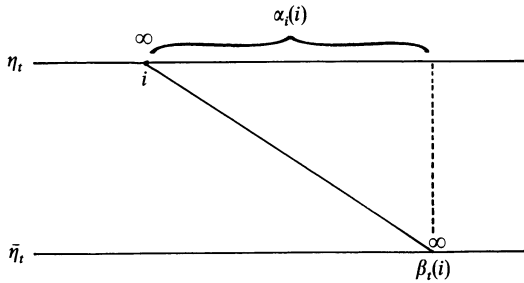
$$G_f \subseteq G_t \tag{13}$$

(and hence  $G_f = G_t$ ).

The following three lemmas prove (13).

**LEMMA 3.** Assume that  $\eta, \eta' \in \mathcal{T}^*$ . Then  $g_0 \in G_f$  if and only if  $\eta' \circ g_0$  is a Toeplitz sequence and  $\theta^{(g_0)}$  is a regular Toeplitz sequence.

*Proof.* Assume that  $g_0 \in G_f$ . Let  $p: G \rightarrow \mathbf{Z}_2$  be e. continuous map such that (5) holds. Put  $\bar{\eta} = \eta' \circ g_0$ ,  $\gamma = \eta + \bar{\eta}$ ,  $I_t = I_t(\eta)$ ,  $\bar{I}_t = I_t(\bar{\eta})$ . First we show that  $\bar{\eta}$  is a Toeplitz sequence. Fix  $t \geq 0$  with the property (7). Fix  $i \in I_t$ , and consider  $\eta_t, \bar{\eta}_t, n_t$ -skeletons of  $\eta, \bar{\eta}$ :



There exists a constant  $a_t(i)$  such that for a.e.  $g \in E_t^i$

$$\eta(g) + \bar{\eta}(g + \hat{\alpha}_t(i)) = a_t(i) \tag{14}$$

(Recall  $\hat{n} = n \circ \hat{1}$  and  $\alpha_t(i), \beta_t(i)$  are defined in Proposition 1). Indeed, suppose that for example  $\alpha_t(i) \geq 0$ . Then  $\gamma_t[j] \neq \infty$  for  $j \in K_t(i) \setminus \beta_t(i)$ . Since  $i, \beta_t(i) \oplus 1 \in J_t$  we have by repeated application of (5):

$$\begin{aligned} b_t(i) &= p(g + (\alpha_t(i) + 1)\hat{1}) + p(g) \\ &= \gamma(g) + \gamma(g + \hat{1}) + \dots + \gamma(g + \alpha_t(i)\hat{1}) \\ &= \eta(g) + \bar{\eta}(g + \hat{\alpha}_t(i)) + \bar{\eta}_t[i] + \eta_t[\beta_t(i)] + \gamma_t[i + 1] + \dots + \gamma_t[\beta_t(i) - 1]. \end{aligned}$$

Hence (14) is obvious. In particular for  $t' \geq t, k \in \mathbf{Z}$  we obtain from (14)

$$\eta_{t'}[i + k \cdot n_t] = \bar{\eta}_{t'}[\beta_t(i) + k \cdot n_t] + a_t(i). \tag{15}$$

The last property together with the fact that  $\eta$  is a Toeplitz sequence gives  $\bar{\eta}$  is a Toeplitz sequence too.

Now we show that  $\theta = \theta^{(g_0)}$  is a regular Toeplitz sequence. Take  $j \geq 1$  and choose  $t$  such that the residue  $l = j \pmod{n_t}$  belongs to  $J_t$  (such  $t$  exists since  $\eta, \eta'$  are Toeplitz sequences). For  $g \in E_t^1$  we have

$$0 = p(g + \hat{n}_t) + p(g) = \gamma(g) + \dots + \gamma(g + (n_t - 1)\hat{1}).$$

This implies

$$\gamma[l + k \cdot n_t] + \gamma[l + k \cdot n_t + 1] + \dots + \gamma[l + (k + 1) \cdot n_t - 1] = 0, \quad k \in \mathbb{Z}. \tag{16}$$

Hence  $\gamma[l + (k + 1) \cdot n_t] = \gamma[l + k \cdot n_t], k \geq 0$ , i.e.  $\theta$  is a Toeplitz sequence.  $\theta$  is regular since  $|J_t|/n_t \rightarrow 1$ .

It remains to show the sufficiency. Let  $p: G \rightarrow \mathbb{Z}_2$  be defined as follows:  $p(g) = \gamma_t[j_t]$  for sufficiently large  $t, g = (j_t)_0^\infty \in G$  ( $\gamma_t$  is the  $n_t$ -skeleton of  $\gamma$ ). Then  $p$  is correctly defined for almost all  $g \in G$ . Moreover  $p$  is e. continuous and  $p$  satisfies the condition

$$p(g + \hat{1}) + p(g) = \gamma[1 + j_t] + \gamma[j_t] = \gamma[j_t] = \gamma(g).$$

Therefore  $g_0, p$  determines a finitary isomorphism between  $T_\eta$  and  $T_{\eta'}$ .

REMARK 5. It follows from the proof of Lemma 3 that if  $\eta, \eta' \in \mathcal{T}, \eta' \circ g_0$  is a Toeplitz sequence and  $\theta^{(g_0)}$  is a regular Toeplitz sequence, then  $T_\eta$  and  $T_{\eta'}$  are finitarily isomorphic.

LEMMA 4. If  $\eta, \eta' \in \mathcal{T}^*$  and  $g_0 \in G_f$ , then

$$\exists \delta > 0 \quad d(S^m v, S^n v) < \delta \Rightarrow \gamma[m] = \gamma[n], \tag{17}$$

where  $v = \eta$  or  $v = \eta' \circ g_0$  and  $\gamma = \eta + \eta' \circ g_0$ .

Proof. Let  $g_0 \in G_f$  and choose e. continuous  $p: G \rightarrow \mathbb{Z}_2$  such that (5) holds. Fix  $t$  with the property (7). It is well known [3] that each Toeplitz sequence  $v$  satisfies the condition

$$\exists \delta_1 > 0 \quad d(S^m v, S^n v) < \delta_1 \Rightarrow m \equiv n \pmod{n_t}. \tag{18}$$

Choose  $\delta_1 > 0$  such that (18) holds for  $v = \eta, \bar{\eta} = \eta' \circ g_0$ . Take  $v = \eta$  or  $v = \bar{\eta}$ . Suppose that  $d(S^m v, S^n v) < \delta$ , where  $0 < \delta < \delta_1$  will be chosen later. We may assume that  $m < n$ . It follows from the definition of  $\gamma$  that  $\gamma[n] = \gamma[m] + \gamma[m] + \dots + \gamma[n - 1]$ . Put  $l = m \pmod{n_t}$ .

A. Suppose that  $l \in J_r$ . Then from (18) and (16) we obtain

$$\gamma[m] + \dots + \gamma[n - 1] = 0, \text{ i.e. } \check{\gamma}[m] = \check{\gamma}[n].$$

B.  $l \notin J_r$ .

Let  $i \in I_r$  be such that  $l \in K_r(i)$  (the definition of  $K_r(i)$  is in Remark 3. Let  $r < l$  be the greatest integer such that  $r \equiv r' \pmod{n_i}$ , where  $r' \in J_i$ . Take

$$\delta < \min\left(\delta_1, \frac{1}{(\frac{1}{4} \cdot \rho + \delta_1) \cdot n_i + 1}\right)$$

((6) holds for  $\rho > 0, \eta, \eta'$ ). Put  $m' = m - (l - r), n' = n - (l - r), l' = m' \pmod{n_i}$ . Since  $m' \equiv n' \pmod{n_i}$  and  $l' \in J_i$  from (16) we obtain  $\check{\gamma}[m'] = \check{\gamma}[n']$ . Moreover

$$\check{\gamma}[m] = \check{\gamma}[m'] + \gamma[m'] + \dots + \gamma[m - 1],$$

$$\check{\gamma}[n] = \check{\gamma}[n'] + \gamma[n'] + \dots + \gamma[n - 1].$$

From the inequality  $d(S^m v, S^n v) < \delta$  and (15) we obtain that  $\gamma[m'] = \gamma[n']$  and since  $\gamma_i[m' + q] = \gamma_i[n' + q] \neq \infty$  for  $q = 1, 2, \dots, m - 1 - m'$  we get  $\check{\gamma}[m] = \check{\gamma}[n]$ . This finishes the proof.

LEMMA 5. Suppose that  $\eta, \eta' \in \mathcal{F}$ . Then  $g_0 \in G_t$  iff  $\eta' \circ g_0$  is Toeplitz sequence and (16) holds.

*Proof. Necessity.*

Let  $g_0 \in G_t$  and  $W: \bar{\mathcal{O}}(\eta) \times \mathbf{Z}_2 \rightarrow \bar{\mathcal{O}}(\eta') \times \mathbf{Z}_2$  be a topological isomorphism which determines  $g_0$ .  $W$  is of the form

$$W(y, i) = (\varphi(y), p(y) + i), \quad y \in \bar{\mathcal{O}}(\eta), \quad i \in \mathbf{Z}_2,$$

where  $\varphi: \bar{\mathcal{O}}(\eta) \rightarrow \bar{\mathcal{O}}(\eta')$  is topological isomorphism,  $\varphi(A_g) = B_{g+g_0}, g \in G$  and  $p: \bar{\mathcal{O}}(\eta) \rightarrow \mathbf{Z}_2$  is a continuous map satisfying (10). Put  $a = p(g)$ . It follows from (10) that  $p(S^r \eta) = \check{\gamma}[r] + a, r \in \mathbf{Z}$  (here  $\gamma = \eta + \eta' \circ g_0$ ). By the continuity of  $p$  we obtain (17) for  $v = \eta$ . Since  $\varphi^{-1}(\eta' \circ g_0) = \eta$  and  $\varphi^{-1}$  is continuous (17) is also true for  $v = \eta' \circ g_0$ .

*Sufficiency.*

Note first that for  $\eta \in \mathcal{F}$

$$(\bar{\mathcal{O}}(\eta) \times \mathbf{Z}_2, T_\eta) \text{ and } (\bar{\mathcal{O}}(\check{\eta}), S) \text{ are topologically conjugate} \tag{19}$$

In fact, put  $Y = \{\check{\gamma} + i, y \in \bar{\mathcal{O}}(\eta), i \in \mathbf{Z}_2\}$  and consider the map  $\psi: \bar{\mathcal{O}}(\eta) \times \mathbf{Z}_2 \rightarrow Y, \psi(y, i) = \check{\gamma} + i$ . It is not hard to see that  $\psi$  is a homeomorphism and  $\psi T_\eta = S\psi$ .

Thus  $(Y, S)$  is minimal and since  $\eta \in Y$  we have  $Y = \overline{\mathcal{O}(\eta)}$ . Now we show that

$(\overline{\mathcal{O}(\eta)}, S)$  and  $(\overline{\mathcal{O}(\eta')}, S)$  are topologically conjugate.

Put  $u = \eta' \circ g_0$ . Since  $u \in \overline{\mathcal{O}(\eta')}$ , we have  $\overline{\mathcal{O}(\eta')} = \overline{\mathcal{O}(u)}$ . We define  $\varphi: \overline{\mathcal{O}(\eta)} \rightarrow \overline{\mathcal{O}(u)}$  as follows: if  $y = \lim_t S^{r_t} \eta$ , then  $\varphi(y) = \lim_t S^{r_t} u$ . First we show the correctness this definition. Note that from (17) for  $\varepsilon > 0$  we can find  $\delta' > 0$  such that

$$\begin{aligned} d(S^m \eta, S^n \eta) < \delta' &\Rightarrow d(S^m u, S^n u) < \varepsilon, \\ d(S^m u, S^n u) < \delta' &\Rightarrow d(S^m \eta, S^n \eta) < \varepsilon. \end{aligned} \tag{20}$$

Therefore if  $y = \lim_t S^{r_t} \eta$ , then  $\{S^{r_t} u\}$  is convergent. Moreover, if  $y = \lim_t S^{r_t} \eta = \lim_t S^{j_t} \eta$ , then  $d(S^{r_t} \eta, S^{j_t} \eta) \rightarrow 0$ , so  $\lim_t S^{r_t} u = \lim_t S^{j_t} u$ . It follows from (20) that  $\varphi$  is one-to-one and onto. The continuity of  $\varphi$  is a consequence of the below inequality

$$d(\varphi(y), \varphi(v)) \leq d(\varphi(y), S^{r_t} u) + d(S^{r_t} u, S^{j_t} u) + d(S^{j_t} u, \varphi(v)),$$

where  $y = \lim_t S^{r_t} \eta$ ,  $v = \lim_t S^{j_t} \eta \in \overline{\mathcal{O}(\eta)}$ . Thus  $\varphi$  is a homeomorphism and since  $\varphi S = S \varphi$ , we obtain that  $\varphi$  is a topological isomorphism.

The above shows that there is a topological isomorphism  $W: \overline{\mathcal{O}(\eta)} \times \mathbf{Z}_2 \rightarrow \overline{\mathcal{O}(\eta')} \times \mathbf{Z}_2$  such that  $W(y, 0) = (\eta' \circ g_0, 0)$ . This clearly implies  $g_0 \in G_t$ .

Lemma 4 and Lemma 5 give (13).

Now, consider sequences  $\eta \in \{0, 1, \infty\}^{\mathbf{Z}}$  which have the following property:

$$\text{if } \eta[i] \neq \infty \text{ then there is } p \in \mathbf{N} \text{ such that } \eta[i + k \cdot p] = \eta[i], k \in \mathbf{Z}. \tag{21}$$

Of course, if  $\eta[i] \neq \infty$  for all  $i \in \mathbf{Z}$ , then  $\eta$  is Toeplitz sequence. For such sequences we may, similarly as in the case Toeplitz sequences, define period structure, regularity,  $I_t(\eta)$ ,  $\eta \circ g$ . We use  $\mathcal{S}$  to denote the class of all regular sequences  $\eta \in \{0, 1, \infty\}^{\mathbf{Z}}$  with period structure  $\{n_t\}$ , satisfying the conditions (21) and (6).

**REMARK 6.** If  $\eta \in \mathcal{S}$ , then  $\eta$  contains at most one  $\infty$ . Furthermore, if  $\eta \in \mathcal{S}$  then there is  $g \in G$  such that  $\eta \circ g$  is a Toeplitz sequence. Indeed, suppose  $\eta[q] = \infty$ . Put

$$g = (1, 1, n_0, n_0, n_1, n_1, \dots) = (j_t)_0^\infty.$$

Suppose  $(\eta \circ g)[i] = \infty$ , i.e.  $\eta_t[i + j_t] = \infty$ ,  $t \geq 0$ , where  $\eta_t$  is  $n_t$ -skeleton of  $\eta$ .

Because of  $j_t \rightarrow \infty, j_t/n_t \rightarrow 0$  we have  $(i + j_t) \not\equiv q \pmod{n_t}$  for sufficiently large  $t$  and hence in view of (6) we obtain (for sufficiently large  $t$ )  $\rho \cdot n_t < i + j_t - q$ . In particular

$$0 < \rho \leq \liminf_{t \rightarrow \infty} \frac{i + j_t - q}{n_t} = 0.$$

Therefore  $(\eta \circ g)[i] \neq \infty$ .

LEMMA 6. Suppose  $\eta \in \mathcal{S}$ . Take  $g, g' \in G$  such that  $\eta \circ g, \eta \circ g'$  are Toeplitz sequences. Then  $\overline{\mathcal{O}}(\eta \circ g) = \overline{\mathcal{O}}(\eta \circ g')$ .

Proof. Put  $h = g' - g = (j_t)_{t \geq 0} \in G$ . Since  $h \circ (g + h) = (\eta \circ g) \circ h$ , we have  $\overline{\mathcal{O}}(\eta \circ g') = \overline{\mathcal{O}}((\eta \circ g) \circ h)$ . This implies  $\eta \circ g' = \lim_t S^{j_t}(\eta \circ g) \in \overline{\mathcal{O}}(\eta \circ g)$ . Since  $\eta \circ g$  is regular Toeplitz sequence,  $(\overline{\mathcal{O}}(\eta \circ g), S)$  is minimal and  $\overline{\mathcal{O}}(\eta \circ g') = \overline{\mathcal{O}}(\eta \circ g)$ .

REMARK 7. If  $\eta \in \mathcal{S}$  is not Toeplitz sequence, i.e.  $\eta[i] = \infty$ , then denote by  $\eta', \eta''$  the sequences such that  $\eta'[i] = 0, \eta''[i] = 1$  and for  $j \neq i$   $\eta'[j] = \eta''[j] = \eta[j]$ . It follows from Lemma 6 that  $\eta', \eta'' \in \overline{\mathcal{O}}(\eta \circ g)$  for every  $g \in G$  such that  $\eta \circ g$  is Toeplitz sequence. Moreover  $\overline{\mathcal{O}}(\eta') = \overline{\mathcal{O}}(\eta'') = \overline{\mathcal{O}}(\eta \circ g)$ .

Now, if  $\eta \in \mathcal{S}$  then by  $\overline{\mathcal{O}}(\eta)$  we denote the set  $\overline{\mathcal{O}}(\eta \circ g)$ , where  $g \in G$  is chosen in this way so that  $\eta \circ g$  is a Toeplitz sequence.

Denote by  $\mathcal{S}^*$  the set of all  $\eta \in \mathcal{S}$  such that  $T_\eta$  is ergodic. From above we obtain the following version of Theorem 2.

THEOREM 2'. Let  $\eta, \eta' \in \mathcal{S}^*$ . If  $W$  is a finitary isomorphism between  $T_\eta$  and  $T_{\eta'}$ , then we can extend  $W$  to a topological isomorphism between  $(\overline{\mathcal{O}}(\eta) \times \mathbf{Z}, T)$  and  $(\overline{\mathcal{O}}(\eta') \times \mathbf{Z}_2, T_{\eta'})$ .

EXAMPLE 1. Let  $x = b^0 \times b^1 \times \dots$  be a Morse sequence [5] and  $(\Omega_x, S)$  the dynamical system induced by  $x$ . Put  $c_t = b^0 \times b^1 \times \dots \times b^t, t \geq 0$  and let  $\eta_t$  be defined by

$$\eta_t[k \cdot n_t, (k + 1) \cdot n_t - 1] = \hat{c}_t \infty, \quad k \in \mathbf{Z},$$

where  $\hat{c}_t[i] = c_t[i] + c_t[i + 1], 0 \leq i \leq n_t - 2$ . Then  $\{\eta_t\}$  determines  $\eta \in \mathcal{S}^*$  (note that  $I_t(\eta) = \{n_t - 1\}$  and  $\eta[-1] = \infty$ ). Let  $\omega = \eta'$  or  $\omega = \eta''$ , where  $\eta', \eta''$  are defined as in Remark 7. It is not hard to see that for  $i \geq 0$   $x[i] = \omega[i]$ . Therefore  $\Omega_x = \overline{\mathcal{O}}(\omega)$ , and since  $\overline{\mathcal{O}}(\omega)$  is mirror invariant (i.e. if  $y \in \overline{\mathcal{O}}(\omega)$ , then  $\tilde{y} \in \overline{\mathcal{O}}(\omega)$ , where  $\tilde{y}[i] = 1 - y[i]$ ), we have by (19)  $(\overline{\mathcal{O}}(\eta) \times \mathbf{Z}_2, T_\eta)$  and  $(\Omega_x, S)$  are topologically conjugate. So, in the case of Morse dynamical systems, it follows from Theorem 2' that every finitary isomorphism can be extended to a topological one.

**Section 4. An uncountable family of ergodic Toeplitz  $\mathbb{Z}_2$ -extensions with partly continuous spectrum, such that every two (different) members are finitarily isomorphic and not topologically conjugate**

Here we use the following notation: if  $A$  is a block consisting of the symbols 0, 1, having  $l$  “holes”  $\infty$  and  $L$  is a 0–1 block of length  $l$ , then by  $A * L$  we mean the block arising from  $A$  by successive replacement of “holes” by elements of the block  $L$ , i.e. if  $L = m_1 m_2 \dots m_l$  then we write  $m_1$  instead of the first  $\infty$  in  $A$ , instead of the second  $\infty$  in  $A$  we write  $m_2$ , etc.

Let  $I \subset \prod_0^\infty \{0, 1\}$  be an uncountable set such that if  $x = (x_t), y = (y_t) \in I, x \neq y$ , then  $x_t \neq y_t$  for infinitely many  $t$ . Fix  $r = (r_t) \in I$  and put

$$A_0 = 0\infty\infty 1,$$

$$A_{t+1} = \underbrace{(A_t * 10 \dots 001 \dots 1)}_{\text{length } 2^t} \underbrace{A_t}_{2^t} \underbrace{(A_t * (10 \dots 001 \dots 1 + r_t))}_{2^t}, \quad t \geq 0.$$

Here, if  $A = a_1 a_2 \dots a_k$  is a block and  $l \in \mathbb{Z}_2$ , by  $A + 1$  we denote the block  $A$  if  $l = 0$  and the block  $\tilde{A} = (1 - a_1)(1 - a_2) \dots (1 - a_k)$  in the contrary case. The sequence  $\{A_t\}$  determines the Toeplitz sequence  $\eta = \eta(r)$  in the following way: let  $\eta_t$  be the sequence such that

$$\eta_t[k \cdot n_t, (k + 1) \cdot n_t - 1] = A_t, \quad k \in \mathbb{Z}.$$

Then  $\eta$  is the unique Toeplitz sequence for which the  $n_t$ -skeletons are  $\eta_t, t \geq 0$ . It is not hard to see that  $\eta$  is a non-periodic, regular Toeplitz sequence with the period structure  $n_t = 4^{t+1}, t \geq 0$ .

Fix  $\eta = \eta(r)$ .

LEMMA 7.  $T_\eta$  is ergodic.

*Proof.* Suppose, on contrary that  $T_\eta$  is not ergodic. It follows from [4] that there is a measurable function  $h: \bar{\mathcal{C}} \rightarrow \{-1, 1\}$  such that  $h(Sy) = (-1)^{y[0]}h(y)$  a.e. Denote by  $p: \bar{\mathcal{C}}(\eta) \rightarrow \mathbb{Z}_2$  the following function:  $p(y) = 1$  if  $h(y) = -1$  and  $p(y) = 0$  otherwise. Then  $p(Sy) + p(y) = y[0]$  a.e. If we consider  $p$  as a function on  $G$  then equivalently

$$p \circ \hat{1} + p = \eta. \tag{22}$$

Let  $p_t: G \rightarrow \mathbb{Z}_2$  be the function defined as follows: for  $0 \leq i \leq n_t - 1$

$$p_t|_{E_i^t} = 0 \text{ or } p_t|_{E_i^t} = 1,$$

and

$$p_t|_{E_i^t} = 0 \Leftrightarrow \lambda_i^{(t)}\{g \in E_i^t: p(g) = 0\} \geq \frac{1}{2}$$

where  $\lambda_i^{(t)} = \lambda(\cdot|E_i^t)$  is the conditional measure on  $E_i^t$ . Since  $p$  is measurable and the partitions  $\xi_t = \{E_i^t\}$  satisfy the condition  $\xi_t \uparrow \varepsilon$ , where  $\varepsilon = \{g\}: g \in G$  we obtain

$$p_t \rightarrow p \text{ in } G. \tag{23}$$

Let  $F_t = \bigcup_{i=0}^{n_t-1} E_i^t$ . Then

$$\lambda(F_t) = \frac{1}{4} \tag{24}$$

and from (23)  $\lambda\{g \in F_t: p_t(g) \neq p(g)\} \rightarrow 0$ . Fix  $t > 0$  and  $0 \leq i \leq n_{t-1} - 1$ . Note that from the construction of  $\eta$   $\eta \circ \hat{t}|_{E_0^t}$  is constant  $\lambda$  a.e. Therefore

$$p \circ \hat{t}|_{E_0^t} = (p + \eta + \dots + \eta \circ (i - 1)^\wedge)|_{E_0^t} = p|_{E_0^t} + a, \quad a \in \mathbf{Z}_2.$$

Hence

$$\begin{aligned} \frac{1}{2} &\geq \lambda_i^{(t)}\{g \in E_i^t: p_t(g) \neq p(g)\} = \lambda_0^{(t)}\{g \in E_0^t: p_t \circ \hat{t} \neq p \circ \hat{t}\} \\ &= \lambda_0^{(t)}\{g \in E_0^t: p_t \circ \hat{t} \neq p + a\}. \end{aligned}$$

Thus, it follows from the definition of  $p_t$  that

$$\lambda_i^{(t)}\{g \in E_i^t: p_t(g) \neq p(g)\} = \lambda_0^{(t)}\{g \in E_0^t: p_t \neq p\}.$$

So by (24) we have

$$\lambda\{g \in F_t: p_t(g) \neq p(g)\} = \frac{1}{4}\lambda_0^{(t)}\{g \in E_0^t: p_t(g) \neq p(g)\}.$$

This implies  $\lambda_0^{(t)}\{g \in E_0^t: p_t(g) \neq p(g)\} \rightarrow 0$ . The last condition guarantees that

$$\begin{aligned} \lambda_0^{(t)}\{g \in E_0^t: p \circ \hat{n}_t \neq p\} &\leq \lambda_0^{(t)}\{g: p \circ \hat{n}_t \neq p_t\} + \lambda_0^{(t)}\{g: p_t \neq p\} \\ &= 2 \cdot \lambda_0^{(t)}\{p_t \neq p\} \rightarrow 0. \end{aligned} \tag{25}$$

Let  $\psi_t = \eta + \eta \circ \hat{1} + \dots + \eta \circ (n_t - 1)^\wedge$ . Then from (22)  $p \circ \hat{n}_t = p + \psi_t$  and from



(25)  $\lambda_0^{(t)}\{g \in E_0^t: \psi_t(g) = 1\} \rightarrow 0$ . On the other hand we will show that for  $t \geq 1$

$$\lambda_0^{(t)}\{g \in E_0^t: \psi_t = 1\} \geq \frac{1}{16}. \tag{26}$$

Namely, take  $E_{n_t}^{t+2} \subset E_0^t$ . By the construction of  $\eta$ , it is clear that

$$\psi_t(E_{n_t}^{t+2}) = A_{t+2}[n_t] + A_{t+2}[n_t + 1] + \dots + A_{t+2}[2 \cdot n_t - 1].$$

Since

$$A_{t+2}[n_t, 2 \cdot n_t - 1] = A_t * \underbrace{100 \dots 0}_{2^{t+1}}$$

and  $A_t$  contains an even number of one's for  $t \geq 1$ , we get  $\psi_t(E_{n_t}^{t+2}) = 1$ . The equality  $\lambda_0^{(t)}(E_{n_t}^{t+2}) = \frac{1}{16}$  gives (26). This contradiction proves Lemma 7.

LEMMA 8.  $T_\eta$  has partly continuous spectrum.

*Proof.* Let  $\mathcal{C} = \{f \in L^2(\bar{\mathcal{O}}(\eta) \times \mathbf{Z}_2, \bar{\mu}): f \circ \sigma = -f\}$ . Since  $n_{t+1}/n_t, t \geq 0$  are even and  $T_\eta$  is ergodic, the same proof of Lemma 7 in [5] shows that  $T_\eta$  has continuous spectrum on  $\mathcal{C}$ .

Now, take  $r = (r_t), r' = (r'_t) \in I$  and put  $\eta = \eta(r), \eta' = \eta(r')$ .

LEMMA 9.  $T_\eta$  and  $T_{\eta'}$  are finitarily isomorphic.

*Proof.* Set  $\gamma = \eta + \eta', m_t = r_t + r'_t \pmod{2}, t \geq 0$ . Let us define  $C_0 = 0\infty\infty 0$ ,

$$C_{t+1} = (C_t * \underbrace{0 \dots 0}_{2^{t+1}}) C_t C_t (C_1 * \underbrace{0 \dots 0}_{2^{t+1}} + m_t), \quad t \geq 0.$$

It is not hard to see that  $\{C_i\}$  determines  $\gamma$ . By Remark 5 it suffices to show that  $\theta = \theta^{(0)}, \theta[i] = \check{\gamma}[i], i \geq 1$  is a regular one-sided Toeplitz sequence. Let  $\gamma_t$  be the  $n_t$ -skeleton of  $\gamma$ . Take  $t \geq 1$  and choose  $i \geq 1$  such that  $\gamma_t[i] \neq \infty$ . We show that

$$\check{\gamma}[i + k \cdot n_t] = \hat{\gamma}[i + (k + 1) \cdot n_t], \quad k \geq 0.$$

To this end we must verify the following equality:

$$\gamma[i + k \cdot n_t] + \gamma[i + k \cdot n_t + 1] + \dots + \gamma[i + (k + 1) \cdot n_t - 1] = 0. \tag{27}$$

Note that from the construction of  $\gamma$ , if  $j \equiv 1 \pmod{4}$ , then  $\gamma[j + 1] + \gamma[j] = 0$  and for  $j \equiv 0 \pmod{4}$  or  $j \equiv 3 \pmod{4}$   $\gamma[j] = 0$ . Thus for  $i \equiv 0 \pmod{4}$  or  $i \equiv 1 \pmod{4}$  or  $i \equiv 3 \pmod{4}$  (27) is clear. Now assume that  $i \equiv 2 \pmod{4}$ . Then  $i - 1 \equiv 1 \pmod{4}$ , and by above

$$\gamma[i - 1 + k \cdot n_t] + \dots + \gamma[i + (k + 1) \cdot n_t - 2] = 0. \tag{28}$$

Since  $\gamma_t[i - 1] \neq \infty$ , we obtain  $\gamma[i + k \cdot n_t - 1] = \gamma[i - 1] = \gamma[i + (k + 1) \cdot n_t - 1]$  and hence by (28) we have (27). So  $\theta$  is Toeplitz sequence.  $\theta$  is regular because  $\gamma$  is regular.

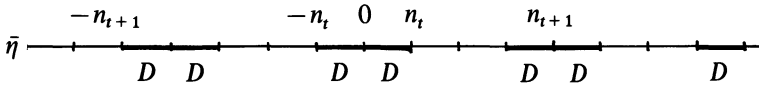
LEMMA 10.  $T_\eta$  and  $T_{\eta'}$  are not topologically conjugate.

*Proof.* Assume that  $T_\eta, T_{\eta'}$  are topologically conjugate. From Lemma 2 and Lemma 1 there is a topological isomorphism  $\varphi: \bar{\mathcal{C}}(\eta) \rightarrow \bar{\mathcal{C}}(\eta')$  and  $g_0 \in G$  such that  $\varphi(\eta) = \eta' \circ g_0$  is Toeplitz sequence. From a theorem of Hedlund [see e.g. 1 page 38]  $\varphi = F_\infty \circ S^k (k \in \mathbf{Z})$ . Here  $F_\infty$  is determined by some mapping  $F: \{0, 1\}^l \rightarrow \{0, 1\} (l \geq 1)$  in the following way:

$$(F_\infty(y))[j] = F(y[j]y[j + 1] \dots y[j + l - 1]).$$

Let  $J = \{t \geq 0: r_t = 0, r'_t = 1\}$ . Interchanging  $\eta$  with  $\eta'$ , if necessary, we may assume  $J$  is infinite. Fix  $t \in J$  with the property:  $|k| + l \leq n_{t-1}$ . Denote by  $\bar{\eta}_t$  the  $n_t$ -skeleton of  $\bar{\eta} = \eta' \circ g_0$ . We claim that

$$\bar{\eta}[i \cdot n_{t+1}, i \cdot n_{t+1} + n_t - 1] = \bar{\eta}[i \cdot n_{t+1} + 3 \cdot n_t, (i + 1) \cdot n_{t+1} - 1] = D, \quad i \in \mathbf{Z}. \tag{29}$$



Take  $i \in \mathbf{Z}$ . Then for  $i \cdot n_{t+1} \leq j \leq i \cdot n_{t+1} + n_t - 1$

$$\bar{\eta}[j] = (\varphi(\eta))[j] = ((F_\infty \circ S^k)(\eta))[j] = F(\eta[j + k] \dots \eta[j + k + l - 1]) \tag{30}$$

$$\eta_{t+1} \overbrace{\underbrace{10 \dots 001 \dots 1}_{2^{t-1}} \dots \underbrace{10 \dots 001 \dots 1}_{2^{t-1}}}^{i \cdot n_{t+1} - n_{t-1}} \dots \overbrace{\underbrace{10 \dots 001 \dots 1}_{2^{t-1}} \dots \underbrace{10 \dots 001 \dots 1}_{2^{t-1}}}^{i \cdot n_{t+1} + n_t}}^{i \cdot n_{t+1}} \dots \overbrace{\underbrace{10 \dots 001 \dots 1}_{2^{t-1}} \dots \underbrace{10 \dots 001 \dots 1}_{2^{t-1}}}^{i \cdot n_{t+1} + n_t + n_{t-1}}}^{i \cdot n_{t+1} + n_t + n_{t-1}}.$$

Since  $|k| + l \leq n_{t-1}$  and the block

$$\eta_{t+1}[i \cdot n_{t+1} - n_{t-1}, i \cdot n_{t+1} + n_t + n_{t-1} - 1]$$

does not contain  $\infty$ , we have from (30) that

$$D' = \bar{\eta}[i \cdot n_{t+1}, i \cdot n_{t+1} + n_t - 1]$$

does not depend on  $i \in \mathbf{Z}$ . Similarly the block

$$D'' = \bar{\eta}[i \cdot n_{t+1} + 3n_t, (i + 1) \cdot n_{t+1} - 1]$$

does not depend on  $i \in \mathbf{Z}$  too. We have  $D'' = D'$  because

$$\begin{aligned} & \eta_{t+1}[i \cdot n_{t+1} - n_{t-1}, i \cdot n_{t+1} + n_t + n_{t-1} - 1] \\ &= \eta_{t+1}[i \cdot n_{t+1} + 3n_t - n_{t-1}, (i+1) \cdot n_{t+1} + n_{t-1} - 1] \quad (r_t = 0). \end{aligned}$$

Let  $q = \min\{i \geq 0: \eta_t[i] = \infty\}$  and  $m = -j_{t+3} + q$ , where  $g_0 = (j_s)_0^\infty$ . Put  $B = \bar{\eta}[m]\bar{\eta}[m+n_t] \dots \bar{\eta}[m+11+n_t]$ . By construction of  $\bar{\eta}$  we obtain  $B = b_0 b_1 \dots b_{11} = 110011001010$  ( $r'_t = 1$ ). Put  $s = m \pmod{n_{t+1}}$  and write  $s = z \cdot n_t + a$ , where  $0 \leq a \leq n_t - 1$  and  $0 \leq z \leq 3$ . Set

$$\mathcal{X} = \{j \in \mathbf{Z}: j \equiv a \pmod{n_{t+1}} \text{ or } j \equiv a + 3 \cdot n_t \pmod{n_{t+1}}\}.$$

It follows from (29) that for  $j \in \mathcal{X}$

$$\bar{\eta}[j] = D[a]. \tag{31}$$

Consider the following cases:

1.  $z = 0$ . Then  $m, m + 3n_t \in \mathcal{X}$ , but this in view of (30) is impossible since  $\bar{\eta}[m] = b_0 = 1$ ,  $\bar{\eta}[m + 3n_t] = b_3 = 0$ .
2.  $z = 1$ . In this case  $m + 2n_t, m + 10n_t \in \mathcal{X}$ , but  $\bar{\eta}[m + 2n_t] = b_2 = 0$ ,  $\bar{\eta}[m + 10n_t] = b_{10} = 1$ .
3.  $z = 2$ . Then  $m + n_t, m + 9n_t \in \mathcal{X}$ ,  $\bar{\eta}[m + n_t] = b_1 = 1$ ,  $\bar{\eta}[m + 9n_t] = b_9 = 0$ .
4.  $z = 3$ . Now  $m + n_t, m + 9n_t \in \mathcal{X}$  and  $\bar{\eta}[m + n_t] = 1$ ,  $\bar{\eta}[m + 9n_t] = 0$ .

These contradictions show that  $(\bar{\mathcal{O}}(\eta), S)$  and  $(\bar{\mathcal{O}}(\eta'), S)$  are not topologically conjugate so  $(\bar{\mathcal{O}}(\eta) \times \mathbf{Z}_2, T_\eta)$  and  $(\bar{\mathcal{O}}(\eta') \times \mathbf{Z}_2, T_{\eta'})$  are not topologically conjugate as well.

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