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Bases for cyclotomic units

ROBERT GOLD and JAEMOON KIM

Department of Mathematics, The Ohio State University, Columbus, Ohio, U.S.A.

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Section 0. Introduction

Let *n* be an integer, $n \not\equiv 2 \pmod{4}$ and let $\zeta_n = e^{2\pi i/n}$, a primitive *n*th root of unity. Clearly with this choice we have $\zeta_n^{n/m} = \zeta_m$ for any m|n. Let E_n be the group of units of the field $\mathbb{Q}(\zeta_n)$, V_n the subgroup of $\mathbb{Q}(\zeta_n)^{\times}$ generated by

$$\{\pm \zeta_n, 1 - \zeta_n^a \colon 1 \leqslant a < n\},\tag{1}$$

and $U_n = E_n \cap V_n$. Then U_n is the group of cyclotomic units of $\mathbb{Q}(\zeta_n)$. It is known that U_n is of finite index in $E_n([13])$. In particular rank $\mathbb{Z}[U_n] = \operatorname{rank}_{\mathbb{Z}}[E_n] = \frac{1}{2}\phi(n) - 1$.

Our goal in this paper is to provide a basis (minimal set of generators) for U_n , and to use this basis to show that $U_n^G = U_m$ for all m|n where $G = \text{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q}(\zeta_m))$.

There are relations among the elements of (1).

$$1 - \zeta_{-}^{-a} = -\zeta_{-}^{-a} (1 - \zeta_{-}^{a}) \tag{A}$$

$$1 - \zeta_m^a = \sum_{i=0}^{(n/m)-1} (1 - \zeta_n^{a+mi}) \quad \text{if} \quad m|n.$$
 (B)

The first one is immediate and the second one comes from the identity

$$X^{d}-1=\prod_{i=0}^{d-1}(X-\zeta_{d}^{i}).$$

It had been conjectured by Milnor (unpublished) that every relation among the cyclotomic units is a consquence of (A) and (B), and H. Bass [1] claimed to have proved the conjecture. After a few years, V. Ennola [2] proved that twice any relation is a combination of (A) and (B), but not every relation is such a combination.

We will begin by finding a basis of the universal punctured even distribution $(A_n^0)^+$, which is the abelian group with generators

$$\left\{ g\left(\frac{a}{n}\right) : \frac{a}{n} \in \frac{1}{n} \ \mathbb{Z}/\mathbb{Z}, \frac{a}{n} \neq 0 \right\}$$

and relations

$$g\left(\frac{-a}{n}\right) = g\left(\frac{a}{n}\right) \tag{A}_1$$

$$g\left(\frac{a}{m}\right) = \sum_{i=0}^{(n/m)-1} g\left(\frac{a+mi}{n}\right) \quad \text{if } m|n \text{ and } \frac{a}{m} \neq 0.$$
 (B₁)

We introduce a theorem on $(A_n^0)^+$ which we use later and we refer the reader to L. Washington [5] for details.

THEOREM. Let $n \not\equiv 2 \mod 4$. Then there is a split exact sequence

$$0 \to (\mathbb{Z}/2\mathbb{Z})^{2^{r-1}-r} \to (A_n^0)^+ \xrightarrow{\varphi} V_n/\langle \pm \zeta_n \rangle \to 0,$$

where $\varphi(g(a/n)) = 1 - \zeta_n^a \mod(\pm \zeta_n)$ and r is the number of distinct prime factors of n.

Section 1. Basis of $(A_n^0)^+$

Let *n* be an integer, $n \not\equiv 2 \pmod 4$, and $p_1^{e_1} \dots p_r^{e_r}$ be its prime factorization. Let $K_i = \mathbb{Q}(\zeta_{p_i^{e_i}})$. If p_i is odd, $\operatorname{Gal}(K_i/\mathbb{Q})$ is cyclic. Let σ_i be a fixed generator of $\operatorname{Gal}(K_i\mathbb{Q})$, or the corresponding element of $\operatorname{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q})$ which fixes $\mathbb{Q}(\zeta_{n/p_i^{e_i}})$. Under the natural isomorphism

$$(\mathbb{Z}/n\mathbb{Z})^{\times} \to \operatorname{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q})$$

which maps a to $\gamma: \zeta_n \mapsto \zeta_n^a$, we may view σ_i as an element of $(\mathbb{Z}/n\mathbb{Z})^{\times}$, or even a positive integer < n, relatively prime to n, since they form a set of representatives. If p_i is even, $\operatorname{Gal}(K_i/\mathbb{Q}) = \langle \tilde{\sigma}_i \tau \rangle$ where $\tilde{\sigma}_i$ is a fixed element of order 2^{e-2} and τ is the element of order 2 corresponding to complex conjugation. We let

$$\sigma_i^k = \begin{cases} \tilde{\sigma}_i^k & \text{if } 0 \leqslant k < 2^{e-2} \\ \tilde{\sigma}_i^k \tau & \text{if } 2^{e-2} \leqslant k < 2^{e-1} \end{cases}.$$

Then we consider the σ_i^k 's as elements of $(\mathbb{Z}/n\mathbb{Z})^{\times}$ as before.

LEMMA 1. Suppose (b, n) = 1. Then

$$\sum_{k=0}^{\varphi(p_i^{e_i})-1} g\left(\frac{b\sigma_i^k}{n}\right) = g\left(\frac{b}{n/p_i^{e_i}}\right) - g\left(\frac{c}{n/p_i^{e_i}}\right) \in (A_{n/p_i^{e_i}}^0)^+ \tag{B_2}$$

for some c.

Proof. Let $p_i = p, e_i = e$ and $\sigma_i = \sigma$ for simplicity. From the relation (B_1) in Section 0, we have

$$g\left(\frac{b}{n/p^{e}}\right) = \sum_{i=0}^{p^{e}-1} g\left(\frac{b+i(n/p^{e})}{n}\right)$$

$$= \sum_{(b+i(n/p^{e}),n)=1} g\left(\frac{b+i(n/p^{e})}{n}\right) + \sum_{(b+i(n/p^{e}),n)\neq 1} g\left(\frac{b+i(n/p^{e})}{n}\right).$$

But, since σ fixes $\mathbb{Q}(\zeta_{n/p^e}), \sigma \equiv 1 \pmod{n/p^e}$. Thus

$$\sum_{(b+i(n/p^e),n)=1} g\left(\frac{b+i(n/p^e)}{n}\right) = \sum_{k=0}^{\varphi(p^e)-1} g\left(\frac{b\sigma^k}{n}\right).$$

On the other hand, let i_0 be such that

$$\begin{cases} b + i_0(n/p^e) \equiv 0 \mod p \\ b + i_0(n/p^e) \not\equiv 0 \mod p^2 \\ 0 \leqslant i_0 < p^e \end{cases}$$

and let $b + i_0(n/p^e) = cp$. Then (c, n) = 1 and $\{i_0 + tp\}$ is the set of all solutions for

$$\begin{cases} b + i(n/p^e) \equiv 0 \mod p \\ 0 \leqslant i < p^e \end{cases}$$

as t runs through all integers satisfying $0 \le i_0 + tp < p^e$. Hence

$$\sum_{(b+i(n/p^e),n)\neq 1} g\left(\frac{b+i(n/p^e)}{n}\right) = \sum_{t} g\left(\frac{c+t(n/p^e)}{n/p}\right) = g\left(\frac{c}{n/p^e}\right).$$
 Q.E.D.

Let $n = p_1^{e_1} \cdots p_r^{e_r}$ as before and let

$$I_n = \begin{cases} (i_1, \dots, i_r) \mid 0 \le i_r \le \frac{1}{2} \varphi(p_r^{e_r}) - 1 & \text{and} \\ 0 \le i_l \le \varphi(p_l^{e_l}) - 1 & \text{for } l < r \end{cases}$$

and $I'_n = \{(i_1, \dots, i_r) \in I_n \text{ satisfying one of the following}\}$

and
$$I_n = \{(i_1, \dots, i_r) \in I_n \text{ satisfying one of the following}\}$$

$$\begin{cases} i_r \neq 0 & \text{and } i_l \neq 0 \text{ for all } l \leqslant r - 1 \\ i_r = 0, & 1 \leqslant i_{r-1} \leqslant \frac{1}{2} \varphi(p_{r-1}^{e_{r-1}}) - 1 & \text{and } i_l \neq 0 \text{ for } l \leqslant r - 2 \\ i_r = i_{r-1} = 0, & 1 \leqslant i_{r-2} \leqslant \frac{1}{2} \varphi(p_{r-2}^{e_{r-2}}) - 1 & \text{and } i_l \neq 0 \text{ for } l \leqslant r - 3 \end{cases}$$

$$\vdots$$

$$\vdots$$

$$i_r = i_{r-1} = \dots = i_2 = 0, & 1 \leqslant i_1 \leqslant \frac{1}{2} \varphi(p_1^{e_1}) - 1$$

$$i_r = i_{r-1} = \dots = i_2 = i_1 = 0.$$

Note that

$$\#(I'_n) = \frac{1}{2}(\varphi(p_r^{e_r}) - 1)(\varphi(p_{r-1}^{e_{r-1}}) - 1)\dots(\varphi(p_1^{e_1}) - 1) + \frac{1}{2}.$$

Let T_n be the group generated by

$$\left\{g\left(\frac{\sigma_1^{i_1}\ldots\sigma_r^{i_r}}{n}\right)|(i_1,\ldots,i_r)\in I_n'\right\}$$

and let

$$T'_n = \prod_{\substack{(d,n/d) = 1 \\ d \neq 1, n}} T_d,$$

where T_d is defined similarly to T_n .

THEOREM 1.
$$(A_n^0)^+ = T_n \times T'_n = \prod_{\substack{(d,n/d) = 1 \\ d > 1}} T_d$$
.

REMARK. Since the number of generators of T_n is at most $\#(I'_n) =$ $\frac{1}{2}\Pi(\varphi(p_i^{e_i})-1)+\frac{1}{2},\ T_n\times T_n'$ is generated at most by $\mathrm{rank}_{\mathbb{Z}}V_n+2^{r-1}-r$ elements, which is the minimum number of generators of $(A_n^0)^+$. Hence this theorem provides a basis of $(A_n^0)^+$.

Proof of theorem. By induction on r. By using the relations (A_1) and (B_1) with $m = p^k$, we can prove the theorem for r = 1 easily. Assume the theorem holds for n a product of r-1 distinct primes. It is not hard to see $(A_n^0)^+ = T_n \times T_n^l$ if and only if $g(\sigma_1^{i_1} \dots \sigma_r^{i_r}/n) \in T_n \times T_n'$ for every $(i_1, \dots, i_r) \in I_n$. As a matter of notation, we let

$$g\left(\frac{\sigma_1^{i_1}\ldots\sigma_r^{i_r}}{n}\right)=g_{i_1\ldots i_r}.$$

If $(i_1, \ldots, i_r) \in I'_n$, then $g_{i_1 \ldots i_r} \in T_n$ by definition. We prove $g_{i_1 \ldots i_r} \in T_n \times T'_n$ for $(i_1, \ldots, i_r) \in I_n - I'_n$ case by case.

(i)
$$g_{i, i_n} \in T_n \times T'_n$$
 if $i_r \neq 0$.

Proof. If none of i_1, \ldots, i_{r-1} is 0, then $(i_1, \ldots, i_r) \in I'_n$ so $g_{i_1 \ldots i_r} \in T_n$. Suppose only one of i_1, \ldots, i_{r-1} is 0, say i_1 . Then for $j \neq 0$, $g_{i_2 \ldots i_r} \in T_n$ since $(j, i_2, \ldots, i_r) \in I'_n$. Also,

$$\sum_{i=0}^{\varphi(p_1^{e_1})-1} g_{j_{i_2}\dots i_r} \in T'_n$$

by the relation (B_2) in Lemma 1. Thus $g_{0i_2...i_r} \in T_n \times T'_n$. If two of i_1, \ldots, i_{r-1} are 0, say $i_1 = i_2 = 0$, use the relation (B_2) again:

$$\sum_{j=0}^{\varphi(p_1^{e_1})-1} g_{j0i_3...i_r} \in T'_n.$$

Since $g_{j0i_3...i_r} \in T_n \times T'_n$ if $j \neq 0$ by the previous case, $g_{00i_3...i_r} \in T_n \times T'_n$. Then argue similarly for the case when there are exactly three zeros, and then four zeros and so on.

(ii) For each $l,1\leqslant l\leqslant r,g_{i_1...i_r}\in T_n\times T_n'$ if $i_r=i_{r-1}=\cdots=i_{l+1}=0$ and $1\leqslant i_l\leqslant \frac12\varphi(p_l^{e_l})-1.$

Proof. We prove when l=r-1 (the proof of the rest is quite similar to this case). We have to show $g_{i_1...i_{r-1}0} \in T_n \times T_n'$ when $1 \le i_{r-1} \le \frac{1}{2} \varphi(p_{r-1}^{e_{r-1}}) - 1$ and $i_1, i_2, \ldots, i_{r-2}$ arbitrary.

If none of $i_1, i_2, \dots i_{r-2}$ is 0, then by the definition of I'_n , we are done. If only one of them is 0, say $i_1 = 0$, use the relation (B_2) again:

$$\sum_{j=0}^{\varphi(p^{e_1})-1} g_{ji_2...i_{r-1}0} \in T'_n.$$

Since $g_{ji_2...i_{r-1}0} \in T_n$ for $j \neq 0, g_{0i_2i_2...i_{r-1}0} \in T_n \times T_n'$. Then prove when there are exactly two zeros and proceed as we did in case (i).

(iii) Let $\delta_j = 0$ or $\frac{1}{2}\varphi(p_j^{e_j})$. If $(i_1, \dots, i_r) \neq (\delta_1, \dots, \delta_r)$, then $g_{i_1 \dots i_r} \in T_n \times T_n'$. Proof. Since case (i) treats the case $i_r \neq 0$, we assume $i_r = 0$ and prove

$$g_{i_1...i_{r-1}0} \in T_n \times T'_n \text{ when } (i_1, ..., i_{r-1}, 0) \neq (\delta_1, ..., \delta_{r-1}, 0).$$

First, we claim that $g_{i_1...i_{r-1}0} \in T_n \times T_n'$ when $i_{r-1} \neq \delta_{r-1}$. If $1 \leq i_{r-1} \leq \frac{1}{2}\varphi(p_{r-1}^{e_{r-1}}) - 1$, the result follows from case (ii). Suppose $\frac{1}{2}\varphi(p_{r-1}^{e_{r-1}}) + 1 \leq i_{r-1} \leq \varphi(p_{r-1}^{e_{r-1}}) - 1$. Consider

$$\sum_{j=0}^{\varphi(p_r^{e_r})-1} g_{i_1...i_{r-1}j} = g_{i_1...i_{r-1}0} + \sum_{j=1}^{\frac{1}{2}\varphi(p_r^{e_r})-1} g_{i_1...i_{r-1}j}$$

$$+ g_{i_1...i_{r-1}\varphi(p_r^{e_r})/2} + \sum_{j=\frac{1}{2}\varphi(p_r^{e_r})+1}^{\varphi(p_r^{e_r})-1} g_{i_1...i_{r-1}j}.$$
 (*)

But $\sum_{j=1}^{\frac{1}{2}\varphi(p_r^{e_r})-1}g_{i_1...i_{r_1}j} \in T_n \times T'_n$ by (i). Let $i'_l=i_l+\frac{1}{2}\varphi(p_l^{e_l})$. Then by the relation (A_1) in Section 0,

$$\sum_{j=\frac{1}{2}\varphi(p^e_r)-1}^{\varphi(p^e_r)-1}g_{i_1\dots i_{r-1}j} = \sum_{j'=1}^{\frac{1}{2}\varphi(p^e_r)-1}g_{i'_1\dots i'_{r-1}j'} \in T_n \times T'_n$$

by (i). Also, since $1 \le i'_{r-1} \le \frac{1}{2} \varphi(p_{r-1}^{e_{r-1}})$,

$$g_{i_1...i_{r-1}\varphi(p_*^{e_r})/2} = g_{i'_1...i'_{r-1},0} \in T_n \times T'_n.$$

Since the left side of (*) is in T'_n by Lemma 1, so is the right side. Therefore $g_{i_1...i_{r-1}0} \in T_n \times T'_n$ when $i_{r-1} \neq \delta_{r-1}$.

Now we assume $g_{i_1...i_{l+1}...i_{r-1}0} \in T_n \times T'_n$ when $(i_{l+1}, \ldots, i_{r-1}, 0) \neq (\delta_{l+1}, \ldots, \delta_{r-1}, 0)$, and we will show $g_{i_1...i_{l+1}...i_{r-1}0} \in T_n \times T'_n$ if $i_l \neq \delta_l$ and $(i_{l+1}, \ldots, i_{r-1}, 0) \neq (\delta_{l+1}, \ldots, \delta_{r-1}, 0)$.

Suppose $1 \le i_l \le \frac{1}{2}\varphi(p_l^{e_l}) - 1$. If all of $i_{l+1}, \dots i_{r-1}$ are 0, the result follows from case (ii). Suppose only one of them, say i_t , is $\frac{1}{2}\varphi(p_t^{e_t})$. Consider

$$\sum_{j=0}^{\varphi(p_t^{e_i})-1} g_{i_1...i_l0...0j0...0} \in T'_n.$$

Since $g_{i_1...i_10...0} \in T_n \times T'_n$ by (ii) and since $\sum_{j \neq 0, \frac{1}{2}\varphi(p_i^{e_i})} g_{i_1...i_10...0_j0} \in T_n \times T'_n$ by assumption, $g_{i_1...i_10...0\varphi(p_i^{e_i})/20...0} \in T_n \times T'_n$. Then we can prove the case when two of i_{l+1}, \ldots, i_{r-1} are non zero δ , then three nonzero δ , and so on.

Suppose $\frac{1}{2}\varphi(p_l^{e_l}) + 1 \le i_l \le \varphi(p_l^{e_l}) - 1$. Consider

$$\sum_{j=0}^{\varphi(p_r^{e_r})-1} g_{i_1...i_l\delta_{l+1}...\delta_{r-1}j}$$

$$= g_{i_{1}...i_{l}\delta_{l+1}\delta_{r-1}0} + \sum_{j=1}^{\frac{1}{2}\varphi(p_{r}^{e}r)-1} g_{i_{1}...i_{l}\delta_{l+1}...\delta_{r-1}j}$$

$$+ g_{i_{1}...i_{l}\delta_{l+1}...\delta_{r-1}\varphi(p_{r}^{e}r)/2} + \sum_{j=1}^{\varphi(p_{r}^{e}r)-1} g_{i_{1}...i_{l}\delta_{l+1}...\delta_{r-1}j}.$$

$$(**)$$

By arguing similarly as before, we can show that every term but the first of the right side of (**) belongs to $T_n \times T'_n$. Since the left side of (**) is also in $T_n \times T'_n$, we conclude that $g_{i_1...i_l\delta_{l+1}...\delta_{r-1}} \in T_n \times T'_n$.

(iv)
$$g_{\delta_1...\delta_{r-1}0} \in T_n \times T'_n$$

Proof. We know that $g_{0...0} = g(1/n) \in T_n$. If only one δ_i is different from 0, say δ_1 , consider

$$\sum_{j=0}^{\varphi(p_1^{\sigma_1})-1} g_{j0...0} \in T'_n.$$

Since every term except $g_{(\varphi(p_1^{e_1})/2)0...0}$ belongs to $T_n \times T'_n$, so does $g_{(\varphi(p_1^{e_1})/2)0...0}$. Then prove the case when there are two non zeros, and so on. This finishes the proof of Theorem 1.

Section 2. Basis of U_{r}

Let $n = p_1^{e_1} \dots p_r^{e_r}$ be an integer $\neq 2 \pmod{4}$ as before. To find a basis of U_n , we eliminate certain generators of T_n . To be precise, let

$$I_n'' = \begin{cases} I_n' - \{(0, 0 \dots, 0)\} & \text{if } r = \text{odd} \\ I_n' & \text{if } r = \text{even} \end{cases}$$

$$\tilde{g}\left(\frac{a}{n}\right) = \begin{cases} g^{(a/n)} & \text{if } n \text{ is composite} \\ g^{(a/p^e)} - g^{(1/p^e)} & \text{if } n = p^e \end{cases}$$

$$\tilde{T}_n = \text{group generated by } \left\{ \tilde{g}\left(\frac{\sigma_1^{i_1} \dots \sigma_r^{i_r}}{n}\right) \middle| (i_1, \dots, i_r) \in I_n'' \right\}$$

$$\tilde{T}_n' = \prod_{\substack{d \mid n \\ (d, n/d) = 1}} \tilde{T}_d, \text{ where } \tilde{T}_d \text{ is defined similarly to } \tilde{T}_n.$$

REMARK. The passage from g to \tilde{g} takes account of the fact that $1 - \zeta_n$ is a unit if and only if n is not a prime power. When n is a power of p, $1 - \zeta_n$ is a divisor of p.

Note that

$$(A_n^0)^+ = G_1 \times G_2 \times G_3$$
, where

$$G_1 = \tilde{T}_n \times \tilde{T}'_n$$

$$G_2 = \text{group generated by } \left\{ g \left(\frac{1}{p_i^{e_i}} \right) \, \middle| \, 1 \leqslant i \leqslant r \right\}$$

$$G_3 = \text{group generated by } \left\{ g \left(\frac{1}{p_{i_1}^{e_{r_1}} \dots p_{i_l}^{e_{r_l}}} \right) \, \middle| \, l \geqslant 3, \text{odd} \right\}.$$

THEOREM 2. $U_n = \varphi(G_1) \times \langle -\zeta_n \rangle$, where $\varphi: (A_n^0)^+ \to V_n \mod \langle -\zeta_n \rangle$ is as in the theorem of Section 0.

REMARK. Since G_1 is generated by at most rank_Z U_n elements, this theorem provides a basis of U_n .

Before we prove Theorem 2, we need several lemmas.

LEMMA 2. $2g(1/n) \in G_1$ if n is composite.

Proof. If r is even, there is nothing to prove. So we assume r is odd. Let $m_i = \frac{1}{2}\varphi(p_i^{e_i}) - 1$, $M_i = \varphi(p_i^{e_i}) - 1$ and let

$$\sum_{0 \leq i_1 \leq m_1} \sum_{0 \leq i_2 \leq m_2} \dots \sum_{0 \leq i_r \leq m_r} g_{i_1 \dots i_r} = R_0$$

and for each $l, 1 \le l \le r$, let

$$\sum_{m_1+1 \leq i_1 \leq M_1} \dots \sum_{m_l+1 \leq i_l \leq M_l} \sum_{0 \leq i_{l+1} \leq m_{l+1}} \dots \sum_{0 \leq i_r \leq m_r} g_{i_1 \dots i_r} = R_l.$$

Then $R_i+R_{i+1}\in \tilde{T}'_n$ for each $i=1,2,\ldots r-1$ by Lemma 1. Hence $R_0+R_r=(R_0+R_1)-(R_1+R_2)+(R_2+R_3)-\cdots+(R_{r-1}+R_r)\in \tilde{T}'_n$. But since $R_0=R_r$, we have $2R_0\in \tilde{T}'_n$. And in the sum of R_0 , every term except $g_{0\ldots 0}$ belongs to $\tilde{T}_n\times \tilde{T}'_n$. Therefore $2g_{0\ldots 0}=2g(1/n)\in \tilde{T}_n\times \tilde{T}'_n=G_1$. Q.E.D.

LEMMA 3. The given generators of $G_1 \times G_2$ are linearly independent over \mathbb{Z} . Proof. In the proof of Theorem 1, we used the fact $(0,\ldots,0) \in I_n'$ only in step (iv). But since $2g(1/n) \in G_1$ by Lemma 2, $2g_{\delta_1\ldots\delta_r} \in G_1$. Thus $G_1 \times G_2$ is of finite index in $(A_n^0)^+$, hence $\varphi(G_1 \times G_2)$ is of finite index in V_n . Since G_1 is mapped to U_n and since G_2 is mapped to nonunits, $\varphi(G_1)$ is of finite index in U_n . Since $\varphi(G_1)$ is generated at most by $\operatorname{rank}_{\mathbb{Z}} U_n$ elements, the generators of $\varphi(G_1)$ are linearly independent. Therefore the given generators of G_1 are linearly independent and so are the generators of $G_1 \times G_2$.

Q.E.D.

LEMMA 4. Let $r \ge 3$ odd. Then there is a unique $R \in (A_n^0)^+$ such that $R \ne 0, 2R = 0$ and R is of the form

$$R = g\left(\frac{1}{n}\right) + \sum_{\tilde{q}(a/n) \in G_1} \tilde{f}\left(\frac{a}{n}\right) \tilde{g}\left(\frac{a}{n}\right)$$

with $\tilde{f}(a/n) \in \mathbb{Z}$.

Proof. Uniqueness is immediate by Lemma 3. We prove existence by induction on r. Suppose r=3. Since $\operatorname{Tor}(A_n^0)^+\simeq \mathbb{Z}/2\mathbb{Z}$ by the theorem in Section 0, there is an $R\neq 0$ such that 2R=0. Since $(A_n^0)^+=G_1\times G_2\times G_3$, we may write

$$R = mg\left(\frac{1}{n}\right) + \sum_{\tilde{g}(a/n) \in G_1} \tilde{f}\left(\frac{a}{n}\right) \tilde{g}\left(\frac{a}{n}\right) + \sum_{i=1}^{3} f\left(\frac{1}{p_i^{e_i}}\right) g\left(\frac{1}{p_i^{e_i}}\right)$$

Since $2g(1/n) \in G_1$,

$$2g\left(\frac{1}{n}\right) = \sum_{\tilde{g}(a/n)\in G_1} \tilde{h}\left(\frac{a}{n}\right) \tilde{g}\left(\frac{a}{n}\right)$$

with $\tilde{h}(a/n) \in \mathbb{Z}$. Thus we may assume m = 0 or 1. But if m = 0, then

$$0 = 2R = \sum \tilde{f}\left(\frac{a}{n}\right)\tilde{g}\left(\frac{a}{n}\right) + \sum f\left(\frac{1}{p_i^{e_i}}\right)g\left(\frac{1}{p_i^{e_i}}\right)$$

implies $\tilde{f}(a/n) = f(1/p_i^{e_i}) = 0$ by the linear independence (Lemma 3), which forces R = 0. Hence m = 1 and

$$R = g\left(\frac{1}{n}\right) + \sum \tilde{f}\left(\frac{a}{n}\right)\tilde{g}\left(\frac{a}{n}\right) + \sum f\left(\frac{1}{p_i^{e_i}}\right)g\left(\frac{1}{p_i^{e_i}}\right).$$

Now apply the map φ to both sides to obtain

$$1 = \varphi\left(g\left(\frac{1}{n}\right)\right) \times \prod \varphi\left(\tilde{g}\left(\frac{a}{n}\right)\right)^{f(a/n)} \times \prod \varphi\left(g\left(\frac{1}{p_i^{e_i}}\right)\right)^{f(1/p_i^{e_i})}.$$

Since the first two terms of the right side are units, $f(1/p_i^{e_i}) = 0$ and R has the desired form.

Suppose the lemma is true for all d less than r. For any d of the form $p_{i_1}^{e_{i_1}} \operatorname{cdots} p_{i_l}^{e_{i_l}}$ with $3 \leq l < r, l$ odd, there is an element $R_d \in (A_n^0)^+$ such that

 $R_d \neq 0, 2R_d = 0$ and R_d is of the form.

$$R_d = g\left(\frac{1}{d}\right) + \sum \dots$$

by the induction hypothesis. Note that R_d 's are linearly independent, i.e. $R_{d_1}+\cdots+R_{d_s}\neq 0$ for any distinct choice of d,\ldots,d_s , for otherwise, $g(1/d_1)$ would be expressed by other generators of $G_1\times G_2\times G_3$, which is impossible. So we have

$$\binom{r}{3} + \binom{r}{5} + \dots + \binom{r}{r-2} = 2^{r-1} - r - 1$$

independent elements of $Tor(A_n^0)^+$. But since

$$\operatorname{Tor}(A_n^0)^+ \simeq (\mathbb{Z}/2\mathbb{Z})^{2^{r-1}-r},$$

there is one more generator of $Tor(A_n^0)^+$, say R_1 . We can write

$$\begin{split} R_1 &= cg\left(\frac{1}{n}\right) + \sum_{\tilde{g}(a/n) \in G_1} \tilde{f}\!\left(\frac{a}{n}\right) \tilde{g}\left(\frac{a}{n}\right) + \sum_{g(1/p_i^{g_i} \in G_2)} f\!\left(\frac{1}{p_i^{g_i}}\right) g\left(\frac{1}{p_i^{g_i}}\right) \\ &+ \sum_{g(1/a) \in G_3} f\!\left(\frac{1}{d}\right) g\left(\frac{1}{d}\right). \end{split}$$

As in the case r = 3, we may assume c = 0 or 1. Suppose c = 0. Then

$$R_1 - \sum f\left(\frac{1}{d}\right) R_d$$

in an element of $\operatorname{Tor}(A_n^0)^+$ with f(1/d) = 0 for any $g(1/d) \in G_3$. Then, by Lemma 3,

$$R_1 - \sum f\left(\frac{1}{d}\right) R_d = 0$$

which is impossible since R_1 is not in the span of R_d 's. Hence c = 1 and R_1 is of the form

$$R_1 = g\left(\frac{1}{n}\right) + \sum \tilde{f}\left(\frac{a}{n}\right)\tilde{g}\left(\frac{a}{n}\right) + \sum f\left(\frac{1}{p_i^{e_i}}\right)g\left(\frac{1}{p_i^{e_i}}\right) + \sum f\left(\frac{1}{d}\right)g\left(\frac{1}{d}\right).$$

Since $f(1/p_i^{e_i}) = 0$ as in the case r = 3, we get a desired element

$$R = R_1 - \sum f\left(\frac{1}{d}\right)R_d.$$

Proof of Theorem 2. It is easy to prove if n is a prime power, so we assume n is composite. By step (iv) in the proof of Theorem 1, it is enough to show that $\varphi(g(1/n)) \in \varphi(G_1)$. But this is obvious by Lemma 4. Just apply φ to R to obtain

$$1 = \varphi\left(g\left(\frac{1}{n}\right)\right) \times \prod \varphi\left(\tilde{g}\left(\frac{a}{n}\right)\right)^{\tilde{f}(a/n)}.$$
 Q.E.D.

COROLLARY 1. Suppose m and n are integers, $\neq 2 \pmod{4}$, and (m, n) = 1. Then

$$U_{mn}^G = U_n$$

where $G = \text{Gal}(\mathbb{Q}(\zeta_{mn})/\mathbb{Q}(\zeta_n))$ and U_{mn}^G is the subgroup of U_{mn} fixed under the action of G.

Proof. By Theorem 2, we can extend a basis $\{\eta_1, \ldots, \eta_s\}$ of $U_n \text{mod} \langle -\zeta_n \rangle$ to a basis $\{\eta_1, \ldots, \eta_s, \varepsilon_1, \ldots, \varepsilon_t\}$ of $U_{mn} \text{mod} \langle -\zeta_{mn} \rangle$. Let

$$\delta = \pm \zeta_{mn}^c \eta_1^{a_1} \dots \eta_s^{a_s} \varepsilon_1^{b_1} \dots \varepsilon_t^{b_t} \in U_{mn}^G.$$

Then since $\delta^{\sigma} = \delta$ for any $\sigma \in G$,

$$\delta^{\varphi(m)} = N_{\mathbb{Q}_{(\zeta_{mn})}/\mathbb{Q}_{(\zeta_n)}} \delta \in U_n.$$

Hence

$$(\pm \zeta_{mn}^c)^{\phi(m)} \prod_{i=1}^s \eta_i^{a_i\phi(m)} \prod_{j=1}^t \varepsilon_j^{b_j\phi(m)}$$
$$= + \zeta_n^e \eta_1^{d_1} \dots \eta_s^{d_s}.$$

Therefore, $b_1 = b_t = 0$ and

$$\delta = \pm \zeta_{mn}^c \eta_1^{a_1} \dots \eta_s^{a_s}.$$

Since $\delta, \eta_1^{a_1} \dots \eta_s^{a_s} \in U_{mn}^G, \pm \zeta_{mn}^c \in U_{mn}^G$. Thus

$$\delta = \pm \zeta_n^f \eta_1^{a_1} \dots \eta_s^{a_s} \in U_n.$$
 Q.E.D.

Section 3. Basis of U_{pn} when p|n

In this section we will show $U_{pn}^G = U_n$, where $G = \operatorname{Gal}(\mathbb{Q}(\zeta_{pn})/\mathbb{Q}(\zeta_n))$ by extending a basis of U_n to that of U_{pn} . When $p \mid n$, Corollary 1 in Section 2 proves it. So we assume $p \mid n$ and let $n = p_1^{e_1} \dots p_r^{e_r} p^e$ be the usual prime factorization of n with e > 0. As before we let σ_i be a fixed generator of $\operatorname{Gal}(\mathbb{Q}(\zeta_{p_i^{e_i}}/\mathbb{Q}))$ for $i = 1, \dots, r$ and let σ be a fixed generator of $\operatorname{Gal}(\mathbb{Q}(\zeta_{p_i^{e_i}})/\mathbb{Q})$. If p_i is even then we define σ_i^k as in Section 1. For each $d \mid n$ such that (d, (n/d)) = 1 and (d, p) = 1, say, $d = p_{i_1}^{e_{i_1}} \dots p_{i_t}^{e_{i_t}}$, let T_d^r be the subgroup of $(A_n^0)^+$ generated by

$$\begin{split} \left\{ \tilde{g}^{\sigma_{i_1}^{j_1} \dots \sigma_{i_t}^{j_t \sigma^j/dp^{e+1}}} \colon \tfrac{1}{2} \varphi(p^e) \leqslant j \leqslant \tfrac{1}{2} \varphi(p^{e+1}) - 1, \\ & 1 \leqslant j_l \leqslant \varphi(p_l^{e_l} - 1 \\ & \text{if } p \neq 2, \text{ and } 1 \leqslant j \leqslant 2^{e-2} \text{ if } p = 2 \right\}. \end{split}$$

and let

$$\tilde{T}_n'' = \prod_d \tilde{T}_d''.$$

Then it is easy to check that \tilde{T}_n'' is generated at most (actually, exactly by the following theorem) by $\operatorname{rank}_{\mathbb{Z}} U_{pn} - \operatorname{rank}_{\mathbb{Z}} U_n$ elements.

THEOREM 3.
$$U_{pm} = \varphi(\tilde{T}_n \times \tilde{T}'_n \times \tilde{T}''_n) \times \langle -\zeta_{pn} \rangle$$
.

Proof. We prove this only when p is odd. The proof for the even case is almost the same. We will show $U_{dp^{e+1}} = \varphi(\tilde{T}_{dp^e} \times \tilde{T}''_{dp^e} \times \tilde{T}''_{dp^e})$ for each d with (d, p) = 1 (d, n/d) = 1 by induction on w(d) = number of distinct prime factors of d. Let w(d) = 0 (d = 1). By Theorem 2, it is enough to show

$$\tilde{g}_j = \tilde{g} \bigg(\frac{\sigma^j}{p^{e+1}} \bigg) \in \tilde{T}_{p^e} \, \times \, \tilde{T}''_{p^e}$$

for $1 \leqslant j \leqslant \frac{1}{2} \varphi(p^{e+1}) - 1$, hence for $1 \leqslant j \leqslant \frac{1}{2} \varphi(p^e) - 1$ be definition of $\tilde{T}_{p^e}^{"}$. First we need a Lemma.

LEMMA 5. For any j, $1 \le j \le \frac{1}{2}\varphi(p^e) - 1$,

$$\sum_{k=0}^{p-1} \tilde{g}_{j+k\varphi(p^e)} = \tilde{g}\left(\frac{\sigma^j}{p^e}\right) + \sum_{k=0}^{p-1} \tilde{g}_{k\varphi(p^e)}.$$

Proof. Immediate from the relation (B_1) since

$$\sigma^{k\varphi(p^e)} \equiv 1 \mod p^e \text{ for } 0 \leqslant k \leqslant p-1.$$

In the left side of Lemma 5, every term except for $\tilde{g}_j(k=0)$ belongs to \tilde{T}''_{p^e} since for $1 \le k \le (p-1)/2$,

$$\tilde{g}_{j+k\varphi(p^e)}\in \tilde{T}_{p^e}''$$

by the definition of $\tilde{T}_{p^e}^{"}$, and for $(p+1)/2 \le k \le p-1$ we have

$$\tilde{g}_{j+k\varphi(p^e)} = \tilde{g}_{j+k\varphi(p^e)-\frac{1}{2}\varphi(p^{e+1})} \in \tilde{T}_{p^e}''$$

Similarly,

$$\sum_{k=1}^{p-1} \tilde{g}_{k\varphi(p^e)} \in \tilde{T}_{p^e}''.$$

Since $\tilde{g}_0 = 1$ and since $\tilde{g}(\sigma^j/p^e) \in \tilde{T}_{p^e}$,

$$\tilde{g}_j = \tilde{g}\left(\frac{\sigma^j}{p^e}\right) + \sum_{k=0}^{p-1} \tilde{g}_{k\varphi(p^e)} - \sum_{k=1}^{p-1} \tilde{g}_{j+k\varphi(p^e)} \in \tilde{T}_{p^e} \times \tilde{T}_{p^e}''.$$

This settles the case d = 1.

Now we assume $U_{dp^{e+1}} = \varphi(\tilde{T}_{dp^e} \times \tilde{T}'_{dp^e} \times \tilde{T}''_{dp^e})$ for each d with $\omega(d) < r$, and we will show that it is also true for $d = n/p^e$. By Theorem 2, it is enough to show that for each $d = p_{i_1}^{e_{i_1}} \dots p_{i_t}^{e_{i_t}}$

$$\tilde{g}_{j_i...j_tj} \in \tilde{T}_{dp^e} \times \tilde{T}'_{dp^e} \times \tilde{T}''_{dp^e}$$

for $(j_1, j_2, \ldots, j_t, j) \in I''_{dp^{e+1}}$, but actually we will show this for all $(j_1, \ldots, j_t, j) \in I_{dp^{e+1}}$ case by case.

(i)
$$\tilde{g}_{j_1...j_el} \in \tilde{T}_{dp^e} \times \tilde{T}'_{dp^e} \times \tilde{T}''_{dp^e}$$
 for $\frac{1}{2}\varphi(p^e) \leqslant j \leqslant \frac{1}{2}\varphi(p^{e+1}) - 1$ and j_l arbitrary

Proof. If none of j_l , $1 \le l \le t$, is 0, there is nothing to prove. Suppose exactly one of them, say j_1 , is 0. Then

$$\sum_{k=0}^{\varphi(p^{e_{i_{1}}})-1} \tilde{g}_{kj_{2}...j_{t}j} \in \tilde{T}_{(d/p^{e_{i_{1}}})p^{e}} \times \tilde{T}'_{(d/p^{e_{i_{1}}})p^{e}} \times \tilde{T}''_{(d/p^{e_{i_{1}}})p^{e}}$$

by Lemma 1 (with a slight modification), and the induction hypothesis. But since

$$\sum_{k=1}^{\varphi(p_{i_1p}^{e_i})-1} \tilde{g}_{kj_2...j_tj} \in \tilde{T}''_{dp^e}$$

by the definition of \tilde{T}''_{dp^e} , $g_{0j_2...j_tj} \in \tilde{T}_{dp^e} \times \tilde{T}'_{dp^e} \times \tilde{T}''_{dp^e}$. Then we can proceed as we did in step (i) of the proof of Theorem 1.

(ii)
$$\tilde{g}_{0j_1...j_lj} \in \tilde{T}_{dp^e} \times \tilde{T}'_{dp^e} \times \tilde{T}''_{dp^e}$$
 for $1 \le j \le \frac{1}{2}\varphi(p^l) - 1$ and j_l arbitrary.

Proof. From the relation (B_1) , we have

$$\sum_{k=0}^{p-1} \tilde{g}_{0j_1...j_lj+k\varphi(p^e)} \in \tilde{T}_{dp^e} \times \tilde{T}'_{dp^e}.$$

We consider two cases $1 \le k \le (p-1)/2$ and $(p+1)/2 \le k \le p-1$ separately to show

$$\sum_{k=1}^{p-1} \tilde{g}_{j_1...j_tj+k\varphi(p^e)} \in \tilde{T}_{dp^e} \times \tilde{T}'_{dp^e} \times \tilde{T}''_{dp^e}$$

as in the proof of the case w(d) = 0. Thus we get the result.

(iii)
$$\tilde{g}_{j_1...j_lj} \in \tilde{T}_{dp^e} \times \tilde{T}'_{dp^e} \times \tilde{T}''_{dp^e}$$
 for $j = 0$ and j_l arbitrary.

Proof. Quite similar to the proof of (ii) by considering

$$\sum_{k=0}^{p-1} \tilde{g}_{j_1...j_t k \varphi(p^e)}.$$

This finishes the proof.

Q.E.D.

COROLLARY 2. Let $p \mid n \text{ for } n \not\equiv 2 \pmod{4}$. Then

$$U_{pn}^G = U_n$$

where $G = \operatorname{Gal}(\mathbb{Q}(\zeta_{pn})/\mathbb{Q}(\zeta_n))$.

Proof. Similar to Corollary 1.

COROLLARY 3. For any integers m and n, m, $n \neq 2 \pmod{4}$, such that n|m, the natural map

$$E_n/U_n \to E_m/U_m$$

is an injection.

Proof. By Corollary 1 and 2.

Remark. As an application of Corollary 3 consider $\mathbb{Q}(\zeta_n)$, $\mathbb{Q}(\zeta_m)$ as two layers in the cyclotomic \mathbb{Z}_p -extension of some $\mathbb{Q}(\zeta_d)$. Greenberg's conjecture asserts that the *p*-primary part of E_n/U_n has bounded order as $n \uparrow \infty$. Assuming that

Greenberg's conjecture is true, Corollary 3 implies that the map $(E_n/U_n)_p \to (E_m/U_m)_p$ is an isomorphism for $m > n \gg 0$. It follows that the map in the opposite direction induced by the norm is the zero map for $m \gg n \gg 0$. Therefore the projective limit of $(E_n/U_n)_p$ is trivial. It follows that $(\lim_{n \to \infty} E_n/\lim_{n \to \infty} U_n)_p = 0$ or, in other words, $p/[E'_n: U_n]$ for any n where $E'_n = \bigcap_{m \gg n} N_{m,n}(E_m)$.

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