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## On the Kodaira dimension of moduli spaces of abelian surfaces

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It is known (e.g. [Ī]) that the moduli space of principally polarized abelian surfaces is rational. On the other hand it follows from general results of Mumford [M-1] that the moduli space of p.p.a.s.'s with a full level- $n$  structure is of general type for  $n$  big. In this paper we prove that moduli spaces of p.p.a.s.'s with an intermediate level- $p$  structure are of general type for  $p$  big. More precisely let  $\mathcal{A}_2(p)$  be the moduli space of couples  $(S, H)$  where  $S$  is a p.p.a.s. and  $H \subset S[p]$  a rank two subspace of the  $p$ -torsion points, non-isotropic for the Weil pairing ( $p$  is a prime). Our main theorem asserts that  $\mathcal{A}_2(p)$  is of general type if  $p \geq 17$ . The motivation for this work came from studying moduli spaces of  $K3$  surfaces. Let  $\mathcal{F}_{2d}$  be the moduli space of  $K3$  surfaces with a primitive polarization of degree  $2d$ . For every  $n, k$  there exists a finite surjective map  $f_{n,k}: \mathcal{F}_{2n^2k} \rightarrow \mathcal{F}_{2k}$  (see the appendix). Let's fix  $k$ , say  $k = 1$ . The moduli space  $\mathcal{F}_2$  is unirational hence  $\kappa(\mathcal{F}_2) = -\infty$  but one is tempted to study the maps  $f_{n,1}: \mathcal{F}_{2n^2} \rightarrow \mathcal{F}_2$  in order to determine the Kodaira dimension of  $\mathcal{F}_{2n^2}$  for  $n$  big. Now let  $\mathcal{A}_{2,d}$  be the moduli space of abelian surfaces with a polarization with elementary divisors  $\{1, d\}$ ; we think of  $\mathcal{A}_{2,d}$  as analogous to  $\mathcal{F}_{2d}$  (see the appendix). There exist maps  $\tilde{g}_{n,k}: \mathcal{A}_{2,n^2k} \rightarrow \mathcal{A}_{2,k}$  analogous to the maps  $f_{n,k}$ . If we set  $k = 1$  and  $n$  is a prime  $p$ , then the definition of  $\tilde{g}_{p,1}: \mathcal{A}_{2,p^2} \rightarrow \mathcal{A}_{2,1} (= \mathcal{A}_2)$ , the moduli space of p.p.a.s.'s) identifies  $\mathcal{A}_{2,p^2}$  with our moduli space  $\mathcal{A}_2(p)$  and the map  $g_{p,1}$  is identified with the natural map from  $\mathcal{A}_2(p)$  to  $\mathcal{A}_2$ . So the Main Theorem is equivalent to the statement that  $\mathcal{A}_{2,p^2}$  is of general type for  $p \geq 17$  (Corollary 5.1); it suggests that  $\mathcal{F}_{2p^2}$  is also of general type for  $p$  big.

The plan of the proof of the main theorem is the following. Let  $\mathcal{A}_2$  be the moduli space of p.p.a.s.'s; we choose the (toroidal) compactification  $\bar{\mathcal{A}}_2$  of  $\mathcal{A}_2$  isomorphic to  $\bar{\mathcal{M}}_2$ , the moduli space of stable genus two curves. In Section 1 we establish some relations between divisor classes on  $\bar{\mathcal{A}}_2$ . Let  $\pi: \mathcal{A}_2(p) \rightarrow \mathcal{A}_2$  be the map obtained by associating to the couple  $(S, H)$  the surface  $S$ , i.e. by forgetting the  $p$ -structure. We define  $\bar{\mathcal{A}}_2(p)$  to be the natural toroidal compactification of  $\mathcal{A}_2(p)$  such that  $\pi$  extends to a finite surjective map  $\pi: \bar{\mathcal{A}}_2(p) \rightarrow \bar{\mathcal{A}}_2$ . In Section

2 we apply Hurwitz’s formula to  $\pi$  in order to get an expression for the canonical class of  $\tilde{\mathcal{A}}_2(p)$ . Not all singularities of  $\tilde{\mathcal{A}}_2(p)$  are canonical, i.e. some of them “impose conditions on adjoints”. In Section 3 we construct a partial desingularization  $\hat{\mathcal{A}}_2(p)$  of  $\tilde{\mathcal{A}}_2(p)$  all of whose singularities are canonical. In Section 4 we show that  $h^0(nK_{\hat{\mathcal{A}}_2(p)}) \geq Q(p)n^3 + O(n^2)$  for  $n$  sufficiently divisible, where  $Q(p) > 0$  for  $p \geq 17$ . Hence  $\text{tr. deg. } \bigoplus_{n=0}^{\infty} H^0(nK_{\hat{\mathcal{A}}_2(p)}) = 4$  for  $p \geq 17$ ; since  $\hat{\mathcal{A}}_2(p)$  is canonical  $\mathcal{A}_2(p)$  is of general type ( $p \geq 17$ ).

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NOTATION: Let  $S$  be an abelian surface, then  $S[n]$  will be the subgroup of  $n$ -torsion points.

Let  $S$  be a p.p.a.s. (or let  $C$  be a genus two curve); let  $-1 \in \text{Aut}(S)$  be multiplication by  $-1$  (respectively let  $\iota: C \rightarrow C$  be the hyperelliptic involution), then

$$\text{Aut}'(S) = \text{Aut}(S)/\{\text{id.}, -1\} \text{ (respectively } \text{Aut}'(C) = \text{Aut}(C)/\{\text{id.}, \iota\}.$$

We will refer to  $\text{Aut}'(S)$  ( $\text{Aut}'(C)$ ) as the reduced group of automorphisms of  $S$  (respectively  $C$ ).

By elliptic curve we mean a curve of arithmetic genus one with at most one nodal singularity. We let  $j(E)$  be the usual  $j$ -invariant of  $E$ , if  $j(E) = 0, E \cong \mathbb{C}/\mathbb{Z} + \mathbb{Z}e^{\pi i/3}$ , if  $j(E) = 1728, E \cong \mathbb{C}/\mathbb{Z} + \mathbb{Z}i$ , if  $j(E) = \infty, E$  is singular.

$\langle g_1, \dots, g_n \rangle$  will be the group generated by  $g_1, \dots, g_n$ .  $U(x, y, \dots, z)$  will be the affine space with coordinates  $x, y, \dots, z$ .  $e_n$  denotes a primitive  $n$ th root of unity.

Let  $M$  be the moduli space of a class of varieties, let  $f: V \rightarrow T$  be a family of such varieties, we will denote by  $m$  (sometimes  $m_V$  or  $m_p$ ) the induced map from  $T$  to  $M$ . In particular if  $V$  is one such variety  $m(V) \in M$  will be the moduli point of  $V$ .

### Section 1. Divisors on $\mathfrak{M}_2$

Let  $\mathfrak{M}_2$  be the moduli space of Deligne-Mumford stable curves of arithmetic genus two.

DEFINITION 1.1. (i) Let  $\Delta_0 \subset \mathfrak{M}_2$  be the divisor parametrizing curves with one (at least) nondisconnecting node.

(ii) Let  $\Delta_1 \subset \mathfrak{M}_2$  be the divisor parametrizing curves with one disconnecting node.

(iii) Let  $\Delta_2 \subset \mathfrak{M}_2$  be the divisor whose generic point is the moduli of a double cover of an elliptic curve.

REMARK. The generic curve whose moduli belongs to  $\Delta_2$  is given by

$$y^2 = (x^2 - \alpha_1)(x^2 - \alpha_2)(x^2 - \alpha_3).$$

It has two involutions whose quotient is an elliptic curve, namely  $\iota_1, \iota_2$ , where

$$\iota_1^*(x, y) = (-x, y) \quad \text{and} \quad \iota_2^*(x, y) = (-x, -y).$$

DEFINITION 1.2. Let, by abuse of notation,  $\Delta_0, \Delta_1, \Delta_2 \in \text{Pic}(\mathfrak{M}_2) \otimes \mathbb{Q}$  be the classes of the reduced divisors  $\Delta_0, \Delta_1, \Delta_2$ .

REMARK. The singularities of  $\mathfrak{M}_2$  are quotient singularities hence every Weil divisor is  $\mathbb{Q}$ -Cartier, so  $\Delta_0, \Delta_1, \Delta_2$  are indeed elements of  $\text{Pic}(\mathfrak{M}_2) \otimes \mathbb{Q}$ . Our classes  $\Delta_i$ 's are given by the reduced  $\Delta_i$ 's; they differ from Mumford's  $[\text{M-2}]$  classes  $[\Delta_i]_{\mathbb{Q}}$ . In fact the relation should be

$$[\Delta_1]_{\mathbb{Q}} = \frac{1}{2}\Delta_1, \quad [\Delta_2]_{\mathbb{Q}} = \frac{1}{2}\Delta_2.$$

DEFINITION 1.3. Let  $f: \mathcal{C} \rightarrow T$  be a family of stable genus two curves. The Hodge bundle (over  $T$ ) is

$$\lambda_T = \Lambda^2(f_*\omega_{\mathcal{C}/T}).$$

The Hodge bundle can be viewed as an element of the functorial Picard group of  $\mathfrak{M}_2$ . Due to curves with extra-automorphisms it does not come from a line bundle on  $\mathfrak{M}_2$ . A sufficiently divisible power of the functorial Hodge bundle (a common multiple of the orders of automorphism groups of stable genus two curves will do) is the pull-back of a line bundle on  $\mathfrak{M}_2$ , hence we can give

DEFINITION 1.4. Let  $\lambda \in \text{Pic}(\mathfrak{M}_2) \otimes \mathbb{Q}$  be the Hodge bundle.

DEFINITION 1.5. Let  $\{E_t\}$  ( $t \in T \cong \mathbb{P}^1$ ) be a Lefschetz pencil on a smooth cubic surface in  $\mathbb{P}^3$ . Let  $F$  be a fixed generic elliptic curve, i.e.  $j(F) \neq 0, 1728, \infty$ . Let  $C_t = E_t \cup F$  be obtained by gluing  $E_t$  and  $F$  along the zeroes of the group laws (notice that the pencil  $\{E_t\}$  has three sections so we can choose one as the curve of zeroes of the  $E_t$ 's). Let  $f: \mathcal{C} \rightarrow T$  be the resulting family of stable genus two curves.

LEMMA 1.1: Let  $m: T \rightarrow \mathfrak{M}_2$  be the moduli map associated to the family  $f: \mathcal{C} \rightarrow T$ ,

- (i)  $\deg m^*(\Delta_0) = 12$ ,
- (ii)  $\deg m^*(\Delta_1) = -2$ ,
- (iii)  $\deg m^*(\Delta_2) = 24$ ,
- (iv)  $\deg m^*(\lambda) = 1$ .

*Proof.* (i) There are 12 singular fibers in the pencil  $\{E_t\}$ , since it is a Lefschetz

pencil the curve  $m(T) \subset \mathfrak{M}_2$  is transverse to  $\Delta_0$  at each point of intersection. Hence  $\deg m^*(\Delta_0) = 12$ .

(ii) Let  $\varphi: \mathcal{E} \rightarrow T, \psi: \mathcal{F} \rightarrow T$  be two families of elliptic curves. Let  $g: \mathcal{C} \rightarrow T$  be the family of curves  $\{C_t = E_t \cup F_t\}$  obtained by gluing the zeroes, and let  $\sigma: T \hookrightarrow \mathcal{E}, \tau: T \hookrightarrow \mathcal{F}$  be the sections given by the zeroes. Let  $m: T \rightarrow \mathfrak{M}_2$  be the associated moduli map, by definition  $m(T) \subset \Delta_1$ . Following [H-M], page 51, we have that

$$m^*(\Delta_1) \cong [(\mathcal{N}_{\sigma(T)/\mathcal{E}}) \otimes (\mathcal{N}_{\tau(T)/\mathcal{F}})]^{\otimes 2}.$$

In our case  $\mathcal{N}_{\sigma(T)/\mathcal{E}} \cong \mathcal{O}_T(-1)$  and  $\mathcal{N}_{\tau(T)/\mathcal{F}} \cong \mathcal{O}_T$  hence  $\deg m^*(\Delta_1) = -2$ .

(iii) It is not difficult to check that  $m(t) \in \Delta_2$  if and only if  $E_t \cong F$ . Since  $\deg m^*(\Delta_0) = 12$  there are 12 such values of  $t$ . Let  $t_0$  be such a value, let  $U$  be the universal deformation space of  $C_{t_0} = E_{t_0} \cup F$ , let  $\tilde{m}: T \rightarrow U$  be the map associated to the family  $f: \mathcal{C} \rightarrow T$  and let  $m_U: U \rightarrow \mathfrak{M}_2$  be the moduli map. Let  $\Delta_1(U) \subset U, \Delta_2(U) \subset U$  be the divisors such that  $m_U(\Delta_1(U)) = \Delta_1, m_U(\Delta_2(U)) = \Delta_2$ ; it is easy to check that they are transverse. Let  $C = E \cup F$  be a curve (in the universal family over  $U$ ) lying over  $\Delta_1(U)$ ; let  $x = j(E), y = j(F)$ , they are local coordinates on  $\Delta_1(U)$ . We have that  $\Delta_2(U) \cap \Delta_1(U) = \{P \in \Delta_1(U) \mid x(P) = y(P)\}$  and  $\tilde{m}(T) = \{P \in \Delta_1(U) \mid y(P) = j(F)\}$ , hence  $\tilde{m}(T)$  is transverse to  $\Delta_2(U)$ . Since  $m_U: U \rightarrow \mathfrak{M}_2$  is ramified with index 2 along  $\Delta_2(U)$  we get that  $\deg m^*(\Delta_2) = 2 \cdot (\#\{t \in T \mid m(t) \in \Delta_2\}) = 24$ .

(iv) Let  $\lambda'_T$  be the Hodge bundle of the pencil  $\{E_t\}$ , i.e.,  $\lambda'_T = \varphi_* \omega_{\mathcal{E}/T}$ , then  $\lambda'_T \cong \lambda_T$ . An easy computation gives that  $\deg \lambda'_T = 1$  hence  $\deg \lambda_T = 1$ .

DEFINITION 1.6. Let  $E$  be a fixed elliptic curve with  $j(E) \neq 0, 1728, \infty$ . Let  $S = E \times E$  and let  $\varphi: \tilde{S} \rightarrow S$  be the blow up of  $S$  at  $(P, P)$  where  $P \in E$  is the zero of the group law. Let  $\tilde{\Delta}, \tilde{\Sigma} \subset \tilde{S}$  be the strict transforms of the diagonal  $\Delta$  and of  $\Sigma = \{P\} \times E$  respectively. Let  $\pi_2 \varphi: \tilde{S} \rightarrow E$  be the composition of  $\varphi$  and projection on the second factor;  $\tilde{\Delta}$  and  $\tilde{\Sigma}$  are sections of the family of elliptic curves  $\pi_2 \varphi$ . Let  $\mathcal{C}$  be obtained from  $\tilde{S}$  by gluing  $\tilde{\Delta}$  and  $\tilde{\Sigma}$  in the obvious way so that we get a family  $g: \mathcal{C} \rightarrow E$  of genus two stable curves with one nondisconnecting node each. The fiber of  $g$  over  $Q \neq P$  is obtained from  $E$  by gluing  $Q$  and  $P$ ; the fiber over  $P$  is the union of  $E$  and the singular elliptic curve.

LEMMA 1.2: Let  $m: E \rightarrow \mathfrak{M}_2$  be the moduli map associated to the family  $g: \mathcal{C} \rightarrow E$ , then

- (i)  $\deg m^*(\Delta_0) = -2$ ,
- (ii)  $\deg m^*(\Delta_1) = 2$ ,
- (iii)  $\deg m^*(\Delta_2) = 6$ ,
- (iv)  $\deg m^*(\lambda) = 0$ .

*Proof.* (i) By definition  $m(E) \subset \Delta_0$ . We have

$$m^*(\Delta_0) \cong (\mathcal{N}_{\tilde{\Delta}/\tilde{S}}) \otimes (\mathcal{N}_{\tilde{\Sigma}/\tilde{S}}),$$

Since  $\tilde{\Delta} \cdot \tilde{\Delta} = \tilde{\Sigma} \cdot \tilde{\Sigma} = -1$  we get that  $\deg m^*(\Delta_0) = -2$ .

(ii) Obviously  $m^{-1}(\Delta_1) = \{P\}$ . Let  $U$  be the universal deformation space of  $C_P = g^{-1}(P)$  and let  $\Delta_1(U)$  be the divisor parametrizing curves with one disconnecting node. Let  $\tilde{m}: E \rightarrow U$  be the map associated to the family  $g: \mathcal{C} \rightarrow E$ ,  $\tilde{m}$  is one-to-one and the image is fixed by the action of the extra automorphism of  $C_P$ . Hence  $\tilde{m}(E)$  is transverse to the divisor fixed by this action, i.e.  $\Delta_1(U)$ . Since the moduli map  $m_U: U \rightarrow \mathfrak{M}_2$  is ramified with index 2 along  $\Delta_1(U)$  we get that  $\deg m^*(\Delta_1) = 2$ .

(iii) It is easy to check that

$$m^{-1}(\Delta_2) = \{Q \in E \mid Q \neq P \text{ and } 2Q \cong 2P\}$$

hence  $\#m^{-1}(\Delta_2) = 3$ . An argument similar to the previous one gives that  $\deg m^*(\Delta_2) = 6$ .

(iv) We have the exact sequence

$$0 \rightarrow H^0(\omega_E) \otimes \mathcal{O}_E \rightarrow \pi_*(\omega_{\mathcal{C}/E}) \xrightarrow{R} \mathcal{O}_E \rightarrow 0$$

where  $R$  is the residue map. Hence

$$\deg m^*(\lambda) = c_1(\pi_*(\omega_{\mathcal{C}/E})) = 0.$$

**COROLLARY 1.1.**  $\{\Delta_0, \Delta_1\}$  is a basis of  $\text{Pic}(\mathfrak{M}_2) \otimes \mathbb{Q}$ .

*Proof.* Igusa [I] proved that  $\mathfrak{M}_2 \cong U(x, y, z)/\langle g \rangle$  where  $g^*(x, y, z) = (e_5 x, e_5^2 y, e_5^3 z)$ , hence  $\text{Pic}(\mathfrak{M}_2) \otimes \mathbb{Q} \cong \{0\}$ . Since  $\mathfrak{M}_2 = \mathfrak{M}_2 \setminus (\Delta_0 \cup \Delta_1)$ ,  $\text{Pic}(\mathfrak{M}_2) \otimes \mathbb{Q}$  is generated by  $\Delta_0$  and  $\Delta_1$ . Lemmas 1.1 and 1.2 show that  $\Delta_0$  and  $\Delta_1$  are independent, hence they form a basis.

**COROLLARY 1.2.**  $10\lambda = \Delta_0 + \Delta_1$ .

*Proof.* By the previous corollary we know that  $\lambda = x\Delta_0 + y\Delta_1$  for some  $x, y \in \mathbb{Q}$ . Using Lemmas 1.1 and 1.2 we get  $x = y = \frac{1}{10}$ .

**COROLLARY 1.3.**  $\Delta_2 = 3\Delta_0 + 6\Delta_1$ .

*Proof.* Same as previous corollary.

**LEMMA 1.3.**  $K_{\mathfrak{M}_2} = -\frac{11}{5}\Delta_0 - \frac{16}{5}\Delta_1$ .

*Proof.* In [H-M] a formula is given for the canonical class of  $\mathfrak{M}_g$ , the moduli space of stable genus  $g$  curves, with  $g \geq 4$ . The same kind of formula holds for  $K_{\mathfrak{M}_2}$  with an extra contribution from  $\Delta_2$  since the points in  $\Delta_2$  represent curves with an extra automorphism. The formula one gets is

$$K_{\mathfrak{M}_2} = 13\lambda - 2\Delta_0 - \frac{3}{2}\Delta_1 - \frac{1}{2}\Delta_2.$$

Taking into account Corollaries 1.2 and 1.3 we get that

$$K_{\mathfrak{M}_2} = -\frac{11}{5}\Delta_0 - \frac{16}{5}\Delta_1.$$

Another way of proceeding is the following. We know that  $K_{\mathfrak{M}_2} = x\Delta_0 + y\Delta_1$  for some  $x, y \in \mathbb{Q}$ . Igusa’s description of  $\mathfrak{M}_2$  via invariants of binary sextics actually extends to a description of  $\mathfrak{M}_2 \setminus \Delta_1$ . Thus one can check directly that  $x = -\frac{1}{5}$ . One can then obtain  $y = -\frac{1}{5^6}$  by applying adjunction to  $\Delta_0$ .

Let  $\mathcal{A}_2$  be the moduli space of principally polarized abelian surfaces. By associating to a genus two curve its Jacobian we get a map  $\text{Jac}: \mathfrak{M}_2 \rightarrow \mathcal{A}_2$  which extends to an isomorphism  $\text{Jac}: \mathfrak{M}_2 \setminus \Delta_0 \xrightarrow{\sim} \mathcal{A}_2$ .

**DEFINITION 1.7.** Let  $\bar{\mathcal{A}}_2 \subset \bar{\mathfrak{M}}_2$  be the compactification of  $\mathcal{A}_2$  given by  $\text{Jac}^{-1}: \mathcal{A}_2 \hookrightarrow \mathfrak{M}_2$  (i.e.  $\bar{\mathcal{A}}_2 = \bar{\mathfrak{M}}_2$ ).

**IMPORTANT REMARK.** The compactification  $\bar{\mathcal{A}}_2$  is a toroidal compactification of  $\mathcal{A}_2[n]$ .

We will identify  $\bar{\mathfrak{M}}_2$  and  $\bar{\mathcal{A}}_2$  via the isomorphism  $\text{Jac}: \bar{\mathfrak{M}}_2 \xrightarrow{\sim} \bar{\mathcal{A}}_2$ . In particular we will denote by  $\Delta_0, \Delta_1, \Delta_2$  the divisor classes  $\text{Jac}(\Delta_0), \text{Jac}(\Delta_1), \text{Jac}(\Delta_2) \in \text{Pic}(\bar{\mathcal{A}}_2) \otimes \mathbb{Q}$ . Notice that  $\Delta_1 \subset \bar{\mathcal{A}}_2$  is the closure of the locus of moduli of p.p.a.s.’s  $(S, \Theta)$  with an elliptic curve  $E \subset S$  such that  $E \cdot \Theta = 1$ . Similarly  $\Delta_2 \subset \bar{\mathcal{A}}_2$  is the closure of the locus of moduli of p.p.a.s.’s  $(S, \Theta)$  with an elliptic curve  $E \subset S$  such that  $E \cdot \Theta = 2$ .

**Section 2. The canonical divisor class on  $\bar{\mathcal{A}}_2(p)$**

We now come to the object of our study.

**DEFINITION 2.1.** Let  $\mathcal{A}_2(p)$  be the coarse moduli space of couples  $(S, H)$  where  $S$  is a p.p.a.s. and  $H \subset S[p]$  is a rank two subspace non-isotropic for the Weil pairing, where  $p$  is a prime.

Let  $L$  be a lattice of rank four and let  $E$  be an alternating bilinear form on  $L$  with elementary divisors  $\{1, 1\}$ . Let  $E$  denote also the extension of  $E$  to  $L \otimes \mathbb{C}$ , then  $H(v, w) = \sqrt{-1} E(v, \bar{w})$  is a Hermitian form on  $L \otimes \mathbb{C}$ . Siegel’s upper half space can be realized as

$$\mathbb{H}_2 \cong \{V \subset L \otimes \mathbb{C} \mid \dim V = 2, E|_V \equiv 0, H|_V > 0\}$$

i.e. as a classifying space for weight one Hodge structures. Let  $p$  be a prime, let  $E_p$  be the  $\mathbb{F}_p$ -valued alternating form that  $E$  induces on  $L_p = L \otimes \mathbb{F}_p$  and let  $\Sigma_p \subset L_p$  be a fixed rank two subspace non-isotropic for  $E_p$ . Now let  $S$  be a p.p.a.s. and  $H \subset S[p]$  a non-isotropic subspace; the Weil pairing identifies  $H_1(S, \mathbb{F}_p)$  with  $H^1(S, \mathbb{F}_p)$  hence we can think of  $H$  as living in  $H^1(S, \mathbb{F}_p)$ . Let  $f: H^1(S, \mathbb{Z}) \rightarrow L$  be any isomorphism such that  $f^*E$  is the polarization on  $S$  and such that  $f(H) = \Sigma_p$ ; then  $f(H^{1,0}(S)) \in \mathbb{H}_2$ . It is clear that by this construction  $\mathcal{A}_2(p)$  can be realized as

$\Gamma_p \backslash \mathbb{H}_2$ , where

$$\Gamma_p = \{g \in Sp(4, \mathbb{Z}) \mid g(\Sigma_p) = \Sigma_p\}.$$

As usual  $m(S, H) \in \mathcal{A}_2(p)$  (or  $m_p(S, H)$ ) will be the moduli point of  $(S, H)$ . Let  $C$  be a smooth genus two curve, we will use  $(C, H)$  ( $H \subset \text{Jac}(C)[p]$ ) as an alternative notation for  $(\text{Jac}(C), H)$ .

**DEFINITION 2.2.** Let  $\pi: \mathcal{A}_2(p) \rightarrow \mathcal{A}_2$  be defined by  $\pi(m(S, H)) = m(S)$ , i.e. by forgetting the  $p$ -structure on  $S$ .

**REMARKS.** Notice that there is an involution  $\iota: \mathcal{A}_2(p) \rightarrow \mathcal{A}_2(p)$  commuting with  $\pi$ : let  $x = m(S, H)$  then  $\iota(x) = m(S, H^\perp)$  (orthogonality is with respect to the Weil pairing).

The map  $\pi$  can also be defined as the map induced from the inclusion  $\Gamma_p < Sp(4, \mathbb{Z})$ .

**LEMMA 2.1.** Let  $\pi: \mathcal{A}_2(p) \rightarrow \mathcal{A}_2$ , then  $\deg \pi = p^4 + p^2$ .

*Proof.* Let  $m(S) \in \mathcal{A}_2$  be a generic point of  $\mathcal{A}_2$ , i.e. let the automorphism group of  $S$  be generated by multiplication by  $-1$ . The fiber  $\pi^{-1}(m(S))$  is in one-to-one correspondence with the set of isomorphism classes of couples  $(S, H)$ . Since multiplication by  $-1$  fixes every subspace of  $S[p]$  the degree of  $\pi$  is equal to the number of subspaces  $H \subset L_p$  such that  $E_{p|H}$  is non-degenerate. The Grassmannian  $\text{Gr}(2, L_p)$  of planes in  $L_p$  is realized by the Plucker embedding as the variety of rational points of a smooth quadric hypersurface in  $\mathbb{P}(\Lambda^2 L_p)$ . The isotropic subspaces correspond to points on a hyperplane section, which is smooth if  $p > 2$ . Hence if  $p > 2$

$$\deg \pi = (1 + p + 2p^2 + p^3 + p^4) - (1 + p + p^2 + p^3) = p^4 + p^2.$$

One can check that the formula still holds if  $p = 2$ .

**PROPOSITION 2.1.** Let  $D \subset \mathcal{A}_2$  be an irreducible component of the branch divisor of  $\pi$ , then  $D$  parametrizes surfaces with extra automorphisms.

*Proof.* Let  $m(S)$  be a generic point of  $D$ , i.e. let  $\text{Aut}'(S)$  be contained in the reduced automorphism group of all surfaces  $T$  such that  $m(T) \in D$ . Let  $U$  be the universal deformation space of  $S$ , let  $m: U \rightarrow \mathcal{A}_2$  be the moduli map. The group  $\text{Aut}'(S)$  acts on  $U$  and  $m(U) \cong \text{Aut}'(S) \backslash U$ . Let  $m(S, H) \in \mathcal{A}_2(p)$  be a point in the ramification divisor lying over  $D$ . The deformation space of  $(S, H)$  is isomorphic to  $U$ . Let  $\text{Aut}'(S, H) < \text{Aut}'(S)$  be the subgroup fixing  $H$  (this makes sense because multiplication by  $-1$  fixes  $H$ ). Let  $m_p: U \rightarrow \mathcal{A}_2(p)$  be the moduli map, then



$m_p(U) \cong \text{Aut}'(S, H) \setminus U$ . The map  $\pi: m_p(U) \rightarrow m(U)$  is induced from the inclusion  $\text{Aut}'(S, H) < \text{Aut}'(S)$ . The inclusion of groups must be proper because  $\pi$  is ramified hence  $\text{Aut}'(S)$  cannot be trivial. Q.E.D.

The preceding discussion also proves the following.

**PROPOSITION 2.2.** *Let  $D \subset \mathcal{A}_2$  be an irreducible component of the branch divisor of  $\pi$  and let  $m(S)$  be a generic point of  $D$ . The ramification index of the component of  $\pi^{-1}(D)$  through  $m(S, H)$  is equal to*

$$[\text{Aut}'(S): \text{Aut}'(S, H)].$$

It is easy to check that the divisors on  $\mathcal{A}_2$  parametrizing p.p.a.s.'s with extra automorphisms are exactly  $\Delta_1$  and  $\Delta_2$ .

**DEFINITION 2.3.** Let  $\tilde{\Delta}_1 \subset \mathcal{A}_2(p)$  be the locus of moduli of couples  $(S, H)$  with  $S$  a reducible p.p.a.s. (i.e.  $S \cong E \times F$ ) and  $H = E[p]$  or  $H = F[p]$ .

Obviously  $\pi(\tilde{\Delta}_1) = \Delta_1$  and  $\tilde{\Delta}_1$  is a two sheeted cover of  $\Delta_1$ .

**DEFINITION 2.4.** Let  $R_1 \subset \mathcal{A}_2(p)$  be the (reduced) divisor such that  $\pi^{-1}(\Delta_1) = \tilde{\Delta}_1 \cup R_1$ .

**LEMMA 2.2.** *If  $p > 2$  the map  $\pi: \mathcal{A}_2(p) \rightarrow \mathcal{A}_2$  is unramified along  $\tilde{\Delta}_1$  and has ramification index 2 along  $R_1$ . If  $p = 2$ ,  $\pi$  is unramified along all of  $\pi^{-1}(\Delta_1)$ .*

*Proof.* Let  $m(S)$  be a generic point of  $\Delta_1$ . Let  $g \in \text{Aut}'(S)$  act as multiplication by  $-1$  on  $E$  and as the identity on  $F$ , then  $\text{Aut}'(S) = \langle g \rangle \cong \mathbb{Z}/(2)$ . If  $p > 2$   $E[p]$  and  $F[p]$  are the only non-isotropic subspaces fixed by  $g$ , hence  $\text{Aut}'(S, E[p]) \cong \text{Aut}'(S, F[p]) \cong \mathbb{Z}/(2)$  and  $\text{Aut}'(S, H) \cong \langle \text{id} \rangle$  if  $H \neq E[p], F[p]$ . Therefore by Proposition 2.2,  $\pi$  is unramified along  $\tilde{\Delta}_1$  and has ramification index one along  $R_1$ . If  $p = 2$ , since  $g$  acts as the identity on  $S[2]$ ,  $\text{Aut}'(S, H) \cong \langle g \rangle$  for all  $H$ , hence  $\pi$  is unramified over  $\Delta_1$ .

**COROLLARY 2.1.**  $\deg \pi|_{R_1} = \frac{1}{2}(p^4 + p^2) - 1, \pi^*(\Delta_1) = \tilde{\Delta}_1 + 2R_1$  ( $p > 2$ ).

**DEFINITION 2.5.** Let  $m(S) \in \Delta_2$ , i.e.  $S$  contains an elliptic curve  $E$  such that  $E \cdot \Theta = 2$ , where  $\Theta \subset S$  is the theta divisor. Let  $\alpha: E \hookrightarrow S$  be the inclusion, let  $\alpha: E[p] \hookrightarrow S[p]$  be the restriction of  $\alpha$  to  $p$ -torsion points. If  $p > 2$   $\alpha(E[p])$  is non-isotropic. For  $p > 2$  let  $\tilde{\Delta}_2 \subset \mathcal{A}_2(p)$  be the locus of moduli of couples  $(S, H)$  where  $m(S) \in \Delta_2$  and  $H = \alpha(E[p])$  or  $H = \alpha(E[p])^\perp$ .

**DEFINITION 2.6.** For  $p > 2$  let  $R_2 \subset \mathcal{A}_2(p)$  be the (reduced) divisor defined by  $\pi^{-1}(\Delta_2) = \tilde{\Delta}_2 \cup R_2$ . When  $p = 2$  let  $R_2 = \pi^{-1}(\Delta_2)$ .

**LEMMA 2.3.** *If  $p > 2$  the map  $\pi: \mathcal{A}_2(p) \rightarrow \mathcal{A}_2$  is unramified along  $\tilde{\Delta}_2$  and has*

ramification index 2 along  $R_2$ . If  $p = 2$   $\pi$  has ramification index 2 along all of  $\pi^{-1}(\Delta_2) = R_2$ .

*Proof.* Let  $m(S) \in \Delta_2$  be generic, i.e. let  $\text{Aut}'(S) \cong \mathbb{Z}/(2)$ . The surface  $S$  is isomorphic to  $E \times F/G$ , where

$$G = \{(x, \varphi(x)) \mid x \in E[2] \text{ and } \varphi: E[2] \rightarrow F[2] \text{ is a symplectic isomorphism}\}.$$

Let  $S' = E \times F$ , let  $\Theta' \subset S'$  be the reducible principal polarization and let  $f: S' \rightarrow S$  be the quotient map, then  $f^*(\Theta) \cong 2\Theta'$  ( $\Theta$  is the principal polarization on  $S$ ). If  $p > 2$  the map  $f: S'[p] \rightarrow S[p]$  is an isomorphism of groups. Let  $W, W'$  be the Weil pairings on  $S, S'$  respectively, then  $W(f(x), f(y)) = 2W'(x, y)$  hence  $H \subset S[p]$  is non-isotropic if and only if  $f^{-1}(H) \subset S'[p]$  is non-isotropic. The reduced group  $\text{Aut}'(S)$  is generated by the automorphism induced from the extra automorphism of  $S'$ . Hence we are reduced to the case of the previous lemma and we get that  $\pi$  is unramified along  $\tilde{\Delta}_2$  and has ramification index 2 along  $R_2$ . In the case  $p = 2$  one checks that there are no non-isotropic subspaces of  $S[2]$  fixed by the extra automorphism hence  $\pi$  is ramified with index 2 along all of  $\pi^{-1}(\Delta_2)$ .

**COROLLARY 2.2.** Let  $\pi: \mathcal{A}_2(p) \rightarrow \mathcal{A}_2$  then

$$\deg \pi|_{R_2} = \frac{1}{2}(p^4 + p^2) - 1, \quad \pi^*(\Delta_2) = \tilde{\Delta}_2 + 2R_2 \quad (p > 2).$$

Since  $\pi$  is induced from the inclusion  $\Gamma_p < \text{Sp}(4, \mathbb{Z})$  the rational polyhedral decompositions defining the toroidal compactification  $\mathcal{A}_2 \subset \tilde{\mathcal{A}}_2$  also define a compactification  $\mathcal{A}_2(p) \subset \tilde{\mathcal{A}}_2(p)$  such that  $\pi$  extends to a finite surjective map  $\pi: \tilde{\mathcal{A}}_2(p) \rightarrow \tilde{\mathcal{A}}_2$ . We will prove that  $\mathcal{A}_2(p)$  is of general type for  $p \geq 17$  by studying  $n$ -canonical forms on the compactification  $\tilde{\mathcal{A}}_2(p)$ .

The set of codimension 1 boundary components of  $\mathbb{H}_2$  is in one-to-one correspondence with the set of one-dimensional subspaces of  $L \otimes \mathbb{Q}$ . If  $\mathbb{Q}v = [v]$  is such a subspace we can think of  $v$  as the vanishing cocycle. Any two such subspaces  $[v]$  and  $[w]$  are  $\text{Sp}(4, \mathbb{Z})$ -equivalent (this is equivalent to  $\Delta_0$  being irreducible). The smaller group  $\Gamma_p$  does not act transitively on  $\mathbb{P}(L \otimes \mathbb{Q})$ , in fact we have

*Claim.* There are three equivalence classes for the action of  $\Gamma_p$  on  $\mathbb{P}(L)$ :

- (a)  $\{[v] \in \mathbb{P}(L \otimes \mathbb{Q}) \mid v_p \in \Sigma_p^\perp\}$ ,
- (b)  $\{[v] \in \mathbb{P}(L \otimes \mathbb{Q}) \mid v_p \in \Sigma_p\}$ ,
- (c)  $\{[v] \in \mathbb{P}(L \otimes \mathbb{Q}) \mid v_p \notin \Sigma_p^\perp, v_p \notin \Sigma_p\}$ .

where  $v_p = v \otimes \bar{1}$  is the reduction of  $v$  modulo  $p$ .

It is clear that (a), (b), (c) are not equivalent under  $\Gamma_p$ . It is also easy to check that  $\Gamma_p$  acts transitively on each of the sets (a), (b), (c).

**DEFINITION 2.7.** ( $\alpha$ ) Let  $\tilde{\Delta}_0 \subset \tilde{\mathcal{A}}_2(p)$  be the divisor corresponding to the equivalence class (a).

( $\beta$ ) Let  $\tilde{\tilde{\Delta}}_0 \subset \tilde{\mathcal{A}}_2(p)$  be the divisor corresponding to the equivalence class (b).

( $\gamma$ ) Let  $R_0 \subset \tilde{\mathcal{A}}_2(p)$  be the divisor corresponding to the equivalence class (c).

**REMARK.** The involution on  $\mathcal{A}_2(p)$  (p. 128) extends to an involution  $\iota: \tilde{\mathcal{A}}_2(p) \rightarrow \tilde{\mathcal{A}}_2(p)$  commuting with  $\pi$ . It is clear that  $\iota(\tilde{\Delta}_0) = \tilde{\tilde{\Delta}}_0$   $\iota(R_0) = R_0$ .

**LEMMA 2.4.** *The map  $\pi: \tilde{\mathcal{A}}_2(p) \rightarrow \tilde{\mathcal{A}}_2$  is unramified along  $\tilde{\Delta}_0$  and  $\tilde{\tilde{\Delta}}_0$ , it has ramification index  $p$  along  $R_0$ .*

Before proving the Lemma we give a description of the fibers of  $\pi$  over  $\Delta_0$ . Let  $C$  be a stable genus two curve with one (at least) non-disconnecting node. Let  $U$  be the universal deformation space of  $C$ , let  $\Delta_0(U) \subset U$  be the divisor parametrizing curves with one (at least) non-disconnecting node, it's a divisor with normal crossings. Let  $\varphi: V \rightarrow U$  be the cover unbranched outside  $\Delta_0(U)$  and with ramification of order  $p$  over each component of  $\Delta_0(U)$ . Let  $\mathcal{C}$  be the pull back to  $V$  of the universal curve over  $U$  and let  $C'$  be a fixed smooth reference curve (in the family  $\mathcal{C}$ ) with no extra automorphisms. The Picard-Lefschetz transformation(s) acts trivially on  $p$ -torsion points of  $\text{Jac}(C')$ . The fiber  $\pi^{-1}(m(C'))$  is in one-to-one correspondence with the set of isomorphism classes of couples  $(C', H)$ . We can associate a point of  $\pi^{-1}(m(C))$  to every couple  $(C, H)$  where  $C$  is our singular curve and  $H \subset \text{Jac}(C')[p]$  is a non-isotropic subspace of the fixed smooth curve. If we choose another reference fiber  $C''$  there is a well defined isomorphism between the subspaces of  $\text{Jac}(C')[p]$  and the subspaces of  $\text{Jac}(C'')[p]$  because monodromy acts trivially on  $p$ -torsion points of  $\text{Jac}(C')$ . Let  $m: U \rightarrow \mathfrak{M}_2$  be the moduli map, let  $V^0 \subset V$  be the open set on which  $m: V \rightarrow \mathfrak{M}_2$  is unramified (notice that  $C'$  maps to a point of  $V^0$ ). Let  $G$  be the group of deck transformations of  $m\varphi: V^0 \rightarrow m\varphi(V^0)$  and let  $M$  be the group of deck transformations of  $\varphi: V^0 \rightarrow \varphi(V^0)$  we have an exact sequence  $1 \rightarrow M \rightarrow G \rightarrow \text{Aut}'(C) \rightarrow 1$ . The group  $G$  acts on the set of non-isotropic subspaces of  $\text{Jac}(C')[p]$  because, as we have remarked, there is a well defined isomorphism between  $p$ -torsion points of any two smooth fibers of  $\mathcal{C}$ .

**DEFINITION 2.8.** Let  $H \subset \text{Jac}(C')$  be non-isotropic, we define  $\text{Aut}'(C, H) < G$  to be the subgroup fixing  $H$ .

Let  $m_p: V \rightarrow \tilde{\mathcal{A}}_2(p)$  be the moduli map, then

$$m_p(V) \cong \text{Aut}'(C, H) \backslash V.$$

In practice in order to construct  $m_p(V)$  we start with a smooth fiber  $C'$  in the

universal family over  $U$  and we choose a non-isotropic  $H \subset \text{Jac}(C')[p]$ . Then we let  $V'$  be the cover of  $U$  ramified with index  $(p - 1)$  only over the components of  $\Delta_0(V)$  corresponding to Picard-Lefschetz transformations which do not fix  $H$ , and we proceed as before.

*Proof of Lemma 2.4.* Let  $m(C) \in \Delta_0$  be generic, i.e.  $\text{Aut}'(C)$  is trivial. Let  $U$  be the universal deformation space of  $C$ ,  $\Delta_0(V) \subset V$  is smooth. Let  $C'$  be a fixed reference smooth curve in the universal family  $\mathcal{C}$  and let  $v_p \in \text{Jac}(C')[p]$  be the vanishing cycle:

(i) A point in  $\tilde{\Delta}_0 \cap \pi^{-1}(m(C))$  corresponds to  $H \subset \text{Jac}(C')[p]$  such that  $H \perp v_p$ , hence  $H$  is fixed by the Picard-Lefschetz transformation. Using the notation we just introduced we have that  $\text{Aut}'(C) \cong \{1\}$ ,  $M \cong \mathbb{Z}/(p)$  hence  $G \cong \mathbb{Z}/(p)$ . Since  $H$  is fixed by monodromy  $\text{Aut}'(C, H) \cong G \cong \mathbb{Z}/(p)$ . Therefore  $m_p(V) = U$ ,  $m(U) = U$  and  $\pi: m_p(V) \rightarrow m(U)$  is just the identity, so  $\pi$  is indeed unramified near  $m(C)$ .

(ii) A point in  $\tilde{\tilde{\Delta}}_0 \cap \pi^{-1}(m(C))$  corresponds to  $H \subset \text{Jac}(C')[p]$  such that  $H \ni v_p$ , so again  $H$  is fixed by the Picard-Lefschetz transformation. The same argument as in case (i) shows that  $\pi$  is unramified along  $\tilde{\tilde{\Delta}}_0$ .

(iii) A point in  $R_0 \cap \pi^{-1}(m(C))$  corresponds to  $H \subset \text{Jac}(C')[p]$  which is not orthogonal to  $v_p$  and does not contain  $v_p$ , hence it is not fixed by the Picard-Lefschetz transformation. Therefore  $\text{Aut}'(C, H) \cong \{1\}$  so  $m_p(V) \cong V$ ; since  $V$  is a  $p$ -sheeted cover of  $V$  branched over  $\Delta_0(U)$  and  $m(V) \cong V$  we see that  $\pi$  has ramification of order  $p$  along  $R_0$ .

**COROLLARY 2.3.** Let  $\pi: \bar{\mathcal{A}}_2(p) \rightarrow \bar{\mathcal{A}}_2$ , then

$$\pi^*(\Delta_0) = \tilde{\Delta}_0 + \tilde{\tilde{\Delta}}_0 + pR_0.$$

**PROPOSITION 2.4.** Let  $\pi: \bar{\mathcal{A}}_2(p) \rightarrow \bar{\mathcal{A}}_2$ , then

- (i)  $\deg \pi|_{\tilde{\Delta}_0} = \deg \pi|_{\tilde{\tilde{\Delta}}_0} = p^2$
- (ii)  $\deg \pi|_{R_0} = p^3 - p$ .

*Proof.* Let the notation be as before, so  $m(C) \in \Delta_0$  is a generic point. The fiber  $\pi^{-1}(m(C)) \cap \tilde{\Delta}_0$  is in one-to-one correspondence with the set of non-isotropic subspaces  $H \subset \text{Jac}(C')[p]$  orthogonal to the vanishing cycle  $v_p$ . So we have to count the number of projective lines in  $\mathbb{P}(v_p^\perp)$  which are non-isotropic for the Weil pairing. Obviously, such a line cannot contain  $[v_p]$ , and since the pairing is non-degenerate this condition is sufficient for a line to be non-isotropic. Hence  $\deg \pi|_{\tilde{\Delta}_0} = \# \{\text{lines in } \mathbb{P}^2(\mathbb{F}_p) \text{ not containing a fixed point}\} = p^2$ . A similar count gives  $\deg \pi|_{\tilde{\tilde{\Delta}}_0} = p^2$ ; notice that the involution  $\iota$  on  $\bar{\mathcal{A}}_2(p)$  commuting with  $\pi$  interchanges  $\tilde{\Delta}_0$  and  $\tilde{\tilde{\Delta}}_0$ , therefore we must have  $\deg \pi|_{\tilde{\Delta}_0} = \deg \pi|_{\tilde{\tilde{\Delta}}_0}$ . The degree of  $\pi$  restricted to  $R_0$  is readily obtained from  $\deg \pi = p^4 + p^2$  and  $\pi^*(\Delta_0) = \tilde{\Delta}_0 + \tilde{\tilde{\Delta}}_0 + pR_0$ .

$$K_{\bar{\mathcal{A}}_2(p)} \cong \pi^*(K_{\bar{\mathcal{A}}_2}) + R_2 + R_1 + (p - 1)R_0, \tag{*}$$

$$K_{\bar{\mathcal{A}}_2(2)} \cong \pi^*(K_{\bar{\mathcal{A}}_2}) + R_2 + R_0. \tag{**}$$

*Proof.* We apply Hurwitz's formula to the finite surjective morphism  $\pi: \bar{\mathcal{A}}_2(p) \rightarrow \bar{\mathcal{A}}_2$ . Taking into account Lemmas 2.2, 2.3, 2.4 we get formulas (\*) and (\*\*).

**THEOREM 2.1.** *Let  $\pi: \bar{\mathcal{A}}_2(p) \rightarrow \bar{\mathcal{A}}_2$ . If  $p > 2$  then*

$$\pi_*(K_{\bar{\mathcal{A}}_2(p)}) = \left(\frac{3}{10}p^4 - p^3 - \frac{17}{10}p^2 + p - 3\right)\Delta_0 + \left(\frac{3}{10}p^4 + \frac{3}{10}p^2 - 7\right)\Delta_1$$

when  $p = 2$  we get

$$\pi_*(K_{\bar{\mathcal{A}}_2(2)}) = -8\Delta_0 - 4\Delta_1.$$

*Proof.* From Proposition 2.5 we get that for  $p > 2$

$$\pi_*(K_{\bar{\mathcal{A}}_2(p)}) = (p^4 + p^2)K_{\bar{\mathcal{A}}_2} + \left[\frac{1}{2}(p^4 + p^2) - 1\right](\Delta_2 + \Delta_1) + (p - 1)(p^3 - p)\Delta_0.$$

Applying Corollary 1.3 and Lemma 1.3 we get the first formula. When  $p = 2$  we get

$$\pi_*(K_{\bar{\mathcal{A}}_2(2)}) = 20K_{\bar{\mathcal{A}}_2} + 10\Delta_2 + 6\Delta_0$$

which together with Corollary 1.3 and Lemma 1.3 gives the second formula.

The formula for  $\pi_*(K_{\bar{\mathcal{A}}_2(2)})$  agrees with the fact that  $\bar{\mathcal{A}}_2(2)$  is rational. When  $p = 3$  we get

$$\pi_*(K_{\bar{\mathcal{A}}_2(3)}) = -18\Delta_0 + 30\Delta_1$$

Let  $C$  be an irreducible genus two curve: it can be realized as the double cover of  $\mathbb{P}^1$  branched over six points (some of which might be multiple). By considering pencils of sextuples in  $\mathbb{P}^1$  we can construct a curve  $T \subset \mathfrak{M}_2$  such that  $m(C) \in \Gamma, \Gamma \cap \Delta_1 = 0, \Delta_0 \cdot \Gamma > 0$ , hence through a generic point of  $\mathfrak{M}_2$  there passes a curve  $\Gamma$  such that  $\Gamma \cdot \pi_*(K_{\bar{\mathcal{A}}_2(3)}) < 0$ . It follows that the linear system  $|nK_{\bar{\mathcal{A}}_2(3)}|$  is empty for all  $n > 0$ , i.e. the Kodaira dimension of  $\bar{\mathcal{A}}_2(3)$  is  $-\infty$ . If  $p \geq 5$  then  $\pi_*(K_{\bar{\mathcal{A}}_2(p)})$  is a linear combination with positive coefficients of  $\Delta_0$  and  $\Delta_1$ , therefore  $h^0(n\pi_*K_{\bar{\mathcal{A}}_2(p)}) = cn^3 + O(n^2)$  for a positive  $c$  ( $n$  divisible enough). This suggests that  $\bar{\mathcal{A}}_2(p)$  might be of general type for  $p$  big, but it is not sufficient to prove it; in fact we will need to further study  $\bar{\mathcal{A}}_2(p)$  to prove that it is of general type for  $p \geq 17$ .

**THEOREM 2.2.** *If  $p \geq 3$  then*

$$K_{\bar{\mathcal{X}}_2(p)} = \pi^* \left( \left( \frac{3}{10} - \frac{1}{p} \right) \Delta_0 + \frac{3}{10} \Delta_1 \right) - \frac{1}{2} \bar{\Delta}_2 - \frac{1}{2} \bar{\Delta}_1 - \frac{p-1}{p} \bar{\Delta}_0 - \frac{p-1}{p} \tilde{\Delta}_0.$$

*Proof.* The formula is obtained from (\*) of Proposition 2.5 together with Corollary 1.3, Lemma 1.3 and the definitions of  $\bar{\Delta}_2, \bar{\Delta}_1, \bar{\Delta}_0, \tilde{\Delta}_2$ .

**Section 3. A partial desingularization of  $\bar{\mathcal{X}}_2(p)$**

We recall that the Kodaira dimension of a variety  $X$ , denoted by  $\kappa(X)$ , is defined as follows: let  $\bar{X} \supset X$  be a compactification of  $X$  and let  $\tilde{X}$  be a desingularization of  $\bar{X}$ , then  $\kappa(X) = \text{tr. deg.}(R) - 1$  where  $R$  is the canonical ring  $R = \bigoplus_{n=0}^{\infty} H^0(nK_{\tilde{X}})$ . One always has that  $\kappa(X) \leq \dim X$  (possibly  $\kappa(X) = -\infty$ ) and if  $\kappa(X) = \dim X$  then  $X$  is said to be of general type. The Kodaira dimension is a birational invariant; since  $\kappa(\mathbb{P}^d) = -\infty$  if  $\kappa(X) \geq 0$  then  $X$  is not rational; furthermore one sees that if  $\kappa(X) \geq 0$  then  $X$  cannot be unirational. From now on we assume  $p \geq 5$ .

We recall that a germ  $(X, P)$  of a normal algebraic singularity is said to have a canonical singularity at  $P$  if

- (i) there exists an integer  $r > 0$  such that  $rK_X$  is Cartier
- (ii) for a resolution  $\phi: \tilde{X} \rightarrow X$  (equivalently for any resolution) with exceptional set  $E = \bigoplus_i E_i, rK_{\tilde{X}} = \phi^*(rK_X) + \sum_i a_i E_i$  with  $a_i \geq 0$  for all  $i$ .

If  $X$  has only canonical singularities and  $\tilde{X}$  is a resolution of  $X$   $H^0(nK_{\tilde{X}}) \cong H^0(nK_X)$  hence we need not pass to  $\tilde{X}$  in order to determine  $\kappa(X)$ .

We will apply (when possible) the following

Shepherd-Barron, Reid, Tai criterion [H-M]: Let a finite group  $G$  act linearly on a complex vector space  $V$ .

Let  $g \in G$  be conjugate to

$$\begin{pmatrix} a_1 & & & & \\ \zeta & & & & \\ & \cdot & & & \\ & & \cdot & & a_d \\ & & & & \zeta \end{pmatrix}$$

where  $\zeta$  is a primitive  $m$ th root of unity and  $0 \leq a_i < m$ . If  $\sum_{i=1}^d a_i \geq m$  for all  $g$  and  $\zeta$  then  $(G \backslash V, 0)$  is canonical at 0.

In our case the situation is the following. Let  $m(C, H) \in \bar{\mathcal{A}}_2(p)$  be a singular point. The cotangent space to the deformation space of  $C$  is canonically isomorphic to  $H^0(\Omega_C^1 \otimes \omega_C)$ . The group  $\text{Aut}'(C)$  acts on  $H^0(\Omega_C^1 \otimes \omega_C)$  and a neighborhood of  $m(C) \in \bar{\mathcal{M}}_2$  is isomorphic to  $\text{Aut}'(C) \backslash H^0(\Omega_C^1 \otimes \omega_C)$ .

If  $C$  is smooth or has only one disconnecting node then a neighborhood of  $m(C, H) \in \bar{\mathcal{A}}_2(p)$  is isomorphic to  $\text{Aut}'(C, H) \backslash H^0(\Omega_C^1 \otimes \omega_C)$ . Since  $\text{Aut}'(C, H) < \text{Aut}'(C)$  we see that  $m(C, H)$  can be singular only if  $\text{Aut}'(C)$  is non-trivial. If  $C$  has one (at least) non-disconnecting node then a neighborhood of  $m(C, H)$  is isomorphic to  $\text{Aut}'(C, H) \backslash V$ , where  $V$  is a cover of  $U$  (the deformation space of  $C$ ) branched over  $\Delta_0(U)$ . The group  $\text{Aut}'(C, H)$  in this case is contained in  $G$ , an extension of  $\text{Aut}'(C)$  by the monodromy group  $M$ . Hence if  $\text{Aut}'(C)$  is trivial then  $\text{Aut}'(C, H) < M$ . It is easy to check that if  $m(C) \in \Delta_0$  and  $\text{Aut}'(C)$  is trivial then  $C$  has exactly one non-disconnecting node so  $M \cong \mathbb{Z}/(p)$ ,  $\text{Aut}'(C, H) \cong \mathbb{Z}/(p)$  or  $\{1\}$  and hence  $m(C, H)$  is smooth. Therefore we again conclude that  $m(C, H)$  can be singular only if  $\text{Aut}'(C)$  is nontrivial. Igusa [I] listed all smooth genus two curves with extra-automorphisms, we can easily add a list of all the remaining stable curves with extra automorphisms.

(1)  $C = E \cup F$ , where  $E, F$  are elliptic curves and  $j(E), j(F) \neq 0, 1728$ , i.e.  $m(C)$  is a generic point of  $\Delta_1$ .  $\text{Aut}'(C) = \langle g \rangle \cong \mathbb{Z}/(2)$ , where  $g|_E =$  (multiplication by  $-1$ ),  $g|_F =$  identity.

(2)  $C = E \cup E, j(E) \neq 0, 1728$  so  $m(C) \in \Delta_1 \cap \Delta_2$ .  $\text{Aut}'(C) = \langle g, h \rangle \cong \mathbb{Z}/(2) \oplus \mathbb{Z}/(2)$ ,  $g|_E = (-1), g|_F = (\text{id}), h$  interchanges the two components

(3)  $C = E \cup F, j(E) = 1728, j(F) \neq 0, 1728$ .  $\text{Aut}'(C) = \langle g \rangle \cong \mathbb{Z}/(4)$ ,  $g|_E =$  (multiplication by  $\sqrt{-1}$ ),  $g|_F = (\text{id})$ .

(4)  $C = E \cup F, j(E) = 0, j(F) \neq 0, 1728$ .  $\text{Aut}'(C) = \langle g \rangle \cong \mathbb{Z}/(6)$ ,  $g|_E =$  (multiplication by  $e_6$ ),  $g|_F = (\text{id})$ .

(5)  $C = E \cup E, j(E) = 1728$ .  $\text{Aut}'(C)$  acts naturally on the two components of  $C$  so it fits into the exact sequence  $0 \rightarrow N \rightarrow \text{Aut}'(C) \rightarrow \mathbb{Z}/(2) \rightarrow 0$  and  $N \cong \mathbb{Z}/(4) \oplus \mathbb{Z}/(2)$ .

(6)  $C = E \cup F, j(E) = 0, j(F) = 1728$ .  $\text{Aut}'(C) = \langle g \rangle \cong \mathbb{Z}/(12)$ ,  $g|_E =$  (multiplication by  $e_6$ ),  $g|_F =$  (multiplication by  $\sqrt{-1}$ ).

(7)  $C = E \cup E, j(E) = 0$ .  $\text{Aut}'(C)$  acts naturally on the two components of  $C$ , it fits into the exact sequence  $0 \rightarrow N \rightarrow \text{Aut}'(C) \rightarrow \mathbb{Z}/(2) \rightarrow 0$  where  $N \cong \mathbb{Z}/(6) \oplus \mathbb{Z}/(3)$ .

(8)  $C = E/P \sim Q$ , where  $P - Q$  is a 2-torsion point (unless  $j(E) = 1728$  and  $P, Q$  are chosen so that they give case (9) below).  $\text{Aut}'(C) = \langle g \rangle \cong \mathbb{Z}/(2)$ ; if we let  $P$  be the origin then  $g$  is induced from multiplication by  $-1$ . We have  $m(C) \in \Delta_2 \cap \Delta_0$ .

(9)  $C = E/P \sim Q$ , where  $E$  is given by  $y^2 = x^4 + 1$ , so  $j(E) = 1728$ , and  $P = (0, 1), Q = (0, -1)$ .  $\text{Aut}'(C) = \langle g \rangle \cong \mathbb{Z}/(4)$ ,  $g$  is induced from  $\tilde{g}^*(x, y) = (\sqrt{-1}x, y)$ .

(10)  $C = E/P \sim Q$ , where  $E$  is given by  $y^2 = x^3 + 1$ , so  $j(E) = 0$ , and  $P = (0, 1), Q = (0, -1)$ .  $\text{Aut}'(C) = \langle g \rangle \cong \mathbb{Z}/(3)$ ,  $g$  is induced from  $\tilde{g}^*(x, y) = (e_3x, y)$ .

(11)  $C = \mathbb{P}^1/(Q_1 \sim Q_2, Q_3 \sim Q_4)$ , i.e.  $C$  has two non-disconnecting nodes, and the cross ratio  $(Q_1, Q_2, Q_3, Q_4)$  is not equal to  $2, \frac{1}{2}$  or  $-1$ .  $\text{Aut}'(C) = \langle g \rangle \cong \mathbb{Z}/(2)$  where  $g$  is induced from a projectivity of  $\mathbb{P}^1$  interchanging the couples  $\{Q_1, Q_2\}$  and  $\{Q_3, Q_4\}$ . We have  $m(C) \in \Delta_2 \cap \Delta_0$ .

(12) Same as (11) but we choose  $\{Q_1, Q_2, Q_3, Q_4\}$  to have cross ratio 2 (equivalently  $\frac{1}{2}$  or  $-1$ ), e.g.  $\{1, -1, 0, \infty\}$ .  $\text{Aut}'(C) = \langle g, h \rangle \cong \mathbb{Z}/(2) \oplus \mathbb{Z}/(2)$ ,  $g$  and  $h$  are induced from  $\tilde{g}, \tilde{h}: \mathbb{P}^1 \rightarrow \mathbb{P}^1, \tilde{g}^*(x) = x - 1/x + 1, \tilde{h}^*(x) = 1/x$ .

(13)  $C$  has three non-disconnecting nodes, i.e.  $C = \mathbb{P}^1 \cup \mathbb{P}^1$  where we join the two copies of  $\mathbb{P}^1$  at three points.  $\text{Aut}'(C)$  is isomorphic to the group of permutations of the nodes, i.e.  $\text{Aut}'(C) \cong S_3$ .

REMARK. Notice that it might happen that  $m(C) \in \mathfrak{M}_2$  is smooth but  $m(C, H) \in \mathfrak{M}_2(p)$  is singular. In fact there is only one singular point in  $\mathfrak{M}_2[\mathbb{I}]$  but there are many singular points in  $\mathfrak{M}_2(p)$  (i.e.  $\pi^{-1}(\mathfrak{M}_2)$ ). For example let  $C$  be given by

$$y^2 = (x - a)(x - e_3a)(x - e_3^2a)(x - a^{-1})(x - e_3a^{-1})(x - e_3^2a^{-1})$$

(case (2) in Igusa's list); one can choose  $H \subset \text{Jac}(C)[p]$  such that  $\text{Aut}'(C, H) = \langle g \rangle \cong \mathbb{Z}/(3)$ , where  $g^*(x, y) = (e_3x, y)$ , and the action of  $g$  on  $H^0(\Omega_C^1 \otimes \omega_C)$  is given by

$$g^*\left(\frac{(dx)^2}{y^2}, \frac{x(dx)^2}{y^2}, \frac{x^2(dx)^2}{y^2}\right) = \left(\frac{(dx)^2}{y^2}, e_3 \frac{x(dx)^2}{y^2}, e_3^2 \frac{x^2(dx)^2}{y^2}\right)$$

hence  $m(C, H)$  is singular.

DEFINITION 3.1. Let  $\Gamma \subset \mathfrak{M}_2$  be the locus of moduli of curves  $C = E \cup F$  with  $j(E) = 0$  and  $F$  any elliptic curve (so  $\Gamma$  is a rational curve in  $\mathfrak{M}_2$ ). Let  $\Gamma', \Gamma'' \subset \mathfrak{A}_2(p)$  be the moduli of couples  $(C, H)$  where  $C = E \cup F$  is as above and  $H = E[p]$ , respectively  $H = F[p]$ . Obviously  $\pi(\Gamma') = \pi(\Gamma'') = \Gamma$ , and  $\Gamma' \cap \Gamma'' = \{m(E \cup E, E[p])\}$ .

DEFINITION 3.2. Let  $\Delta_{00} \subset \mathfrak{M}_2$  be the locus of moduli of curves with two (at least) non-disconnecting nodes (so  $\Delta_{00}$  is a rational curve). Let  $\Omega \subset \mathfrak{A}_2(p)$  be the curve  $\Omega = \pi_p^{-1}(\Delta_{00}) \cap \tilde{\Delta}_2$ .

REMARK. The locus  $\Omega$  is not empty because as we have already noticed (page 000)  $\Delta_{00} \subset \Delta_2$ . Since  $\pi: \tilde{\Delta}_2 \rightarrow \Delta_2$  is two-to-one, either  $\pi: \Omega \rightarrow \Delta_{00}$  is two-to-one or one-to-one. If  $C = \mathbb{P}^1/(Q_1 \sim Q_2, Q_3 \sim Q_4)$  is generic, i.e. the cross ratio  $(Q_1, Q_2, Q_3, Q_4)$  is not  $2, \frac{1}{2}$  or  $-1$ , then  $\text{Aut}'(C) \cong \mathbb{Z}/(2)$ . Hence the two subspaces  $H$  fixed by  $\text{Aut}'(C)$  give distinct points in the fiber  $\pi^{-1}(m(C)) \cap \tilde{\Delta}_2$ , i.e.  $\pi: \Omega \rightarrow \Delta_{00}$



is two-to-one. The map  $\pi: \Omega \rightarrow \Delta_{00}$  has two branch points, namely the moduli points of  $C = \mathbb{P}^1/(1 \sim -1, 0 \sim \infty)$  and  $C = E \cup E$  where  $j(E) = \infty$ , hence  $\Omega$  is a rational curve.

**PROPOSITION 3.1.** *The locus of non canonical singularities of  $\bar{\mathcal{A}}_2(p)$  is equal to  $\Omega \cup \Gamma' \cup \Gamma''$ .*

*Proof.* The proposition follows from an application of Shepherd-Barron, Reid, Tai's criterion to singular points of  $\bar{\mathcal{A}}_2(p) \setminus (\Omega \cup \Gamma' \cup \Gamma'')$ , provided we take into account the following observation. Let  $C$  be a curve with extra automorphisms such that  $\text{Aut}'(C, H)$  contains an element  $g$  acting as a reflection on  $H^0(\Omega_C^1 \otimes \omega_C)$  (or on  $V$  if  $m(C) \in \Delta_0$ ), then  $g$  does not satisfy the conditions in Shepherd-Barron, Reid, Tai's criterion. Such a  $g$  exists whenever  $m(C) \in \Delta_1$  or  $m(C) \in \Delta_2$  or  $m(C) \in \Delta_0$  and  $H$  is fixed by some monodromy.

The subgroup  $B$  of  $\text{Aut}'(C, H)$  generated by these bad  $g$ 's is normal in  $\text{Aut}'(C, H)$ . Furthermore  $B \setminus U$  (or  $B \setminus V$  if  $m(C) \in \Delta_0$ ) is smooth and Shepherd-Barron, Reid, Tai's criterion does indeed apply to the action of  $\text{Aut}'(C, H)/B$  on  $B \setminus U$  ( respectively  $B \setminus V$ ).

We work out one example, i.e. case (10) above. A basis of  $H^0(\Omega_C^1 \otimes \omega_C)$  is given by  $\alpha = (dx)^2/y^2$ ,  $\beta = (dx)^2/xy^2$  and the torsion element  $\gamma = (u/s)(ds)^2$  where  $u, s$  are local parameters on the two branches of the node. The action of  $g$  is given by  $g^*(\alpha, \beta, \gamma) = (e_3\alpha, e_3^2\beta, e_3\gamma)$ . Let  $U$  be the universal deformation space of  $C$  and let  $V$  be the  $p$ -sheeted cover totally ramified over  $\Delta_0(U)$ . Let  $C'$  be a smooth reference fiber (with no extra automorphisms) of the pull back to  $V$  of the universal family over  $U$ . Let  $\gamma \in \text{Jac}(C')[p]$  be the vanishing cycle so that  $\gamma^\perp/\gamma$  is identified with  $E[p]$ . Let  $\tilde{g} \in G$  map to  $g \in \text{Aut}'(C)$  in the exact sequence  $1 \rightarrow M \rightarrow G \rightarrow \text{Aut}'(C) \rightarrow 1$ ; we can decompose  $\text{Jac}(C')[p]$  as  $\text{Jac}(C')[p] = F_p\gamma \oplus W \oplus F_p\lambda$  so that  $F_p\gamma \oplus W = \gamma^\perp$ ,  $\tilde{g}$  fixes  $W$  and acts on it as on  $E[p]$ , and  $\tilde{g}(\lambda) = \lambda$ . One can check that  $W$  and  $F_p\gamma \oplus F_p\lambda$  are the only non-isotropic  $\tilde{g}$ -invariant subspaces. Hence we can distinguish three possibilities for  $H \subset \text{Jac}(C')[p]$ :

(i)  $H = W$  or  $H = F_p\gamma \oplus F_p\lambda$ , hence it is fixed both by  $\tilde{g}$  and the monodromy group  $M$ , therefore  $\text{Aut}'(C, H) = G$  and a neighborhood of  $m(C, H) \in \bar{\mathcal{A}}_2(p)$  is isomorphic to a neighborhood of  $m(C) \in \mathfrak{M}_2$ . We apply S-B., R., T.'s criterion to the action of  $\langle g \rangle$  on  $H^0(\Omega_C^1 \otimes \omega_C)$ .

(ii)  $H$  is fixed by  $M$  but not by  $\tilde{g}$ . A generator of  $M$  acts as a reflection on  $V$  hence it does not satisfy S-B., R., T.'s criterion but  $V/M \cong U$  hence  $m(C, H)$  is a smooth point.

(iii)  $H$  is not fixed by  $M$  and also is not fixed by  $\tilde{g}$ . In this case a neighborhood of  $m(C, H)$  is isomorphic to  $V$  so  $m(C, H)$  is again a smooth point.

We now proceed to partially desingularize  $\bar{\mathcal{A}}_2(p)$  along  $\Omega \cup \Gamma' \cup \Gamma''$ . Eventually all the singular points of the resulting partial desingularization  $\bar{\mathcal{A}}_2(p)$  will be canonical.

We will follow A. Fujiki's [F] method for resolving cyclic quotient singularities.

**DEFINITION 3.3.** Let  $R'$  (respectively  $R''$ ) be the moduli point of the couple  $(E \cup F, E[p])$  (respectively  $(E \cup F, F[p])$ ), where  $j(E) = 0, j(F) = 1728$ .

Notice that  $R' \in \Gamma', R'' \in \Gamma''$ . Our first step is to partially desingularize  $R'$  and  $R''$ .

Let  $C = E \cup F$ , then  $\text{Aut}(C, E[p]) \cong \text{Aut}(C, F[p]) \cong \text{Aut}(C)$  hence neighborhoods of  $R', R''$  are isomorphic (in fact the involution  $v: \bar{\mathcal{A}}_2(p) \rightarrow \bar{\mathcal{A}}_2(p)$  interchanges  $R'$  and  $R''$ ). Now  $\text{Aut}(C) = \langle g, h \rangle$ , where  $g|_E = (\text{multiplication by } e_6) g|_F = (\text{identity}), h|_E = (\text{identity}), h|_F = (\text{multiplication by } \sqrt{-1})$ . The hyperelliptic involution is given by  $g^3 h^2$  hence  $\text{Aut}'(C) = \langle gh \rangle \cong \mathbb{Z}/(12)$  and  $R', R''$  are cyclic quotient singularities.

*Partial desingularization of  $R', R''$*

Let  $\omega_E, \omega_F$  be non-zero holomorphic differentials on  $E, F$  respectively, and let  $x, y$  be local parameters at the two branches of the node of  $C$ . A basis of  $H^0(\Omega_C^1 \otimes \omega_C)$  is given by  $\alpha = (\omega_F)^{\otimes 2}, \beta = (x/y)(dy)^{\otimes 2}, \gamma = (\omega_E)^{\otimes 2}$ . The action of  $gh$  is given by  $(gh)^*(\alpha, \beta, \gamma) = (e_{12}^6 \alpha, e_{12}^5 \beta, e_{12}^4 \gamma)$ . The first step in analyzing the partial desingularization of the quotient singularity is to take the quotient of  $U(\alpha, \beta, \gamma)$  by the group of reflections, i.e.  $\langle g^6 h^6 \rangle$ . We have  $(g^6 h^6)^*(\alpha, \beta, \gamma) = (\alpha, -\beta, \gamma)$  hence  $U(\alpha, \beta, \gamma)/\langle g^6 h^6 \rangle = U(x, y, z)$  where  $(x, y, z) = (\alpha, \beta^2, \gamma)$ .

The action of  $\langle gh \rangle$  on  $U(x, y, z)$  is given by  $(gh)^*(x, y, z) = (e_6^3 x, e_6^5 y, e_6^2 z)$ . Notice that the action of  $g^2 h^2$  (and of  $g^4 h^4$ ) does not satisfy the conditions in S-B, R., T.'s criterion. Let  $f_1: U(x_1, y_1, z_1) \rightarrow U(x, y, z)$  be the covering defined by  $f_1^*(x, y, z) = (x_1^3, y_1^5, z_1^2)$ . Let  $H$  be the covering group of  $f_1$ , then  $U(x, y, z)/\langle gh \rangle \cong U(x_1, y_1, z_1)/\langle gh, H \rangle$ . The group  $H$  is generated by  $h_1, h_2, h_3$  where  $h_1^*(x_1, y_1, z_1) = (e_3 x_1, y_1, z_1), h_2^*(x_1, y_1, z_1) = (x_2, e_5 y_1, z_1), h_3^*(x_1, y_1, z_1) = (x_1, y_1, -z_1)$ . The action of  $gh$  on  $U(x_1, y_1, z_1)$  is given by  $(gh)^*(x_1, y_1, z_1) = (e_6 x_1, e_6 y_1, e_6 z_1)$ . Let  $f_2: W \rightarrow U(x_1, y_1, z_1)$  be the blow up of the origin; the action of  $\langle gh, H \rangle$  on  $W - f_2^{-1}(0)$  extends to an action on all of  $W$ . The natural map  $q: W/\langle gh, H \rangle \rightarrow U(\alpha, \beta, \gamma)/\langle gh \rangle$  is the partial desingularization of the origin, i.e. of  $R'$  (or  $R''$ ). We now examine the singularities of  $W/\langle gh, H \rangle$ . We consider  $W$  as the union of the three standard affine pieces and we examine the action of  $\langle gh, H \rangle$  on each piece.

(1) Let  $W_1 \subset W$  be the affine piece with coordinates  $(x_1, y_1/x_1, z_1/x_1)$ . The elements  $h_2, h_3, gh$  act as reflections on  $w_1$ ; we have  $W_1/\langle h_2, h_3, gh \rangle \cong U(x_1^6, y_1^5/x_1^5, z_1^2/x_1^2)$ . The action of  $h_1$  is given by  $h_1^*(x_1^6, y_1^5/x_1^5, z_1^2/x_1^2) = (x_1^6, e_3 y_1^5/x_1^5, e_3 z_1^2/x_1^2)$ . We see that the points in  $W_1/\langle gh, H \rangle$  which do not satisfy S-B., R., T.'s conditions belong to the image of the curve  $\{y_1/x_1 = z_1/x_1 = 0\}$ . As is easily checked this curve is just the strict transform of  $\Gamma'$  (or  $\Gamma''$  if we are blowing up  $R''$ ).

(2) Let  $W_2 \subset W$  be the affine piece with coordinates  $(y_1, x_1/y_1, z_1/y_1)$ . Elements

of  $\langle h_1, h_3, gh \rangle$  act as reflections on  $W_2$ ; we have that  $W_2/\langle h_1, h_3, gh \rangle \cong U(y_1^6, x_1^3/y_1^3, z_1^2/y_1^2)$ . The action of  $h_2$  is given by  $h_2^*(y_1^6, x_1^3/y_1^3, z_1^2/y_1^2) = (e_5 y_1^6, e_2^3 x_1^3/y_1^3, e_3^3 z_1^2/y_1^2)$ . We see that  $W_2/\langle gh, H \rangle$  contains only one singular point and it satisfies S-B., R., T.'s conditions.

(3) Let  $W_3 \subset W$  be the affine piece with coordinates  $(z_1, x_1/z_1, y_1/z_1)$ . Elements of  $\langle h_1, h_2, gh \rangle$  act as reflections; we have that  $W_3/\langle h_1, h_2, gh \rangle \cong U(z_1^6, x_1^3/z_1^3, y_1^5/x_1^5)$ . The action of  $h_3$  is given by  $h_3^*(z_1^6, x_1^3/z_1^3, y_1^5/x_1^5) = (z_1^6, -x_1^3/z_1^3, -y_1^5/z_1^5)$ . We see that the singular points of  $W_3/\langle gh, H \rangle$  belong to the image of the curve  $\{x_1/z_1 = y_1/z_1 = 0\}$ . They satisfy S-B., R., T.'s criterion, in fact each such point is locally isomorphic to  $\mathbb{A}^1 \times \{xy - z^2 = 0\}$ . As is easily checked, this curve is just the strict transform of the curve  $\{m(E \cup F, H) | j(E) = 1728 \text{ and } H = E[p] \text{ or } H = F[p] \text{ depending on whether we are blowing up } R' \text{ or } R''\}$ .

DEFINITION 3.4. Let  $\varphi_1: X_1 \rightarrow \bar{\mathcal{A}}_2(p)$  be the partial desingularization of  $R'$  and  $R''$  just defined. Let  $D', D'' \subset X_1$  be the exceptional divisors lying over  $R', R''$  respectively; let  $\tilde{\Gamma}, \tilde{\Gamma}''$  be the strict transforms of  $\Gamma', \Gamma''$  respectively.

*Partial desingularization of  $\tilde{\Gamma}$ .*

The curve  $\tilde{\Gamma}$  meets  $D'$  in one point and doesn't intersect  $D''$ . As we have already remarked (p. 137) a neighborhood of  $D' \cap \tilde{\Gamma}$  is isomorphic to  $U(x, y, z)/\langle g \rangle$  where  $g^*(x, y, z) = (x, e_3 y, e_3 z)$ .

*Claim.* Let  $Q \in \tilde{\Gamma}$  and  $Q \notin \tilde{\Gamma}''$ , i.e.  $\varphi_1(Q) \neq m(E \cup E, E[p])$ . A neighborhood of  $Q$  is isomorphic to  $U(x, y, z)/\langle g \rangle$  where  $g^*(x, y, z) = (x, e_3 y, e_3 z)$ , and  $\tilde{\Gamma} \cap U(x, y, z)/\langle g \rangle$  is exactly the singular locus.

*Proof of Claim.* Since we already know that the result holds for  $Q = \tilde{\Gamma} \cap D'$  and since  $X_1 \setminus (D' \cup D'')$  is isomorphic to  $\bar{\mathcal{A}}_2(p) \setminus (\{R', R''\})$  we just have to examine the neighborhood of a point  $Q \in \tilde{\Gamma} \setminus (\tilde{\Gamma}'' \cup \{R'\})$ . Hence  $Q = m(E \cup F, E[p])$  where  $j(E) = 0, j(F) \neq 0, j(F) \neq 1728$ . We have that  $\text{Aut}'(E \cup F, E[p]) = \text{Aut}'(E \cup F) = \langle g \rangle \cong \mathbb{Z}/(6)$ , where  $g|_E = (\text{multiplication by } e_6), g|_F = (\text{identity})$ . The action of  $g$  on  $\alpha = (\omega_E)^{\otimes 2}, \beta = (x/y)/(dy)^{\otimes 2}, \gamma = (\omega_F)^{\otimes 2}$  is given by  $g^*(\alpha, \beta, \gamma) = (e_6^2 \alpha, e_6 \beta, \gamma)$ . A neighborhood of  $Q$  is isomorphic to  $U(\alpha, \beta, \gamma)/\langle g \rangle$ . We first take the quotient for the action of the reflection  $g^3: U(\alpha, \beta, \gamma)/\langle g^3 \rangle = U(\alpha, \beta^2, \gamma)$ . The action of  $g$  on  $U(\alpha, \beta^2, \gamma)$  is given by  $g^*(\alpha, \beta^2, \gamma) = (e_3 \alpha, e_3 \beta, \gamma)$  hence the first assertion in the claim is proved. Let  $C = E \cup F$  and let  $v \in H^1(T_C)$  be the Kodaira-Spencer class associated to a one parameter family  $C_t = E \cup F_t$ , then  $\alpha \cup v = \beta \cup v = 0$ . Hence  $\tilde{\Gamma} \cap V(\alpha, \beta^2, \gamma)/\langle g \rangle$  is the image of  $\{\alpha = \beta = 0\}$ , i.e. exactly the singular locus.

DEFINITION 3.5. Let  $\varphi_2: X_2 \rightarrow X_1$  be the partial desingularization obtained by applying the first step in Fujiki's method for resolving cyclic quotient singularities to the singularities of  $\tilde{\Gamma} \subset X_1$ . Let  $E_1 \subset X_2$  be the exceptional divisor of  $\varphi_2$ .

The claim shows that  $E_1$  is smooth outside the fiber over  $\tilde{\Gamma} \cap \tilde{\tilde{\Gamma}}$ , because over  $\tilde{\Gamma} \setminus \tilde{\tilde{\Gamma}}$   $\varphi_2$  is the blow up of  $\tilde{\Gamma}$  and a single blow up will resolve the singularity of  $U(x, y, z)/\langle g \rangle$ . Hence we proceed to examine the fiber of  $E_1$  over  $\tilde{\Gamma} \cap \tilde{\tilde{\Gamma}}$ . Since  $X_1 \setminus (D' \cup D'')$  is isomorphic to  $\tilde{\mathcal{A}}_2(p) \setminus \{R', R''\}$  a neighborhood of  $\tilde{\Gamma} \cap \tilde{\tilde{\Gamma}}$  is isomorphic to a neighborhood of  $\Gamma' \cap \Gamma'' = m(F_1 \cup F_2, F_1[p])$ , where  $j(F_1) = j(F_2) = 0$ . We have that  $\text{Aut}(F_1 \cup F_2, F_1[p]) = \langle \varphi, \theta \rangle \cong \mathbb{Z}/(6) \oplus \mathbb{Z}/(6)$ , where  $\varphi|_{F_1}$  (multiplication by  $e_6$ ),  $\varphi|_{F_2} = (\text{identity})$ ,  $\theta|_{F_1} = (\text{identity})$   $\theta|_{F_2} = (\text{multiplication by } e_6)$ . The hyperelliptic involution is given by  $\varphi^3\theta^3$ . Let  $\lambda = \varphi\theta$ . Then  $\text{Aut}'(F_1 \cup F_2, F_1[p]) \cong \langle \lambda, \theta \rangle / \langle \lambda^3 \rangle \cong \mathbb{Z}/(3) \oplus \mathbb{Z}/(6)$ . Let  $\alpha = (\omega_{F_1})^{\otimes 2}$ ,  $\beta = (x/y)(dy)^2$ ,  $\gamma = (\omega_{F_2})^{\otimes 2}$ , the actions of  $\lambda, \theta$  are given by  $\lambda^*(\alpha, \beta, \gamma) = (e_6^2\alpha, e_6^2\beta, e_6^2\gamma)$ ,  $\theta^*(\alpha, \beta, \gamma) = (\alpha, e_6\beta, e_6^2\gamma)$ . A neighborhood of  $m(F_1 \cup F_2, F_1[p])$  is isomorphic to  $U(\alpha, \beta, \gamma)/\langle \lambda, \theta \rangle$ , hence also a neighborhood of  $\tilde{\Gamma} \cap \tilde{\tilde{\Gamma}}$  is isomorphic to  $U(\alpha, \beta, \gamma)/\langle \lambda, \theta \rangle$ . The curve  $\tilde{\Gamma} \cap U(\alpha, \beta, \gamma)/\langle \lambda, \theta \rangle$  is the image of the fixed points of  $\langle \theta \rangle$ , hence  $\tilde{\Gamma} = \text{image}\{(\alpha, 0, 0)\}$ . We must examine the partial desingularization of  $U(\alpha, \beta, \gamma)/\langle \lambda, \theta \rangle$  along  $\tilde{\Gamma} \cap U(\alpha, \beta, \gamma)/\langle \lambda, \theta \rangle$ , more specifically the fiber of the exceptional divisor  $E_1$  over the image of  $(0, 0, 0)$  in  $U(\alpha, \beta, \gamma)/\langle \lambda, \theta \rangle$ . The group of reflections of  $\langle \lambda, \theta \rangle$  is generated by  $\theta^3$ . Let  $x = \alpha, y = \beta^2, z = \gamma$ , then  $U(\alpha, \beta, \gamma)/\langle \theta^3 \rangle = U(x, y, z)$ . The action of  $\langle \lambda, \theta \rangle / \langle \theta^3 \rangle$  on  $U(x, y, z)$  is given by  $\lambda(x, y, z) = (e_3x, e_3^2y, e_3z)$ ,  $\theta^*(x, y, z) = (x, e_3y, e_3z)$ . Let  $\psi: W \rightarrow U(x, y, z)$  be the blow up of  $\{(x, 0, 0)\}$ . The action of  $\langle \lambda, \theta \rangle / \langle \theta^3 \rangle$  on  $W \setminus \psi^{-1}(D)$  extends naturally to an action on all of  $W$  and  $W/\langle \lambda, \theta \rangle$  is isomorphic to the partial desingularization of  $U(\alpha, \beta, \gamma)/\langle \lambda, \theta \rangle$  along  $\tilde{\Gamma} \cap U(\alpha, \beta, \gamma)/\langle \lambda, \theta \rangle$ . We consider  $W$  as the union of two open pieces and examine the action of  $\langle \lambda, \theta \rangle / \langle \theta^3 \rangle$  on each piece.

(1) Let  $W_1 \subset W$  be the affine piece with coordinates  $(x, y, z/y)$ . We have  $\lambda^*(x, y, z/y) = (e_3x, e_3^2y, e_3^2z/y)$  and  $\theta^*(x, y, z/y) = (x, e_3y, z/y)$ . Let  $(x_1, y_1, z_1) = (x, y^3, z/y)$ , then  $W_1/\langle \theta \rangle \cong U(x_1, y_1, z_1)$ . The action of  $\lambda$  on  $U(x_1, y_1, z_1)$  is given by  $\lambda^*(x_1, y_1, z_1) = (e_3x_1, y_1, e_3^2z_1)$ . So we see that in  $U(x_1, y_1, z_1)$  there is a curve of singular points, namely the image of  $\{(0, y_1, 0)\}$ , all satisfying S-B.,R.,T.'s condition. In fact, this curve belongs to a singular curve  $\Lambda \subset X_1$  such that  $\pi\varphi(\Lambda)$  is the locus of moduli of curves given by

$$y^2 = (x - a)(x - e_3a)(x - e_3^2a)(x - a^{-1})(x - e_3a^{-1})(x - e_3^2a^{-1}).$$

Notice also that a local equation for  $E_1$  is  $(y_1 = 0)$  hence  $\Lambda$  intersects  $E_1$  at one point (singular on  $E_1$ ).

(2) Let  $W_2 \subset W$  be the open affine piece with coordinates  $(x, y/z, z)$ , We have  $\lambda^*(x, y/z, z) = (e_3x, e_3y/z, e_3z)$ ,  $\theta^*(x, y/z, z) = (x, y/z, e_3z)$ . Let  $(x_2, y_2, z_2) = (x, y/z, z^3)$ , then  $W_2/\langle \theta \rangle \cong U(x_2, y_2, z_2)$  and  $\lambda^*(x_2, y_2, z_2) = (e_3x_2, e_3y_2, z_2)$ . Hence  $W_2/\langle \theta, \lambda \rangle$  contains a curve of singular points not satisfying S-B.,R.,T.'s conditions. In fact it is just the strict transform of  $\tilde{\tilde{\Gamma}}$ . Notice that a local equation for  $E_1$  is  $(z_2 = 0)$ .

**DEFINITION 3.6.** Let  $\Gamma^* \subset X_2$  be the strict transform of  $\tilde{\tilde{\Gamma}}$ .

*Desingularization of  $\Gamma^*$*

By the previous analysis of the fiber of  $E_1$  over  $\tilde{\Gamma} \cap \tilde{\Gamma}$  we know that a neighborhood of  $E_1 \cap \Gamma^*$  is isomorphic to  $U(x, y, z)/\langle g \rangle$  where  $g^*(x, y, z) = (x, e_3 y, e_3 z)$ . The analysis given in the proof of the claim on page 138 carries over to show that a neighborhood of any point in  $\Gamma^* \setminus E_1$  is also isomorphic to  $U(x, y, z)/\langle g \rangle$ . So let  $\varphi_3: X_3 \rightarrow X_2$  be the blow up of  $\Gamma^*$ , it will desingularize the whole of  $\Gamma^*$ . Our analysis of the singularities that are left after the partial desingularizations  $\varphi_1, \varphi_2, \varphi_3$  proves the following:

**PROPOSITION 3.2.** *The locus of non canonical singularities of  $X_3$  is equal to the pre-image  $\tilde{\Omega}$  of  $\Omega$  in  $X_3$*

Now we have to deal with the singularities of  $\tilde{\Omega} \subset X_3$ . Notice first that  $\Omega \cap (\Gamma' \cup \Gamma'') = \emptyset$ , because  $\pi(\Omega) = \Delta_{00}$  and  $\Delta_{00} \cap \pi(\Gamma') = \Delta_{00} \cap \pi(\Gamma'') = \emptyset$ . Hence a neighborhood of  $\Omega \subset \tilde{\mathcal{A}}_2(p)$  is isomorphic to a neighborhood of  $\tilde{\Omega} \subset X_3$ .

**PROPOSITION 3.3.** *Let  $P$  be any point of  $\Omega \subset \tilde{\mathcal{A}}_2(p)$ . Then a neighborhood of  $P$  is isomorphic to  $U(x, y, z)/\langle g \rangle$ , where  $g^*(x, y, z) = (e_p x, e_p^2 y, z)$ .*

*Proof.* We prove the proposition for  $P \in \Omega$  generic, i.e.  $\pi(P) = m(C)$  where  $C$  is not the union of two singular elliptic curves nor  $\mathbb{P}^1/(1 \sim -1, 0 \sim \infty)$  nor the union of two copies of  $\mathbb{P}^1$  joined at three points. A case by case analysis shows that the result holds also in these special cases. So let  $C$  be a generic curve with exactly two non-disconnecting nodes. Let  $U$  be the universal deformation space of  $C$  and let  $\Delta_0(U) \subset U$  be the divisor parametrizing curves with one (at least) non-disconnecting node. The divisor  $\Delta_0(U)$  has two components meeting transversely along  $\Delta_{00}(U)$ , the locus parametrizing curves with two non-disconnecting nodes. Let  $\Delta_2(U) \subset U$  be the divisor parametrizing curves which are double covers of elliptic curves i.e.  $m(\Delta_2(U)) \subset \Delta_2$ . Let  $(\alpha, \beta, \gamma)$  be coordinates on  $U$ , chosen so that  $\Delta_{00}(U) = \{\alpha\beta = 0\}$  and  $\Delta_2(U) = \{\alpha - \beta = 0\}$ . Let  $\varphi: V \rightarrow U$  be the cover which has ramification index  $p$  over each of the two components of  $\Delta_0(V)$ . Let  $(x_1, y_1, z_1)$  be coordinates on  $V$  such that  $\varphi^*(\alpha, \beta, \gamma) = (x_1^p, y_1^p, z_1)$ . Let  $P \in V$  belong to  $(x_1 - y_1 = 0)$  and let us assume that  $\varphi(P) \notin \Delta_{00}(U)$  (i.e.  $x_1 \neq 0$ ) and that  $\varphi(P)$  is a generic point of  $\Delta_2(U)$ , i.e. it represents a smooth curve  $C'$  such that  $\text{Aut}'(C') \cong \mathbb{Z}/(2)$ . As we have already remarked to every non-isotropic  $H \subset \text{Jac}(C')[p]$  there corresponds a point  $m(C, H) \in \pi^{-1}(m(C))$ . In order that  $m(C, H)$  belong to  $\tilde{\Delta}_2$  we must choose  $H$  to be one of the two subspaces fixed by the extra automorphism of  $C'$ . Let  $H_0 \subset \text{Jac}(C')[p]$  be such a subspace. A neighborhood of  $m(C, H_0)$  is isomorphic to  $V/\text{Aut}'(C, H_0)$ , hence we need to determine  $\text{Aut}'(C, H_0)$ . The group  $G$  acting on  $V$  is an extension  $1 \rightarrow M \rightarrow G \rightarrow \text{Aut}'(C)$ . The monodromy group  $M$  is generated by  $m_1, m_2$  where  $m_1^*(x_1, y_1, z_1) = (e_p x_1, y_1, z_1)$ ,  $m_2^*(x_1, y_1, z_1) = (x_1, e_p y_1, z_1)$ ; also  $\text{Aut}'(G) \cong \mathbb{Z}(2)$ .

*Claim.*  $\text{Aut}'(C, H_0) \cap M = \langle m_1 m_2 \rangle$ .

*Proof of the claim.* Let  $\gamma$  be a generator of  $\pi_1(\Delta_2(U) \setminus \Delta_{00}(U), \varphi(P))$  the element  $m_\gamma$  of  $M$  corresponding to  $\gamma$  acts as  $m_\gamma^*(x_1, y_1, z_1) = (e_p x_1, e_p y_1, z_1)$  (or as the inverse, depending on the orientation of  $\gamma$ ), it is clear that  $m_\gamma = m_1 m_2$ . The action of  $m_\gamma$  on  $H_0$  is obtained by deforming both  $C'$  and  $H_0$  over  $\gamma$ . Let  $H' = m_\gamma(H_0)$ . Since  $\gamma \in \Delta_2(U)$   $H'$  is fixed by the extra automorphism of  $C'$ , hence either  $H' = H_0$  or  $H' = H_0^\dagger$ . We know that  $m_\gamma^2(H_0) = H_0$ ; since  $2 \nmid p$  we get that  $m_\gamma(H_0) = H_0$ . Hence  $\langle m_1 m_2 \rangle \subseteq \text{Aut}'(C, H_0) \cap M$ . If  $\text{Aut}'(C, H_0) \neq \langle m_1 m_2 \rangle$  then  $\text{Aut}'(C, H_0) = M$  which is absurd because, for example,  $m_1(H_0) \neq H_0$ .

Now let  $h \in G$  be defined by  $h^*(x_1, y_1, z_1) = (y_1, x_1, z_1)$ , so that  $h$  maps to the non-trivial element of  $\text{Aut}'(C)$ . Our  $H_0$  is fixed by  $h$ , hence  $\text{Aut}'(C, H_0) = \langle m_1 m_2, h \rangle$ . Therefore a neighborhood of  $m(C, H_0)$  is isomorphic to  $V/\langle m_1 m_2, h \rangle$ . Since  $m_1 m_2$  and  $h$  commute we first consider the quotient by  $\langle h \rangle$ . Let  $(x, y, z) = (x_1 + y_1, x_1 y_1, z_1)$  then  $V/\langle h \rangle \cong U(x, y, z)$ ; finally  $V/\langle m_1 m_2 h \rangle \cong U(x, y, z)/\langle g \rangle$  where  $g$  acts as  $g^*(x, y, z) = (e_p x, e_p^2 y, z)$ , q.e.d.

*Partial desingularization of  $\tilde{\Omega}$*

Let  $\varphi_4: \hat{\mathcal{A}}_2(p) \rightarrow X_3$  be the partial desingularization obtained by applying the first step in Fujiki's method for resolving the singularities of  $\tilde{\Omega}$ . Let us examine the structure of  $\hat{\mathcal{A}}_2(p)$  in a neighborhood of the exceptional divisor. So let  $f_1: U(x_1, y_1, z_1) \rightarrow U(x, y, z)$  be defined by  $f_1^*(x, y, z) = (x_1, y_1^2, z_1)$ , then  $U(x, y, z)/\langle g \rangle \cong U(x_1, y_1, z_1)/\langle g, h \rangle$  where  $h^*(x_1, y_1, z_1) = (x_1, -y_1, z_1)$ . Let  $f_2: W \rightarrow U(x_1, y_1, z_1)$  be the blow up of  $\{(0, 0, z_1)\}$ . The group  $\langle g, h \rangle$  acts naturally on  $W$  and  $W/\langle g, h \rangle$  is isomorphic to the partial desingularization of  $U(x, y, z)/\langle g \rangle$ . We decompose  $W$  into the union of two open affine pieces.

(1) Let  $W_1 \subset W$  be the affine piece with coordinates  $(x_1, y_1/x_1, z_1)$ . We have that  $h^*(x_1, y_1/x_1, z_1) = (x_1, -y_1/x_1, z_1)$ ,  $g^*(x_1, y_1/x_1, z_1) = (e_p x_1, y_1/x_1, z_1)$ . Let  $(x_2, y_2, z_2) = (x_1^p, y_1^2/x_1^2, z_1)$  then  $W_1/\langle g, h \rangle \cong U(x_2, y_2, z_2)$ , hence it is smooth.

(2) Let  $W_2 \subset W$  be the affine piece with coordinates  $(y_1, x_1/y_1, z_1)$ . We have that  $h^*(y_1, x_1/y_1, z_1) = (-y_1, -x_1/y_1, z_1)$  and  $g^*(y_1, x_1/y_1, z_1) = (e_p y_1, x_1/y_1, z_1)$ . Let  $(x_3, y_3, z_3) = (y_1^p, x_1/y_1, z_1)$ , then  $W_2/\langle g, h \rangle \cong U(x_3, y_3, z_3)/\langle h \rangle$  where  $h^*(x_3, y_3, z_3) = (-x_3, -y_3, z_3)$ . Hence  $W_2$  contains a curve of singular points satisfying S-B.,R.,T.'s criterion, in fact they are locally isomorphic to  $\mathbb{A}^1 \times (x^2 - yz = 0)$ . Notice that a local equation for the exceptional divisor of  $\varphi_4$  is  $(x_3^2 = 0)$ , hence the singular curve is contained in the exceptional divisor.

**DEFINITION 3.7.** Let  $\varphi: \hat{\mathcal{A}}_2(p) \rightarrow \tilde{\mathcal{A}}_2(p)$  be the composition

$$\varphi = \varphi_1 \varphi_2 \varphi_3 \varphi_4.$$

The conclusion of our analysis is that every singularity of  $\hat{\mathcal{A}}_2(p)$  is canonical.

We have proved the following:

**PROPOSITION 3.4.** *Let  $\psi: \tilde{\mathcal{A}}_2(p) \rightarrow \hat{\mathcal{A}}_2(p)$  be a desingularization of  $\hat{\mathcal{A}}_2(p)$  and let  $\omega$  be an  $n$ -canonical form on  $\hat{\mathcal{A}}_2(p)$ , then  $\psi^*(\omega)$  is regular on all of  $\tilde{\mathcal{A}}_2(p)$ . In other words  $\tilde{\mathcal{A}}_2(p)$  has only canonical singularities.*

In view of Proposition 3.4 in order to prove that  $\mathcal{A}_2(p)$  is of general type of  $p \geq 17$  it will be enough to show that there are many  $n$ -canonical forms on the partial desingularization  $\hat{\mathcal{A}}_2(p)$ .

**DEFINITION 3.8.** Let  $\varphi: \hat{\mathcal{A}}_2(p) \rightarrow \bar{\mathcal{A}}_2(p)$ ; let

- (i)  $E' = \varphi^{-1}(R'), E'' = \varphi^{-1}(R'')$ .
- (ii)  $E'_1 = \varphi^{-1}(\Gamma'), E''_1 = \varphi^{-1}(\Gamma'')$ .
- (iii)  $E_2 = \varphi^{-1}(\Omega)$ .
- (iv)  $\hat{\Delta}_2, \hat{\Delta}_1, \hat{\Delta}_0, \hat{\Delta}_0 \subset \hat{\mathcal{A}}_2(p)$  be the strict transforms of  $\bar{\Delta}_2, \bar{\Delta}_1, \bar{\Delta}_0, \bar{\Delta}_0 \subset \bar{\mathcal{A}}_2(p)$  respectively.

By abuse of notation we will use the same symbol for the reduced divisors  $E', E'', \dots$  and their linear equivalence classes in  $\text{Pic}(\hat{\mathcal{A}}_2(p)) \otimes \mathbb{Q}$ .

The following is a picture of the part of  $\hat{\mathcal{A}}_2(p)$  lying over  $\Gamma' \cup \Gamma''$  and  $\Omega$ :

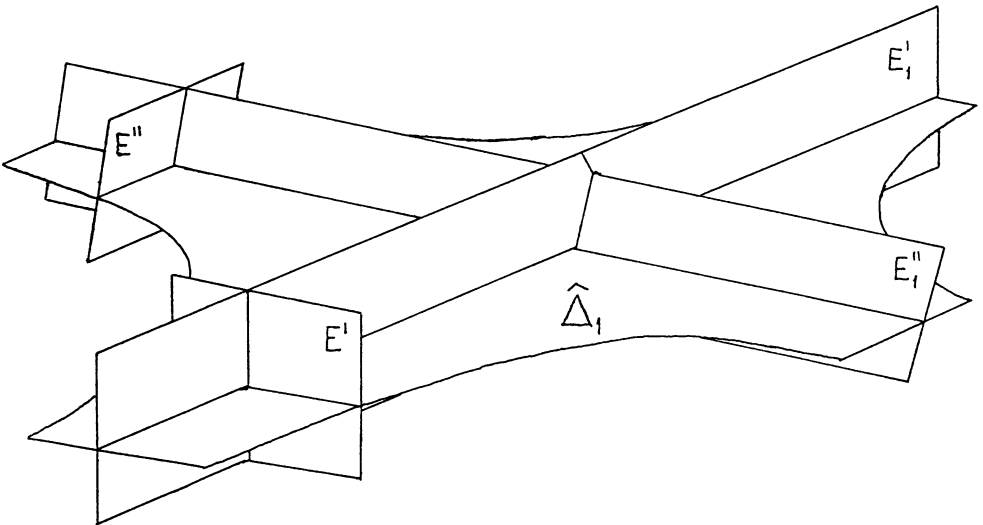


Fig. 1.

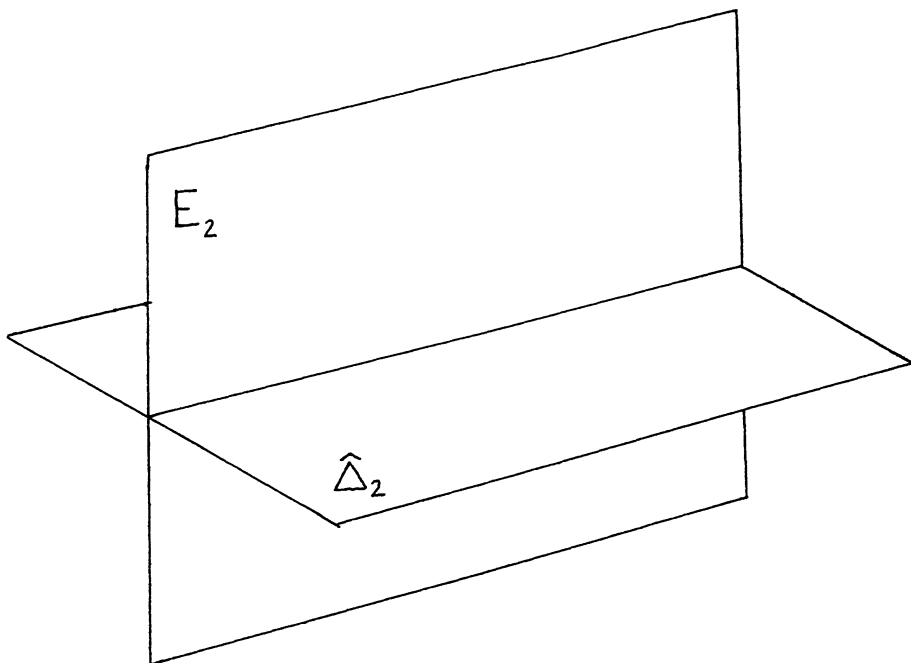


Fig. 2

PROPOSITION 3.5. *The following formula for the canonical class of  $\hat{\mathcal{A}}_2(p)$  holds:*

$$K_{\hat{\mathcal{A}}_2(p)} = \varphi^*(K_{\mathcal{A}_2(p)}) + (3/p - 1) - E_2 - \frac{1}{3}E'_1 - \frac{1}{3}E''_1 + \frac{2}{3}E' + \frac{2}{3}E''.$$

*Proof.* We know that  $K_{\hat{\mathcal{A}}_2(p)} = \varphi^*(K_{\mathcal{A}_2(p)}) + c_2E_2 + c'_1E'_1 + c''_1E''_1 + c'E' + c''E''$ , we have to determine the coefficients of the exceptional divisors.

*Coefficient of  $E_2$ .*

$E_2$  is the exceptional divisor over  $\tilde{\Omega} \subset X_3$ . A neighborhood of  $\tilde{\Omega}$ , call it  $V$ , is isomorphic to  $V(x, y, z)/\langle g \rangle$  where  $g^*(x, y, z) = (e_p x, e_p^2 y, z)$ ; the curve  $\tilde{\Omega} \cap V$  is exactly the singular locus, i.e. the image of  $\{(0, 0, z)\}$ . A generator of  $K_{\mathcal{A}_2(p)}(V)$  is given by  $\omega = dx \wedge dy \wedge dz$ ; more precisely  $\omega^p$  is invariant for the action of  $\langle g \rangle$ . Hence it descends to a generator of  $K_{\hat{\mathcal{A}}_2(p)}^{[p]}(V)$ . In the notation adopted when examining the blow up of  $\tilde{\Omega}$  we have that coordinates on  $W_1$  are  $(x_2, y_2, z_2) = (x^p, y/x^2, z)$  and  $(x_2 = 0)$  is a local equation for  $E_2$ . Hence  $(x, y, z) = (x_2^{1/p}, x_2^{2/p} y_2, z_2)$ , so  $\omega = (1/p)x_2^{(3/p)-1} dx_2 \wedge dy_2 \wedge dz_2$ . Since  $dx_2 \wedge dy_2 \wedge dz_2$  is a local generator of  $K_{\mathcal{A}_2(p)}$  we see that the “order of vanishing” of  $\varphi^*(\omega)$  along  $E_2$  is  $(3/p - 1)$  (since  $p \geq 5$  this means that  $\varphi^*(\omega)$  has a pole along  $E_2$ ), and hence, in a neighborhood of  $E_2$ ,  $K_{\hat{\mathcal{A}}_2(p)} \cong \varphi^*(K_{\mathcal{A}_2(p)}) + ((3/p) - 1)E_2$ .



Coefficients of  $E'_1, E''_1$ .

$E'_1$  is the exceptional divisor over  $\tilde{\Gamma} \subset X_1$ . Let  $V$  be a neighborhood of a generic point of  $\tilde{\Gamma}$ ; we have shown that  $V \cong U(x, y, z)/\langle g \rangle$  where  $g^*(x, y, z) = (e_3x, e_3y, z)$ . Let  $\omega = dx \wedge dy \wedge dz$ . It is a generator of  $K_{\bar{\mathcal{A}}_2(p)}(V)$ . Let  $(u, v, s) = (x^3, y/x, z)$ . They are local coordinates on the partial desingularization of  $V$  along  $V \cap \tilde{\Gamma}$ . The exceptional divisor  $E'_1$  has local equation  $(u = 0)$ . We have  $(x, y, z) = (u^{1/3}, u^{1/3}v, s)$  hence  $dx \wedge dy \wedge dz = \frac{1}{3}u^{-1/3} du \wedge dv \wedge ds$ . Since  $du \wedge dv \wedge ds$  is a local generator of  $K_{\bar{\mathcal{A}}_2(p)}$  we see that  $\varphi^*(\omega)$  has order of vanishing  $-\frac{1}{3}$  along  $E'_1$  (i.e. a pole of order  $\frac{1}{3}$ ), hence the coefficient of  $E'_1$  is  $-\frac{1}{3}$ . An analogous computation gives that the coefficient of  $E''_1$  is  $-\frac{1}{3}$ .

Coefficients of  $E', E''$

$E'$  is the exceptional divisor of the blow up of  $R'$ . We have shown that a neighborhood  $V$  of  $R'$  is isomorphic to  $U(x, y, z)/\langle gh \rangle$ , where  $(gh)^*(x, y, z) = (e_6^3x, e_6^5y, e_6^2z)$ . Adopting the notation we already used, we have that coordinates on a piece of the partial desingularization are  $(u, v, s) = (x_1^6, y_1^{1.5}x_1^{1.5}, x_1^2z_1^2/y_1^5)$ . Therefore  $(x, y, z) = (u^{1/2}, u^{5/6}v^{1/3}, u^{1/3}v^{1/3}s)$ . A local equation for  $E'$  is  $(u = 0)$ . Let  $\omega = dx \wedge dy \wedge dz$  be the local generator of  $K_{\bar{\mathcal{A}}_2(p)}(V)$ , then  $\varphi^*(\omega) = \frac{1}{6}u^{2/3}v^{1/3} du \wedge dv \wedge ds$ . Hence the order of vanishing of  $\varphi^*(\omega)$  along  $E'$  is  $\frac{2}{3}$ , which justifies the coefficient of  $E'$ . An analogous computation holds for  $E''$ .

**PROPOSITION 3.6.**  $\varphi^*(\tilde{\Delta}_1) \cong \hat{\Delta}_1 + \frac{1}{3}E'_1 + \frac{1}{3}E''_1 + \frac{5}{6}E' + \frac{5}{6}E''$ .

*Proof.* We know that  $\varphi^*(\tilde{\Delta}_1) \cong \hat{\Delta}_1 + c'_1E'_1 + c''_1E''_1 + c'E + c''E''$  for some positive coefficients  $c'_1, \dots$ , because  $\tilde{\Delta}_1$  contains  $R', R'', \Gamma', \Gamma''$ .

As we have shown, a neighborhood of a generic point of  $\tilde{\Gamma} \subset X_1$  is isomorphic to  $U(x, y, z)/\langle g \rangle$  where  $g^*(x, y, z) = (e_3x, e_3y, z)$ . Going back to our basis  $\{\alpha, \beta, \gamma\}$  of  $H^0(\Omega_c^1 \otimes \omega_c)$  we see that  $(\beta = 0)$  is the locus of curves in the deformation space which have a disconnecting node. Since  $y = \beta^2$  and since  $m: U(x, y, z) \rightarrow \bar{\mathcal{A}}_2(p)$  is étale outside  $\{(0, 0, z)\}$  we get that  $m^*(\tilde{\Delta}_1) = (y = 0)$ . Now let  $f: B \rightarrow U(x, y, z)$  be the blow up of  $\{(0, 0, z)\}$  and let  $q: B \rightarrow V$  be the quotient of  $B$  by the natural action of  $\langle g \rangle$ . The quotient  $V$  is isomorphic to  $\varphi^{-1}(m(U(x, y, z)))$ . We have that  $mf = \varphi q$ . Let  $E \subset B$  be the exceptional divisor of  $f$ . On  $V$  we have that  $\varphi^*(\tilde{\Delta}_1) \cong \hat{\Delta}_1 + aE'_1$  for some  $a$ . The quotient map  $q$  has ramification index 3 along  $E$  hence  $q^*(E'_1) \cong 3E$ . Hence  $q^*\varphi^*(\tilde{\Delta}_1) = q^*(\hat{\Delta}_1 + aE'_1) = q^*(\hat{\Delta}_1) + 3aE$ . On the other hand we have that  $f^*m^*(\tilde{\Delta}_1) = f^*\{y = 0\} = q^*(\hat{\Delta}_1) + E$ . Therefore  $q^*(\hat{\Delta}_1) + 3aE \cong q^*(\hat{\Delta}_1) + E$ , hence  $a = \frac{1}{3}$ .

An analogous computation gives the coefficient of  $E''_1$ . A neighborhood of  $R'$  is isomorphic to  $U/\langle gh \rangle$  where  $U = U(x, y, z)$  and  $(gh)^*(x, y, z) = (e_6^3x, e_6^5y, e_6^2z)$ . We adopt the notation already used in analyzing the partial desingularization of  $R'$ . Let  $m: U \rightarrow m(U) \subset \bar{\mathcal{A}}_2(p)$  be the moduli map. Let  $f_1: U_1 \rightarrow U$  be the covering

of  $U$  and let  $f_2: W \rightarrow U_1$  be the blow up of the origin of  $U_1$ . The quotient  $q: W \rightarrow V$  of  $W$  by the action of  $\langle gh, H \rangle$  is isomorphic to  $\varphi^{-1}(m(U))$ . Hence we have that  $\varphi q = mf_1 f_2$ . Let  $\varphi^*(\tilde{\Delta}_1) \cong \hat{\Delta}_1 + aE'$  (on  $V$ ); let  $E \subset W$  be the exceptional divisor. Since  $q$  has ramification index 6 along  $E$  we get that  $q^*\varphi^*(\Delta_1) = q^*(\Delta_1) + 6aE'$ . On the other hand  $m^*(\tilde{\Delta}_1) = (y = 0)$ ,  $f_1^*(y = 0) = (y_1^5 = 0)$  and so  $f_2^*f_1^*m^*(\tilde{\Delta}_1) = q^*(\hat{\Delta}_1) + 5E$ . Therefore  $5 = 6a$  so  $a = 5/6$ .

An analogous computation gives the coefficient of  $E''$ .

**PROPOSITION 3.7.**  $\varphi^*(\tilde{\Delta}_2) \cong \hat{\Delta}_2 + (2/p)E_2$ .

*Proof.* We know that  $\varphi^*(\tilde{\Delta}_2) \cong \hat{\Delta}_2 + aE_2$  for some positive  $a$  because  $\tilde{\Delta}_2$  contains  $\Omega$ ; we need to determine  $a$ . A neighborhood of a point in  $\tilde{\Omega}$  is isomorphic to  $U/\langle g \rangle$  where  $U = U(x, y, z)$  and  $g^*(x, y, z) = (e_p x, e_p^2 y, z)$ . Let  $f_1: U_1 \rightarrow U$  be the covering and let  $f_2: W \rightarrow U_1$  be the blow up of  $\{(0, 0, z)\}$ . Let  $m: U \rightarrow m(U) \subset \tilde{\mathcal{A}}_2(p)$  be the moduli map. The quotient  $q: W \rightarrow V$  by the action of  $\langle g, h \rangle$  is isomorphic to  $\varphi^{-1}(m(U))$ . Hence  $mf_1 f_2 = \varphi q$ . Let  $E \subset W$  be the exceptional divisor, the map  $q$  has ramification index  $p$  along  $E$ . So we have  $q^*\varphi^*(\tilde{\Delta}_2) = q^*(\hat{\Delta}_2 + aE_2) = q^*(\hat{\Delta}_2) + apE$ . We also have that  $m^*(\tilde{\Delta}_2) = (4y - x^2 = 0)$ ,  $f_1^*(4y - x^2 = 0) = (4y_1^2 - x_1^2 = 0)$ , hence  $f_2^*f_1^*m^*(\tilde{\Delta}_2) = q^*(\hat{\Delta}_2) + 2E$ . Therefore  $2 = ap$  and  $a = 2/p$ .

**THEOREM 3.1.** Let  $\varphi: \tilde{\mathcal{A}}_2(p) \rightarrow \tilde{\mathcal{A}}_2(p)$ . The following formula holds.

$$K_{\tilde{\mathcal{A}}_2(p)} \cong \varphi^*\pi^*\left(\left(\frac{3}{10} - \frac{1}{p}\right)\Delta_0 + \frac{3}{10}\Delta_1\right) - \frac{1}{2}\hat{\Delta}_2 - \frac{1}{2}\hat{\Delta}_1 - \frac{p-1}{p}\hat{\Delta}_0 - \frac{p-1}{p}\hat{\Delta}_0 + \left(\frac{2}{p} - 1\right)E_2 - \frac{1}{2}E'_1 - \frac{1}{2}E''_1 + \frac{1}{4}E' + \frac{1}{4}E''.$$

*Proof.* Follows from Theorem 2.2, Propositions 3.5, 3.6, 3.7.

**Section 4. Proof of the main theorem**

In this section we will prove that  $h^0(nK_{\tilde{\mathcal{A}}_2(p)}) \geq A(p)n^3 + O(n^2)$  for  $n$  sufficiently divisible where  $A(p)$  will be a sum of monomials in  $p$  with positive leading coefficient. It will turn out that for  $p \geq 17$ ,  $A(p) > 0$ . This will show that for such values of  $p$  the canonical ring of  $\tilde{\mathcal{A}}_2(p)$  has transcendence degree equal to four hence  $\tilde{\mathcal{A}}_2(p)$  is of general type.

**NOTATION.** Let  $\alpha_p = 3 - 10/p$ . Since  $10\lambda = \Delta_0 + \Delta_1$  (Corollary 1.2) we can write

$$\left(\frac{3}{10} - \frac{1}{p}\right)\Delta_0 + \frac{3}{10}\Delta_1 = \alpha_p\lambda + \frac{1}{p}\Delta_1.$$

Following Theorem 3.1 we have that

$$K_{\mathcal{A}_2(p)} = \varphi^* \pi^*(\alpha_p \lambda) + \varphi^* \pi^* \left( \frac{1}{p} \Delta_1 \right) - \sum_{s=1}^7 c_s D_s + \frac{1}{4} E' + \frac{1}{4} E'',$$

where  $D_s$  are the divisors appearing with negative coefficients. Our plan for estimating  $h^0(nK_{\mathcal{A}_2(p)})$  is the following. First of all  $h^0(nK_{\mathcal{A}_2(p)}) \geq h^0(n\varphi^* \pi^*(\alpha_p \lambda) - n \sum_{s=1}^7 c_s D_s)$ . The Hodge bundle  $\lambda$  lives on the Satake compactification of  $\mathcal{A}_2$  and is ample on it, hence it is easy to estimate  $h^0(n\varphi^* \pi^*(\alpha_p \lambda))$ . The next thing to do is to estimate  $h^0((n\varphi^* \pi^*(\alpha_p \lambda) - iD_1)|_{D_1})$  for  $0 \leq i \leq c_1 n - 1$ , then we estimate  $h^0((n\varphi^* \pi^*(\alpha_p \lambda) - nc_1 D_1 - iD_2)|_{D_2})$  for  $0 \leq i \leq c_2 n - 1$  and so on up to  $D_7$ . Finally we subtract the number of conditions imposed by  $D_1, \dots, D_7$  from the dimension of  $H^0(n\varphi^* \pi^*(\alpha_p \lambda))$  and we obtain an estimate of  $h^0(nK_{\mathcal{A}_2(p)})$ .

Let  $\mathcal{A}_2^+ \supset \mathcal{A}_2$  be the Satake compactification of  $\mathcal{A}_2$  and let  $\mathcal{A}_2^+(p) \supset \mathcal{A}_2(p)$  be the Baily-Borel compactification of  $\mathcal{A}_2(p)$ . Let  $\eta: \mathcal{A}_2^+(p) \rightarrow \mathcal{A}_2^+$  be the natural covering map and let  $f: \tilde{\mathcal{A}}_2 \rightarrow \mathcal{A}_2^+, f_p: \tilde{\mathcal{A}}_2(p) \rightarrow \mathcal{A}_2^+(p)$  be the natural birational morphisms. We have that  $f\pi = \eta f_p$ . The Hodge bundle  $\lambda$  on  $\tilde{\mathcal{A}}_2$  is the pull back of an ample bundle  $\lambda^+$  on  $\mathcal{A}_2^+$ , i.e.  $\lambda \cong f^*(\lambda^+)$ . Hence  $\pi^*(\lambda) \cong f_p^* \eta^*(\lambda^+)$ . The bundle  $\eta^*(\lambda^+)$  is ample on  $\mathcal{A}_2^+(p)$ . Hence  $h^0(n\eta^*(\lambda^+)) = \frac{1}{6} \deg(\eta^*(\lambda^+)) n^3 + O(n^2)$  for  $n$  sufficiently divisible. Therefore  $h^0(n\pi^*(\lambda)) = \frac{1}{6} \deg(\eta^*(\lambda^+)) n^3 + O(n^2)$ . Obviously  $\deg(\eta^*(\lambda^+)) = (\deg \eta) \cdot \deg \lambda^+ = (p^4 + p^2) \cdot \deg \lambda$ . Therefore, replacing  $n$  by  $\alpha_p n$ , we get the following:

PROPOSITION 4.1: *Let  $\varphi\pi: \tilde{\mathcal{A}}_2(p) \rightarrow \tilde{\mathcal{A}}_2$ , then*

$$h^0(n\varphi^* \pi^*(\alpha_p \lambda)) = \frac{1}{6} (p^4 + p^2) (3 - 10/p)^3 \lambda^3 n^3 + O(n^2)$$

for  $n$  sufficiently divisible.

*Computation of  $\lambda^3$*

The surface  $\Delta_0 \subset \tilde{\mathcal{M}}_2$  is the moduli space of couples  $(E, Q)$  where  $E$  is an elliptic curve and  $Q \in E$ . In fact, let  $C$  be a genus two curve with one non-disconnecting node, let  $E$  be the normalization of  $C$  and let  $P, Q \in E$  be the points mapping to the node of  $C$ . Let us choose  $P \in E$  to be the zero of the group law on  $E$ , then we can associate to  $m(C) \in \Delta_0$  the moduli point of  $(E, Q)$ . If we choose  $Q \in E$  to be the zero of the group law then we get the couple  $(E, -Q)$  which is isomorphic to  $(E, Q)$ .

The moduli space of couples  $(E, Q)$  can be described as follows. Let a semi-direct product  $\Gamma = SL(2, \mathbb{Z}) \ltimes \mathbb{Z}^2$  act on  $\mathbb{H} \times \mathbb{C}$  by

$$(\omega, z) \rightarrow \left( \frac{a\omega + b}{c\omega + d}, \frac{z + m\omega + n}{c\omega + d} \right),$$

where  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{Z})$  and  $(m, n) \in \mathbb{Z}^2$ .

DEFINITION 4.1: Let  $S$  be the quotient of  $\mathbb{H} \times \mathbb{C}$  by the action of  $\Gamma$ .

The surface  $S$  maps to the affine  $j$ -line  $\mathbb{A}_j^1$  via the map assigning to  $(E, Q)$  the elliptic curve  $E$ . We can compactify  $S \subset \bar{S}$  so that the map to  $\mathbb{A}_j^1$  extends to a map  $\psi: \bar{S} \rightarrow \mathbb{P}_j^1$ . The surface  $\bar{S}$  is the moduli space of couples  $(E, Q)$  where  $E$  is any elliptic curve (not necessarily smooth) so  $\Delta_0$  is isomorphic to  $\bar{S}$ . The fibers of  $\psi$  are reduced projective lines except over  $j = 0, 1728$ . In fact  $\bar{S}$  has singular points on  $\psi^{-1}(0)$ ,  $\psi^{-1}(1728)$  and the fibers  $\psi^*(0)$ ,  $\psi^*(1728)$  have multiplicity 3 and 2 respectively.

PROPOSITION 4.2.  $\lambda^2 \cdot \Delta_0 = 0$ .

*Proof.* This follows from the fact that  $\lambda = f^*(\lambda^+)$  and that  $f: \bar{\mathcal{A}}_2 \rightarrow \mathcal{A}_2^+$  blows down  $\Delta_0$  but we want to check it. Let  $g: \mathcal{C} \rightarrow E$  be the family of singular genus two curves defined in Definition 1.2. The moduli map  $m: E \rightarrow \mathfrak{M}_2$  maps  $E$  onto a fiber of  $\psi: \Delta_0 \rightarrow \mathbb{P}_j^1$ . By Lemma 1.2  $m^*(\lambda) = 0$ , hence  $\lambda$  is trivial on fibers of  $\psi$ , therefore  $\lambda^2 \cdot \Delta_0 = 0$ .

PROPOSITION 4.3.  $\lambda^2 \cdot \Delta_1 = \frac{1}{144}$ .

*Proof.* The surface  $\Delta_1$  is isomorphic to  $\mathbb{P}^2$ , hence  $\text{Pic}(\Delta_1)$  is generated by the hyperplane class  $H$ , let  $\lambda|_{\Delta_1} \cong aH$ . Let  $f: \mathcal{C} \rightarrow T$  be the family of singular genus two curves defined in Definition 1.5 and let  $m: T \rightarrow \mathfrak{M}_2$  be the moduli map, then  $m(T) \subset \Delta_1$  and  $m_*(T) \cong 12H$ . Therefore  $\deg m^*(\lambda) = 12a$ ; by Lemma 1.1 we get that  $a = \frac{1}{12}$ . Hence  $\lambda^2 \cdot \Delta_1 = (\frac{1}{12}H) \cdot (\frac{1}{12}H) = \frac{1}{144}$ .

PROPOSITION 4.4.  $\lambda^3 = \frac{1}{1440}$ .

*Proof.* By Corollary 1. we get that

$$\lambda^3 = \frac{1}{10} \lambda^2 (\Delta_0 + \Delta_1).$$

By Propositions 4.2 and 4.3 we get that  $\lambda^3 = \frac{1}{1440}$ .

COROLLARY 4.1.  $h^0(n\varphi^*\pi^*(\alpha_p\lambda)) = \frac{1}{8640}(p^4 + p^2)(3 - 10/p)^3n^3 + O(n^2)$  for  $n$  sufficiently divisible.

The dual graph of the configuration consisting of  $E'_1, E''_1, \dots$  is the following

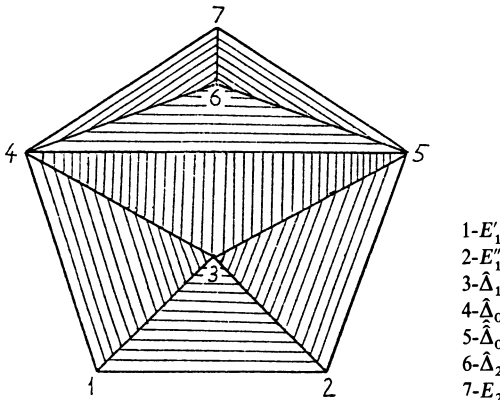


Fig. 3

We will number the divisors in the configuration according to the key, i.e.  $D_1 = E'_1, D_2 = E''_1, D_3 = \hat{\Delta}_1, \dots$ . The incidence relations between the  $D_i$ 's will become clear as we examine more closely  $E'_1, E''_1, \dots$ .

*Conditions imposed by  $E'_1$*

First of all let us recall how  $E'_1$  was obtained. Let  $\varphi_2: X_2 \rightarrow X_1$  be the blow up of  $\tilde{\Gamma} \subset X_1$  and let  $E_1 = \varphi_2^{-1}(\tilde{\Gamma})$ . A fiber  $\varphi_2^*(P)$  of the map  $\varphi_2: E_1 \rightarrow \tilde{\Gamma}$  is a smooth projective line if  $P \neq \tilde{\Gamma} \cap \tilde{\Gamma}$ . The fiber  $\varphi_2^*(\tilde{\Gamma} \cap \tilde{\Gamma})$  has multiplicity 3 and it contains two singular points one of which is  $\Gamma^* \cap E_1$ . Let  $\varphi_3: X_3 \rightarrow X_2$  be the blow up of  $\Gamma^*$ , then  $E'_1 = \varphi_3^{-1}(E_1)$ . Hence  $E'_1$  is the blow up of  $E_1$  with center  $\Gamma^* \cap E_1$ . Let  $\Sigma = E'_1 \cap \hat{\Delta}_1$ , let  $G \subset E'_1$  be the proper transform of the (reduced) fiber  $\varphi_2^{-1}(\tilde{\Gamma} \cap \tilde{\Gamma})$  and let  $F \subset E'_1$  be the exceptional curve of the blow up  $\varphi_3: E'_1 \rightarrow E_1$ . Notice that if  $Q = \Gamma^* \cap E_1$ , then  $\varphi_3^*(Q) = F + 3G$ . The set  $\{[\Sigma], [F], [G]\}$  is a basis of  $\text{Pic}(E'_1) \otimes \mathbb{Q}$ .

LEMMA 4.1.  $E'_1 \cdot \Sigma = -1$ .

*Proof.* We have that  $E'_1 \cdot \Sigma = (\Sigma \cdot \Sigma)_{\hat{\Delta}_1}$ . Now  $\tilde{\Delta}_1$  is isomorphic to  $\mathbb{P}_j^1 \times \mathbb{P}_j^1$  and  $\hat{\Delta}_1$  is the blow up of  $\tilde{\Delta}_1$  with center the two points  $R', R''$ . Let  $\varphi: \hat{\Delta}_1 \rightarrow \tilde{\Delta}_1$ , then  $\Sigma \subset \hat{\Delta}_1$  is the proper transform of  $\Gamma' \subset \tilde{\Delta}_1$ . Since  $\Gamma'$  belongs to one of the two rulings of  $\tilde{\Delta}_1$  we have that  $(\Gamma' \cdot \Gamma')_{\tilde{\Delta}_1} = 0$ . Since  $R' \in \Gamma'$  and  $R'' \notin \Gamma'$  we get that  $(\Sigma \cdot \Sigma)_{\hat{\Delta}_1} = -1$ , q.e.d.

LEMMA 4.2. (i)  $E'_1 \cdot F = 0$ , (ii)  $E'_1 \cdot G = -1$ .

*Proof.* (i) We clearly have that  $F = E'_1 \cap E''_1$ , therefore  $\text{deg } E'_1|_F = (F^2)_{E'_1}$ . The curve  $F \subset E'_1$  is a fiber of the map  $\varphi: E'_1 \rightarrow \Gamma''$ , hence  $(F^2)_{E'_1} = 0$ . Therefore  $\text{deg } E'_1|_F = 0$ .

(ii) Let  $\varphi^*(P) \subset E'_1$  be a generic fiber of  $\varphi: E'_1 \rightarrow \Gamma$ . A local computation gives that  $E'_1 \cdot \varphi^*(P) = -3$ . Since  $\varphi^*(P) \cong F + 3G$  we get  $E'_1 \cdot (F + 3G) = -3$ ; by the previous formula we get that  $E'_1 \cdot G = -1$ .

LEMMA 4.3. *Let  $\Sigma, F, G \in \text{Pic}(E'_1) \otimes \mathbb{Q}$ , then*

- (i)  $F \cdot G = 1$ ,
- (ii)  $\Sigma \cdot F = 1$ ,
- (iii)  $\Sigma \cdot G = 0$ ,
- (iv)  $F \cdot F = -3$ ,
- (v)  $G \cdot G = -\frac{1}{3}$ ,
- (vi)  $\Sigma \cdot \Sigma = -1$ .

*Proof.* (i) Obvious.

(ii) The proper transform of  $\tilde{\Delta}_1$  for the map  $\varphi_1 \varphi_2: X_2 \rightarrow \bar{\mathcal{A}}_2(p)$  contains  $\Gamma^*$ . Hence  $\hat{\Delta} \cdot F = 1$ . Since  $\Sigma = E'_1 \cap \hat{\Delta}_1$  we get that  $(\Sigma \cdot F)_{E'_1} = 1$ .

(iii) Let  $P \in \Gamma'$  be a generic point, then  $\hat{\Delta}_1 \cdot \varphi^*(P) = 1$ . Since  $\varphi^*(P) \cong F + 3G$  we get that  $\hat{\Delta}_1 \cdot (F + 3G) = 1$ . By part (ii) we get that  $\hat{\Delta}_1 \cdot G = 0$ .

(iv), (v) Let again  $P \in \Gamma'$  be a generic point, then  $F \cdot \varphi^*(P) = G \cdot \varphi^*(P) = 0$ . Hence  $F \cdot (F + 3G) = G \cdot (F + 3G) = 0$ . By formula (i) we get that  $F \cdot F = -3$  and  $G \cdot G = -\frac{1}{3}$ .

(vi) Since  $\Sigma = E'_1 \cdot \hat{\Delta}_1$ ,  $(\Sigma^2)_{E'_1} = \hat{\Delta}_1 \cdot \Sigma$ . By Proposition 3.6 we have that  $\varphi^*(\tilde{\Delta}_1) = \tilde{\Delta}_1 + \frac{1}{3}E'_1 + \frac{1}{3}E''_1 + \frac{2}{6}E' + \frac{2}{6}E''$ , hence

$$\hat{\Delta}_1 \cdot \Sigma = \varphi^*(\tilde{\Delta}_1) \cdot \Sigma - \frac{1}{3}E'_1 \cdot \Sigma - \frac{1}{3}E''_1 \cdot \Sigma - \frac{2}{6}E' \cdot \Sigma - \frac{2}{6}E'' \cdot \Sigma. \quad (*)$$

The map  $\varphi: \Sigma \rightarrow \Gamma'$  is one-to-one, hence  $\varphi^*(\tilde{\Delta}_1) \cdot \Sigma = \tilde{\Delta}_1 \cdot \Gamma'$ . We will prove in Lemma 4.6 that  $\tilde{\Delta}_1|_{\tilde{\Delta}_1} \cong \pi^*(\Delta_1)|_{\Delta_1}$ , hence  $\varphi^*(\tilde{\Delta}_1) \cdot \Sigma = \pi^*(\Delta_1) \cdot \Gamma'$ . Since  $\pi: \Gamma' \rightarrow \Gamma$  is one-to-one we have that  $\pi^*(\Delta_1) \cdot \Gamma' = \Delta_1 \cdot \Gamma$ . By Lemma 1.1 we get that  $\Delta_1 \cdot \Gamma = -\frac{1}{6}$ , hence  $\varphi^*(\tilde{\Delta}_1) \cdot \Sigma = -\frac{1}{6}$ . Obviously  $E''_1 \cdot \Sigma = 1$ ,  $E' \cdot \Sigma = 1$ ,  $E'' \cdot \Sigma = 0$  and by Lemma 4.1,  $E'_1 \cdot \Sigma = 1$ , hence (\*) becomes  $\hat{\Delta}_1 \cdot \Sigma = -1$ , q.e.d.

LEMMA 4.4. (i)  $\varphi^*\pi^*(\lambda)|_{E'_1} \cong \frac{1}{12}(E + 3G)$ .

(ii)  $E'_1|_{E'_1} \cong -3\Sigma - 4F - 9G$ .

*Proof.* (i) The map  $\pi: \Gamma' \rightarrow \Gamma$  is one-to-one, hence  $\varphi^*\pi^*(\lambda) = (\deg \lambda|_{\Gamma'}) (F + 3G)$ . By Lemma 1.1  $\deg \lambda|_{\Gamma} = \frac{1}{12}$ .

(ii) We know that  $E'_1|_{E'_1} \cong x\Sigma + yF + wG$  for some  $x, y, w \in \mathbb{Q}$ . Lemmas 4.1, 4.2, 4.3 determine uniquely  $x, y, w$ .

COROLLARY 4.1.

$$(n\varphi^*\pi^*(\alpha_p\lambda) - iE'_1)|_{E'_1} \cong 3i\Sigma + \left(\frac{\alpha_p}{12}n + 4i\right)F + \left(\frac{\alpha_p}{4}n + 9i\right)G.$$

In estimating the dimension of linear systems on the surfaces  $E'_1, \dots$  we will often use the following:

PROPOSITION 4.5. *Let  $S$  be a surface, let  $F, D$  be effective divisors on  $S$  and assume  $F^2 = 0$ ,  $F \cdot D \geq 0$ , then*

$$h^0(xF + yD) \leq xy(F \cdot D) + x + h^0(yD)(x, y \geq 0).$$

*Proof.* By induction on  $x$ . The first step,  $x = 0$ , is clear. The induction from  $(x - 1, y)$  to  $(x, y)$  is provided by the sequence of spaces of global sections in the long exact sequence associated to

$$0 \rightarrow \mathcal{O}_S((x - 1)F + yD) \rightarrow \mathcal{O}_S(xF + yD) \rightarrow \mathcal{O}_F(xF + yD) \rightarrow 0$$

THEOREM 4.1.

$$\sum_{i=0}^N h^0((n\varphi^*\pi^*(\alpha_p\lambda) - iE'_1)|_{E'_1}) \leq \left(\frac{19}{32} - \frac{5}{16p}\right)n^3 + O(n^2)(N = \frac{1}{2}n - 1).$$

*Proof.* Let  $L \in \text{Pic}(E'_1)$  be defined as  $L = F + 3G$ . By Corollary 4.1 we have that

$$\begin{aligned} h^0((n\varphi^*\pi^*(\alpha_p\lambda) - E'_1)|_{E'_1}) &= h^0\left(3i\Sigma + \left(\frac{\alpha_p}{12}n + 4i\right)L - 3iG\right) \\ &\leq h^0\left(3i\Sigma + \left(\frac{\alpha_p}{12}n + 4i\right)L\right). \end{aligned}$$

We apply Proposition 4.5 to the surface  $E'_1$  with  $F = L$  and  $D = \Sigma$ . Since  $\Sigma$  is irreducible and  $\Sigma \cdot \Sigma = -1$  we have that  $h^0(y\Sigma) = 1$ . We get

$$h^0((n\varphi^*\pi^*(\alpha_p\lambda) - iE'_1)|_{E'_1}) \leq \frac{\alpha_p}{4}ni + 12i^2 + \frac{\alpha_p}{12}n + 4i + 1. \quad (*)$$

Therefore the summation of the left hand side of (\*) for  $i = 0, 1, \dots, n/2 - 1$  is bounded above by the summation of the right hand side. The latter is a polynomial in  $n$  of third degree. In order to prove the proposition we must show that the leading term (l.t.) of this polynomial is equal to  $(19/32 - 5/16p)n^3$ . Hence we compute

$$\text{l.t. } \sum_{i=0}^{n/2} \left(\frac{\alpha_p}{4}ni + 12i^2\right) = \left(\frac{19}{32} - \frac{5}{16p}\right)n^3.$$

*Conditions imposed by  $E'_1$*

Let  $\varphi_3: X_3 \rightarrow X_2$  be the blow up of  $\Gamma^*$ , then  $E'_1$  is the exceptional divisor of  $\varphi_3$ . Let  $\Sigma = E'_1 \cap \hat{\Delta}_1$ ,  $F = \varphi^*(P)$  where  $P \in \Gamma''$ . A basis of  $\text{Pic}(E'_1)$  is given by  $\{\Sigma, F\}$ .

LEMMA 4.5. (i)  $E'_1 \cdot F = -3$ .

(ii)  $E'_1 \cdot \Sigma = -1$ .

*Proof.* (i) This is the same local computation that gives  $E'_1 \cdot \varphi^*(P) = -3$ .

(ii) Since  $\Sigma = E'_1 \cdot \hat{\Delta}_1$  we have that  $E'_1 \cdot \Sigma = (\Sigma \cdot \Sigma)_{\hat{\Delta}_1}$ . The argument that proved that  $E'_1 \cdot \Sigma = -1$  also show that  $E'_1 \cdot \Sigma = -1$ .

LEMMA 4.6. (i)  $(F^2)_{E'_1} = 0$ , (ii)  $(F \cdot \Sigma)_{E'_1} = 1$ , (iii)  $(\Sigma^2)_{E'_1} = -1$ .

*Proof.* (i) and (ii) are clear.

(iii) We have that  $(\Sigma^2)_{E'_1} = \hat{\Delta}_1 \cdot \Sigma$ . From Proposition 3.6 we get that

$$\hat{\Delta}_1 \cdot \Sigma = \varphi^*(\tilde{\Delta}_1) \cdot \Sigma - \frac{5}{8}E' \cdot \Sigma - \frac{5}{6}E'' \cdot \Sigma - \frac{1}{3}E'_1 \cdot \Sigma - \frac{1}{3}E''_1 \cdot \Sigma.$$

At this point we proceed in a way completely analogous to the proof of (vi) of Lemma 4.3.

- LEMMA 4.7. (i)  $\varphi^* \pi^*(\alpha_p \lambda)|_{E'_1} \cong \frac{1}{2}F$ ,  
 (ii)  $E'_1|_{E'_1} \cong F$ ,  
 (iii)  $E''_1|_{E'_1} \cong -3\Sigma - 4F$ .

*Proof.* (i) analogous to (i) of Lemma 4.4.

(ii) clear

(iii) We know that  $E''_1|_{E'_1} \cong x\Sigma + yF$  for some  $x, y \in \mathbb{Z}$ , Lemmas 4.5, 4.6 uniquely determine the coefficients  $x, y$ .

COROLLARY 4.2.  $\left( n\varphi^* \pi^*(\alpha_p \lambda) - \frac{n}{2}E'_1 - iE''_1 \right)|_{E'_1} \cong 3i\Sigma + \left( 4i - \left( \frac{1}{4} + \frac{5}{6p} \right)n \right)F$ .

THEOREM 4.2.

$$\sum_{i=0}^N h^0 \left( \left( n\varphi^* \pi^*(\alpha_p \lambda) - \frac{n}{2}E'_1 - iE''_1 \right)|_{E'_1} \right) \leq \frac{3}{7}n^3 + O(n^2) (N = \frac{1}{2}n - 1).$$

*Proof.* By the Corollary in order that  $h^0((n\varphi^* \pi^*(\alpha_p \lambda) - (n/2)E'_1 - iE''_1)|_{E'_1})$  be non-zero we must have

$$i > \left( \frac{1}{16} + \frac{5}{24p} \right)n$$

We apply Proposition 4.5 to  $E'_1$  for  $(1/16 + 5/24p)n \leq i \leq n/2 - 1$ . We get that  $\sum_{i=0}^N h^0(\dots)$  is bounded above by a polynomial whose leading term is  $\frac{3}{7}n^3$ .

Conditions imposed by  $\hat{\Delta}_1$ .

As we have already pointed out  $\tilde{\Delta}_1 \cong \mathbb{P}^1_j \times \mathbb{P}^1_j$ . Furthermore  $\Delta_1 \cong \mathbb{P}^2$ . Let  $(j_1, j_2) \in \tilde{\Delta}_1$ , then  $\pi(j_1, j_2) = (j_1 + j_2, j_1 \cdot j_2)$ . The surface  $\hat{\Delta}_1$  is the blow up of  $\Delta_1$  at the points  $R', R''$ .

DEFINITION 4.2. Let  $L' = E' \cap \hat{\Delta}_1, L'' = E'' \cap \hat{\Delta}_1, M' = E'_1 \cap \hat{\Delta}_1, M'' = E''_1 \cap \hat{\Delta}_1$ .

It is clear that  $L', L''$  are the exceptional divisors of  $\varphi: \hat{\Delta}_1 \rightarrow \tilde{\Delta}_1$ , lying above  $R', R''$  respectively. The divisors  $M', M''$  are the proper transforms of the divisors belonging to the two rulings of  $\tilde{\Delta}_1$  and passing through  $R', R''$  respectively.

LEMMA 4.8.  $\pi^*(\Delta_1)|_{\tilde{\Delta}_1} \cong \tilde{\Delta}_1|_{\tilde{\Delta}_1}$ .

*Proof.*  $\pi^*(\Delta_1) = \tilde{\Delta}_1 + 2R_1$  so we must show that  $R_1 \cap \tilde{\Delta}_1 = \emptyset$ . Assume  $R_1 \cap \tilde{\Delta}_1 \neq \emptyset$ ; let  $D \subset \tilde{\Delta}_1 \cap R_1$  be an irreducible component, then either  $\pi(D)$  is a divisor whose points parametrize curves  $C$  such that  $\text{Aut}'(C)$  is larger than  $\mathbb{Z}/(2)$  or else  $\pi(D) = \Delta_1 \cap \Delta_0$ . In the first case  $\pi(D)$  can be either  $\Delta_1 \cap \Delta_2$  or  $\Gamma$  or  $\Gamma_1 = \{m(E \cup F) | j(E) = 1728\}$ . Let  $m(E_1 \cup E_2)(E_1 \cong E_2)$  be a generic point of  $\Delta_1 \cap \Delta_2$ , then  $\text{Aut}'(E_1 \cup E_2) = \langle g, h \rangle$  where  $g|_{E_1} = (\text{mult by } -1)$ ,  $g|_{E_2} = (\text{identity})$ ,  $h$  interchanges  $E_1$  and  $E_2$ . We have that  $m(E_1 \cup E_2, H) \in \tilde{\Delta}_1$  if and only



if  $H = E_1[p]$  or  $H = E_2[p]$ , while  $m(E_1 \cup E_2, H) \in R_1$  in all other cases. Since the automorphism  $h$  interchanges  $E_1[p]$  with  $E_2[p]$  we see that  $\pi: \tilde{\Delta}_1 \rightarrow \Delta_1$  is ramified over  $\Delta_1 \cap \Delta_2$  (in fact if we identify  $\Delta_1 \cong \mathbb{P}^2$ ,  $\Delta_1 \cap \Delta_2$  gets identified with a conic) and that  $\pi^{-1}(\Delta_1 \cap \Delta_2) \cap \tilde{\Delta}_1 \cap R_1 = \emptyset$ . A similar analysis of  $\pi: \tilde{\Delta}_1 \rightarrow \Delta_1$  over  $\Gamma$  or  $\Gamma_1$  will show that  $\Gamma, \Gamma_1$  are not in the branch locus so  $\pi^{-1}(\Gamma) \cap \tilde{\Delta}_1 \cap R_1 = \pi^{-1}(\Gamma_1) \cap \tilde{\Delta}_1 \cap R_1 = \emptyset$ . For the analysis of  $\pi: \tilde{\Delta}_1 \rightarrow \Delta_1$  over  $\Delta_1 \cap \Delta_0$  we just have to notice the following: let  $m(C)$  be a generic point of  $\Delta_1 \cap \Delta_0$  and let  $m(C, H) \in \tilde{\Delta}_1$ , then the Picard-Lefschetz transformation fixes  $H$ , hence  $m(C, h) \notin R_1$ .

Applying Proposition 3.6 we get

**COROLLARY 4.3.**  $\hat{\Delta}_1|_{\tilde{\Delta}_1} \cong (\varphi^* \pi^*(\Delta_1) - \frac{1}{3}E'_1 - \frac{1}{3}E''_1 - \frac{5}{6}E' - \frac{5}{6}E'')|_{\tilde{\Delta}_1}$ .

**PROPOSITION 4.6.** *Let  $H$  be the hyperplane class in  $\Delta_1$ , then  $\Delta_1|_{\tilde{\Delta}_1} \cong -\frac{1}{6}H$ .*

*Proof.* Follows from (ii) of Lemma 1.1.

**LEMMA 4.9.**  $\hat{\Delta}_1|_{\tilde{\Delta}_1} \cong -L' - L'' - \frac{1}{2}M' - \frac{1}{2}M''$ .

*Proof.* Follows from Corollary 4.3 and Proposition 4.6.

**COROLLARY 4.4.**

$$(n\varphi^* \pi^*(\alpha_p \lambda) - \sum_{s=1}^2 nc_s D_s - i\hat{\Delta}_1)|_{\tilde{\Delta}_1} \cong \left(\frac{\alpha_p}{12}n + 1\right)(L' + L'') + \left(\frac{\alpha_p}{12}n - \frac{1}{2}n + \frac{i}{2}\right)(M' + M'').$$

*Proof.* Follows from Lemma 4.9 and the fact that  $\lambda|_{\tilde{\Delta}_1} \cong \frac{1}{12}H$ , which was proved in Proposition 4.3.

**THEOREM 4.3.**

$$\sum_{i=0}^N h^i \left( \left( n\varphi^* \pi^*(\alpha_p \lambda) - \sum_{s=1}^2 nc_s D_s - i\hat{\Delta}_1 \right) \Big|_{\tilde{\Delta}_1} \right) = 0 \quad (N = \frac{1}{2}n - 1).$$

*Proof.* Follows from Corollary 4.4.

*Conditions imposed by  $\hat{\Delta}_0$ .*

The first thing we notice is that  $\varphi^*(\tilde{\Delta}_0)|_{\hat{\Delta}_0} \cong \hat{\Delta}_0|_{\tilde{\Delta}_0}$  because  $\tilde{\Delta}_0$  does not contain any of the centers of the successive partial desingularizations by which  $\hat{\mathcal{X}}_2(p)$  is obtained. The map  $\varphi: \hat{\Delta}_0 \rightarrow \tilde{\Delta}_0$  is a birational morphism hence if  $L \in \text{Pic}(\tilde{\Delta}_0)$  then

$h^0(\varphi^*(L)) = h^0(L)$ . We also have the obvious inequality

$$h^0\left(\left(n\varphi^*\pi^*(\alpha_p\lambda) - \sum_{s=1}^3 nc_s D_s - i\hat{\Delta}_0\right)\Big|_{\hat{\Delta}_0}\right) \leq h^0((n\varphi^*\pi^*(\alpha_p\lambda) - i\hat{\Delta}_0)|_{\hat{\Delta}_0}).$$

Since  $\hat{\Delta}_0|_{\hat{\Delta}_0} \cong \varphi^*(\tilde{\Delta}_0)|_{\hat{\Delta}_0}$  we get that

$$h^0((n\varphi^*\pi^*(\alpha_p\lambda) - i\hat{\Delta}_0)|_{\hat{\Delta}_0}) = h^0(\varphi^*(n\pi^*(\alpha_p\lambda) - i\tilde{\Delta}_0)|_{\hat{\Delta}_0}).$$

**PROPOSITION 4.7.** (i)  $\tilde{\Delta}_0 \cap \tilde{\tilde{\Delta}}_0$  is non-empty and the intersection is transverse.  
 (ii)  $\tilde{\Delta}_0 \cap R_0$  is non-empty and the intersection is transverse.

*Proof.* It is clear that if  $m(C, H) \in \tilde{\Delta}_0 \cap \tilde{\tilde{\Delta}}_0$  or  $m(C, H) \in \tilde{\Delta}_0 \cap R_0$  then  $m(C) \in \Delta_{00}$ . Let  $U$  be the deformation space of a generic curve with two non-disconnecting nodes, call it  $C$ . Let  $\Delta_0(v), \Delta_0(w) \subset U$  be the two components of the divisor parametrizing singular curves;  $v, w$  will be the corresponding vanishing cycles. Notice that  $v \perp w$ . The divisors  $\Delta_0(v)$  and  $\Delta_0(w)$  intersect transversely along  $\Delta_{00}(U)$ , the curve parametrizing curves with two non-disconnecting nodes. Let  $\Delta_2(U) \subset U$  be the divisor mapping to  $\Delta_2$ , then  $\Delta_2(U) \supset \Delta_{00}(U)$  and  $\Delta_2(U)$  is transverse to  $\Delta_0(v)$  and  $\Delta_0(w)$ . Let  $C'$  be a fixed smooth reference fiber with no extra automorphisms. A point of  $\pi^{-1}(m(C)) \cap \tilde{\Delta}_0$  corresponds to a subspace  $H \subset \text{Jac}(C')[p]$  orthogonal to  $v$ . We distinguish two cases:

(i)  $w \in H$ ; in this case  $H$  is fixed by both the Picard-Lefschetz transformations, the extra automorphism of  $C$  interchanges the two vanishing cycles, hence it does not fix  $H$ . Hence a neighborhood of  $m(C, H)$  is isomorphic to  $U$ ; the isomorphism takes  $\Delta_0(v)$  into  $\tilde{\Delta}_0$  and  $\Delta_0(w)$  into  $\tilde{\tilde{\Delta}}_0$ , and thus we see that  $\tilde{\Delta}_0 \cap \tilde{\tilde{\Delta}}_0 \neq \emptyset$  and the intersection is transverse.

(ii)  $w \notin H$  and  $w \not\perp H$ ; in this case  $H$  is not fixed by the Picard-Lefschetz transformation associated to  $w$ . We see that a neighborhood of  $m(C, H)$  is isomorphic to the  $p$ th cover of  $U$  totally branched over  $\Delta_0(w)$ ; the isomorphism takes the ramification divisor into  $R_0$  and the inverse image of  $\Delta_0(v)$  into  $\tilde{\Delta}_0$ . Hence  $\tilde{\Delta}_0$  and  $R_0$  intersect transversely. Notice also that  $\pi: \tilde{\Delta}_0 \rightarrow \Delta_0$  has ramification index  $p$  at  $\tilde{\Delta}_0 \cap R_0$ .

**DEFINITION 4.3.** (i) Let  $\tilde{\Delta}_{00} = \tilde{\Delta}_0 \cap \tilde{\tilde{\Delta}}_0$ . (ii) Let  $\tilde{R}_{00} = \tilde{\Delta}_0 \cap R_0$ .

**COROLLARY 4.5.** Let  $\pi: \tilde{\Delta}_0 \rightarrow \Delta_0$ ; then

$$\pi^*(\Delta_0)|_{\hat{\Delta}_0} = \mathcal{O}_{\hat{\Delta}_0}(\tilde{\Delta}_0) \otimes \mathcal{O}_{\hat{\Delta}_0}(\tilde{\Delta}_{00} + p\tilde{R}_{00}).$$

**REMARK.** Notice that one should expect  $\tilde{\Delta}_0$  to intersect  $\tilde{\tilde{\Delta}}_0$  and  $R_0$  above  $\Delta_{00}$  because  $\Delta_0$  and  $\Delta_2$  are tangent along  $\Delta_{00}$ .

Recall that there is a natural map  $\psi: \Delta_0 \rightarrow \mathbb{P}_j^1$ . By composition we get a map  $\psi\pi: \tilde{\Delta} \rightarrow \mathbb{P}_j^1$ .

DEFINITION 4.4. (i) Let  $F \in \text{Pic}(\Delta_0)$  be the class of a fiber of  $\psi$ .

(ii) Let  $\Sigma \in \text{Pic}(\Delta_0)$  be the class of the section of  $\psi$  defined as  $\{m(E \cup F) \mid F \text{ is the singular elliptic curve}\}$ .

Notice that in the isomorphism between  $\Delta_0$  and  $\bar{S}$  the section we just defined corresponds to the zero section. Notice also that  $\Sigma = \Delta_0 \cap \Delta_1$  and that the intersection is transverse.

LEMMA 4.9.  $\Delta_0|_{\Delta_0} \cong \frac{5}{6}F - \Sigma$ .

*Proof.* By Corollary 1.2 we have that  $\Delta_0 \cong 10\lambda - \Delta_1$ . We have already remarked that  $\lambda|_{\Delta_0} \cong aF$ ; Lemma 1.1 shows that  $a = \frac{1}{12}$ . By definition  $\Delta_1|_{\Delta_0} \cong \Sigma$ , hence  $\Delta_0|_{\Delta_0} \cong \frac{5}{6}F - \Sigma$ .

DEFINITION 4.5. Let  $\pi: \tilde{\Delta}_0 \rightarrow \Delta_0$ . (i) Let  $\tilde{F} \in \text{Pic}(\tilde{\Delta}_0)$  be defined as  $\tilde{F} = \pi^*(F)$ .

(ii) Let  $\tilde{\Sigma} \in \text{Pic}(\tilde{\Delta}_0)$  be defined as  $\tilde{\Sigma} = \pi^*(\Sigma)$ .

LEMMA 4.10.  $(n\pi^*(\alpha_p\lambda) - i\tilde{\Delta}_0|_{\tilde{\Delta}_0}) \cong \left(\frac{\alpha_p}{12}n + \frac{i}{6}\right)\tilde{F} + i\tilde{\Sigma}$ .

*Proof.* From Corollary 4.5 we get that

$$\tilde{\Delta}_0|_{\tilde{\Delta}_0} \cong \pi^*(\Delta_0) \otimes \mathcal{O}_{\tilde{\Delta}_0}(-\tilde{\Delta}_{00} - p\tilde{R}_{00}).$$

By Lemma 4.9.  $\pi^*(\Delta_0) \cong \frac{5}{6}\tilde{F} - \tilde{\Sigma}$ . Notice also that  $\Delta_{00} \subset \Delta_0$  is a fiber of  $\psi: \Delta_0 \rightarrow \mathbb{P}^1$  and that  $\pi^*\mathcal{O}_{\Delta_0}(\Delta_{00}) \cong \mathcal{O}_{\tilde{\Delta}_0}(\tilde{\Delta}_{00} + p\tilde{R}_{00})$ , hence  $\mathcal{O}_{\tilde{\Delta}_0}(-\tilde{\Delta}_{00} - p\tilde{R}_{00}) \cong -\tilde{F}$ . Concluding  $\tilde{\Delta}_0|_{\tilde{\Delta}_0} \cong -\frac{1}{6}\tilde{F} - \tilde{\Sigma}$ . The formula follows by recalling once again that  $\lambda|_{\Delta_0} \cong \frac{1}{12}F$ .

THEOREM 4.4.

$$\sum_{i=0}^N h^0((n\pi^*(\alpha_p\lambda) - i\tilde{\Delta}_0)|_{\tilde{\Delta}_0}) \leq \frac{1}{72} \left(13 - \frac{34}{p}\right) (p-1)^2 n^2 + O(n^2) \left(N = \frac{p-1}{p}n - 1\right).$$

*Proof.* We apply Proposition 4.5 to the surface  $\tilde{\Delta}_0$ , with  $F = \tilde{F}$ ,  $D = \tilde{\Sigma}$ . Notice that  $\pi: \tilde{\Delta}_0 \rightarrow \Delta_0$  has degree  $p^2$ , hence  $\tilde{F} \cdot \tilde{\Sigma} = p^2$ . Notice also that by Lemma 1.1  $\Sigma \cdot \Sigma < 0$ , hence  $\tilde{\Sigma} \cdot \tilde{\Sigma} < 0$ , therefore  $h^0(y\Sigma) = 1$  for all  $y \geq 0$ .

Conditions imposed by  $\hat{\tilde{\Delta}}_0$

We proceed in a way completely analogous to the previous one and we obtain

THEOREM 4.5.

$$\sum_{i=1}^N h^0((n\pi^*(\alpha_p\lambda) - i\tilde{\Delta}_0)|_{\hat{\tilde{\Delta}}_0}) \leq \frac{1}{72} \left(13 - \frac{34}{p}\right) (p-1)^2 n^3 + O(n^2) \left(N = \frac{p-1}{p}n - 1\right).$$

Conditions imposed by  $\hat{\Delta}_2$ .

First of all  $\varphi: \hat{\Delta}_2 \rightarrow \tilde{\Delta}_2$  is an isomorphism because  $\tilde{\Delta}_2$  does not intersect  $R', R'', \Gamma', \Gamma''$  and is smooth along  $\Omega$  (as we will show). Therefore we start by studying  $\tilde{\Delta}_2$ .

*Digression on  $\tilde{\Delta}_2$*

Let  $S$  be the surface introduced by Definition 4.1. As is easily seen  $S$  can be viewed as the moduli space of triples  $(E, P, B)$  where  $E$  is (smooth) elliptic,  $P \in E$  is the zero of the addition law and  $B \in |2P|$ . The surface  $\bar{S}$  is the compactification obtained by allowing  $E$  to become singular. Such a triple  $(E, P, B)$  uniquely determines a double cover  $f: C \rightarrow E$  with branch divisor  $B$ . Let  $f^*: E \rightarrow \text{Jac}(C)$  be the pull-back map, then  $f^*(E[p]) \subset \text{Jac}(C)[p]$  is a non-isotropic subspace ( $p > 2$ ) fixed by the involution on  $C$  associated to  $f$ . Therefore  $m(C, f^*(E[p])) \in \tilde{\Delta}_2$ . In this way we get a map  $\rho: S \rightarrow \tilde{\Delta}_2$ . Notice that if  $m(C, H)$  is a generic point of  $\tilde{\Delta}_2$  then there exist two maps  $f_1: C \rightarrow E_1, f_2: C \rightarrow E_2$  of  $C$  to elliptic curves. Let  $\iota_1: C \rightarrow C, \iota_2: C \rightarrow C$  be the corresponding involutions, then one of the involutions, say  $\iota_1$ , will act as the identity on  $H$ , while the other will act as multiplication by  $-1$ . Hence our map  $\rho$  associates to  $(E_1, P_1, B_1)$  the couple  $(C, H)$  and it associates to  $(E_2, P_2, B_2)$  the couple  $(C, H^\perp)$ . Therefore we see that  $\rho$  is at least generically injective; in fact it is injective. Let's define  $\bar{\rho}: \bar{S} \dashrightarrow \tilde{\Delta}_2$  to be the rational map extending  $\rho$  to  $\bar{S}$ . Notice that  $\bar{\rho}$  is a morphism outside the point corresponding to  $(E_\infty, P, B)$  where  $E_\infty$  is the singular elliptic curve and  $B \in |2P|$  has support on the node of  $E_\infty$ . In fact if  $E_\infty$  is the singular elliptic curve and  $B \in |2P|$  is not the node then  $\bar{\rho}(E_\infty, P, B) = m(C, H)$  where  $C$  is a genus two curve with two non-disconnecting nodes, i.e.  $m(C, H) \in \Omega$ .

Now we answer the following question: when does  $\pi(m(C, H))$  belong to  $\Delta_0$ ? (with  $m(C, H) \in \tilde{\Delta}_2$ ). Of course, one possibility is that  $m(C, H) \in \Omega$ , and this is the case if and only if  $\pi(m(C, H)) \in \Delta_{00}$ . So we must examine  $(\Delta_2 \cap \Delta_0) \setminus \Delta_{00}$ . Either by an explicit examination of all curves with extra automorphisms (page 00) or by the theory of admissible coverings one gets that  $m(C) \in (\Delta_2 \cap \Delta_0) \setminus \Delta_{00}$  if and only if  $C = \tilde{E}/P_1 \sim P_2$  where  $\tilde{E}$  is a smooth elliptic curve,  $2P_1 \cong 2P_2$  and  $P_1 \neq P_2$ . If  $C$  is such a curve we can describe the two maps  $f_1: C \rightarrow E_1, f_2: C \rightarrow E_2$  as follows. We let  $E_1$  be the quotient of  $\tilde{E}$  by the subgroup  $\{0, P_1 - P_2\}$ ;  $f_1$  is induced from the quotient map. The branch divisor of  $f_1$ , call it  $B_1$ , has become a point with multiplicity 2, i.e.  $B_1 = 2Q_1$ . The map  $f_1$  uniquely determines  $P \in E_1$  such that  $2P \cong 2Q_1$  and  $P \neq Q_1$ . The elliptic curve  $E_2$  is singular; let  $\tilde{f}_2: \tilde{E} \rightarrow \mathbb{P}^1$  be the map associated to  $|2P_1| = |2P_2|$ , we let  $E_2 = \mathbb{P}^1/\tilde{f}_2(P_1) \sim \tilde{f}_2(P_2)$  and  $f_2: C \rightarrow E_2$  is the map induced from  $\tilde{f}_2$ . This covering corresponds to a triple  $(E_\infty, P, B)$  where  $E_\infty$  is the singular elliptic curve and  $B$  has support on the node of  $E_\infty$ , so this is exactly the divisor in  $\tilde{\Delta}_2$  that we don't see on  $\bar{S}$ .

DEFINITION 4.6. Let  $\Delta_{02} \subset \mathfrak{M}_2$  be the curve whose generic point is the moduli of  $C = E/P_1 \sim P_2$  with  $E$  smooth elliptic and  $2P_1 \cong 2P_2$ .

So  $\Delta_2 \cap \Delta_0 = \Delta_{00} \cup \Delta_{02}$ ;  $\Delta_0$  and  $\Delta_2$  are tangent along  $\Delta_{00}$  but we have the *Claim*.  $\Delta_2$  and  $\Delta_0$  are transverse along  $\Delta_{02} \setminus \Delta_{00}$ .

*Proof*. Since  $\Delta_2 \cap \Delta_0 = \Delta_{00} \cup \Delta_{02}$  it is enough to show that  $\Delta_2$  and  $\Delta_0$  are transverse at a generic point  $m(C) \in \Delta_{02}$ . So let  $C = E/P_1 \sim P_2$ , with  $2P_1 \cong 2P_2$ ,  $P_1 \neq P_2$  and  $\text{Aut}'(C) = \langle g \rangle \cong \mathbb{Z}/(2)$ , with  $g: C \rightarrow C$  induced from  $\tilde{g}: E \rightarrow E$  defined as  $\tilde{g}(P) = P + (P_1 - P_2)$ . We can write  $C$  as  $y^2 = x^2(x^2 - a)(x^2 - b)$ , then  $g$  is given by  $g^*(x, y) = (-x, y)$ . Let  $\alpha \in H^0(\Omega_C^1 \otimes \omega_C)$  be the torsion element and let  $\beta = x^2(dx)^2/y^2, \gamma = x(dx)^2/y^2$ , then  $\{\alpha, \beta, \gamma\}$  is a basis of  $H^0(\Omega_C^1 \otimes \omega_C)$ . As is easily checked  $g^*(\alpha, \beta, \gamma) = (\alpha, \beta, -\gamma)$ . We identify the deformation space of  $C$ , call it  $U$ , with  $H^0(\Omega_C^1 \otimes \omega_C)$ . Let  $m: U \rightarrow \mathfrak{M}_2$  be the moduli map, then  $m(U) \cong U/\langle g \rangle$ . Coordinates on  $m(U)$  are given by  $(\alpha, \beta, \gamma^2)$ . A local equation for  $\Delta_2$  is  $(\gamma^2 = 0)$  and a local equation for  $\Delta_0$  is  $(\alpha = 0)$ , hence we see that  $\Delta_0$  and  $\Delta_2$  are transverse along  $\Delta_{02} = \{(0, \beta, 0)\}$ .

*Claim*. Let  $m(C, H) \in \tilde{\Delta}_2$  be such that  $m(C) \in \Delta_{02} \setminus \Delta_{00}$ , then if  $m(C, H)$  is generic  $\pi$  is a local isomorphism at  $m(C, H)$ .

*Proof*. Let  $U$  be the deformation space of  $C$ , let  $\Delta_0(U) \subset U$  be the divisor parametrizing singular curves and let  $\Delta_2(U) \subset U$  parametrize curves with an involution whose quotient is an elliptic curve (i.e.  $m(\Delta_2(U)) \subset \Delta_2$ ). We have just showed that  $\Delta_0(U)$  and  $\Delta_2(U)$  are transverse. Let  $C'$  be a smooth curve in the universal family over  $U$  such that  $\text{Aut}'(C')$  is generated by an involution with quotient an elliptic curve (i.e.  $m(C')$  is a generic point of  $\Delta_2$ ). Let  $H_0 \subset \text{Jac}(C')[p]$  be one of the two non-isotropic subspaces fixed by the involution. Let  $\gamma$  be a loop in  $\Delta_2(U) \setminus \Delta_0(U)$  generating  $\pi_1(U \setminus \Delta_0(U))$ ; it acts by monodromy on subspaces of  $\text{Jac}(C')[p]$ . Since  $\gamma \subset \Delta_2(U)$  we must have that  $\gamma(H_0) = H_0$  or  $\gamma(H_0) = H_0^\perp$ . Since  $\gamma^p(H_0) = H_0$  we get that  $\gamma(H_0) = H_0$  (notice that we assume  $p > 2$ ). Therefore we see that a neighborhood of  $m(C, H_0)$  is isomorphic to  $U/\langle g \rangle$ , i.e. to  $m(U)$  and  $\pi$  is a local isomorphism. Therefore if  $m(C, H) \in \tilde{\Delta}_2$  and  $m(C) \in \Delta_{02} \setminus \Delta_{00}$  then either  $m(C, H) \in \tilde{\Delta}_0$  or  $m(C, H) \in \tilde{\Delta}_0$ .

DEFINITION 4.7. Let  $\tilde{\Delta}_{02}, \tilde{\tilde{\Delta}}_{02} \subset \tilde{\Delta}_2$  be defined as  $\tilde{\Delta}_{02} = \tilde{\Delta}_0 \cap \tilde{\Delta}_2, \tilde{\tilde{\Delta}}_{02} = \tilde{\tilde{\Delta}}_0 \cap \tilde{\Delta}_2$  respectively.

By the preceding discussion we see that  $\tilde{\Delta}_2 \cap \pi^{-1}(\Delta_0) = \Omega \cup \tilde{\Delta}_{02} \cup \tilde{\tilde{\Delta}}_{02}$ . Let  $X_0(2) \subset \bar{S}$  be the curve parametrizing couples  $(E, Q)$  where  $2P \cong 2Q, P \neq Q$  ( $P$  is the zero of the group law), then  $\bar{\rho}: \bar{S} \cdots > \tilde{\Delta}_2$  takes  $X_0(2)$  into  $\tilde{\Delta}_{02}$ . The curve  $\tilde{\tilde{\Delta}}_{02}$  does not appear in  $\bar{S}$  and we have that  $\psi(\tilde{\tilde{\Delta}}_{02}) = \infty$ .

PROPOSITION 4.8 Let  $F \subset \tilde{\Delta}_2$  be a fiber of  $\psi: \tilde{\Delta}_2 \rightarrow \mathbb{P}_j^1$ , then  $\tilde{\Delta}_0 \cdot F = 3$ .

*Proof*. Let  $F = \psi^{-1}(a)$  where  $a \neq 0, 1728, \infty$ . The map  $\rho$  identifies  $F \subset \tilde{\Delta}_2$  with  $\rho^{-1}(F) \subset \bar{S}$ . Let  $j(E) = a$  and let  $P \in E$  be the zero of the addition law,  $\rho^{-1}(F)$

is identified with  $|2P|$ . The set  $\tilde{\Delta}_{02} \cap F$  is identified with the set  $\{Q \in E \mid 2Q \cong 2P, Q \neq P\}$  hence  $\tilde{\Delta}_{02} \cdot F = 3$ . Since  $\Delta_0$  and  $\Delta_2$  are transverse along  $\Delta_{02}$  and  $\pi$  is unramified above  $\Delta_{02}$  we get that  $\tilde{\Delta}_0$  and  $\tilde{\Delta}_2$  are transverse along  $\tilde{\Delta}_{02}$ , hence  $\tilde{\Delta}_0 \cdot F = (\tilde{\Delta}_{02} \cdot F)_{\tilde{\Delta}_2} = 3$ .

PROPOSITION 4.9. (i)  $R_0 \cap F = \emptyset$ .

(ii)  $\tilde{\Delta}_0 \cap F = \emptyset$ .

*Proof.* We have showed that if  $F = \psi^{-1}(a)$  with  $a \neq \infty, x \in F$  and  $\pi(x) \in \Delta_0$  then  $\pi$  is unramified at  $x$ , hence  $x \notin R_0$ . We have also shown that if  $x \in \tilde{\Delta}_{02}$  then  $\psi(x) = \infty$ , hence  $F \cap \tilde{\Delta}_0 = \emptyset$ .

An easy analysis will show that, on the other hand, if  $x \in \tilde{\Delta}_2$  and  $\pi(x) \in \Delta_1$  then  $x \in R_1$ , i.e.  $\tilde{\Delta}_2 \cap \tilde{\Delta}_1 = \emptyset$  while  $\tilde{\Delta}_2$  and  $R_1$  intersect.

DEFINITION 4.8. Let  $R_{12} = \tilde{\Delta}_2 \cap R_1$ .

The curve  $R_{12}$  is the locus of moduli  $m(C, H)$  where  $C = E_1 \cup E_2, E_1 \cong E_2$  and  $H = E_1[p]$ . It corresponds via  $\bar{\rho}$  to the locus of moduli of couples  $(E, P)$  where  $P$  is chosen to be equal to zero of the addition law of  $E$ . It is also not difficult to check that  $R_1$  and  $\tilde{\Delta}_2$  are transverse along  $R_{12}$ . Hence we have

PROPOSITION 4.9. Let  $F \subset \tilde{\Delta}_2$  be a fiber of  $\psi: \tilde{\Delta}_2 \rightarrow \mathbb{P}_j^1$ , then (i)  $\tilde{\Delta}_1 \cdot F = 0$ .

(ii)  $R_1 \cdot F = 1$ .

PROPOSITION 4.10. Let  $\psi: \tilde{\Delta}_2 \rightarrow \mathbb{P}_j^1$ , then  $\psi^*(\infty) = \Omega + 2\tilde{\Delta}_{02}$ .

*Proof.* We know that  $\psi^*(\infty) = x\Omega + y\tilde{\Delta}_{02}$  for some coefficients  $x, y$ . The map  $\bar{\rho}: \bar{S} \cdots > \tilde{\Delta}_2$  is an isomorphism outside one point of  $\bar{S}$ , call it  $R$ . The curve  $\psi^{-1}(\infty) \setminus R \subset \bar{S}$  is identified via  $\rho$  with  $\Omega \setminus T$ , where  $T$  is a point of  $\Omega$ . Therefore the coefficient of  $\Omega$  in the expression  $\psi^*(\infty) = x\Omega + y\tilde{\Delta}_{02}$  is equal to the multiplicity of the fiber over  $\infty$  of  $\psi: \bar{S} \rightarrow \mathbb{P}_j^1$ . It is easily checked that this multiplicity is one, hence the coefficient of  $\Omega$  is also one.

The involution  $\iota: \tilde{\mathcal{A}}_2(p) \rightarrow \tilde{\mathcal{A}}_2(p)$  leaves  $\tilde{\Delta}_2$  invariant, hence it acts on it; obviously we have that  $\iota(\tilde{\Delta}_{02}) = \tilde{\Delta}_{02}$   $\iota(\tilde{\Delta}_{02}) = \tilde{\Delta}_{02}$ ,  $\iota(\Omega) = \Omega$ . Let  $\psi \iota: \tilde{\Delta}_2 \rightarrow \mathbb{P}_j^1$ , then  $\psi^*(\infty) = \Omega + 2\tilde{\Delta}_{02}$  is equivalent to  $(\psi \iota)^*(\infty) = \Omega + 2\tilde{\Delta}_{02}$ . Let  $E$  be the elliptic curve with equation  $y^2 = x(x - 1)(x - \lambda)$ , with the point at infinity  $P \in E$  as zero of the addition law. Consider  $x$  as a rational function on  $E$ , then  $(x)_\infty = 2P$ . Let  $(E, Q)$  be any couple with  $Q \in E$ , then we can identify the moduli point of  $(E, Q)$  in  $S$  with  $x(Q)$  (we assume that  $j(E) \neq 0, 1728$ ). Hence we can identify  $x$  with a local parameter on  $F = \psi^{-1}(j(E)) \subset \tilde{\Delta}_2$ . Let us consider the function  $\psi \iota$  restricted to  $F$ . Let  $\alpha$  be a point on the  $x$ -axis, let  $B_\alpha = x^*(\alpha)$ . The double cover of  $E$  with branch divisor  $B_\alpha$  is given (in affine coordinates) by:

$$C_\alpha = \{(x, y, w) \mid y^2 = x(x - 1)(x - \lambda), w^2 = x - \alpha\}.$$

The involution  $\iota_1^*(x, y, w) = (x, y, -w)$  has quotient  $E$ . The other involution

$\iota_2^*(x, y, w) = (x, -y, -w)$  has as quotient the elliptic curve

$$E_\alpha = \{(x, z) \mid z^2 = x(x - \alpha)(x - 1)(x - \lambda)\}.$$

As we said  $\alpha$  is a parameter on  $F$ ; an equation for  $\tilde{\Delta}_{02} \cdot F$  is given by  $(\alpha(\alpha - 1)(\alpha - \lambda) = 0)$ . The function  $\psi \iota$  restricted to  $F$  is given by  $\psi \iota(\alpha) = j(E_\alpha)$ . We see that  $j(E_\alpha) = \infty$  if and only if  $\alpha = 0$ , or  $\alpha = 1$ , or  $\alpha = \lambda$ , and that at each of these points  $j$  as a pole of multiplicity two. Hence  $\psi \iota$  has a pole of order two along  $\tilde{\Delta}_{02}$ , i.e.  $\psi^*(\infty) = \Omega + 2\tilde{\Delta}_{02}$ .

PROPOSITION 4.11.

$$\Omega \cdot \tilde{\Delta}_{02} = \Omega \cdot \tilde{\tilde{\Delta}}_{02} = 1.$$

*Proof.* Let  $R \in \bar{S}$  be the moduli point of  $(E_\infty, P, B)$  where  $E_\infty$  is the singular elliptic curve and the support of  $B$  is on the node of  $E_\infty$ . The map  $\bar{\rho}: \bar{S} \dashrightarrow \tilde{\Delta}_2$  is not defined only at  $R$ . Corresponding to this we have that the fiber of  $\psi: \tilde{\Delta}_2 \rightarrow \mathbb{P}_j^1$  is the union of  $\Omega$  and  $\tilde{\Delta}_{02}$ , and  $\tilde{\Delta}_{02}$  is the divisor not appearing in  $\bar{S}$ . The divisor  $\tilde{\tilde{\Delta}}_{02}$  intersects  $\Omega$  in only one point because  $\bar{\rho}$  is an isomorphism outside of  $R$ . Hence we must determine the multiplicity of the intersection between  $\tilde{\tilde{\Delta}}_{02}$  and  $\Omega$ . Since  $\iota: \tilde{\Delta}_2 \sim \tilde{\Delta}_2$  fixes  $\Omega$  we have  $\Omega \cdot \tilde{\tilde{\Delta}}_{02} = \Omega \cdot \tilde{\Delta}_{02}$ . We can easily find a point in  $\Omega \cap \tilde{\Delta}_{02}$ , namely the point corresponding via  $\bar{\rho}$  to the triple  $(E_\infty, P, 2Q)$  where  $Q$  is the unique non-zero point of order two on  $E_\infty$ . It is easily checked that the multiplicity of intersection of  $\Omega$  and  $\tilde{\Delta}_{02}$  at this point is one. The involution  $\iota$  sends this point to  $\tilde{\tilde{\Delta}}_{02} \cap \Omega$ , hence we see that the multiplicity of the unique point of intersection of  $\tilde{\tilde{\Delta}}_{02}$  and  $\Omega$  is one, i.e.  $\tilde{\tilde{\Delta}}_{02} \cdot \Omega = 1$ . Applying the involution  $\iota$  we get also  $\tilde{\Delta}_{02} \cdot \Omega = 1$ .

REMARK. The way to obtain  $\tilde{\Delta}_2$  from  $\bar{S}$  should be the following. Let  $f_1: S_1 \rightarrow \bar{S}$  be the blow up of  $\bar{S}$  with center  $R$ , let  $E_1 \subset S_1$  be the exceptional divisor and let  $R_1 = E_1 \cap \tilde{\Omega}$  where  $\tilde{\Omega}$  is the strict transform of  $\Omega$ . Let  $f_2: S_2 \rightarrow S_1$  be the blow up of  $S_1$  with center  $R_1$ , and let  $\tilde{E}_1 \subset S_2$  be the strict transform of  $E_1$  so  $\tilde{E}_1^2 = -2$ . Let  $f_3: S_2 \rightarrow S_3$  be the contraction of  $\tilde{E}_1$  to a point, then  $S_3$  is isomorphic to  $\tilde{\Delta}_2$ . If  $E_2 \subset S_2$  is the exceptional divisor of  $f_2$ , then  $f_3(E_2) \subset S_3$  will correspond to  $\tilde{\tilde{\Delta}}_{02} \subset \tilde{\Delta}_2$ .

THEOREM 4.6.

$$\sum_{i=0}^N h^0 \left( \left( n\varphi^* \pi^*(\alpha_p \lambda) - \sum_{s=1}^5 nc_s D_s - \iota \hat{\Delta}_2 \right) \Big|_{\hat{\Delta}_2} \right) = 0, \quad n = \frac{1}{2}n - 1.$$

*Proof.* We have already noticed that  $\varphi: \hat{\Delta}_2 \rightarrow \tilde{\Delta}_2$  is an isomorphism. Let  $F \subset \tilde{\Delta}_2$  be a fiber of  $\psi: \tilde{\Delta}_2 \rightarrow \mathbb{P}_j^1$  not lying over  $j = \infty$ ; let  $\hat{F} \subset \hat{\Delta}_2$  be defined as

$\hat{F} = \varphi^{-1}(F)$ . Since  $F$  does not meet any of the centers of the partial desingularizations through which  $\hat{\mathcal{A}}_2(p)$  is obtained we have that

- (i)  $\varphi^* \pi^*(\alpha_p \lambda) \cdot \hat{F} = \pi^*(\alpha_p \lambda) \cdot F$
- (ii)  $\hat{\Delta}_0 \cdot \hat{F} = \tilde{\Delta}_0 \cdot F$
- (iii)  $\hat{\tilde{\Delta}}_0 \cdot \hat{F} = \tilde{\tilde{\Delta}}_0 \cdot F$
- (iv)  $\hat{\Delta}_1 \cdot \hat{F} = \tilde{\Delta}_1 \cdot F$
- (v)  $\hat{\Delta}_2 \cdot \hat{F} = \tilde{\Delta}_2 \cdot F$

We also know that  $E' \cdot \hat{F} = E'' \cdot \hat{F} = E'_1 \cdot \hat{F} = E''_1 \cdot \hat{F} = 0$ , hence

$$(*) \quad \left( n\varphi^* \pi^*(\alpha_p \lambda) - \sum_{s=1}^5 nc_s D_s - i\hat{\Delta}_2 \right) \cdot \hat{F} \\ = (n\pi^*(\alpha_p \lambda) - nc_3 \tilde{\Delta}_1 - nc_4 \tilde{\Delta}_0 - nc_5 \tilde{\tilde{\Delta}}_0 - i\tilde{\Delta}_2) \cdot F.$$

By Corollary 1.3  $\lambda = \frac{1}{10}(\Delta_0 + \Delta_1)$  hence

$$\pi^*(\lambda) \cdot F = \frac{1}{10}(\pi^*(\Delta_0) \cdot F + \pi^*(\Delta_1) \cdot F) = \frac{1}{2}.$$

We also have  $\tilde{\Delta}_1 \cdot F = 0, \tilde{\Delta}_0 \cdot F = 3, \tilde{\tilde{\Delta}}_0 \cdot F = 0$ . By Corollary 1.3  $\Delta_2 = 3\Delta_0 + 6\Delta_1$ , hence

$$\tilde{\Delta}_2 \cdot F = \pi^*(\Delta_2) \cdot F = 3\pi^*(\Delta_0) \cdot F + 6\pi^*(\Delta_1) \cdot F = 21.$$

Therefore

$$(n\pi^*(\alpha_p \lambda) - nc_3 \tilde{\Delta}_1 - nc_4 \tilde{\Delta}_0 - nc_5 \tilde{\tilde{\Delta}}_0 - i\tilde{\Delta}_2) \cdot F = \left(-\frac{3}{2} - \frac{2}{p}\right)n - 21i.$$

By (\*) we see that

$$h^0 \left( \left( n\varphi^* \pi^*(\alpha_p \lambda) - \sum_{s=1}^5 c_s n D_s - i\hat{\Delta}_2 \right) \Big|_{\hat{\Delta}_2} \right) = 0$$

for all  $i \geq 0$ , hence the theorem is proved.

Conditions imposed by  $E_2$ .

We recall that  $E_2 \subset \hat{\mathcal{A}}_2(p)$  is the exceptional divisor lying over  $\Omega \subset \bar{\mathcal{A}}_2(p)$ .

DEFINITION 4.9. (i) Let  $F_2 \subset E_2$  be a fiber of  $\varphi: E_2 \rightarrow \Omega$ .

(ii) Let  $\Omega_2 \subset E_2$  be defined as  $\Omega_2 = E_2 \cap \hat{\Delta}_2$ . Let, as usual,  $F_2, \Omega_2$  also be the



linear equivalence classes of  $F_2, \Omega_2$  in  $\text{Pic}(E_2)$ . A basis of  $\text{Pic}(E_2)$  is given by  $\{F_2, \Omega_2\}$ .

PROPOSITION 4.12.  $(\Omega_2 \cdot \Omega_2)_{\Delta_2} = -2$ .

*Proof.* The map  $\varphi: \hat{\Delta}_2 \rightarrow \tilde{\Delta}_2$  is an isomorphism; obviously  $\varphi(\Omega_2) = \Omega$ , hence we must show that  $(\Omega \cdot \Omega)_{\Delta_2} = -2$ . Let  $F$  be a fiber of  $\psi: \tilde{\Delta}_2 \rightarrow \mathbb{P}_j^1$ , then  $(\Omega \cdot F)_{\Delta_2} = 0$ . By Proposition 4.10,  $F \cong \Omega + 2\tilde{\Delta}_{02}$ , hence  $(\Omega \cdot \Omega)_{\Delta_2} + 2(\Omega \cdot \tilde{\Delta}_{02})_{\Delta_2} = 0$ . By Proposition 4.11,  $(\Omega \cdot \tilde{\Delta}_{02})_{\Delta_2} = 1$ , hence  $(\Omega \cdot \Omega)_{\Delta_2} = -2$ . q.e.d.

PROPOSITION 4.13. (i)  $\varphi^* \pi^*(\lambda) \cdot \Omega_2 = 0$ .

- (ii)  $\hat{\Delta}_0 \cdot \Omega_2 = \hat{\tilde{\Delta}}_0 \cdot \Omega_2 = 1$
- (iii)  $E_2 \cdot \Omega_2 = -2$
- (iv)  $E_2 \cdot F_2 = \frac{1}{2}p$
- (v)  $\hat{\Delta}_2 \cdot \Omega_2 = 0$ .

*Proof.* (i) Since  $\varphi: \Omega_2 \rightarrow \Omega$  is an isomorphism and  $\pi: \Omega \rightarrow \Delta_{00}$  is two-to-one we have  $\varphi^* \pi^*(\lambda) \cdot \Omega_2 = 2\lambda \cdot \Delta_{00}$ . By Lemma 1.2 we get  $\lambda \cdot \Delta_{00} = 0$ , hence (i).

(ii) By Proposition 4.11.

(iii) Since  $\Omega_2 = E_2 \cap \hat{\Delta}_2$  (they intersect transversely) we have  $E_2 \cdot \Omega_2 = (\Omega_2 \cdot \Omega_2)_{\Delta_2}$ , so (iii) follows from Proposition 4.12.

(iv) This is a local computation; it follows from the type of singularity of  $\tilde{\mathcal{A}}_2(p)$  along  $\Omega$ .

(v) We apply adjunction first to  $E_2$  (or  $\hat{\Delta}_2$ ) and then to  $\Omega_2 \subset E_2$ .

Notice that  $\tilde{\mathcal{A}}_2(p)$  is singular along a curve of  $E_2$  but the curve does not meet  $\Omega_2$ , in fact both  $\tilde{\mathcal{A}}_2(p)$  and  $E_2$  (or  $\hat{\Delta}_2$ ) are smooth along  $\Omega_2$  so we can apply adjunction. We have

$$\deg K_{\Omega_2} = (K_{\tilde{\mathcal{A}}_2(p)} + E_2 + \hat{\Delta}_2) \cdot \Omega_2, \quad \text{i.e.}$$

$$-2 = \left( \varphi^* \pi^* \left( \alpha_p \lambda + \frac{1}{p} \Delta_1 \right) + \frac{1}{2} \hat{\Delta}_2 + \frac{2}{p} E_2 - \frac{p-1}{p} \hat{\Delta}_0 - \frac{p-1}{p} \hat{\tilde{\Delta}}_0 \right) \cdot \Omega_2.$$

By Proposition 4.13  $\varphi^* \pi^*(\alpha_p \lambda) \cdot \Omega_2 = 0$ ,  $\hat{\Delta}_0 \cdot \Omega_2 = \hat{\tilde{\Delta}}_0 \cdot \Omega_2 = 1$ ,  $E_2 \cdot \Omega_2 = -2$ . Since  $\pi\varphi: \Omega_2 \rightarrow \Delta_{00}$  is two-to-one  $\varphi^* \pi^*(\Delta_1) \cdot \Omega_2 = 2\Delta_1 \cdot \Delta_{00} = 2$ . Hence we get

$$-2 = -2 + \frac{1}{2} \hat{\Delta}_2 \cdot \Omega_2$$

i.e.  $\hat{\Delta}_2 \cdot \Omega_2 = 0$ .

COROLLARY 4.6. (i)  $\varphi^* \pi^*(\lambda)$  is trivial on  $E_2$ .

(ii)  $\hat{\Delta}_0|_{E_2} \cong \hat{\tilde{\Delta}}_0|_{E_2} \cong F_2$ .

PROPOSITION 4.14.  $E_2|_{E_2} \cong -\frac{1}{2}p\Omega_2 - 2F_2$ .

*Proofs.* We know that  $E_2|_{E_2} \cong x\Omega_2 + yF_2$  for some  $x, y \in \mathbb{Q}$ . By Proposition 4.13  $x(\Omega_2 \cdot F_2)_{E_2} + y(F_2 \cdot F_2)_{E_2} = -\frac{1}{2}p$  and  $x(\Omega_2 \cdot \Omega_2)_{E_2} + y(F_2 \cdot \Omega_2)_{E_2} = -2$ . But  $(\Omega_2 \cdot \Omega_2)_{E_2} = \hat{\Delta}_2 \cdot \Omega_2 = 0$ , hence  $x = -\frac{1}{2}p, y = -2$ .

**THEOREM 4.7.**

$$\sum_{i=1}^N h^0((n\varphi^* \pi^*(\alpha_p \lambda) - \sum_{s=1}^6 nc_s D_s - iE_2)|_{E_2}) = 0, \quad (N = (1 - 2/p)n - 1).$$

*Proof.* By the preceding propositions we get that

$$\left( n\varphi^* \pi^*(\alpha_p \lambda) - \sum_{s=1}^6 nc_s D_s - iE_2 \right) \Big|_{E_2} \cong \left( \frac{p}{2}i - \frac{n}{2} \right) \Omega_2 + \left( 2i - 2n \frac{p-1}{p} \right) F_2$$

since  $0 \leq i \leq n(1 - (2/p)) - 1$  the coefficient of  $F_2$  is negative hence there are no non-zero sections, q.e.d.

Finally we can prove the

**Main Theorem.** *Let  $p$  be a prime greater or equal to 17, then  $\mathcal{A}_2(p)$  is of general type.*

*Proof.* Putting together the results in this section according to the plan described at the beginning we get that

$$h^0(nK_{\mathcal{A}_2(p)}) \geq Q(p)n^3 + O(n^2), \quad (n \text{ sufficiently divisible}),$$

where

$$Q(p) = \frac{1}{8640} \left( 3 - \frac{10}{p} \right)^3 (p^4 + p^2) - \frac{1}{36} \left( 13 - \frac{34}{p} \right) \left( p - 1 \right)^2 - \frac{19}{32} - \frac{3}{7} + \frac{5}{16p}.$$

It is not difficult to check that if  $p \geq 17$   $Q(p) > 0$  (while if  $p \leq 13$   $Q(p) < 0$ ), hence for  $p \geq 17$ ,  $\mathcal{A}_2(p)$  is of general type.

**Appendix**

Let  $S$  be an abelian surface and let  $D$  be an ample primitive divisor on  $S$ , so  $D$  defines a polarization on  $S$ . We say that the polarization is of degree  $d$  if  $\varphi_D: S \rightarrow \check{S}$  has degree  $d^2$ , or equivalently if  $D^2 = 2d$ , or equivalently if the Riemann form associated to  $D$  has elementary divisors  $\{1, d\}$ . Let  $\mathcal{A}_{2,d}$  be the moduli space of polarized abelian surfaces of degree  $d$  [M-3].

**PROPOSITION 5.1.** *Let  $p$  be a prime; the moduli space  $\mathcal{A}_2(p)$  is isomorphic to  $\mathcal{A}_{2,p^2}$ .*

*Proof.* Let  $(T, D)$  be a polarized abelian surface of degree  $p^2$ , then  $\text{Ker } \varphi_D \cong \mathbb{Z}/(p^2) \oplus \mathbb{Z}/(p^2)$ . Let  $J \subset \text{Ker } \varphi_D$  be the subgroup of  $p$ -torsion elements, so  $J \cong \mathbb{Z}/(p) \oplus \mathbb{Z}/(p)$ . Let  $S = T/J$  and let  $q: T \rightarrow S$  be the quotient map. The polarization on  $T$  induces a principal polarization on  $S$ , i.e. there is a principal polarization  $\Theta$  on  $S$  such that  $q^*(\Theta) \cong D$  (algebraic equivalence). The image  $H = q(\text{Ker } \varphi_D) \subset S[p]$  is a rank two subspace, non-isotropic for the Weil pairing. So we have canonically associated to the degree  $p^2$  abelian surface  $(T, D)$  the couple  $(S, H)$  where  $S$  is a p.p.a.s. and  $H \subset S[p]$  a rank two subspace of  $p$ -torsion points non-isotropic for the Weil pairing. Hence we have a map  $\alpha: \mathcal{A}_{2,p^2} \rightarrow \mathcal{A}_2(p)$ , which in fact is an isomorphism. Let  $\check{q}: \check{S} \rightarrow \check{T}$  be the dual of  $q$ , then  $\text{Ker } \check{q} = \varphi_{\Theta}(H)$ . So let  $\beta: \mathcal{A}_2(p) \rightarrow \mathcal{A}_{2,p^2}$  be the map obtained by associating to a couple  $(S, H)$  the abelian surface  $V = S/H$  with the degree  $p^2$  polarization induced from  $\Theta$ , and let  $\tau: \mathcal{A}_{2,p^2} \rightarrow \mathcal{A}_{2,p^2}$  be the involution obtained by associating to a degree  $p^2$  abelian surface its dual (which is again an abelian surface of degree  $p^2$ ). The map  $\tau\beta: \mathcal{A}_2(p) \rightarrow \mathcal{A}_{2,p^2}$  is the inverse of  $\alpha$ .

**COROLLARY 5.1.** *Let  $p$  be a prime and let  $p \geq 17$ , then  $\mathcal{A}_{2,p^2}$  is of general type.*

The map from  $\mathcal{A}_{2,p^2}$  to  $\mathcal{A}_2$  that we have defined in the course of proving Proposition 5.1 generalizes to a map  $\tilde{g}_{n,k}: \mathcal{A}_{2,n^2k} \rightarrow \mathcal{A}_{2,k}$  for every  $n, k$ . In fact let  $(T, D)$  be an abelian surface of degree  $n^2k$ , so  $\text{Ker } \varphi_D = \mathbb{Z}/(n^2k) \oplus \mathbb{Z}/(n^2k)$ . Let  $J = \text{Ker } \varphi_D \cap T[n]$ , let  $S = T/J$  and let  $q: T \rightarrow S$  be the quotient map. The surface  $S$  inherits a polarization of degree  $k$ . Therefore we get a map  $\tilde{g}_{n,k}: \mathcal{A}_{2,n^2k} \rightarrow \mathcal{A}_{2,k}$ . It is easy to check that  $\tilde{g}_{n,k}$  is finite surjective.

**COROLLARY 5.2.** *Let  $p|n$ ,  $p \geq 17$ , then  $\mathcal{A}_{2,n^2}$  is of general type.*

The isomorphism class of a polarized abelian surface is not determined by its weight two Hodge structure. In fact the  $H^2$  decomposition only determines a surface up to taking the dual. Let  $\mathcal{G}_{2d}$  be the period space for weight two Hodge structures of degree  $d$  abelian surfaces; the natural map  $\varphi_d: \mathcal{A}_{2,p} \rightarrow \mathcal{G}_{2d}$  is of degree two. One can check that the maps  $\tilde{g}_{n,k}$  descend to maps  $g_{n,k}: \mathcal{G}_{2n^2k} \rightarrow \mathcal{G}_{2k}$ , i.e. we have  $g_{n,k}\varphi_{n^2k} = \varphi_k\tilde{g}_{n,k}$ .

There is an analogous picture when we look at moduli of polarized  $K3$  surfaces. Let  $\mathcal{F}_{2d}$  be the moduli space of  $K3$  surfaces of degree  $2d$ , i.e. the moduli space of couples  $(S, E)$  where  $S$  is a  $K3$  surface and  $E$  a numerically effective non divisible line bundle on  $S$  of degree  $2d$ . By the Torelli theorem for polarized  $K3$  surfaces and the surjectivity of the period map  $\mathcal{F}_{2d}$  is isomorphic to the period space for (polarized) weight two Hodge structures. Hence we think of  $\mathcal{F}_{2d}$  as analogous to  $\mathcal{G}_{2d}$ ; in fact we can define maps  $f_{n,k}: \mathcal{F}_{2n^2k} \rightarrow \mathcal{F}_{2k}$  which are analogous to the maps  $g_{n,k}$ .

Definition of  $f_{n,k}: \mathcal{F}_{2n^2k} \rightarrow \mathcal{F}_{2k}$ .

Let  $L = H^3 \oplus (-E_8)^2$  be the K3 lattice. Let  $\{e, f\}$  be a standard basis of one of the copies of  $H$ , i.e. let  $e \cup e = f \cup f = 0$  and  $e \cup f = 1$ . Let  $\alpha_n: L \otimes \mathbb{Q} \rightarrow L \otimes \mathbb{Q}$  be the linear map defined by  $\alpha_n(e) = ne, \alpha_n(f) = (1/n)f, \alpha_n(v) = v$  if  $v \perp \{e, f\}$ . Notice that  $\alpha_n$  preserves cup product. Let  $p_{2d} = e + df$ , so  $p_{2d} \cup p_{2d} = 2d$ . The classifying space for degree  $2d$  K3 surfaces is given by

$$D_{2d} = \{[\omega] \in \mathbb{P}(L \otimes \mathbb{C}) \mid \omega \cup \omega = 0, \omega \cup p_{2d} = 0, \omega \cup \bar{\omega} > 0\}.$$

Let  $\alpha_n$ , by abuse of notation, be the induced map on  $\mathbb{P}(L \otimes \mathbb{C})$ ; it is easily checked that  $\alpha_n$  maps  $D_{2n^2k}$  onto  $D_{2k}$ . Let  $\Gamma_{2d}$  be the group of isometries of  $L$  fixing  $p_{2d}$ ; the moduli space  $\mathcal{F}_{2d}$  is given by  $\Gamma_{2d} \backslash D_{2d}$ . It is not difficult to check that  $\alpha_n$  commutes with the actions of  $\Gamma_{2n^2k}$  and  $\Gamma_{2k}$ , i.e.  $\alpha_n \Gamma_{2n^2k} \alpha_n^{-1} < \Gamma_{2k}$ . Therefore  $\alpha_n$  descends to a map  $f_{n,k}: \mathcal{F}_{2n^2k} \rightarrow \mathcal{F}_{2k}$  (which is finite surjective). So the Main Theorem suggests that one could analyze the maps  $f_{n,k}$ , e.g.  $f_{p,1}$ , and establish that  $\mathcal{F}_{2p^2}$  is of general type for large  $p$ . Actually we have proved that  $\mathcal{A}_{2,p^2}$  is of general type (for  $p \geq 17$ );  $\mathcal{F}_{2p^2}$  should be considered analogous to  $\mathcal{A}_2(p)/\iota$ , or alternatively  $\mathcal{A}_2(p)$  is the analogous of the double cover of  $\mathcal{F}_{2p^2}$  defined by  $\tilde{\mathcal{F}}_{2,p^2} = D_{2p^2} / \{\gamma \in \Gamma_{2p^2} \mid \det \gamma = 1\}$ , but it is reasonable to expect  $\mathcal{A}_2(p)/\iota$  to be also of general type for  $p$  big.

The computations developed in this paper could also be useful if one wants to determine the Kodaira dimension of the moduli space of couples  $(S, J)$  with  $S$  a p.p.a.s. and  $J \subset S[p]$  a rank one subspace. Let  $Y(p)$  denote this moduli space, there is an obvious map  $\pi: Y(p) \rightarrow \mathcal{A}_2$ . Let  $\bar{Y}(p)$  be the natural toroidal compactification of  $Y(p)$  such that  $\pi$  extends to a finite surjective  $\bar{\pi}: \bar{Y}(p) \rightarrow \bar{\mathcal{A}}_2$ . An easy computation shows that  $\bar{\pi}_*(K_{\bar{Y}(p)})$  is asymptotic to  $\frac{3}{10}p^2(\Delta_0 + \Delta_1)$ .

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