

# COMPOSITIO MATHEMATICA

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*Compositio Mathematica*, tome 63, n° 1 (1987), p. 83-98

[http://www.numdam.org/item?id=CM\\_1987\\_\\_63\\_1\\_83\\_0](http://www.numdam.org/item?id=CM_1987__63_1_83_0)

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## On the Siegel modular function field of degree three

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Received 19 September 1986; accepted 22 December 1986

### Introduction

Let  $H_n$  be the Siegel space of degree  $n$ , and let  $\Gamma_n$  be the modular group. A (Siegel) modular function  $f$  is defined to be a meromorphic function on  $H_n$  which is invariant under  $\Gamma_n$ , where for  $n = 1$ , we need an additional condition that  $f$  is meromorphic also at the cusp. Let  $K_n$  denote the Siegel modular function field over  $\mathbb{Q}$ , namely the field generated over  $\mathbb{Q}$  by modular functions with the rational Fourier coefficients. Then the modular function field is given by  $K_n \otimes_{\mathbb{Q}} \mathbb{C}$ . When  $n = 1$ , namely the elliptic modular case, it is well-known that  $K_1$  is generated by the absolute invariant, which has a nice arithmetic property, e.g. an elliptic curve  $E$  has a model over the field generated over  $\mathbb{Q}$  by its special value attached to  $E$ . In the higher dimensional case, several ways to get  $K_n$  are known: for example, Siegel [16], [18] showed that  $K_n$  is generated by  $E_{kl}/E_k^l$  (even  $k > n + 1$ ,  $l = 1, 2, \dots$ ) where  $E_k$  denotes the Eisenstein series of weight  $k$ . Besides this, if we denote by  $K(\Gamma_n(l))$  the modular function field for the principal congruence subgroup  $\Gamma_n(l)$  of level  $l$ , then it is shown (Siegel [17]) that  $K(\Gamma_n(l))$ ,  $l \geq 3$ , is generated by ratios of theta constants. Then  $K_n$  is given as the invariant subfield  $K(\Gamma_n(l))^{\Gamma_n/\pm\Gamma_n(l)}$ . However, these methods seem not very effective to get a finite number of generators *explicitly*. In the case of  $K_2$ , Igusa determined three generators in his paper [3], [4], where they are written by Eisenstein series, or also by theta constants. In particular,  $K_2$  is shown to be purely transcendental. In a previous paper [19], we gave 34 generators of the graded ring of Siegel modular forms of degree three. By this, we are able to find generators of  $K_3$  systematically. However, a systematic calculation gives too many (actually thirty three) generators. The purpose of the present paper is to give seven generators of  $K_3$  *explicitly*, which are ratios of modular forms of weight at most 30.

The quotient space  $H_3/\Gamma_3$  is naturally equipped with the structure of the moduli variety over  $\mathbb{Q}$ , of three-dimensional principally polarized Abelian

varieties. It is still an open problem if the number of generators of  $K_3$  can be reduce one more, to six, which amounts to the rationality problem of  $H_3/\Gamma_3$  since  $K_3$  is the rational function field of the variety  $H_3/\Gamma_3$ . The moduli variety of curves of genus three is regarded as an open subvariety of  $H_3/\Gamma_3$  by means of the Torelli map. Using the moduli theory of curves, Riemann [11], Weber [20], Frobenius [2] studied  $K(\Gamma_3(2))$ . They showed the rationality of the variety  $H_3/\Gamma_3(2)$ , and moreover gave six generators of  $K(\Gamma_3(2))$  explicitly written in terms of derivatives of odd theta functions at the origin. Prof. R. Sasaki has given a nice mimeograph [12] surveying this topic. So  $H_3/\Gamma_3$  is a unirational variety with a Galois covering of a rational variety of degree  $[\Gamma_3: \Gamma_3(2)] = 1\,451\,520$ , in other words,  $K_3$  has a Galois extension of degree  $1\,451\,520$  which is purely transcendental. Also by the moduli theory of curves,  $H_3/\Gamma_3$  is proved to be even stably rational (Kollár and Schreyer [6], see also Bogomolov and Katsylo [1]).

In some cases, generators of  $K_n$  work as the absolute invariant of the elliptic modular case. More precisely by Shimura [13], [14] it is shown that if a principally polarized Abelian variety  $A$  is with sufficiently many complex multiplication, under a certain condition, or generic of *odd dimension (our case)*, then  $A$  has a model over the field generated over  $\mathbb{Q}$  by their special values attached to  $A$  (see also [15], Theorem 9.5, Corollary 9.6). The author hopes that the result of the present paper will be of use for study of the rationality problem of  $H_3/\Gamma_3$ , or for that of arithmetic properties of three-dimensional Abelian varieties.

## 1. Notation and preliminary

Let  $\mathbb{Z}$ ,  $\mathbb{Q}$ ,  $\mathbb{C}$  denote as usual the ring of integers, the rational number field, the complex number field respectively. Let  $A = \bigoplus A_k$ ,  $B = \bigoplus B_k$  be graded  $\mathbb{C}$ -algebras. Then the tensor product  $A \otimes B$  denotes a graded  $\mathbb{C}$ -algebra  $\bigoplus_k A_k \otimes B_k$ . For an integral graded algebra  $A$ ,  $F_0(A)$  denotes the field formed by elements of degree 0 in the field of fractions of  $A$ . We denote by  $M_{k,l}(\ast)$ , the set of  $k \times l$  matrices with entries in  $\ast$ , and by  $M_k(\ast)$ , the set of square matrices of size  $k$ .

Let  $H_n$  denote the Siegel space of degree  $n$   $\{Z \in M_n(\mathbb{C}) \mid Z = Z, \text{Im } Z > 0\}$ , and let  $\Gamma_n$  denote the modular group  $Sp_{2n}(\mathbb{Z})$ .  $\Gamma_n$  acts on  $H_n$  by the usual modular substitution

$$Z \rightarrow MZ = (AZ + B)(CZ + D)^{-1}, \quad M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma_n.$$

$\Gamma_n(l)$  denotes the principal congruence subgroup of level  $l$   $\{M \in \Gamma_n \mid M \equiv 1_{2n} \pmod{l}\}$ ,  $1_{2n}$  being the identity matrix of size  $2n$ . For a congruence subgroup

$\Gamma$  of  $\Gamma_n$ , a holomorphic function  $f$  on  $H_n$  is called a (Siegel) modular form for  $\Gamma$  of weight  $k$  if  $f$  satisfies

$$f(MZ) = |CZ + D|^k f(Z) \quad \text{for } M \in \Gamma$$

and if  $f$  is holomorphic also at cusps which is automatic when  $n > 1$ . In the present paper, weight  $k$  of a modular form is always supposed to be even.  $A(\Gamma)_k$  denotes the vector space of modular forms of weight  $k$ , and  $A(\Gamma) = \bigoplus A(\Gamma)_k$ , the graded ring of modular forms. For  $f \in A(\Gamma)_k$ , and for  $M \in \Gamma_n$ , we define  $(Mf)(Z)$  to be  $|CZ + D|^{-k} f(MZ)$ .

Let  $m = \begin{pmatrix} m' \\ m'' \end{pmatrix} \in M_{2,n}(\mathbb{Z})$ . We define a theta function with a theta characteristic  $m$  by setting

$$\theta[m](Z, x) = \sum_{g \in \mathbb{Z}^n} e(\frac{1}{2}(g + \frac{1}{2}m')Z'(g + \frac{1}{2}m') + (g + \frac{1}{2}m')'(x + \frac{1}{2}m''))$$

where  $x = (x_1, \dots, x_n)$  is a variable on  $\mathbb{C}^n$ , and  $e(\ ) = \exp(2\pi\sqrt{-1} \ )$ .  $m$  is called even or odd according as  $e(\frac{1}{2}m'm'')$  equals 1 or  $-1$ . We put  $\theta[m](Z) = \theta[m](Z, 0)$ , which is called a theta constant and which is not identically zero if and only if  $m$  is even.  $\theta[m](Z)$  has the integral Fourier coefficients. If  $m$  is odd, then  $(1/2\pi)\partial/(\partial x_i)\theta[m](Z, 0)$  does not vanish identically and has the integral Fourier coefficients.

Let  $\xi_0, \dots, \xi_{r-1}$  be variables, and let  $h$  be a homogeneous polynomial in  $\xi_0, \dots, \xi_{r-1}$ , of degree  $k$  in  $\xi_0$ , and of degree  $s$  in each of  $\xi_1, \dots, \xi_{r-1}$  such that the identity

$$h\left(\dots, \frac{a\xi_i + b}{c\xi_i + d}, \dots\right) = (c\xi_0 + d)^{-k} \prod_{i=1}^{r-1} (c\xi_i + d)^{-s} h(\dots, \xi_i, \dots) \tag{1}$$

is satisfied for  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{C})$ . Let  $S(r)$  denote the  $\mathbb{C}$ -algebra of such  $h$  with  $k = s$ .  $S(r)$  becomes a graded  $\mathbb{C}$ -algebra in terms of  $s$ .  $S(2, r)$  is defined to be a subring of  $S(r)$  composed of  $h$  which is symmetric in  $\xi_0, \dots, \xi_{r-1}$ , namely  $S(2, r)$  is the invariant subring  $S(r)^{\mathfrak{S}_r}$  where the symmetric group  $\mathfrak{S}_r$  acts naturally on  $\xi_0, \dots, \xi_{r-1}$  as permutations.  $S(2, r)$  is nothing else but the graded ring of invariants of a binary  $r$ -form (cf. Tsuyumine [19], Sect. 1), and its homogeneous element is called a (projective) invariant.

An element  $h$  satisfying (1) is called a  $(k, s)$ -covariant if  $h$  is symmetric in  $\xi_1, \dots, \xi_{r-1}$ . The ring of  $(s, s)$ -covariants ( $s \geq 0$ ) is equal to  $S(r)^{\mathfrak{S}_{r-1}}$  where  $\mathfrak{S}_{r-1}$  acts on  $\xi_1, \dots, \xi_{r-1}$  as permutations. We have inclusions of rings;  $S(2, r) \subset S(r)^{\mathfrak{S}_{r-1}} \subset S(r)$ .

**2. Modular forms of degree three**

Let us recall some structures of the graded ring  $A(\Gamma_3)$  of modular forms of degree three. The details are found in Tsuyumine [19]. For simplicity we write  $A$  for  $A(\Gamma_3)$  in what follows.

We decompose  $Z \in H_3$  into

$$Z = \begin{pmatrix} Z_1 & \tau \\ \tau & z_3 \end{pmatrix}, \quad Z_1 = \begin{pmatrix} z_1 & z_{12} \\ z_{12} & z_2 \end{pmatrix} \in H_2, \quad z_3 \in H_1, \quad \tau = \begin{pmatrix} \tau_1 \\ \tau_2 \end{pmatrix} \in \mathbb{C}^2.$$

$R$  denotes the subset of  $H_3$  given by  $\tau = 0$ . A point of  $H_3$  equivalent to some point in  $R$  is called *reducible*, and the set of images of such points by the canonical projection of  $H_3$  to  $H_3/\Gamma_3$  is its algebraic subset, and called the *reducible locus*. Let  $V \subset H_3$  denote the irreducible component of zeros of a theta constant  $\theta \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}$  which contains  $R$ . For a modular form  $f \in A$ , we define  $v(f)$  to be the vanishing order of  $f|_V$  at  $R$  ( $v(f) = \infty$  if  $f|_V \equiv 0$ ).  $v(f)$  is called the order of  $f$ . If  $f|_V \not\equiv 0$ , then  $v(f)$  is a non-negative even integer since  $f$  is of even weight, namely  $f$  is invariant by changing  $\tau$  for  $-\tau$ . For even  $v \geq 0$ , we define  $A(v)$  to be a graded ideal generated by modular forms  $f$  with  $v(f) \geq v$ . We have a sequence of inclusions  $A = A(0) \supset A(2) \supset A(4) \supset \dots$ . Let

$$\chi_{18}(Z) = \prod_{m: \text{even}} \theta[m](Z).$$

Then  $\chi_{18}$  is a modular form of weight 18, and it is a prime element of the ring  $A$  (Igusa [5]). If  $f \in A$  vanishes identically on  $V$ , then  $f$  is divisible by  $\chi_{18}$ , i.e.,  $f/\chi_{18}$  is an element of  $A$ .  $\chi_{18}$  is involved in every  $A(v)$ . Let us put

$$\bar{A}(v) = A(v)/A(v + 2).$$

$\bar{A}(0)$  is a graded  $\mathbb{C}$ -algebra and  $\bar{A}(v)$ 's can be regarded as  $\bar{A}(0)$ -modules. We have an isomorphism

$$A/(\chi_{18}) \simeq \bar{A}(0) \oplus \bar{A}(2) \oplus \dots \tag{2}$$

of vector spaces, or more strongly, of (infinite) graded modules over some ring of Krull dimension five. If  $f$  is a modular form of weight  $k$  with  $v(f) > \frac{2}{7}k$ , then  $f$  vanishes identically on  $V$  ([19], Cor. 2 to Prop. 7) and hence  $f$  is divisible by  $\chi_{18}$ . So the vector space  $(A/(\chi_{18}))_k$  corresponding to modular forms of weight  $k$  is isomorphic to the direct sum  $\bar{A}(0)_k \oplus \bar{A}(2)_k \oplus \dots \oplus A([\frac{2}{7}k]')_k$ ,  $[\frac{2}{7}k]'$  denoting the maximal even integer not exceeding  $\frac{2}{7}k$ . To know the structure of  $\bar{A}(v)$ , we exhibit them as

subspaces of  $A(\Gamma'_2) \otimes A(\Gamma_1)$  in the following way where  $\Gamma'_2$  is the maximal congruence subgroup of  $\Gamma_2$  which stabilizes an odd theta characteristic  $\begin{pmatrix} 1 & \\ & 0 \end{pmatrix} \pmod 2$ .

Suppose that  $g$  is a meromorphic modular form, but holomorphic on  $V - \Gamma_3 R$ ,  $\Gamma_3 R$  being the union  $\cup M \cdot R$ ,  $M \in \Gamma_3$ , and that  $g|_{V-\Gamma_3 R}$  is locally bounded at  $R$ , hence at  $\Gamma_3 R \cap V$ . For such  $g$ , and for  $(Z_1, z_3) \in H_2 \times H_1$  we define

$$(\Psi g)(Z_1, z_3) = \lim_{\substack{Z \rightarrow Z_0 \\ Z \in V}} g(Z), \quad Z_0 = \begin{pmatrix} Z_1 & 0 \\ 0 & z_3 \end{pmatrix} \in R.$$

By Riemann's removable singularity theorem  $g|_{V-\Gamma_3 R}$  extends to a holomorphic function on  $V$ , and hence  $\Psi g$  is well-defined.  $\Psi g$  is an element of the tensor product  $A(\Gamma'_2) \otimes A(\Gamma_1)$  ([19], Sect. 14). Let  $\chi_{28}$  be a modular form of weight 28 defined in Section 5 of the present paper (or [19], Sect. 22). It is a modular form of lowest weight having the property that  $\chi_{28}|_V$  vanishes only at  $\Gamma_3 R \cap V$ . Its order  $\nu(\chi_{28})$  is eight. Now let us fix three modular forms  $\beta', \gamma, \delta$  with  $\beta' \in A(2) - A(4)$ ,  $\gamma \in A(4) - A(6)$ ,  $\delta \in A(6) - A(8)$ . Then if  $f \in A$  is of order  $\nu \equiv 0 \pmod 8$  (resp. 2, 4, 6 mod 8), then

$$f/\chi_{28}^{(\nu/8)} \quad (\text{resp. } f\delta/\chi_{28}^{(\nu+6)/8}, f\gamma/\chi_{28}^{(\nu+4)/8}, f\beta'/\chi_{28}^{(\nu+2)/8})$$

is obviously holomorphic on  $V - \Gamma_3 R$  and moreover its restriction to  $V - \Gamma_3 R$  is locally bounded at  $R$  ([19], Sect. 13). So its image by  $\Psi$  is well-defined. We denote by  $\Psi(\nu)$ , the map  $f \mapsto \Psi(f/\chi_{28}^{(\nu/8)})$  (resp.  $\Psi(f\delta/\chi_{28}^{(\nu+6)/8})$ ,  $\Psi(f\gamma/\chi_{28}^{(\nu+4)/8})$ ,  $\Psi(f\beta'/\chi_{28}^{(\nu+2)/8})$ ), where we shall write simply  $\Psi$  instead of  $\Psi(0)$ . (In [19], we have taken as  $\beta', \gamma, \delta$ , some particular modular forms.)  $\Psi(\nu)$  is a map of  $A(\nu)$  to  $A(\Gamma'_2) \otimes A(\Gamma_1)$ , and by definition the kernel of  $\Psi(\nu)$  is just  $A(\nu + 2)$ . So  $\Psi(\nu)$  is also considered to be an embedding of  $\bar{A}(\nu)$  to  $A(\Gamma'_2) \otimes A(\Gamma_1)$ . By definition  $(\Psi f)(Z_1, z_3) = f\begin{pmatrix} Z_1 & 0 \\ 0 & z_3 \end{pmatrix}$ , hence  $\Psi \bar{A}(0)$  is contained in  $A(\Gamma'_2) \otimes A(\Gamma_1)$ . If we identify  $\bar{A}(0)$  with  $\Psi \bar{A}(0)$ , then the map  $\Psi(\nu)$  of  $\bar{A}(\nu)$  to  $A(\Gamma'_2) \otimes A(\Gamma_1)$  can be regarded as an  $\bar{A}(0)$ -module homomorphism since  $\Psi(\nu)(fg) = \Psi f \cdot \Psi(\nu)g$  for  $f \in A, g \in A(\nu)$ .  $\bar{A}(0) \subset A(\Gamma_2) \otimes A(\Gamma_1)$  is equal to  $\{\Sigma\psi \otimes j \in A(\Gamma_2) \otimes A(\Gamma_1) \mid \Sigma\psi \begin{pmatrix} z_1 & 0 \\ 0 & z_2 \end{pmatrix} j(z_3) \text{ is symmetric in } z_1, z_2, z_3\}$  ([19], Sect. 16), over which  $A(\Gamma_2) \otimes A(\Gamma_1)$  is finite as a module, hence  $A(\Gamma'_2) \otimes A(\Gamma_1)$  is. Since  $\chi_{28} A(\nu) \subset A(\nu + 8)$ , we have sequences of inclusions of  $\bar{A}(0)$ -submodules of  $A(\Gamma'_2) \otimes A(\Gamma_1)$  by definition of  $\Psi(\nu)$ ;

$$\Psi \bar{A}(0) \subset \Psi(8)\bar{A}(8) \subset \dots$$

$$\Psi(2)\bar{A}(2) \subset \Psi(10)\bar{A}(10) \subset \dots$$

$$\Psi(4)\bar{A}(4) \subset \Psi(12)\bar{A}(12) \subset \dots$$

$$\Psi(6)\bar{A}(6) \subset \Psi(14)\bar{A}(14) \subset \dots$$

Since  $A(\Gamma_2) \otimes A(\Gamma_1)$  is a Noetherian  $\bar{A}(0)$ -module, there is a positive even integer  $v_0$  such that if  $v \geq v_0$ , then  $\Psi(v)\bar{A}(v) = \Psi(v - 8)\bar{A}(v - 8)$ , in other words

$$\bar{A}(v) = \chi_{28}\bar{A}(v - 8) \quad \text{for } v \geq v_0. \tag{3}$$

Then it is not difficult to see that any modular form  $f \in A(v)$ ,  $v \geq v_0$ , is written as  $f = g\chi_{28} + h\chi_{18}$  for some  $g, h \in A$ , combining (3) with the fact that  $f$  is divisible by  $\chi_{18}$  if  $v(f) > \frac{2}{7}$  weight  $(f)$ .  $v_0$  is actually taken to be 14, and hence the isomorphism (2) becomes

$$A/(\chi_{18}) \simeq \bar{A}(0) \oplus \bar{A}(2) \oplus \bar{A}(4) \\ \oplus \left( \bigoplus_{\mu=0}^{\infty} (\bar{A}(6) \oplus \bar{A}(8) \oplus \bar{A}(10) \oplus \bar{A}(12))\chi_{28}^{\mu} \right).$$

All the structures of  $\bar{A}(v)$ ,  $v \leq 12$ , have been determined in [19], and from this the structure of  $A/(\chi_{18})$  is given, and that of  $A$  is too.

Finally in this section we give a comment on an alternate definition of  $\Psi(2)$ . Restricting to  $V$ , the Taylor expansion of  $\theta\left[\begin{smallmatrix} 11 \\ 101 \end{smallmatrix}\right](Z)$  at  $Z_0 = \begin{pmatrix} z_1 & 0 \\ 0 & z_3 \end{pmatrix} \in R$  in terms of  $\tau$ , we get

$$0 = \sum_{i=1}^2 \left( \frac{\partial}{\partial x_i} \theta\left[\begin{smallmatrix} 11 \\ 10 \end{smallmatrix}\right](Z_1, 0) (\theta\left[\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}\right]\theta\left[\begin{smallmatrix} 0 \\ 1 \end{smallmatrix}\right]\theta\left[\begin{smallmatrix} 1 \\ 0 \end{smallmatrix}\right](z_3)) \right) \tau_i \\ + \text{(higher degree terms of } \tau).$$

At least one of  $\partial/(\partial x_i)\theta\left[\begin{smallmatrix} 11 \\ 10 \end{smallmatrix}\right](Z_1, 0)$  is not zero since the theta divisor of degree two is nonsingular, and  $\theta\left[\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}\right]\theta\left[\begin{smallmatrix} 0 \\ 1 \end{smallmatrix}\right]\theta\left[\begin{smallmatrix} 1 \\ 0 \end{smallmatrix}\right]$  vanishes nowhere on  $H_1$ . Hence one of the  $\tau_i$  is written as an analytic function of another on some neighborhood at  $Z_0$ . Let  $f \in A(2)$ . Substituting it in the expansion of  $(f\delta/\chi_{28})|_V$  in terms of  $\tau$ , and taking the limit as  $\tau_i \rightarrow 0$ , we get

$$(\Psi(2)f)(Z_1, z_3) = (F_2F_6/F_8)(Z_1, z_3)$$

where

$$\begin{aligned}
 F_2(Z_1, z_3) &= \frac{1}{2!(2\pi)^4(\sqrt{-1})^2} \sum_{l=0}^2 (-1)^l \binom{2}{l} \frac{\partial}{\partial \tau_1^l} \frac{\partial}{\partial \tau_2^{2-l}} f(Z_0) \\
 &\quad \times \left( \frac{\partial}{\partial x_1} \theta_{[10]}^{[11]}(Z_1, 0) \right)^{2-l} \left( \frac{\partial}{\partial x_2} \theta_{[10]}^{[11]}(Z_1, 0) \right)^l, \\
 F_6(Z_1, z_3) &= \frac{1}{6!(2\pi)^{12}(\sqrt{-1})^6} \sum_{l=0}^6 (-1)^l \binom{6}{l} \frac{\partial}{\partial \tau_1^l} \frac{\partial}{\partial \tau_2^{6-l}} \delta(Z_0) \\
 &\quad \times \left( \frac{\partial}{\partial x_1} \theta_{[10]}^{[11]}(Z_1, 0) \right)^{6-l} \left( \frac{\partial}{\partial x_2} \theta_{[10]}^{[11]}(Z_1, 0) \right)^l, \tag{4} \\
 F_8(Z_1, z_3) &= \frac{1}{8!(2\pi)^{16}(\sqrt{-1})^8} \sum_{l=0}^8 (-1)^l \binom{8}{l} \frac{\partial}{\partial \tau_1^l} \frac{\partial}{\partial \tau_2^{8-l}} \chi_{28}(Z_0) \\
 &\quad \times \left( \frac{\partial}{\partial x_1} \theta_{[10]}^{[11]}(Z_1, 0) \right)^{8-l} \left( \frac{\partial}{\partial x_2} \theta_{[10]}^{[11]}(Z_1, 0) \right)^l,
 \end{aligned}$$

$\binom{k}{l}$  denoting a binomial coefficient.  $\Psi(2)f$  is holomorphic and has a Fourier expansion on  $H_2 \times H_1$ , and each of  $F_2, F_6, F_8$  has too. By definition  $30\chi_{28}$  has integral Fourier coefficients. Now let us suppose that  $\delta$  has rational Fourier coefficients (with a bounded denominator). Then both of  $F_6, F_8$  have rational Fourier coefficients (with a bounded denominator). Hence there is a rational number  $N$  such that  $N\Psi(2)f$  has integral Fourier coefficients if and only if  $F_2$  does. In particular, for such  $N$ ,  $2N\Psi(2)f$  has the integral Fourier coefficients if  $f$  does.

Let us calculate a first term of  $F_2$  explicitly in terms of the Fourier coefficient of  $f \in A(2)$  for the identity matrix, i.e. for  $e(\text{tr}(Z))$ . There are 23 positive symmetric semi-integral ternary matrices with merely one as their diagonal components, each of which is equivalent under the action  $S \rightarrow 'USU, U \in GL_3(\mathbb{Z})$ , to one of the following three matrices; the identity matrix; the matrix with 0 as its (1, 2), (1, 3)-components and with 1/2 as its (2, 3)-component; the matrix with 0 as its (1, 2)-component and with 1/2 as its (1, 3), (2, 3)-components. Let  $a_0, a_1, a_2$  be the Fourier coefficients of  $f$  corresponding to the first, second, third matrix respectively. From  $\Psi\beta = 0$ , two relations among  $a_0, a_1, a_2$  are derived;  $a_0 + 4a_1 + 4a_2 = a_1 + 6a_2 = 0$ , hence  $a_0 : a_1 : a_2 = 20 : -6 : 1$  if  $a_0 \neq 0$ . Then a direct calculation shows

$$F_2(Z_1, z_3) = -\frac{2}{5} a_0 e(\text{tr}(\left(\begin{smallmatrix} 5/4 & & \\ & \pm 1/4 & \\ & & \pm 1/4 \end{smallmatrix}\right) Z_1)) e(z_3) + \dots$$



**3. A subring of  $A(\Gamma_3)$**

$\Gamma_2/\Gamma_2(2)$  is isomorphic to the symmetric group  $\mathfrak{S}_6$  of degree six, and it acts on the set of six odd theta characteristics (mod 2) of degree two as permutations.  $\Gamma'_2$  has been defined to be a stabilizer subgroup of  $\Gamma_2$  at an odd theta characteristic  $(\begin{smallmatrix} 1 \\ 10 \end{smallmatrix})$ , and hence  $\Gamma'_2/\Gamma_2(2)$  is isomorphic to  $\mathfrak{S}_5$ .

There is an injective homomorphism  $\varrho_2$  of  $A(\Gamma_2(2))$  to  $S(6) \subset \mathbb{C}[\xi_0, \dots, \xi_5]$  which is equivalent under  $\mathfrak{S}_6$  (Igusa [5], Tsuyumine [19], Sect. 9, 11), where  $\varrho_2$  induces an isomorphism between the field of fractions of  $A(\Gamma_2(2))$  and that of  $S(6)^{(2)}$ ,  $S(6)^{(2)}$  denoting the subring of  $S(6)$  consisting of homogeneous elements of even degree. We may assume that  $\mathfrak{S}_5 \simeq \Gamma'_2/\Gamma_2(2)$  acts on  $\{\xi_1, \dots, \xi_5\}$  as permutations. Hence we have a commutative diagram;

$$\begin{array}{ccc} A(\Gamma_2(2)) & \xrightarrow{\varrho_2} & S(6) \\ \cup & & \cup \\ A(\Gamma'_2) & \longrightarrow & S(6)^{\mathfrak{S}_5} \\ \cup & & \cup \\ A(\Gamma_2) & \longrightarrow & S(2, 6) = S(6)^{\mathfrak{S}_6}. \end{array}$$

In particular, there is no proper intermediate field between  $F_0(A(\Gamma'_2))$  and  $F_0(A(\Gamma_2))$ , and hence  $F_0(A(\Gamma'_2)) = F_0(A(\Gamma_2)[\psi])$  for any  $\psi \in A(\Gamma'_2) - A(\Gamma_2)$ .

**LEMMA 1.** *Let  $\beta$  be a modular form for  $\Gamma_3$  of order  $\nu$  with  $\nu \equiv 2$  or  $6 \pmod 8$ . Let us fix  $z_3 \in H_1$  so that  $\psi(Z_1) := (\Psi(4\nu)\beta^4)(Z_1, z_3)$  is not identically zero. Then  $\psi \notin A(\Gamma_2)$ . In particular,  $F_0((A(\Gamma_2) \otimes A(\Gamma_1))[\Psi(4\nu)\beta^4]) = F_0(A(\Gamma'_2) \otimes A(\Gamma_1))$ .*

*Proof.* We treat only the case  $\nu \equiv 2 \pmod 8$ , since a similar argument is applicable to the case  $\nu \equiv 6 \pmod 8$ . By the argument [19], Sect. 14, the proof of Lemma 12,  $\varrho_2\phi$  is the form  $H^4\mathcal{D}_0$  where  $H$  is an  $(s + 2, s)$ -covariant and  $\mathcal{D}_0$  denotes the  $(0, 8)$ -covariant  $\prod_{1 \leq i < j \leq 5} (\xi_i - \xi_j)^2$ . It is enough to show that  $H^4\mathcal{D}_0 \notin S(2, 6)$ . Suppose otherwise. Dividing  $H^4$  by a power of the discriminant  $\prod_{0 \leq i < j \leq 5} (\xi_i - \xi_j)^2 \in S(2, 6)$  if necessary, we may assume that  $H$  is not divisible by  $\prod_{0 \leq i < j \leq 5} (\xi_i - \xi_j)$ . Since  $H^4\mathcal{D}_0$  obviously has factors  $(\xi_i - \xi_j)^2$  ( $1 \leq i < j \leq 5$ ) and since  $H^4\mathcal{D}_0$  is symmetric in  $\xi_0, \dots, \xi_5$  by our assumption, it has a factor  $\prod_{i=1}^5 (\xi_0 - \xi_i)^2$ . Then  $H$  is divisible by  $\prod_{i=1}^5 (\xi_0 - \xi_i)$ , and hence  $H^4\mathcal{D}_0$ , by  $\prod_{i=1}^5 (\xi_0 - \xi_i)^4 \times \prod_{1 \leq i < j \leq 5} (\xi_i - \xi_j)^2$ . Again by symmetry  $H^4\mathcal{D}_0/\prod_{i=0}^5 (\xi_0 - \xi_i)^4 \times \prod_{1 \leq i < j \leq 5} (\xi_i - \xi_j)^2$  is still divisible by

$(\xi_i - \xi_j)^2$  ( $1 \leq i < j \leq 5$ ), hence  $H$ , by  $(\xi_i - \xi_j)$  ( $1 \leq i < j \leq 5$ ), a contradiction. Q.E.D.

Let  $\Lambda$  be a graded subring of  $A$  such that  $A(\Gamma_2) \otimes A(\Gamma_1)$  is finite integral over  $\bar{\Lambda} := \Psi\Lambda$ , and that  $\chi_{28}, \chi_{18} \in \Lambda$ .

LEMMA 2.  $A$  is finite integral over  $\Lambda$ .

*Proof.*  $\Psi(v)A(v)$  is a finite  $\bar{\Lambda}$ -module for every even  $v \geq 0$ . Let  $\{f_{i,v}\}_i$  be a finite number of modular forms in  $A(v)$  such that  $\{\Psi(v)f_{i,v}\}_i$  generates  $\Psi(v)A(v)$  over  $\bar{\Lambda}$ . We show that  $A$  is generated as a  $\Lambda$ -module, by  $f_{i,v}$ 's with  $v \leq v_0$ ,  $v_0$  being as in (3).

We prove that any modular form  $f$  of weight  $k$  is written as a linear combination of  $f_{i,v}$ 's ( $v \leq v_0$ ) over  $\Lambda$ , by induction on  $k$ .  $\Psi f \in \Psi A(0)$  is written as  $\Psi f = \sum_i \Psi(P_i f_{i,0})$  with  $P_i \in \Lambda$ . By taking  $f - \sum P_i f_{i,0}$  instead of  $f$ , we may assume  $\Psi f = 0$ , namely  $v(f) \geq 2$ . Then  $\Psi(2)f$  is written as  $\sum_i \Psi(2)(P'_i f_{i,2})$  with  $P'_i \in \Lambda$ . By a similar argument as above, may assume  $\Psi(2)f = 0$ , and by a recursive argument, we may assume  $v(f) > \frac{2}{3}k$ , where we make use of such elements as  $\chi_{28}^m f_{i,v}$  ( $m > 0$ ) instead of  $f_{i,v}$  if the order  $v(f)$  exceeds  $v_0$ . Then  $f|_v$  vanishes identically and  $f$  is written as  $f = g\chi_{18}$  for some  $g \in A$ . By the induction hypothesis  $g$  is a linear combination of  $f_{i,v}$ 's ( $v \leq v_0$ ) over  $\Lambda$ , and hence  $f$  is. Q.E.D.

COROLLARY.  $A(v)$  is a finite  $\Lambda$ -module for any even  $v \geq 0$ .

PROPOSITION 1. Let  $\Lambda$  be a graded subring of  $A$  containing  $\chi_{28}, \chi_{18}$  such that  $A(\Gamma_2) \otimes A(\Gamma_1)$  is finite integral over  $\bar{\Lambda} := \Psi\Lambda$ , and that  $\text{g.c.d. } \{k|\bar{\Lambda}_k \neq \{0\}\} = 2$  for  $\bar{\Lambda} = \bigoplus \bar{\Lambda}_k$ . If  $\beta$  is a modular form of order two such that  $F_0(\bar{\Lambda}[\Psi(8)\beta^4]) = F_0(A(\Gamma_2') \otimes A(\Gamma_1))$ , then the modular function field of degree three is given by  $F_0(\Lambda[\beta])$ .

*Proof.* At first we show that there are a positive integer  $v_1$  and a modular form  $P \in \Lambda$  of order 0 such that

$$\Psi(v + v')(\beta^{v'/2}PA(v)) \subset \Psi(v + v')(\beta^{v'/2}(\Lambda[\beta] \cap A(v))) \tag{5}$$

for any even  $v \geq v_1$  where  $v' \in \{0, 2, 4, 6\}$  is determined by  $v + v' \equiv 0 \pmod 8$ . By our assumption, we can take  $\bar{P} \in \bar{\Lambda}$ ,  $\neq 0$  such that  $\bar{P}(A(\Gamma_2') \otimes A(\Gamma_1))$  is contained in a  $\bar{\Lambda}$ -module generated by  $\Psi(8)\beta^4, (\Psi(8)\beta^4)^2, \dots, (\Psi(8)\beta^4)^m$  with  $m = [F_0(A(\Gamma_2') \otimes A(\Gamma_1)): F_0(\Lambda)]$ . Since  $\Lambda[\beta] \cap A(v)$  has as a subset

$$\sum_{2n_1 + 8n_2 \geq v} \beta^{n_1} \chi_{28}^{n_2} \Lambda,$$

$\Psi(v + v')(\beta^{v'/2}(\Lambda[\beta] \cap A(v)))$  contains the  $\bar{\Lambda}$ -module generated by  $\Psi(8)\beta^4, \dots, (\Psi(8)\beta^4)^m$  if  $v$  is large enough. If  $P \in \Lambda$  is such that  $\bar{P} = \Psi P$ , then  $\Psi(v + v')(\beta^{v'/2}PA(v)) = \bar{P}\Psi(v + v')(\beta^{v'/2}A(v)) \subset \bar{P}(A(\Gamma'_2) \otimes A(\Gamma_1))$ . Thus we have proved (5).

$A(2)$  is the prime ideal of  $A$  defining the reducible locus of  $H_3/\Gamma_3$ , and hence  $A(2) \cap \Lambda[\beta]$  is prime in  $\Lambda[\beta]$ . Let us take the ring  $\Lambda_0 := \Lambda[\beta, \chi_{18}/\chi_{28}^k$  ( $k = 0, 1, 2, \dots$ ). The ideal of  $\Lambda_0$  generated by  $A(2) \cap \Lambda[\beta], \chi_{18}/\chi_{28}^k$  ( $k = 0, 1, 2, \dots$ ) is prime since  $\bar{\Lambda} = \Lambda_0/(A(2) \cap \Lambda[\beta], \chi_{18}/\chi_{28}^k$  ( $k = 0, 1, 2, \dots$ )) is an integral domain. Let  $\Lambda'_0$  be the localization of  $\Lambda_0$  at the prime ideal. Let  $v_2$  be an even integer equal to or greater than each of  $v_0$  and  $v_1$ ,  $v_0$  being as in (3). Since  $\Lambda \subset \Lambda'_0$ , by Corollary to Lemma 2 there are a finite number of holomorphic modular forms  $f_1, \dots, f_t \in A(v_2)$  such that  $A(v_2) \subset \Lambda'_0 f_1 + \dots + \Lambda'_0 f_t$ . We may assume that  $\{f_1, \dots, f_t\}$  is a minimal system with this property. Then we show  $t = 1$ . Suppose  $t \geq 2$ . Since  $v := v(f_t)$  is larger than  $v_1$ , we have  $\Psi(v + v')\beta^{v'/2}Pf_t = \Psi(v + v')\beta^{v'/2}q$  for some  $q \in A(v) \cap \Lambda[\beta]$ . Since  $\Psi(v + v')\beta^{v'/2}(Pf_t - q) = 0$ , the order of  $Pf_t - q$  is at least  $v + 2$ . By repeating the similar argument four times, it is shown that there is  $Q \in \Lambda[\beta]$  satisfying the inequality  $v(P^4f_t - Q) \geq v + 8$ . Since  $v \geq v_0$ , by (3) there are  $g, h$  such that  $P^4f_t - Q = g\chi_{28} + h\chi_{18}$ .  $v(g)$  is obviously greater than or equal to  $v$ , and in particular  $g \in A(v_2)$  because  $v \geq v_2$ .  $h\chi_{28}^k$  is also involved in  $A(v_2)$  if  $k$  is sufficiently large.  $g, h\chi_{28}^k \in A(v_2) \subset \Lambda'_0 f_1 + \dots + \Lambda'_0 f_t$  is written as  $g = \sum_{i=1}^t a_i f_i$ ,  $h\chi_{28}^k = \sum_{i=1}^t b_i f_i$  with  $a_i, b_i \in \Lambda'_0$ . Hence we have

$$(P^4 - a_t\chi_{28} - b_t\chi_{18}/\chi_{28}^k)f_t = Q + \sum_{i=1}^{t-1} a_i\chi_{28}f_i + \sum_{i=1}^{t-1} b_i(\chi_{18}/\chi_{28}^k)f_i.$$

Since  $P$  is of order 0,  $P^4 - a_t\chi_{28} - b_t\chi_{18}/\chi_{28}^k$  is a unit of the ring  $\Lambda'_0$ . So  $f_t$  is written as a linear combination of other  $f_i$ . This contradicts to the minimality of a system of  $\{f_1, \dots, f_t\}$ . Thus  $t = 1$ .

Now we have  $A(v_2) \subset \Lambda'_0 f_1$ .  $A(v_2)$  and  $\Lambda'_0$  have a common non-trivial element (e.g.,  $\chi_{18}$ ). This implies that  $f_1$  is contained in the field of fractions of  $\Lambda'_0$ , and that  $A(v_2)$  is a subset of the field of fractions of  $\Lambda[\beta]$ . Since  $\chi_{28}^k A \subset A(v_2)$  for large  $k$ , the modular function field  $F_0(A)$  is equal to  $F_0(\Lambda[\beta])$ . Q.E.D.

Combining Proposition 1 with Lemma 1, we have the following corollary.

**COROLLARY.** *Let  $\Lambda$  be a ring as in Proposition 1 satisfying the additional condition that  $F_0(\bar{\Lambda}) = F_0(A(\Gamma_2) \otimes A(\Gamma_1))$ . Let  $\beta$  be any modular form with  $v(\beta) = 2$ . Then the modular function field is given by  $F_0(\Lambda[\beta])$ .*

#### 4. Main theorem

$A(\Gamma_1)$  is generated by two algebraically independent modular forms  $j_4, j_6$  of weight 4, 6 respectively where

$$j_4 = \frac{1}{2} \sum_{m:\text{even}} \theta[m]^8, \quad j_6 = \sum_{M:\Gamma_1/\Gamma_1(2)} M(\theta[\begin{smallmatrix} 0 & \\ 0 & \end{smallmatrix}]^8 \theta[\begin{smallmatrix} 0 & \\ 1 & \end{smallmatrix}]^4).$$

As Igusa [3], [4] showed,  $A(\Gamma_2)$  is generated by four algebraically independent modular forms  $\psi_4, \psi_6, \psi_{10}, \psi_{12}$  with their subscript as their weight where

$$\psi_4 = \frac{1}{4} \sum_{m:\text{even}} \theta[m]^8, \quad \psi_6 = \frac{1}{2} \sum_{M:\Gamma_2/\Gamma_2(2)} M(\theta[\begin{smallmatrix} 0 & 0 \\ 0 & 0 \end{smallmatrix}]^6 \theta[\begin{smallmatrix} 0 & 0 \\ 1 & 0 \end{smallmatrix}]^2 \theta[\begin{smallmatrix} 0 & 0 \\ 0 & 1 \end{smallmatrix}]^2 \theta[\begin{smallmatrix} 0 & 0 \\ 1 & 1 \end{smallmatrix}]^2),$$

$$\psi_{10} = \prod_{m:\text{even}} \theta[m]^2,$$

$$\psi_{12} = \frac{1}{288} \sum_{M:\Gamma_2/\Gamma_2(2)} M(\theta[\begin{smallmatrix} 0 & 0 \\ 1 & 0 \end{smallmatrix}] \theta[\begin{smallmatrix} 0 & 1 \\ 0 & 0 \end{smallmatrix}] \theta[\begin{smallmatrix} 1 & 0 \\ 0 & 0 \end{smallmatrix}] \theta[\begin{smallmatrix} 0 & 0 \\ 0 & 1 \end{smallmatrix}] \theta[\begin{smallmatrix} 1 & 0 \\ 0 & 1 \end{smallmatrix}] \theta[\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}])^4$$

(note that we are considering only modular forms of even weight). Let  $\alpha_4, \alpha_6, \alpha_{10}, \alpha_{12}, \alpha'_{12}, \alpha_{20}, \alpha_{30} \in A$  be as in Section 5, and let  $\alpha'_{20} = (\alpha_{20} - 5\alpha_{10}^2)/7$ ,  $\alpha'_{30} = (7\alpha_{30} - 313\alpha_{10}\alpha_{20} + 865\alpha_{10}^3)/7$ . By [19], Section 23, we have

$$\Psi\alpha_4 = \psi_4 \otimes j_4, \quad \Psi\alpha_6 = \psi_6 \otimes j_6, \quad \Psi\alpha_{12} = 3^{-3}\psi_{12} \otimes (-j_6^2 + 4j_4^3),$$

$$\begin{aligned} \Psi\alpha'_{12} &= 2^4 3^{-3} \psi_4^3 \otimes (-j_6^2 + 4j_4^3) \\ &\quad - 3^{-3} \psi_6^2 \otimes (-j_6^2 + 4j_4^3) + 3^2 \psi_{12} \otimes (j_6^2 + 8j_4^3), \end{aligned}$$

$$\Psi\alpha'_{20} = \psi_{10}^2 \otimes j_4^5, \quad \Psi\alpha'_{30} = \psi_{10}^3 \otimes j_6^5.$$

**LEMMA 3.** *Let  $\bar{\Lambda}$  denote a graded  $\mathbb{C}$ -algebra generated by  $\Psi$ -images of i)  $\alpha_4, \alpha_6, \alpha_{12}, \alpha'_{12}, \alpha'_{20}, \alpha'_{30}$ , or ii)  $\alpha_4, \alpha_6, \alpha_{12}, \alpha_{12}^k, \alpha'_{20}, \alpha'_{30}$ ,  $k$  being any fixed positive integer. Then  $A(\Gamma_2) \otimes A(\Gamma_1)$  is finite integral over  $\bar{\Lambda}$ , and  $F_0(\bar{\Lambda})$  equals  $F_0(A(\Gamma_2) \otimes A(\Gamma_1))$ .*

*Proof.* The first assertion follows from the fact that  $\Psi\alpha_4, \Psi\alpha_6, \Psi\alpha_{12}, \Psi\alpha'_{12}, \Psi\alpha'_{20}, \Psi\alpha'_{30}$  do not vanish simultaneously at any point of the projective variety  $(H_2/\Gamma_2)^* \times (H_1/\Gamma_1)^*, (H_n/\Gamma_n)^*$  denoting the Satake compactification,

which is not difficult to see. We treat only the case ii), because the similar argument is applicable to the case i). Put  $s = (j_6^2/j_4^3)(z_3)$ . Then  $s$  is an element of degree five over  $\mathbb{C}[\Psi\alpha_{30}'^2/\Psi\alpha_{20}'^3]$ . As easily seen,  $F_0(A(\Gamma_2) \otimes A(\Gamma_1))$  is an extension over  $F_0(\mathbb{C}[\Psi\alpha_4, \Psi\alpha_6, \Psi\alpha_{12}, \Psi\alpha_{20}', \Psi\alpha_{30}'])$  of degree five, since the former is obtained from the latter by adding  $s$ . In particular, the extension is simple. Since an element  $\Psi(\alpha_{12}'^k/\alpha_4^{3k})$  is not contained in the latter one,  $F_0(\bar{\Lambda})$  equals  $F_0(A(\Gamma_2) \otimes A(\Gamma_1))$ . Q.E.D.

**THEOREM 1.** *Let  $\lambda$  be any modular form of weight twenty with  $v(\lambda) = 2$ , and let  $c$  be a constant. Let us put  $\Lambda := \mathbb{C}[\alpha_4, \alpha_6, \alpha_{12}, \alpha_{12}', (\alpha_{20} - 5\alpha_{10}^2)/7 + c\lambda, (7\alpha_{30} - 313\alpha_{10}\alpha_{20} + 865\alpha_{10}^3)/7, \chi_{28}, \chi_{18}]$ . Then the modular function field of degree three is given by  $F_0(\Lambda)$ , except at most one value of  $c$ . (See Sect 5 for the definition of modular forms.)*

**REMARK.** Our argument will show that the assertion of Theorem 1 holds even if we replace  $\Lambda$  by other rings such as  $\mathbb{C}[\alpha_4, \alpha_6, \alpha_{12}, \alpha_{12}' + c\lambda, \alpha_{20}', \alpha_{30}', \chi_{28}, \chi_{18}]$ ,  $\mathbb{C}[\alpha_4, \alpha_6, \alpha_{12}, \alpha_{12}', \alpha_{20}', \alpha_{30}' + c\lambda, \chi_{28}, \chi_{18}]$  and so on,  $\lambda$  being a modular form of appropriate weight with  $v(\lambda) = 2$ .

*Proof:* Let us find an algebraic relation<sup>1</sup> among  $\Psi\alpha_4, \Psi\alpha_6, \Psi\alpha_{12}, \Psi\alpha_{12}', \Psi\alpha_{20}' = \Psi(\alpha_{20}' + c\lambda), \Psi\alpha_{30}'$ . Let  $s$  be as in the proof of Lemma 3. If we put

$$p_0 = 16\alpha_4^3, p_1 = -128\alpha_4^3 - \alpha_6^2 + 243\alpha_{12} + 27\alpha_{12}',$$

$$p_2 = 256\alpha_4^3 + 8\alpha_6^2 + 1944\alpha_{12} - 108\alpha_{12}', p_3 = -16\alpha_6^2,$$

then we have

$$(\Psi p_0)s^3 + (\Psi p_1)s^2 + (\Psi p_2)s + \Psi p_3 = 0 \tag{6}$$

by a direct computation. For an indeterminate  $X$ , we put

$$L(X) = p_3^5(\alpha_{20}' + X)^9 + (p_2^5 + 5p_0p_2^2p_3^2 + 5p_1^2p_2p_3^2 - 5p_0p_1p_3^3$$

$$- 5p_1p_2^3p_3)\alpha_{30}'^2(\alpha_{20}' + X)^6 + (p_1^5 + 5p_0^2p_1^2p_3 + 5p_0^2p_1p_2^2$$

$$- 5p_0^3p_2p_3 - 5p_0p_1^3p_2)\alpha_{30}'^4(\alpha_{20}' + X)^3 + p_0^5\alpha_{30}'^6.$$

<sup>1</sup> Such a detail is not necessary to prove merely Theorem 1. However, it (or  $L(X)$ ) will be used for other purposes later.

Then  $\Psi L(0)/(\Psi\alpha'_{20})^9 = 0$  is a minimal algebraic relation among  $\Psi\alpha_4, \Psi\alpha_6, \Psi\alpha_{12}, \Psi\alpha'_{12}$  and  $(j_6^2/j_4^3)^5 (=s^5)$ , given by eliminating  $s$  from (6). Hence  $\Psi L(0) = 0$ , which is an algebraic relation among  $\Psi\alpha_4, \dots, \Psi\alpha'_{30}$ .

By Lemma 3  $\Lambda$  satisfies the condition in Corollary to Proposition 1.  $\beta := L(c\lambda)$  is a modular form contain in  $\Lambda$ , which equals  $L(0) + cL'(0)\lambda$  up to  $A(4)$  where  $L'$  is the derivative of  $L$  in terms of  $X$ . Since  $L(0), \lambda \in A(2)$ ,  $\beta$  is a modular form of order at least two. Since  $L'(0) \in A(0) - A(2)$ , we have, except for at most one value of  $c$

$$\Psi(2)L(0) + c\Psi L'(0)\lambda \neq 0, \tag{7}$$

i.e.,  $v(\beta) = 2$ . Then by the Corollary to Proposition 1, the modular function field is given by  $F_0(\Lambda[\beta]) = F_0(\Lambda)$ . Q.E.D.

Let us make  $c\lambda$  explicit for which the assertion of Theorem 1 holds. By the above proof it is enough to find  $c\lambda$  satisfying (7). From the definition,  $\alpha_4, 2^{-3}\alpha_6, 2^3 3^2 \alpha_{12}, 2^4 3^{-1} \alpha'_{12}, 2^9 3^2 5 \cdot 7 \cdot 11 \alpha'_{20}, 2^{12} 3^3 5^2 7^2 11^3 \alpha'_{30}$  are easily checked to have integral Fourier coefficients. By the way,  $30\chi_{28}, \chi_{18}$  have too. Let  $N$  be the rational number given in the last part of Section 2. Since  $2^{106} 3^{24} 5^{16} 7^{16} 11^{24} L(0)$  has integral Fourier coefficients, also  $2N$  times its  $\Psi(2)$ -image does. So (7) holds if  $2N \cdot 2^{106} 3^{24} 5^{16} 7^{16} 11^{24} c\Psi L'(0) \Psi(2)\lambda$  has a non-integral Fourier coefficient.

We take as  $\lambda, \alpha_6\beta_{14}$  where  $\beta_{14}$  is a cusp form of weight 14 and of order two which is defined in Section 5 (or, also in [19], Sect. 24).  $\Psi(2)\alpha_6\beta_{14}$  equals  $\Psi\alpha_6\Psi(2)\beta_{14}$ . Now we must find a rational number  $c$  such that  $2^{106} 3^{24} 5^{16} 7^{16} 11^{24} c\Psi L'(0)\Psi\alpha_6 \cdot F_2$  has a non-integral Fourier coefficient,  $F_2$  being the one given for  $f = \beta_{14}$  in (4), which implies (7).  $\alpha_6$  has the Fourier expansion starting from the constant term 8, and a direct calculation shows that  $\Psi L'(0)$  has the Fourier expansion starting from

$$-2^{254} 3^7 5^2 \{2e(\text{tr}(Z_1)) - e(\text{tr}(\begin{smallmatrix} 1 & \\ \pm 1/2 & \pm 1/2 \end{smallmatrix})(Z_1))\}^{16} e(2z_3).$$

Let  $a$  be the Fourier coefficient of  $\beta_{14}$  for  $e(\text{tr}(Z))$ . Combining the above calculation with that of the last part of Section 2,  $2^{106} 3^{24} 5^{16} 7^{16} 11^{24} c\Psi L'(0)\Psi\alpha_6 \cdot F_2$  is shown to have  $2^{364} 3^{31} 5^{17} 7^{16} 11^{24} ac$  as a Fourier coefficient. Here we give a rough estimate of  $a$ .  $\beta_{14}$  is written as a sum of 2160 products with sign, of 28 theta constants, where each of products has the Fourier expansion starting from the terms corresponding to positive semi-integral ternary matrices with their diagonal components  $\geq 1$ . From this,  $a \in \mathbb{Z}$ , and a rough estimate shows  $|a| < 2160 \times 2^{3 \times 8} = 2^{28} 3^4 5$ . On the other hand  $a \neq 0$  is shown in the following way. So if  $c$  is a rational number such that

$2^{364} 3^{31} 5^{17} 7^{16} 11^{24} ac \notin \mathbb{Z}$  for any positive integer  $a$  less than  $2^{28} 3^4 5$ , then (7) holds and hence  $F_0(\Lambda)$  gives the modular function field of degree three, where  $\Lambda = \mathbb{C}[\alpha_4, \alpha_6, \alpha_{12}, \alpha'_{12}, \alpha'_{20} + c\alpha_6\beta_{14}, \alpha'_{30}, \chi_{28}, \chi_{18}]$ . Since the generators have the rational Fourier coefficients, their ratios of weight zero generate the modular function field  $K_3$  over  $\mathbb{Q}$ .

Let us prove  $a \neq 0$ . Let  $E_{k,n}$  denote the normalized Eisenstein series of degree  $n$  and of weight  $k$ , where ‘normalized’ implies that its constant term is one. By the structure theorem of  $A(\Gamma_2)$  (Igusa [3], [4], [5]) and by the formulas for the Fourier coefficients of Eisenstein series of degree two in Maass [7], Satz 1, the identity  $3^5 7 \cdot 11 \cdot 659 E_{4,2}^2 E_{6,2} - 2^2 269 \cdot 43867 E_{4,2} E_{10,2} + 53 \cdot 657931 E_{14,2} = 0$  follows. Hence

$$3^5 7 \cdot 11 \cdot 659 E_{4,3}^2 E_{6,3} - 2^2 269 \cdot 43867 E_{4,3} E_{10,3} + 53 \cdot 657931 E_{14,3} \tag{8}$$

is a cusp form of weight fourteen where  $E_{4,3}$  is well-defined by Raghavan [10]. By virtue of Ozeki and Washio [8], [9], the Fourier coefficient of (8) for  $e(\text{tr}(Z))$  can be calculated, namely  $-2^7 3^8 5^2 7^2 11 \cdot 79973$ . By [19], the vector space of cusp forms of weight 14 is one-dimensional, and hence (8) and  $\beta_{14}$  are proportional. Thus  $a \neq 0$ . We have proved the following theorem.

**THEOREM 2.** *The Siegel modular function field  $K_3$  of degree three over  $\mathbb{Q}$  is generated by the following seven modular functions;  $\alpha_6^2/\alpha_4^3, \alpha_{12}/\alpha_4^3, \alpha'_{12}/\alpha_4^3, (\alpha_{20} - 5\alpha_{10}^2 + 7c\alpha_6\beta_{14})/\alpha_4^5, (7\alpha_{30} - 313\alpha_{20}\alpha_{10} + 865\alpha_{10}^3)/\alpha_4^5\alpha_6, \chi_{28}/\alpha_4^7, \chi_{18}/\alpha_4^3\alpha_6$  where  $c$  is any rational number exclusive of at most one value. If  $c$  is such that  $2^{364} 3^{31} 5^{17} 7^{16} 11^{24} ac \in \mathbb{Q} - \mathbb{Z}$  for any positive integer  $a$  less than  $2^{28} 3^4 5$ , then our assertion holds. (see Sect. 5 for the definition of modular forms).*

**REMARK**

- i) In Theorem 2, we may replace  $\alpha_{12}/\alpha_4^3$  or  $\alpha'_{12}/\alpha_4^3$  by its power for general  $c \in \mathbb{Q}$ . This implies for example, that  $K_3$  is not a cyclic extension of  $\mathbb{Q}(\alpha_6^2/\alpha_4^3, \alpha_{12}/\alpha_4^3, (\alpha_{20} - 5\alpha_{10}^2 + 7c\alpha_6\beta_{14})/\alpha_4^5, (7\alpha_{30} - 313\alpha_{20}\alpha_{10} + 865\alpha_{10}^3)/(\alpha_4^5\alpha_6), \chi_{28}/\alpha_4^7, \chi_{18}/\alpha_4^3\alpha_6)$  unless the extension is trivial.
- ii) In Theorem 2 we can replace  $\beta_{14}$  by the cusp form (8). Then  $c$  is taken to be a rational number such that  $2^{371} 3^{39} 5^{19} 7^{18} 11^{25} 79973c \notin \mathbb{Z}$ , e.g.,  $c = 1/13$ .

**5. Modular forms**

We give definition of modular forms  $\alpha_4, \alpha_6, \alpha_{10}, \alpha_{12}, \alpha'_{12}, \alpha_{20}, \alpha_{30}, \beta_{14}, \chi_{28}$  with their subscripts as their weight. We denote by  $E_k$ , the Eisenstein series of degree three and of weight  $k$ .

- i)  $\alpha_4 = 2^{-3} \sum_m \theta[m]^8$ ,  $m$  running over the set of all even theta characteristics (mod 2).  $\alpha_4$  is equal to the Eisenstein series  $E_4$ .
- ii)  $\alpha_6 = 2^{-6} 3^{-1} 7^{-1} \sum_{M: \Gamma_3/\Gamma_3(2)} M(\theta \begin{bmatrix} 000 \\ 000 \end{bmatrix}^5 \theta \begin{bmatrix} 000 \\ 100 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 101 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 001 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 011 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 010 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 111 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 011 \end{bmatrix})$ , which is equal to  $8E_6$ .
- iii)  $\alpha_{10} = -2^{-4} 3^{-2} 5^{-1} 11^{-1} \sum_{M: \Gamma_3/\Gamma_3(2)} M\{(\theta \begin{bmatrix} 110 \\ 000 \end{bmatrix} \theta \begin{bmatrix} 010 \\ 000 \end{bmatrix} \theta \begin{bmatrix} 010 \\ 100 \end{bmatrix} \theta \begin{bmatrix} 110 \\ 110 \end{bmatrix} \theta \begin{bmatrix} 100 \\ 010 \end{bmatrix})^2 \theta \begin{bmatrix} 000 \\ 100 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 101 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 001 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 011 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 101 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 011 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 111 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 110 \end{bmatrix})\}$ , which is proportional to  $E_4 E_6 - E_{10}$ .
- iv)  $\alpha_{12} = 2^{-3} 3^{-2} \sum (\theta[m_1] \cdots \theta[m_6])^4$  where  $\{m_1, \dots, m_6\}$  runs through all the maximal azygetic sequences of even theta characteristics. Such an azygetic sequence is characterized by the property that a sum of any distinct three elements is odd (cf. Igusa [5]).  $\alpha_{12}$  cannot be written as a polynomial of Eisenstein series. Indeed  $\alpha_{12}$  is a cusp form, however, no non-trivial elements of the vector space spanned by  $E_4^3, E_6^2, E_{12}$  are cusp forms.
- v)  $\alpha'_{12} = 2^{-8} 3^{-5} 5^{-1} \sum_{M: \Gamma_3/\Gamma_3(2)} M(\theta \begin{bmatrix} 110 \\ 000 \end{bmatrix} \theta \begin{bmatrix} 110 \\ 001 \end{bmatrix} \theta \begin{bmatrix} 010 \\ 000 \end{bmatrix} \theta \begin{bmatrix} 010 \\ 001 \end{bmatrix} \theta \begin{bmatrix} 010 \\ 100 \end{bmatrix} \theta \begin{bmatrix} 010 \\ 101 \end{bmatrix} \theta \begin{bmatrix} 110 \\ 111 \end{bmatrix} \theta \begin{bmatrix} 110 \\ 110 \end{bmatrix} \theta \begin{bmatrix} 100 \\ 011 \end{bmatrix} \theta \begin{bmatrix} 100 \\ 010 \end{bmatrix} \theta \begin{bmatrix} 100 \\ 001 \end{bmatrix} \theta \begin{bmatrix} 100 \\ 001 \end{bmatrix})^2$ .
- vi) Let  $P$  denote the product  $\theta \begin{bmatrix} 011 \\ 111 \end{bmatrix} \theta \begin{bmatrix} 011 \\ 011 \end{bmatrix} \theta \begin{bmatrix} 111 \\ 000 \end{bmatrix} \theta \begin{bmatrix} 111 \\ 011 \end{bmatrix} \theta \begin{bmatrix} 011 \\ 000 \end{bmatrix} \theta \begin{bmatrix} 011 \\ 100 \end{bmatrix} \theta \begin{bmatrix} 111 \\ 110 \end{bmatrix} \theta \begin{bmatrix} 101 \\ 111 \end{bmatrix} \theta \begin{bmatrix} 001 \\ 100 \end{bmatrix} \theta \begin{bmatrix} 001 \\ 000 \end{bmatrix} \theta \begin{bmatrix} 101 \\ 010 \end{bmatrix} \theta \begin{bmatrix} 101 \\ 000 \end{bmatrix} \theta \begin{bmatrix} 001 \\ 010 \end{bmatrix} \theta \begin{bmatrix} 001 \\ 110 \end{bmatrix} \theta \begin{bmatrix} 101 \\ 101 \end{bmatrix} \theta \begin{bmatrix} 111 \\ 101 \end{bmatrix}$ . Then  $\alpha_{20} = 2^{-9} 3 \cdot 5^{-1} \sum_{M: \Gamma_3/\Gamma_3(2)} M(\chi_{18}^2/P^2)$ ,  $\chi_{18}$  denoting as before the product of all theta constant with even characteristics.
- vii)  $\alpha_{30} = 2^{-8} 3^4 5^{-1} \sum_{M: \Gamma_3/\Gamma_3(2)} M(\theta \begin{bmatrix} 000 \\ 001 \end{bmatrix}^2 \chi_{18}^3 / \theta \begin{bmatrix} 000 \\ 000 \end{bmatrix}^2 P^3)$ .
- viii)  $\beta_{14} = 2^{-5} 3^{-1} 7^{-1} \sum_{M: \Gamma_3/\Gamma_3(2)} M(\theta \begin{bmatrix} 011 \\ 111 \end{bmatrix}^6 \chi_{18} / \theta \begin{bmatrix} 110 \\ 001 \end{bmatrix} \theta \begin{bmatrix} 010 \\ 000 \end{bmatrix} \theta \begin{bmatrix} 010 \\ 101 \end{bmatrix} \theta \begin{bmatrix} 110 \\ 111 \end{bmatrix} \theta \begin{bmatrix} 110 \\ 110 \end{bmatrix} \theta \begin{bmatrix} 001 \\ 100 \end{bmatrix} \theta \begin{bmatrix} 001 \\ 000 \end{bmatrix} \theta \begin{bmatrix} 100 \\ 010 \end{bmatrix} \theta \begin{bmatrix} 101 \\ 010 \end{bmatrix} \theta \begin{bmatrix} 100 \\ 000 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 011 \end{bmatrix} \theta \begin{bmatrix} 101 \\ 010 \end{bmatrix} \theta \begin{bmatrix} 101 \\ 101 \end{bmatrix} \theta \begin{bmatrix} 111 \\ 101 \end{bmatrix})$ . In the summation, the same term appears  $2^5 3 \cdot 7$  times, so  $\beta_{14}$  is actually a sum of  $2^{-5} 3^{-1} 7^{-1} [\Gamma_3 : \Gamma_3(2)] (= 2160)$  terms.  $\beta_{14}$  is proportional to the cusp form (8).
- ix)  $\chi_{28} = 2^{-10} 3^{-2} 5^{-1} 7^{-1} \sum_{M: \Gamma_3/\Gamma_3(2)} M(\chi_{18} / \theta \begin{bmatrix} 000 \\ 100 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 101 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 000 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 001 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 011 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 010 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 111 \end{bmatrix} \theta \begin{bmatrix} 000 \\ 110 \end{bmatrix})^2$ . In the summation, the same term appears  $2^9 3 \cdot 7$  times.

Correction to [19]

- p. 802 line 1 should be read as  $\psi_{10} = \prod_{k: \text{even}} \theta[k]^2$ .
- Sect. 23, (1) should be read as follows:

$$\alpha_4 = \frac{1}{8} \sum_{k: \text{even}} \theta[k]^8 = \sum_{i=1}^{135} ((i)) = \frac{1}{21504} \sum_{M: \Gamma_3/\Gamma_3(2)} M((131) \cap (132)).$$

$$\begin{aligned} \Sigma(1234,5678)^2 &= 8\Sigma D^{1/2}/(12)(34)(56)(78) = \frac{8}{7}\Sigma D^{1/2}/(12)(36)(45)(78) \\ &+ \frac{4}{7}\Sigma (34)(56)D^{1/2}/(12)(78)(35)(46)(36)(45). \end{aligned}$$

- p. 847 line 7 should be read as  $+ 8 \sum_{M: \theta/\Gamma_3(2)} M(((115))^2((135))^2/(21)^4(24)^4)$ .



**Acknowledgements**

The author wishes to express his gratitude to Sonderforschungsbereich 170, Göttingen for the hospitality and for financial support.

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