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Martin's axiom and partitions

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Introduction

Recall that a partially ordered set \mathcal{P} has the *countable chain condition* (ccc) if every collection of pairwise incompatible elements of \mathcal{P} is at most countable. Martin's Axiom (MA) is the following familiar statement:

For every ccc poset \mathcal{P} and every \mathcal{D} , a family of fewer than 2^{\aleph_0} dense subsets of \mathcal{P} there exists a filter G in \mathcal{P} such that $G \cap D \neq \emptyset$ for every D in \mathcal{D} .

For an infinite cardinal κ , MA_κ is the version of MA in which the cardinality of \mathcal{D} is taken to be at most κ . MA was introduced and proved relatively consistent with $\text{ZFC} + \neg\text{CH}$ by Solovay and Tennenbaum in [ST]. It was then studied by Martin and Solovay in [MS]. The original motivation for the introduction of MA_{\aleph_1} was that it implied Suslin's hypothesis, i.e.

Every ccc linearly ordered space is separable.

It was then realized by Hajnal and Juhász [HJ], and Kunen (unpublished), that the only property of linearly ordered spaces used was that ccc linearly ordered spaces have π -weight at most \aleph_1 . Thus, MA_κ implies the following statement Σ_κ :

Every ccc compact space with a π -basis of size at most κ is separable.

Thus, Σ_κ can be considered as a strong form of Suslin's hypothesis. The equivalent partial order version is that:

Every ccc poset of size at most κ is σ -centered.

It is proved here that Σ_κ is, in fact, equivalent to MA_κ . For $\kappa = \aleph_1$, a stronger result is obtained: MA_{\aleph_1} is equivalent to the following statement \mathcal{H} :

Every uncountable ccc poset has an uncountable centered subset.

Note that \mathcal{H} is equivalent to the following familiar topological statement (see [Sh], [KT]):

Every compact ccc space has caliber \aleph_1 ,

i.e. every uncountable collection of open sets has an uncountable subcollection with the non-empty intersection.

Our approach is to associate ccc destructible partitions to certain combinatorial objects. It can be considered as the beginning of a general program of formulating forcing axioms in terms of the Ramsey properties of the uncountable. To explain this point, let us say that a partition of the form

$$[S]^n = K_0 \cup K_1, \quad \text{or} \quad (1)$$

$$[S]^{<\omega} = K_0 \cup K_1 \quad (2)$$

is ccc *destructible* if there is a ccc poset \mathcal{P} and a \mathcal{P} -name \dot{X} for a 0-homogeneous set (i.e. $[\dot{X}]^n \subseteq K_0$ or $[\dot{X}]^{<\omega} \subseteq K_0$ respectively) such that any element of S is forced by some condition to be in \dot{X} . It is easily seen that \mathcal{H} is equivalent to the following Ramsey-type property of the uncountable:

If S is an uncountable set then every ccc destructible partition of the form (2) has an uncountable 0-homogeneous set.

So, this paper shows that MA_{\aleph_1} is nothing more nor less than this Ramsey-type statement. As to the full MA, the above mentioned equivalence of MA_{\aleph_1} and Σ_{\aleph_1} yields the following reformulation of MA:

If S has size $< 2^{\aleph_0}$, then for every ccc destructible partition of the form (2), S can be covered by countably many 0-homogeneous sets.

Thus, it seems reasonable to consider the following Ramsey-type forcing axioms, for integers $n \geq 2$, RFA^n :

If S is an uncountable set and if

$$[S]^n = K_0 \cup K_1$$

is a given partition for which there exists a poset forcing an uncountable 0-homogeneous, then such a homogeneous set in fact exists.

Axioms of this form (in particular, RFA^2) were first considered by the first named author in connection with a partition relation on ω_1 which is now known to be false [To]. In §2 we show that RFA^n is false for all $n \geq 3$, but the status of RFA^2 remains open. The quantification over arbitrary posets in RFA^n thus appears to be too liberal. By requiring the poset to preserve stationary subsets of ω_1 , we arrive at the axiom $SRFA^n$, which is consistent being a consequence of the familiar Semi Proper Forcing Axiom (SPFA). It is open whether $SRFA^n$ or even $SRFA^{<\omega}$ (in the obvious notation) is equivalent to SPFA. However it can be shown that $SRFA^n$ for $n \geq 4$ has roughly the same consistency strength as SPFA.

In Section 1, starting from a tower $\{a_\xi : \xi < t\}$ we define a ccc destructible partition:

$$[t]^{<\omega} = K_0 \cup K_1$$

without 0-homogeneous sets of size t . This is then used to define a ccc nonseparable, compact, Hausdorff space of size 2^{\aleph_0} , thus answering question 9 of Arhangel'skii [Ar].

In Section 2, starting from a non- σ -linked poset \mathcal{P} of size \aleph_1 , we define a ccc destructible partition:

$$[\omega_1]^3 = K_0 \cup K_1$$

without uncountable 0-homogeneous sets. Partitons with similar properties are also constructed under assumptions such as: $2^{\aleph_0} < 2^{\aleph_1}$; there is a non-special Aronszajn tree, etc.

Section 3 contains the aforementioned reformulations of Martin's Axiom. The main result of this paper was proved in August 1985 and a version of the whole paper was first presented as Chapter 3 in [Ve].

§1. Centered subsets of ccc posets

Recall the definition of the following three uncountable cardinals associated with the continuum (see [vD]): \mathfrak{p} is the least cardinal κ such that there exists a family $\{a_\xi : \xi < \kappa\} \subseteq [\omega]^\omega$ with the finite intersection property (fip) such that there is no $a \in [\omega]^\omega$ such that $\forall \xi < \kappa \ a \subseteq_* a_\xi$. \mathfrak{t} is defined similarly but the family $\{a_\xi : \xi < \kappa\}$ in addition has to be a tower, i.e., $\xi < \eta \rightarrow a_\eta \subset_* a_\xi$. Clearly, $\mathfrak{p} \leq \mathfrak{t}$. Whether in fact $\mathfrak{p} = \mathfrak{t}$ is an open problem. \mathfrak{b} is the least cardinality of an unbounded family in ω^ω ordered under eventual

dominance. We shall later need the following well-known result which says that \mathfrak{b} is bigger than or equal to \mathfrak{t} .

LEMMA 1.1 *Let $\mathcal{F} \subseteq \omega^\omega$ be of size less than \mathfrak{t} . Then there exists $g \in \omega^\omega$ such that $\forall f \in \mathcal{F} f <_* g$.*

Proof. Enumerate $\mathcal{F} = \{f_\xi : \xi < \kappa\}$ for $\kappa < \mathfrak{t}$. For $a \in [\omega]^\omega$ let g_a be the increasing enumeration of a . Choose resursively infinite sets $a_\xi : \xi < \kappa$ such that:

i) $\forall \xi, \eta < \kappa [\xi < \eta \rightarrow a_\eta \subseteq_* a_\xi]$

ii) $\forall \xi < \kappa f_\xi <_* g_{a_{\xi+1}}$

At a limit stage $\alpha \leq \kappa$ use the fact that $\text{card}(\alpha) < \mathfrak{t}$ to pick $a_\alpha \in [\omega]^\omega$ such that $\forall \xi < \alpha a_\alpha \subseteq_* a_\xi$. Finally, set $g = g_{a_\alpha}$. Then g works.

A subset X of a partially ordered set \mathcal{P} is *centred* (k -linked) if

$$\forall F \in [X]^{<\omega} (\forall F \in [X]^k) \exists p \in \mathcal{P} \forall q \in F p \leq q.$$

Let *linked* denote 2-linked. A poset \mathcal{P} is σ -centered (σ - k -linked) if it is the union of countably many centered (k -linked) subsets. A poset \mathcal{P} has *precaliber* κ if

$$\forall X \in [\mathcal{P}]^\kappa \exists Y \in [X]^\kappa Y \text{ is centered.}$$

In this section we continue the work of Todorčević [To2] where among other things the following is proved:

THEOREM 1.2

- a) *There is a productively ccc poset of size \mathfrak{b} without linked subsets of size \mathfrak{b} .*
- b) *For each n there is a σ - n -linked poset of size \mathfrak{b} without $n + 1$ -linked subsets of size \mathfrak{b} .*
- c) *There is a poset of size \mathfrak{b} which is σ - n -linked for each n but which has no centered subsets of size \mathfrak{b} .*

The following results, which say that similar posets exist for cardinals \mathfrak{t} and \mathfrak{p} , are of additional interest since they are used in §3 to establish the above equivalent formulations of MA.

THEOREM 1.3. *There is a σ -linked poset \mathcal{P} of size \mathfrak{t} without centered subsets of size \mathfrak{t} .*

Proof. Let us fix a tower $\{a_\xi : \xi < t\}$. For $x, y \subseteq \omega$ such that $x \neq y$, define

$$\Delta(x, y) = \min (x \Delta y),$$

i.e., $\Delta(x, y)$ is the least point of the symmetric difference of x and y . For $F \in [t]^{<\omega}$ define

$$\Delta_F = \{\Delta(a_\xi, a_\eta) : \xi, \eta \in F \text{ \& } \xi \neq \eta\}$$

$$a_F = \cap \{a_\xi : \xi \in F\}.$$

Define the poset \mathcal{P} by $F \in \mathcal{P}$ iff $F \in [t]^{<\omega}$ and

$$\forall k < \omega \text{ card } (a_F \cap k) \geq \text{card } (\Delta_F \cap k).$$

The order is reverse inclusion.

Claim 1. \mathcal{P} is σ -linked.

Proof. For $F \in [t]^{<\omega}$ define

$$l_F = \text{card } (F),$$

$$m_F = \sup (\Delta_F) + 1,$$

$$n_F = \min \{n \in \omega : \text{card } (a_F \cap (m_F, n)) \geq l_F\},$$

and

$$\tau_F = \{a_\xi \cap n_F : \xi \in F\}.$$

Let I be $\omega \times \omega \times \omega \times [[\omega]^{<\omega}]^{<\omega}$. Define for $i \in I$

$$\mathcal{P}_i = \{F \in \mathcal{P} : \langle l_F, m_F, n_F, \tau_F \rangle = i\}.$$

Let us show that \mathcal{P}_i is linked $\forall i \in I$. Suppose $F, F' \in \mathcal{P}_i$. Then $n_F = n_{F'} = n$, and $\Delta_F = \Delta_{F'} = \Delta_{F \cup F'} \cap n$. Also, $a_F \cap n = a_{F'} \cap n$. Therefore

$$\forall k \leq n \text{ card } (a_{F \cup F'} \cap k) \geq \text{card } (\Delta_{F \cup F'} \cap k).$$

Also, for $k > n$.

$$\begin{aligned} \text{card}(a_{F \cup F'} \cap k) &\geq \text{card}(a_{F \cup F'} \cap m) + l \geq \text{card}(\Delta_{F \cup F'} \cap m) + l \\ &\geq \text{card}(\Delta_{F \cup F'}), \end{aligned}$$

where $m = m_F = m_{F'}$, and $l = l_F = l_{F'}$. This shows that \mathcal{P} is σ -linked. Indeed it can be shown that \mathcal{P} is σ - k -linked for every $k \in \omega$.

Claim 2. \mathcal{P} does not have centered subsets of size t .

Proof. Let $X \in [t]^t$ be such that $[X]^{<\omega} \subseteq \mathcal{P}$. Let

$$a = \bigcap \{a_\xi : \xi \in X\}$$

and

$$\Delta = \{\Delta(a_\xi, a_\eta) : \xi \neq \eta \in X\}.$$

Then we have:

$$\forall k < \omega \text{ card}(a \cap k) \geq \text{card}(\Delta \cap k).$$

Since Δ is infinite, so is a . Then $\forall \xi < t \ a \subseteq_* a_\xi$, a contradiction.

THEOREM 1.4 *There is a ccc non-separable, compact Hausdorff space of size continuum.*

Proof. Extend the notation to define a_F and Δ_F for all subsets of t . Identifying $\mathcal{P}(t)$ and 2^t , let

$$X = \{F \in \mathcal{P}(t) : \forall k < \omega \text{ card}(a_F \cap k) \geq \text{card}(\Delta_F \cap k)\}$$

Then by Claim 2 above $X \subseteq 2^{<t}$ and hence $\text{card}(X) = 2^{\aleph_0}$. Note that X is a closed subset of 2^t , hence is compact. That X is ccc follows by Claim 1 in Theorem 1.3.

THEOREM 1.5. *There is a poset \mathcal{P} of size \mathfrak{p} which is σ -linked but not σ -centered.*

Proof. Assume by way of contradiction that such \mathcal{P} does not exist. By Theorem 1.3 we have that $\mathfrak{p} < t$. Let $\mathcal{U} = \{u_\alpha : \alpha < \mathfrak{p}\} \subseteq [\omega]^\omega$ be closed under finite intersections such that $\neg \exists a \in [\omega]^\omega \forall \alpha < \mathfrak{p} \ a \subseteq_* u_\alpha$.

Following Rothberger [Ro], recursively construct a decreasing (mod fin) 1–1 sequence $a_\xi: \xi < \mathfrak{p}$ such that

- i) $\forall \xi < \mathfrak{p} \ a_{\xi+1} \subseteq u_\xi$
- (ii) $\forall \alpha, \xi < \mathfrak{p} \ u_\alpha \cap a_\xi$ is infinite.

Step $\xi = \eta + 1$ for some $\eta < \mathfrak{p}$ is trivial. Step $\text{cof}(\xi) = \omega$ is the same as in [Ro]. That is, fix an increasing sequence of ordinals $\langle \xi_n: n < \omega \rangle$ converging to ξ and let $b_n = a_{\xi_0} \cap a_{\xi_1} \cap \dots \cap a_{\xi_n}$, for $n < \omega$. For $\alpha < \mathfrak{p}$ let $f_\alpha: \omega \rightarrow \omega$ be defined recursively by

$$f_\alpha(n) = \min((u_\alpha \cap b_n) - (f_\alpha(n-1) + 1)).$$

By Lemma 1.1 and the fact that $\mathfrak{p} < \mathfrak{t}$, there exists a $g: \omega \rightarrow \omega$ such that $\forall \alpha < \mathfrak{p} \ f_\alpha <_* g$. Alternatively we can use Theorem 8 of [To2]. Let then

$$a_\xi = \cup \{b_n \cap g(n): n \in \omega\}.$$

Assume now $\xi < \mathfrak{p}$ and $\text{cof}(\xi) > \omega$. We want to construct a_ξ . Define the poset \mathcal{P} by: $\langle F, G \rangle \in \mathcal{P}$ iff $F \in [\xi]^{<\omega}$, $G \in [\mathfrak{p}]^{<\omega}$ and

$$\forall k < \omega \forall \alpha \in G \ \text{card}(a_F \cap u_\alpha \cap k) \geq \text{card}(\Delta_F \cap k).$$

The order is coordinatewise reverse inclusion.

Claim 1. \mathcal{P} is σ - k -linked for every k .

Proof. Similar to Claim 1 in Theorem 1.3.

By our assumption \mathcal{P} is σ -centered. Let $\mathcal{P} = \cup \{\mathcal{P}_n: n < \omega\}$ be the required decomposition. Since $\text{cof}(\xi) > \omega$ we may assume that for every n

$$A_n = \cup \{F: \exists G \langle F, G \rangle \in \mathcal{P}_n\}$$

is cofinal in ξ . Let, for $n < \omega$,

$$b_n = \cap \{a_\eta: \eta \in A_n\}.$$

Note that $\forall n < \omega \forall \eta < \xi \ b_n \subseteq_* a_\eta$.

Claim 2. If $\alpha \in G$, $n \in \omega$ and for some F , $\langle F, G \rangle \in \mathcal{P}_n$, then $u_\alpha \cap b_n$ is infinite.

Proof. Same as Claim 2 in Theorem 1.3.

By [Ro] or an argument similar to Step $\text{cof}(\xi) = \omega$ above, pick $a_\xi \subseteq \omega$ such that

$$\forall n < \omega \forall \eta < \xi \ b_n \subseteq_* a_\xi \subseteq_* a_\eta.$$

Then a_ξ works.

Thus, we have produced a decreasing (mod fin) sequence $\{a_\xi : \xi < \mathfrak{p}\}$ with no infinite a such that $\forall \xi < \mathfrak{p} \ a \subseteq_* a_\xi$. This contradicts the fact that $\mathfrak{p} < \mathfrak{t}$.

Question 1.6. Does there exist a σ -linked poset without precaliber \mathfrak{p} ?

§2. CCC destructible partitions

Recall that a poset \mathcal{P} has *property K_n* iff

$$\forall X \in [\mathcal{P}]^{\aleph_1} \exists Y \in [X]^{\aleph_1} \ Y \text{ is } n\text{-linked.}$$

Let \mathcal{K}_n denote the statement that every ccc poset has property K_n . Recall that a coloring $[\omega_1]^n = K_0 \cup K_1$ is *ccc destructible* iff there is a ccc poset which adds an uncountable 0-homogeneous set. Observe that \mathcal{K}_n is equivalent to:

Every ccc destructible partition of $[\omega_1]^n$ has an uncountable 0-homogeneous set.

Our goal is to produce, under various weak assumptions, ccc destructible partitions without uncountable 0-homogeneous sets. We use the work of Todorčević [Tol] on negative partition relations on ω_1 . Let us start by describing the definitions and results from [Tol] that we need. We refer the reader to [Tol] for the motivation behind.

Fix, for each countable α , a 1-1 function $e_\alpha : \alpha \rightarrow \omega$ such that $\alpha < \beta \rightarrow e_\beta \upharpoonright \alpha =_* e_\alpha$. For $\alpha < \beta < \omega_1$ let

$$\sigma(\alpha, \beta) = \min \{ \xi : e_\alpha(\xi) \neq e_\beta(\xi) \}$$

($\sigma(\alpha, \beta) = \alpha$, if this set is empty). Consider the partition $c : [\omega_1]^2 \rightarrow \omega_1$, defined by

$$c(\alpha, \beta) = \min \{ \xi > \alpha : e_\beta(\xi) \leq e_\beta(\sigma(\alpha, \beta)) \}$$

if this set is nonempty, otherwise $c(\alpha, \beta) = \beta$. Note that $\alpha < \beta \rightarrow \alpha < c(\alpha, \beta) \leq \beta$.

The following is proved in [To 1; §4.2]; we reproduce the argument for completeness.

THEOREM 2.1. *Let $X \subseteq \omega_1$ be uncountable, and M a countable elementary submodel of H_{\aleph_2} such that $X, \langle e_\alpha : \alpha < \omega_1 \rangle \in M$. Let $\delta = M \cap \omega_1$. Then, for every $\beta \in X$ with $\beta > \delta$, there is an $\alpha \in X \cap \delta$ such that $c(\alpha, \beta) = \delta$ and $\alpha \leq \gamma < \delta \rightarrow e_\beta(\gamma) = e_\delta(\gamma)$.*

Proof. Let X, M , and δ be as stated, and fix a $\beta \in X$ such that $\beta > \delta$. Consider the tree

$$T = \{e_\alpha \upharpoonright \xi : \alpha \in X \ \& \ \xi \leq \alpha\}.$$

Let $n = e_\beta(\delta)$, and fix $\xi < \delta$ such that $\xi \leq \gamma < \delta \rightarrow e_\beta(\gamma) = e_\delta(\gamma) \geq n$. Since T is an Aronszajn tree, there must be a $t \in T \upharpoonright \delta$ such that

$$e_\beta \upharpoonright \xi \subseteq t \not\subseteq e_\beta$$

and

$$C = \{\alpha \in X : t \subseteq e_\alpha\}$$

is uncountable. Let

$$\varepsilon = \min \{\eta : t(\eta) \neq e_\beta(\eta)\}$$

Then for all α in $C \cap M$, $\sigma(\alpha, \beta) = \varepsilon$. Let $m = e_\beta(\varepsilon)$ ($\geq n$). If α is any member of $C \cap M$ above $e_\beta^{-1}[m]$, it follows that

$$c(\alpha, \beta) = \delta \text{ and } \alpha \leq \gamma < \delta \rightarrow e_\beta(\gamma) = e_\delta(\gamma),$$

as required.

We shall need the following two lemmas about the partition c (see [To 1; §6]).

LEMMA 2.2. *Let X and Y be uncountable subsets of ω_1 . Then there exist uncountable $X' \subseteq X$, uncountable $Y' \subseteq Y$, and ordinals σ_β , for $\beta \in Y'$, such that*

$$\forall \alpha \in X' \forall \beta \in Y' \alpha < \beta \rightarrow c(\alpha, \beta) = \sigma_\beta.$$

Proof. First find $\bar{X} \in [X]^{\aleph_1}$, $\bar{Y} \in [Y]^{\aleph_1}$, and $\sigma < \omega_1$, such that $\forall \alpha \in \bar{X} \forall \beta \in \bar{Y} \sigma(\alpha, \beta) = \sigma$. Then let $D = \{\delta < \omega_1 : \sup(\bar{X} \cap \delta) = \delta\}$. For each $\delta \in D$,

pick $\beta_\delta \in \bar{Y} \setminus \delta$. Define

$$\sigma_{\beta_\delta} = \min \{ \xi \geq \delta : e_{\beta_\delta}(\xi) \leq e_{\beta_\delta}(\sigma) \}$$

($\sigma_{\beta_\delta} = \beta_\delta$ if this set is empty), and

$$g(\delta) = \min \{ \xi : \forall \eta < \delta [\xi \leq \eta \rightarrow e_{\beta_\delta}(\sigma) < e_{\beta_\delta}(\eta)] \}.$$

Then $g : D \rightarrow \omega_1$ is regressive and

$$\forall \alpha \in \bar{X} \forall \delta \in D [g(\delta) \leq \alpha < \delta \rightarrow c(\alpha, \beta_\delta) = \sigma_{\beta_\delta}].$$

By the Pressing Down Lemma, find an uncountable $E \subseteq D$ and $\gamma < \omega_1$, such that $\forall \delta \in E g(\delta) = \gamma$. Finally, find an uncountable $F \subseteq E$ and uncountable $X' \subseteq \bar{X} \setminus \gamma$ such that $\forall \delta \in F X' \cap [\delta, \beta_\delta) = \emptyset$. Set $Y' = \{ \beta_\delta : \delta \in F \}$. Then X' and Y' work.

Fix a function $s : \omega_1 \rightarrow \omega$ such that $s^{-1}(n)$ is stationary for all n . Define $p : [\omega_1]^2 \rightarrow \omega_1$ by

$$p(\alpha, \beta) = e_\beta^{-1}(s(c(\alpha, \beta)))$$

if this makes sense, otherwise set $p(\alpha, \beta) = 0$.

LEMMA 2.3 *For all $X \in [\omega_1]^{\aleph_1}$ there exists $\delta < \omega_1$ such that for any $\xi < \omega_1$ there exist $\alpha \in X \cap \delta$ and $\beta \in X$ such that $p(\alpha, \beta) = \xi$.*

Proof. For each $n < \omega$, fix a countable elementary submodel M_n of H_{\aleph_2} containing everything relevant such that $s(\delta_n) = n$, where $\delta_n = M_n \cap \omega_1$. Define then $\delta = \sup \{ \delta_n : n \in \omega \}$. We claim that this δ works. So, let $\xi < \omega_1$. Fix $\beta \in X$ such that $\beta > \xi, \delta$. Let $n = e_\beta(\xi)$. By Theorem 2.1 there is $\alpha \in X \cap M_n$ such that $c(\alpha, \beta) = \delta_n$. Thus, $s(c(\alpha, \beta)) = n$, and therefore $p(\alpha, \beta) = e_\beta^{-1}(n) = \xi$.

THEOREM 2.4 *Assume $2^{\aleph_0} < 2^{\aleph_1}$. Then there exists a ccc destructible partition of $[\omega_1]^3$ without uncountable 0-homogeneous sets.*

Proof. The following weak diamond principle was shown to be equivalent to $2^{\aleph_0} < 2^{\aleph_1}$ by Devlin and Shelah in [DS]:

$$\forall F : 2^{<\omega_1} \rightarrow 2 \exists h : \omega_1 \rightarrow 2 \forall g : \omega_1 \rightarrow 2 \{ \alpha : F(g \upharpoonright \alpha) = h(\alpha) \} \text{ is stationary.}$$

To each $h : \omega_1 \rightarrow 2$ we associate a ccc destructible partition of $[\omega_1]^3$, and then use weak diamond to choose h such that the associated partition has no uncountable 0-homogeneous sets.

For each countable limit ordinal α , fix a strictly increasing cofinal sequence $s_\alpha: \omega \rightarrow \alpha$, and for a successor ordinal $\alpha = \beta + 1$ define $s_\alpha: \omega \rightarrow \alpha$ to be constantly equal to β . Define the partition $[\omega_1]^3 = K_0 \cup K_1$ by $\{\alpha, \beta, \gamma\}_< \in K_0$ if

$$h(c(\alpha, \beta)) \neq h(c(\alpha, \gamma)) \rightarrow s_{c(\alpha,\beta)}(e_\beta(\alpha)) \neq s_{c(\alpha,\gamma)}(e_\gamma(\alpha)).$$

Let \mathcal{P} be the poset of 0-homogeneous finite sets, i.e. $F \in \mathcal{P}$ iff $F \in [\omega_1]^{<\omega}$ and $[F]^3 \subseteq K_0$. The order is reverse inclusion.

Claim. \mathcal{P} satisfies the ccc.

Proof. Let $\langle F_\alpha: \alpha < \omega_1 \rangle$ be a Δ -system of elements of \mathcal{P} each of size n , and let F be the root. We have to find $\alpha, \beta < \omega_1$ such that $\alpha \neq \beta$ and $F_\alpha \cup F_\beta$ is in \mathcal{P} . We first get rid of the root.

For each $\xi \in F$, $\alpha < \omega_1$ and $i \in \{0, 1\}$ let

$$S_\xi^i(\alpha) = \{s_{c(\xi,\eta)}(e_\eta(\xi)): \eta \in F_\alpha \ \& \ h(c(\xi, \eta)) = i\}$$

Then, by the homogeneity of F_α , $S_\xi^0(\alpha) \cap S_\xi^1(\alpha) = \emptyset$. Using the fact that the usual poset for uniformizing ladder systems has property K_2 (see [DS]) we can find an uncountable $X \subseteq \omega_1$ such that

$$\forall \alpha, \beta \in X \ \forall \xi \in F \ S_\xi^0(\alpha) \cap S_\xi^1(\beta) = \emptyset.$$

This implies that if $F_\alpha \cup F_\beta$ is not 0-homogeneous, then neither is $(F_\alpha \cup F_\beta) \setminus F$. We can thus assume, by subtracting F , that the F_α for $\alpha \in X$ are pairwise disjoint. For simplicity assume also that $X = \omega_1$. Let the increasing enumeration of F_α be $\{a_\alpha^0, \dots, a_\alpha^{n-1}\}$. Using Lemma 2.2 repeatedly n^2 times find uncountable $X, Y \subseteq \omega_1$ and ordinals σ_β^{ij} for $\beta \in Y$ and $(i, j) \in n^2$ such that

$$\forall \alpha \in X \ \forall \beta \in Y \ \forall (i, j) \in n^2 [\alpha < \beta \rightarrow c(a_\alpha^i, a_\beta^j) = \sigma_\beta^{ij}]$$

For $\alpha \in X$ let $Z_\alpha = \{s_\delta(n): \exists \xi, \eta \in F_\alpha \ c(\xi; \eta) = \delta \ \& \ e_\eta(\xi) = n\}$. We may assume that the Z_α for $\alpha \in X$ form a Δ -system with root Z , and that $\alpha < \beta \rightarrow \sup(Z_\alpha \setminus Z) < \inf(Z_\beta \setminus Z)$. Choose $\delta < \omega_1$ such that $\forall \alpha < \delta \ \sup(Z_\alpha) < \delta$, and pick $\beta \in Y$ such that $\min(F_\beta) \geq \delta$. Let $\Sigma = \{\sigma_\beta^{ij}: (i, j) \in n^2\}$. From the definition of c it follows that $\min(\Sigma) \geq \delta$. Let $U = \cup \{s_\xi^m[\omega]: \xi \in \Sigma\}$. Let $k \in \omega$ be large enough such that $\forall \xi \in \Sigma \ \forall m \geq k \ s_\xi(m) \notin Z$. Finally choose $\gamma < \delta$ such that

$$\forall \xi \in \Sigma \ \forall v < \delta \ [v \geq \gamma \rightarrow e_\xi(v) \geq k].$$

Since U has order type $\leq \omega n^2$ and $\text{ot}(X \cap \delta) = \delta > \omega n^2$, there exists $\alpha \in X \cap \delta$ such that $(Z_\alpha \setminus Z) \cap U = \emptyset$ and $\inf(Z_\alpha \setminus Z) > \gamma$. This implies $F_\alpha \cup F_\beta$ is in \mathcal{P} .

Let us now assume that weak diamond holds and define $F: 2^{<\omega_1} \times 2^{<\omega_1} \rightarrow 2$ as follows.

Fix a limit ordinal δ , a subset X of δ , and a function $f: \delta \rightarrow 2$. We describe how to define $F(\chi, f)$, for $\chi: \delta \rightarrow 2$ the characteristic function of X .

For $\xi < \delta$ and $e \in T_\xi(X)$ let:

$$\begin{aligned} T_\xi(X) &= \{e_x \upharpoonright \xi : \alpha \in X\}, \\ X_e &= \{\alpha \in X : e_x \upharpoonright \xi = e\}, \\ R_\xi(X) &= \{e \in T_\xi(X) : \sup(X_e) = \delta\}, \end{aligned}$$

and

$$R(X) = \cup \{R_\xi(X) : \xi < \delta\}.$$

Define $F(\chi, f)$ to be 1 if

$$\forall e \in R(X) \exists \alpha, \beta \in X_e [f(c(\alpha, \beta)) = 0 \ \& \ s_{c(\alpha, \beta)}(e_\beta(\alpha)) = s_\delta(e_\delta(\alpha))]$$

In any other case let $F(\chi, f)$ to be equal to 0.

Let now $h: \omega_1 \rightarrow 2$ be such that

$$\forall \chi, f \in 2^{\omega_1} \{\alpha < \omega_1 : F(\chi \upharpoonright \alpha, f \upharpoonright \alpha) = h(\alpha)\} \text{ is stationary.}$$

Claim. The partition $[\omega_1]^3 = K_0 \cup K_1$ associated to h has no uncountable 0-homogeneous sets.

Proof. Let χ be the characteristic function of X , an uncountable 0-homogeneous subset of ω_1 . Since $E = \{\alpha < \omega_1 : F(\chi \upharpoonright \alpha, h \upharpoonright \alpha) = h(\alpha)\}$ is stationary, we can find a countable elementary submodel N of H_{\aleph_2} containing X, h , and c such that $\delta = N \cap \omega_1 \in E$.

Case 0. $h(\delta) = 0$. Let $e \in R(X \cap \delta)$ be arbitrary. Then $(X \cap \delta)_e$ is unbounded in δ , and hence by elementary of N , X_e is uncountable. Fix $\beta \in X_e \setminus \delta$. Then as in the proof of Theorem 2.1 we can find $\alpha \in X \cap \delta$ such that $c(\alpha, \beta) = \delta$ and $e_\beta(\alpha) = e_\delta(\alpha) = n$ for some $n \in \omega$. Let $\xi = s_\delta(n)$. Let $\varphi(\xi, \alpha, \beta)$ be the following formula:

$$\alpha, \beta \in X_e \ \& \ h(c(\alpha, \beta)) = 0 \ \& \ e_\beta(\alpha) = n \ \& \ \xi = s_{c(\alpha, \beta)}(n)$$

Then, by what we have just said, $H_{\aleph_2} \models \varphi(\xi, \alpha, \beta)$. By elementarity choose $\beta' < \delta$ such that $N \models \varphi(\xi, \alpha, \beta')$. Then we have

$$\alpha, \beta' \in (X \cap \delta)_e \ \& \ h(c(\alpha, \beta')) = 0 \ \& \ s_{c(\alpha, \beta')} (e_{\beta'}(\alpha)) = s_\delta(e_\delta(\alpha))$$

Since $e \in R(X \cap \delta)$ was arbitrary this shows that $F(\xi \upharpoonright \delta, h \upharpoonright \delta) = 1$. Now, $1 = F(\chi \upharpoonright \delta, h \upharpoonright \delta) = h(\delta) = 0$. Contradiction.

Case 1. $h(\delta) = 1$. Fix $\gamma \in X \setminus \delta$. As in the proof of Theorem 2.1 we can find $e \in R(X \cap \delta)$ such that

$$\forall \alpha \in (X \cap \delta)_e [c(\alpha, \gamma) = \delta \ \& \ e_\gamma(\alpha) = e_\delta(\alpha)].$$

Fixing such an e and applying the fact that $F(\chi \upharpoonright \delta, h \upharpoonright \delta) = 1$ find $\alpha, \beta \in (X \cap \delta)_e$ such that

$$h(c(\alpha, \beta)) = 0 \ \& \ s_{c(\alpha, \beta)}(e_\beta(\alpha)) = s_\delta(e_\delta(\alpha)).$$

It then follows that $\{\alpha, \beta, \gamma\} \in K_1$, contradicting the fact that X is 0-homogeneous.

Using partitions similar to the one in the previous argument \mathcal{K}_4 can be shown to imply that every ladder system on ω_1 can be uniformized, every set of reals of size \aleph_1 is a Q -set, etc.

Let \mathcal{P} be a poset of size \aleph_1 and let $\{q_\alpha : \alpha < \omega_1\}$ be an enumeration of \mathcal{P} . Fix an ω_1 -sequence $\langle r_\alpha : \alpha < \omega_1 \rangle$ of distinct reals. For $F \in [\omega_1]^{<\omega}$ and $s \in 2^{<\omega}$ let

$$\mathcal{P}_F^s = \{q_\gamma : \exists \alpha, \beta \in F [p(\alpha, \beta) = \gamma \ \& \ r_\beta \upharpoonright e_\beta(\alpha) = s]\}.$$

Define the poset $\mathcal{Q} = \mathcal{Q}(\mathcal{P})$ by $F \in \mathcal{Q}$ iff $F \in [\omega_1]^{<\omega}$ and

$$\forall s \in 2^{<\omega} \ \mathcal{P}_F^s \text{ is centered.}$$

The order is reverse inclusion.

The idea is that uncountable centered subsets of \mathcal{Q} should yield decompositions of \mathcal{P} into countably many centered sets. Thus, it is natural to consider a function

$$f: [\omega_1]^2 \rightarrow \mathcal{P}$$

such that for every uncountable $X \subseteq \omega_1$,

$$f'' [X]^2 = \mathcal{P}$$

Then \mathcal{Q} can be the set of $F \in [\omega_1]^{<\omega}$ which in some canonical way code a decomposition of $f''[F]$ into centered subsets. The reals r_α are used to make \mathcal{Q} ccc. The partition p is employed since it gives a rather economical decomposition of \mathcal{P} into k -linked sets from uncountable $k + 1$ -linked subsets of \mathcal{Q} .

THEOREM 2.5

- a) If \mathcal{P} is powerfully ccc, then $\mathcal{Q} = \mathcal{Q}(\mathcal{P})$ is ccc.
- b) For every uncountable $X \subseteq \mathcal{Q}$ there is a partition $\mathcal{P} = \cup \{\mathcal{P}_k : k \in \omega\}$ such that if X is $n + 1$ -linked in \mathcal{Q} , then $\forall k \in \omega \mathcal{P}_k$ is n -linked in \mathcal{P} .

Proof a) Let $\langle F_\alpha : \alpha < \omega_1 \rangle$ be an uncountable Δ -system of elements of \mathcal{Q} . Let the root be F . For $\alpha < \omega_1$ let:

$$n_\alpha = \max \{e_\eta(\xi) : \xi, \eta \in F_\alpha \ \& \ \xi < \eta\}$$

and

$$m_\alpha = \min \{k \in \omega : \forall \xi, \eta \in F_\alpha \ \xi \neq \eta \rightarrow r_\xi \upharpoonright k \neq r_\eta \upharpoonright k\}.$$

We may assume that all the n_α 's are equal to, say, n , and all the m_α 's are equal to, say, m . Note that $\mathcal{P}_{F_\alpha}^s$ is nonempty only for $s \in 2^{\leq n}$.

Since the F_α 's form a Δ -system and since \mathcal{P}^k is ccc for $k = 2^{n+1}$, we can find $\alpha < \beta < \omega_1$ such that:

- (1) $\sup (F_\alpha \setminus F) < \inf (F_\beta \setminus F)$,
- (2) $\forall \xi \in F_\alpha \setminus F \ \forall \eta \in F_\beta \setminus F \ e_\eta(\xi) > \max(m, n)$, and
- (3) $\forall s \in 2^{\leq n} \mathcal{P}_{F_\alpha}^s \cup \mathcal{P}_{F_\beta}^s$ is centered.

Let us show that $F_\alpha \cup F_\beta \in \mathcal{Q}$. Let $s \in 2^{<\omega}$. If $lh(s) \leq n$, then

$$\mathcal{P}_{F_\alpha \cup F_\beta}^s = \mathcal{P}_{F_\alpha}^s \cup \mathcal{P}_{F_\beta}^s,$$

and thus is centered. If $lh(s) > n$, then $\mathcal{P}_{F_\alpha \cup F_\beta}^s$ is at most a singleton and thus is, trivially, centered.

Proof b) Let $\delta < \omega_1$, be as in Lemma 2.3. For $\alpha \in X \cap \delta$ let

$$\mathcal{P}_\alpha^s = \{q_\xi : \exists \beta \in X [\alpha < \beta \ \& \ p(\alpha, \beta) = \xi \ \& \ r_\beta \upharpoonright e_\beta(\alpha) = s]\}.$$

By Lemma 2.3

$$U\{\mathcal{P}_\alpha^s : s \in 2^{<\omega}; \alpha \in X \cap \delta\} = \mathcal{P}.$$

We claim that this is the required partition. For, assuming $[X]^{n+1} \subseteq \mathcal{Q}$ it follows from the definition of \mathcal{Q} that $\forall s \in 2^{<\omega} \forall \alpha \in X \cap \delta \mathcal{P}_\alpha^s$ is n -linked.

COROLLARY 2.6 *Let $n \in \omega$. Then \mathcal{K}_{n+1} implies that every ccc poset of size \aleph_1 is σ - n -linked.*

The following was first proved by Fremlin (see [Fr; Notes 41L]) by a completely different argument.

COROLLARY 2.7. *If every ccc poset has precaliber \aleph_1 , then every ccc poset of size \aleph_1 is σ -centered.*

COROLLARY 2.8. *Assume there exists a nonspecial Aronszajn tree. Then there exists a ccc destructible partition $[\omega_1]^3 = K_0 \cup K_1$ without uncountable 0-homogeneous sets.*

CONJECTURE 2.9. \mathcal{K}_2 does not imply \mathcal{K}_3 .

THEOREM 2.10. RFA^3 is false.

Proof. Fix a stationary costationary subset S of ω_1 . Let $c : [\omega_1]^2 \rightarrow \omega_1$ be as usual and fix, for each limit ordinal $\alpha < \omega_1$, a cofinal sequence $s_\alpha : \omega \rightarrow \alpha$. For a successor $\alpha = \beta + 1$, let s_α be constantly equal to β . Define the partition

$$[\omega_1]^3 = K_0^S \cup K_1^S$$

by: $\{\alpha, \beta, \gamma\} < \in K_0^S$ if

$$[\beta' = c(\alpha, \beta) \in S \ \& \ \gamma' = c(\alpha, \gamma) \in S \ \& \ \beta' \neq \gamma'] \rightarrow s_{\beta'}(e_\beta(\alpha)) \neq s_{\gamma'}(e_\gamma(\alpha)).$$

It follows by a pressing down argument and some facts about c that there are no uncountable 0-homogeneous sets. Define the poset \mathcal{P} by: $p \in \mathcal{P}$ if $p = \langle H_p, F_p \rangle$ where

- (1) $H_p, F_p \in [\omega_1]^{<\omega}$
- (2) $[H_p]^3 \subseteq K_0^S$
- (3) $\forall \alpha, \beta \in H_p \forall \gamma \in F_p [\xi = c(\alpha, \beta) \ \& \ n = e_\beta(\alpha)] \rightarrow \gamma \notin (s_\xi(n), \xi)$

To prove \mathcal{P} preserves \aleph_1 , let \dot{f} be a name for a function $\omega \rightarrow \omega_1$ and $p \in \mathcal{P}$. Fix a countable $M \prec H_0$ such that $p, \mathcal{P}, \dot{f} \in M$ and such that

$$\delta = M \cap \omega_1 \in \omega_1 \setminus S.$$

Let $q = \langle H_p, F_p \cup \{\delta\} \rangle$. By a standard argument, it follows that

$$q \Vdash \text{ran}(\dot{f}) \subseteq \delta.$$

Define the name \dot{H} for a subset of ω_1 by

$$\dot{H} = \cup \{H_p : p \in \dot{G}_p\}.$$

Then \dot{H} is forced to be 0-homogeneous. To ensure that \dot{H} be uncountable fix a countable $M \prec H_0$ containing everything relevant and such that $\delta = M \cap \omega_1 \in \omega_1 \setminus S$. Then force below $\langle \emptyset, \{\delta\} \rangle$.

§3. Martin's axiom

We shall need the following result of Bell [Be]. For completeness again, we sketch the argument from [Be].

THEOREM 3.1. *MA $_{\kappa}$ (σ -centered) is equivalent to $\kappa < \mathfrak{p}$.*

LEMMA 3.2. *Suppose $A_{\alpha,s} \in [\omega]^\omega$ for $\alpha < \kappa$, $s \in \omega^{<\omega}$, and $\forall s \in \omega^{<\omega} \{A_{\alpha,s} : \alpha < \kappa\}$ has the fip. Then $\exists f \in \omega^\omega$ such that $\forall \alpha < \kappa \exists n \forall m \geq n f(m) \in A_{\alpha, f \upharpoonright m}$.*

Proof. Using $\kappa < \mathfrak{p}$, choose $A_s \in [\omega]^\omega$ for $s \in \omega^{<\omega}$ such that $\forall \alpha < \kappa A_s \subseteq_* A_{\alpha,s}$. Define

$$f_\alpha(s) = \min \{n \in A_s : A_s - n \subseteq A_{\alpha,s}\}.$$

Since $\kappa < \mathfrak{p} \leq \mathfrak{t}$ by Lemma 1.1 find $g : \omega^{<\omega} \rightarrow \omega$ such that $\forall \alpha < \kappa f_\alpha <_* g$. Moreover make sure that $\forall s \in \omega^{<\omega} g(s) \in A_s$. Finally, define recursively $f : \omega \rightarrow \omega$ by $f(n) = g(f \upharpoonright n)$.

Proof of Theorem 3.1. \rightarrow is easy and well-known. We prove \leftarrow . Let \mathcal{P} be a σ -centered poset (which we may assume is of size $\leq \kappa$) and $\{D_\alpha : \alpha < \kappa\}$ a family of dense subsets of \mathcal{P} . By a standard argument it is enough to produce a linked subset of \mathcal{P} which intersects each D_α . Fix a partition $\mathcal{P} = \cup \{\mathcal{P}_n : n \in \omega\}$ into centered sets. For a fixed $\alpha < \kappa$ pick recursively $p_{\alpha,s} : s \in \omega^{<\omega}$ and define sets $A_{\alpha,s}$ such that:

- i) $lh(s) = n + 1$ implies $p_{\alpha,s} \in \mathcal{P}_{s(n)}$,
- ii) $A_{\alpha,s} = \{n < \omega : \exists q \in \mathcal{P}_n \cap D_\alpha q \leq p_{\alpha,s}\}$,
- iii) if $n \in A_{\alpha,s}$, then $p_{\alpha,s \upharpoonright n} \in \mathcal{P}_n \cap D_\alpha$ and $p_{\alpha,s \upharpoonright n} \leq p_{\alpha,s}$.

It then follows that for $s \in \omega^{<\omega}$, $\{A_{\alpha,s} : \alpha < \kappa\}$ has the fip. As in Lemma 3.2 find $f: \omega \rightarrow \omega$ such that

$$\forall \alpha < \kappa \exists n_\alpha \forall m \geq n_\alpha f(m) \in A_{\alpha, f \upharpoonright m}.$$

Let then

$$q_\alpha = p_{\alpha, f \upharpoonright (n_\alpha + 1)}$$

for $\alpha < \kappa$. Then $\{q_\alpha : \alpha < \kappa\}$ is a linked subset of \mathcal{P} meeting all the D_α .

THEOREM 3.3 MA_κ holds iff every ccc poset of size κ is σ -centered.

Proof. Follows directly from Theorems 1.4 and 3.1.

THEOREM 3.4. MA_{\aleph_1} holds iff every uncountable ccc poset has an uncountable centered subset.

Proof. Follows directly from Corollary 2.7 and Theorem 3.3.

Question 3.5. Is MA_κ equivalent to every ccc poset of size κ is σ -linked?

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