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R. V. GURJAR

A. R. SHASTRI

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COVERING SPACES OF AN ELLIPTIC SURFACE

R.V. Gurjar and A.R. Shastri

Introduction

Not enough is known about covering spaces of a projective, non-singular variety/ \mathbb{C} of dimension bigger than 1. In this connection, the following question remains unanswered.

“Is the universal covering space of a projective, nonsingular variety/ \mathbb{C} holomorphically convex?”

See [9, Chapter IX] for a discussion of this question. Recall that a complex manifold X is holomorphically convex if given any sequence of points x_1, \dots, x_n, \dots without a limit point, there exists a holomorphic function f on X such that the sequence $f(x_n)$ is unbounded. A compact, complex manifold is clearly holomorphically convex. In this paper, we will prove the following.

THEOREM: *Let S be an irreducible, non-singular, projective surface/ \mathbb{C} with an elliptic fibration $\pi: S \rightarrow \Delta$. If π has at least one singular fibre which is not of the type mI_0 (see §1 for the notation), then any unramified covering of S is holomorphically convex. If all the singular fibres of π are of mI_0 type, then the universal covering space of S is holomorphically convex.*

We will give an example (cf. Morimoto [7], p. 262) of an abelian surface (which is actually a product of elliptic curves) having a regular, unramified cover which is not holomorphically convex. As a corollary of the theorem, we get the following:

Let S be a projective, irreducible, non-singular elliptic surface/ \mathbb{C} such that the elliptic fibration $S \rightarrow \Delta$ has at least one singular fibre which is not of the type mI_0 . Suppose $C \subset S$ is an irreducible curve with $C^2 > 0$. Then the image of the fundamental group of the non-singular model of C has finite index in the fundamental group of S .

In particular if C is rational, then π must have at least one singular fibre not of mI_0 type and hence $\pi_1(S)$ is finite. This result has been conjectured by M. Nori for arbitrary projective, non-singular, irreducible surface. See [8] for some results about this question.

One result in this paper is that the image I , of the fundamental group

of a good fibre of an elliptic fibration $S \rightarrow \Delta$ (having at least one singular fibre not of the type mI_0) in $\pi_1(S)$ is a cyclic group of odd order (I is trivial if $\Delta \approx \mathbb{P}^1$). This fact is crucial for the holomorphic convexity of coverings of S . S. Iitaka has described the fundamental group of an Elliptic Surface in [3]. The extra information about $\pi_1(S)$ given in this paper supplements Iitaka's results.

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§1. Notation and preliminaries

For a compact, complex surface S , we will use the following notation.

$$P_g(S) = \dim H^2(S, \mathcal{O}) = \dim H^0(S, \Omega^2)$$

$$q(S) = \dim H^1(S, \mathcal{O}).$$

We will use the definitions of elliptic surface, multiple fibre, multiplicity of a singular fibre as in K. Kodaira's fundamental papers [4]. Kodaira has described the possible singular fibres of an elliptic fibration $S \rightarrow \Delta$ where S and Δ need not be compact. Only possible multiple fibres are of the type mI_b for $b = 0, 1, \dots$. Here mI_0 stands for an elliptic curve occurring with multiplicity m .

First, let S be an irreducible, projective, non-singular surface/ \mathbb{C} and $S \xrightarrow{\pi} \Delta$ be an elliptic fibration with Δ a compact Riemann surface of genus g . Since π_1 is a birational invariant and the conclusions about holomorphic convexity of coverings of S are preserved after blowing up points on S , we will assume throughout that no fibre of π contains an exceptional curve of the 1st kind.

We will recall some basic results about the neighbourhoods of singular fibres of π . For these, see [5,6]. Let $a \in \Delta$ be a point such that $\pi^*(a)$ is a singular fibre. Choose a small disc D around a in Δ and let $\delta = \partial D$ be the loop going around a once in the counter clock-wise direction. Choose a point $b \in \partial D$. Let $\pi^{-1}(D) = U, \pi^{-1}(b) = E, p \in E, U' = U - F, i: U' \rightarrow U$ be the inclusion map. Then $\pi_1(E) (\approx \mathbb{Z} \oplus \mathbb{Z})$ is a subgroup of $\pi_1(U')$.

LEMMA A [6]:

(1) If F is not of the type mI_h ($h > 0$), then $\pi_1(F) = (1)$, and hence $\pi_1(U) = (1)$, since F is a strong deformation retract of U .

(2) Let F be of the type mI_h . Then \exists loops β, γ in E at p and a loop α in U' at p such that $\pi_{\#}(\alpha) = \delta$ and β, γ generate $\pi_1(E)$. $\pi_1(U')$ is given by

$$\pi_1(U') = \langle \alpha, \beta, \gamma / [\alpha, \beta] = 1 = [\beta, \gamma], [\alpha, \gamma] = \beta^h \rangle.$$

Also $i_{\#}(\alpha^m) \in i_{\#}(\pi_1(E))$.

Further if $h \geq 1$, then $i_{\#}(\beta) = 1$, $\pi_1(F) \approx \mathbb{Z}$ hence $\pi_1(U) \approx \mathbb{Z}$ is generated by $i_{\#}(\alpha)$ and $i_{\#}(\gamma)$. If $m = 1$, then $i_{\#}(\alpha) = 1$.

If F is of the type mI_0 , then $\pi_1(E)$ injects into $\pi_1(U)$ which is isomorphic to $\mathbb{Z} \oplus \mathbb{Z}$.

LEMMA B [5]: Let F be of the type mI_h ($h > 0$). Then there is an elliptic fibration $\tilde{S} \xrightarrow{\tilde{\pi}} \Delta$ such that $\tilde{F} = \tilde{\pi}^*(a)$ is a singular fibre of type ${}_1I_h$ (so, for $h = 0$, \tilde{F} is a good fibre of \tilde{S}) and $\tilde{S} - \tilde{F}$ is complex-analytically isomorphic to $S - F$. Furthermore, the kernels of the homomorphisms $\pi_1(E) \rightarrow \pi_1(U)$ and $\pi_1(\tilde{E}) \rightarrow \pi_1(\tilde{U})$ are the same, where $\tilde{U} = \tilde{\pi}^{-1}(D)$.

In Kodaira's terminology, S is obtained from \tilde{S} by performing a logarithmic transformation in U .

LEMMA C [1]: Let $S \xrightarrow{\pi} \Delta$ be an elliptic fibration with Δ and S compact, as above. Assume π has at least one singular fibre which is not of the type mI_0 . Then any torsion, analytic line bundle on S comes from a divisor supported on the fibres of π . Further, if π has no multiple fibres, then any torsion line bundle on S is the pull-back of a torsion line bundle on Δ .

PROOF: This is essentially proved in Dolgacev's paper [1].

LEMMA D: Let $S \xrightarrow{\pi} \Delta$ be as in Lemma C and assume that π has no multiple fibres. Then $H_1(S, \mathbb{Z})$ is torsion-free.

PROOF: By Lemma C, any analytic, torsion line bundle L on S is of the form $\pi^*(\mathcal{L})$, where \mathcal{L} is a torsion line bundle on Δ . If $H_1(S, \mathbb{Z})$ has torsion, then $H^2(S, \mathbb{Z})$ also has torsion. From the long exact cohomology sequence $\cdots \rightarrow H^1(S, \mathcal{O}) \xrightarrow{\lambda} H^1(S, \mathcal{O}^*) \rightarrow H^2(S, \mathbb{Z}) \rightarrow H^2(S, \mathcal{O}) \rightarrow \cdots$ any torsion-element z in $H^2(S, \mathbb{Z})$ is the 1st chern class of a line bundle L' , $c_1(L') = z$. Suppose $nz = 0$. Then $\exists \omega \in H^1(S, \mathcal{O})$, with $\lambda(\omega) = nL'$. Let $L'' = \lambda(1/n\omega)$, then $nL' = nL''$ in $\text{Pic } S$. But then $n(L) = 0$ where $L = L' - L''$. Also $c_1(L) = c_1(L')$ since $c_1(L'') = 0$. But $L = \pi^*(\mathcal{L})$ where \mathcal{L} is a torsion-line bundle on Δ . $c_1(L) = \pi^*c_1(\mathcal{L})$. But $H^2(\Delta, \mathbb{Z}) \approx \mathbb{Z}$ hence $c_1(\mathcal{L}) = 0$, so $c_1(L) = c_1(L') = 0$ i.e. $z = 0$.

§2. Description of $\pi_1(S)$

Let a_1, \dots, a_r be all the points in Δ for which $\pi^*(a_i)$ is a singular fibre with multiplicity $m_i \geq 1$. Let $\Delta' = \Delta - \{a_1, \dots, a_r\}$ and $S = \pi^{-1}(\Delta')$, $S' \subset S$. For $i = 1, \dots, r$ choose small open discs D_i in Δ around a_i ($D_i \cap D_j = \emptyset$ for $i \neq j$). Choose p_i in $\pi^{-1}(D'_i)$ as a base point for $U_i = \pi^{-1}(D'_i)$ and $U_i = \pi^{-1}(D_i)$. By choosing arcs from p_0 to p_i in S' and conjugating by them we obtain isomorphisms $\pi_1(S', p_i) \approx \pi_1(S', p_0)$ under which we are

going to identify $\pi_1(S', p_i)$ with $\pi_1(S', p_0)$. Then $\pi_1(E_i, p_i)$ gets identified with $\pi_1(E, p_0)$ where E_i is the fibre of π through p_i . If $\alpha_i, \beta_i, \gamma_i$, are chosen in U'_i as in Lemma A, we shall continue to denote their images in $\pi_1(S', p_0)$ also by the same symbols. We also dispense away with writing down base points.

$S' \xrightarrow{\pi} \Delta'$ is a C^∞ -fibration, so we have an exact sequence $1 \rightarrow \pi_1(E) \rightarrow \pi_1(S') \rightarrow \pi_1(\Delta') \rightarrow 1$. Clearly

$$\pi_1(\Delta') = \langle x'_j, y'_j, \delta_1, \dots, \delta_r, 1 \leq j \leq g / \prod_{j=1}^r [x'_j, y'_j] \delta_1 \dots \delta_r = 1 \rangle$$

is a free group and hence the above sequence splits. Choose lifts x_j, y_j , and α_i for x'_j, y'_j and δ_i respectively in $\pi_1(S')$. We can then consider $\pi_1(\Delta')$ as a subgroup of $\pi_1(S')$ generated by $x_j, y_j, \alpha_1, \dots, \alpha_r$.

For a base $\{\beta, \gamma\}$ of $\pi_1(E)$ and any $x \in \pi_1(\Delta')$, write

$$\begin{aligned} x\beta x^{-1} &= a_x \beta + b_x \gamma & a_x d_x - b_x c_x &= \pm 1. \\ x\gamma x^{-1} &= c_x \beta + d_x \gamma \end{aligned} \quad (**)$$

For $1 \leq i \leq s$, let F_i be a fibre of type $m_i I_{h_i}$, with $h_i \geq 1$. For $s+1 \leq i \leq t$, let F_i be of type $m_i I_0$ and for $t+1 \leq i \leq r$ let F_i be a simply-connected singular fibre. By applying Van-Kampen's theorem finitely many times, we obtain $\pi_1(S)$ as the quotient of $\pi_1(S')$ by the following set of relations:

- (i) $\beta_i = 1, \alpha_i^{m_i} = \gamma_i^{n_i}, [\alpha_i, \gamma_i] = 1$ for $1 \leq i \leq s$.
- (ii) $[\alpha_i, \gamma_i] = 1; \alpha_i^{m_i} = \omega_i(\beta_i, \gamma_i)$ for $s+1 \leq i \leq t$, where $\omega_i(\beta_i, \gamma_i)$ are some words in β_i and γ_i .
- (iii) $\alpha_i = \beta_i = \gamma_i = 1$ for $t+1 \leq i \leq r$.

We are now ready to prove:

THEOREM 1: *Let S be an irreducible, projective nonsingular surface/ \mathbb{C} with an elliptic fibration $S \xrightarrow{\pi} \Delta$ over a compact Riemann surface of genus $g \geq 0$. Let I denote the image of $\pi_1(E)$ in $\pi_1(S)$ where E is a nonsingular fibre. Then we have an exact sequence*

$$1 \rightarrow I \rightarrow \pi_1(S) \xrightarrow{\varphi} \Gamma \rightarrow 1 \quad (*)$$

where

$$\Gamma = \langle x_i, y_i, 1 \leq i \leq g, \alpha_j, 1 \leq j \leq r / \prod_{i=1}^r [x_i, y_i] \prod_{j=1}^r \alpha_j = 1, \alpha_j^{m_j} = 1 \rangle$$

If π has at least one singular fibre not of the type mI_0 , then I is a cyclic group of odd order; further if $g = 0$, then $I = (e)$.

PROOF: That we have the exact sequence (*) follows immediately from the considerations above. If π has at least one simply connected singular fibre F_i , $t + 1 \leq i \leq r$, from relations (iii) it follows that $I = (e)$. Hence from now on we shall assume that π has no simply connected fibres, i.e. $r = t$.

Let B be the subgroup of $\pi_1(E)$ generated by β_1, \dots, β_s . By our assumption, $s \geq 1$. Now I is a quotient of $\pi_1(E)/B \approx \mathbb{Z}/(d)$ for some $d \geq 0$. Let $\{\beta, \gamma\}$ be a basis of $\pi_1(E)$ such that $\beta \in B$ and γ generates $\pi_1(E)/B$. From (***) it follows that $\pi_1(S)$ is generated $\gamma, x_j, y_j, 1 \leq j \leq g$ and $\alpha_i, 1 \leq i \leq r$ with the following set of relations:

- (i) $\prod_{j=1}^g [x_j, y_j] \prod_{i=1}^r \alpha_i = 1$
- (ii) $\gamma^d = 1, \gamma^b x = 1, x \gamma x^{-1} = \gamma^d x \quad \forall x \in \pi_1(\Delta')$
- (iii) $[\alpha_i, \gamma] = 1, 1 \leq i \leq r$.
- (iv) $\alpha_i^{m_i} = \gamma^{n_i}$ with $n_i = 0$ if $m_i = 1, 1 \leq i \leq r$.

Let $\tilde{S} \xrightarrow{\pi} \Delta$ be the elliptic fibration as in Lemma B (replacing all the multiple fibres of π by simple singular fibres). If \tilde{B} denotes the subgroup of $\pi_1(E)$ generated by $\tilde{\beta}_i (1 \leq i \leq s)$, then from Lemma B, it follows that $B = \tilde{B}$. Since $\tilde{\pi}$ has no multiple fibres, in the presentation $\pi_1(\tilde{S}), \alpha_i = 1$ for $1 \leq i \leq r$) Thus $\pi_1(\tilde{S})$ is the group

$$\langle \gamma, x_j, y_j, 1 \leq j \leq g / \prod_{j=1}^g [x_j, y_j] = 1, \gamma^d = \gamma^b x = 1, x \gamma x^{-1} = \gamma^d x, \forall x \in \pi_1(\Delta') \rangle$$

If H is the subgroup of integers generated by the integers $d, b_x, d_x - 1$ for $x \in \pi_1(\Delta)$, then it follows that

$$H_1(\tilde{S}, \mathbb{Z}) \approx \mathbb{Z}/_H \oplus H_1(\Delta, \mathbb{Z}).$$

Now $\tilde{\pi}$ has at least one singular fibre of type ${}_1I_h$, so the sheaf \mathcal{G} on \tilde{S} constructed by taking the 1st homology groups (co-efficients in \mathbb{Z}) of regular fibres of $\tilde{\pi}$ is nonconstant. By a result of Kodaira, this implies that $b_1(\tilde{S})$ is even; see [4, Theorem 11.8]. But by Lemma D, $H_1(\tilde{S}, \mathbb{Z})$ is torsion free. Thus $\mathbb{Z}/_H = (0)$. If one of the integers b_x is odd, then clearly the image of $\pi_1(E)$ in $\pi_1(S)$ is a cyclic group of odd order. If b_x is even for all $x \in \pi_1(\Delta')$, then d_x is odd for all x since $a_x d_x - b_x c_x = \pm 1$. But then $d_x - 1$ is even for all x . In this case $\mathbb{Z}/_H$ cannot be trivial unless d is odd. Thus I is a cyclic group of odd order.

If $\Delta \approx \mathbb{P}^1$, then $b_x = 0 = d_x - 1$ for all $x \in \Gamma$, α_i commute with γ . Thus H is generated by d . But then $d = 1$ otherwise $H_1(\tilde{S}, \mathbb{Z})$ will have either odd rank or non-trivial torsion, which is not possible. This completes the proof of the theorem.

§3. Applications

THEOREM 2: *Let S be an irreducible non-singular, projective surface/ \mathbb{C} with an elliptic fibration $S \xrightarrow{\pi} \Delta$.*

- (i) *If π has at least one singular fibre not of the type mI_0 , then any unramified covering of S is holomorphically convex.*
- (ii) *In general, the universal covering space \tilde{S} of S is holomorphically convex.*

REMARK: We will give an example of an abelian surface $S \approx E_1 \times E_2$ with E_i elliptic curves such that S has an infinite sheeted unramified covering \tilde{S} with no non-constant holomorphic functions; in particular \tilde{S} is not holomorphically convex. We shall need the following:

LEMMA E: *Suppose $\Delta = \mathbb{P}^1$ and π has at most two singular fibres and these are of type mI_0 . Then S is birationally a ruled surface and hence its universal covering \tilde{S} is holomorphically convex.*

PROOF: Let $\pi^*(a_i)$ be a singular fibre of type $m_i I_0$, $i = 1, 2$. For the canonical bundle K_S of S , Kodaira has proved the formula

$$K_S \approx \pi^*(\mathbb{P}^1(-2 + \chi(S, \mathcal{O}))) \otimes [P_{a_1}]^{\otimes(m_1-1)} \otimes [P_{a_2}]^{\otimes(m_2-1)}$$

Here P_{a_i} is the divisor such that $\pi^*(a_i) = m_i P_i$ and $[P_{a_i}]$ is the corresponding complex-analytic line bundle.

By Noether's formula $\chi(S, \mathcal{O}) = (K_S^2 + c_2(S))/12$. But $K_S^2 = 0$ for our elliptic surface and $c_2(S)$ is equal to the sum of the topological Euler-characteristics of all the singular fibres of π . Thus $c_2(S)$ is also 0 and $\chi(S, \mathcal{O}) = 0$.

Since any two points in \mathbb{P}^1 are rationally equivalent, we see that

$$\pi^*(\mathbb{P}^1(1)) \approx [P_{a_1}]^{\otimes m_1} \approx [P_{a_2}]^{\otimes m_2}$$

We see easily that $K_S \approx [P_{a_1}]^{-1} \otimes [P_{a_2}]^{-1}$, thus forcing $|nK_S| = \emptyset$ for $n \geq 1$. This means that S is a birationally ruled surface.

Similar argument shows that when π has at most one singular fibre (and that too of type mI_0), S is a birationally ruled surface. To see that \tilde{S} , the universal cover of S is holomorphically convex we can assume that S is a relatively minimal model with a \mathbb{P}^1 -bundle $S \xrightarrow{\Psi} \Delta$. Then $\Delta \neq \mathbb{P}^1$ since

$\chi(S, \mathcal{O}) = 0$. Pulling back the fibration Ψ to the universal cover $\tilde{\Delta}$ of Δ we see that $S \times_{\Delta} \tilde{\Delta}$ is complex analytically isomorphic to $\tilde{\Delta} \times \mathbb{P}^1$, which is holomorphically convex.

PROOF OF THEOREM 2: Let $\sigma: W \rightarrow \Delta$ be the ramified covering with Γ as the group of analytic automorphisms such that $W/\Gamma \approx \Delta$. (In particular W is simply connected). For any subgroup Γ_1 of Γ , the pull back fibration $W/\Gamma_1 \times_{\Delta} S$ over W/Γ_1 , yields an elliptic fibration $X_{\Gamma_1} \rightarrow W/\Gamma_1$ after normalization, such that $X_{\Gamma_1} \rightarrow S$ is the unramified covering corresponding to the subgroup $\pi_1(X_{\Gamma_1}) = \varphi^{-1}(\Gamma_1)$ of $\pi_1(S)$.

To prove (i) let H be any subgroup of $\pi_1(S)$. Put $\Gamma_1 = \varphi(H)$. Then $IH = \varphi^{-1}(\Gamma_1)$. By theorem 1, I is finite and hence H is of finite index in IH . If \tilde{S}_H is the covering of S with $\pi_1(\tilde{S}_H) = H$, then clearly $\tilde{S}_H \rightarrow X_{\Gamma_1}$ is a finite covering. Since $X_{\Gamma_1} \rightarrow W/\Gamma_1$ has compact fibres and W/Γ_1 , being a Riemann surface, is holomorphically convex, it follows that \tilde{S}_H is holomorphically convex.

To prove (ii), by (i) it suffices to consider the case when all singular fibres are of the type mI_0 . By lemma E, we can further assume that either $g > 0$ or $g = 0$ and there are at least three singular fibres. But then one easily checks that $\text{ord}(\alpha_i) = m_i$ in Γ . This implies that the ramification index of σ at $Q \in \sigma^{-1}(a_i)$ is precisely m_i . Hence the fibration $X_{(I)} \rightarrow W$ is non-singular. If W is noncompact then it is contractible. Hence by a theorem of Grauert (see [2]) $X_{(I)} \approx W \times E$, and hence $\tilde{S} \approx W \times \mathbb{C}$. If W is compact then $W \approx \mathbb{P}^1$ and again by lemma E, $X_{(I)}$ is a ruled surface and hence in any case \tilde{S} is holomorphically convex. This completes the proof of theorem 2.

AN EXAMPLE: For any irrational number λ let

$$v_1 = (1, 0), \quad v_2 = (0, 1)$$

$$v_3 = \left(\frac{\log 2}{2\pi i}, \frac{\lambda \log 2}{2\pi i} \right), \quad v_4 = \left(\frac{-\log 2}{2\pi i}, \frac{\lambda \log 2}{2\pi i} \right)$$

$$v'_3 = \left(\frac{\log 2}{\pi i}, 0 \right), \quad v'_4 = \left(0, \frac{\lambda \log 2}{\pi i} \right)$$

be the vectors in $\mathbb{C} \times \mathbb{C}$. For any set A of elements in \mathbb{C} (or $\mathbb{C} \times \mathbb{C}$) let $L[A]$ denote the additive subgroup of \mathbb{C} (or $\mathbb{C} \times \mathbb{C}$) generated by A . Then clearly

$$L[v_1, v_2, v'_3, v'_4] = L[v_1, v'_3] \oplus L[v_2, v'_4]$$

$$\simeq L\left[1, \frac{\log 2}{\pi i}\right] \times L\left[1, \frac{\lambda \log 2}{\pi i}\right]$$

If $E_1 = \mathbb{C}/L[1, \log 2/\pi i]$ and $E_2 = \mathbb{C}/L[1, \lambda \log 2/\pi i]$ are the elliptic curves then it follows that $S = E_1 \times E_2 \simeq \mathbb{C} \times \mathbb{C}/L[v_1, v_2, v'_3, v'_4]$. Since $L[v_1, v_2, v'_3, v'_4]$ is a subgroup of index 2 in $L[v_1, v_2, v_3, v_4]$ it follows that S is a double cover of $X = \mathbb{C} \times \mathbb{C}/L[v_1, v_2, v_3, v_4]$. Let $W = \mathbb{C} \times \mathbb{C}/L[v_1, v_2, v_3]$. Then $W \rightarrow X$ is an infinite cyclic cover. Pulling back this via the double covering $S \rightarrow X$ yields an infinite cyclic cover $\bar{S} \rightarrow S$.

We claim that \bar{S} admits no nonconstant holomorphic function. Since \bar{S} is a double cover of W it suffices to show that W does not admit any nonconstant holomorphic function. Since W is isomorphic to $\mathbb{C}^* \times \mathbb{C}^*/\mathbb{Z}$ where $\mathbb{Z} = \langle g \rangle$ acts on $\mathbb{C}^* \times \mathbb{C}^*$ via,

$$g(z_1, z_2) = (2z_1, 2\lambda z_2)$$

it follows that a holomorphic function f on W is given by a holomorphic function \tilde{f} on $\mathbb{C}^* \times \mathbb{C}^*$ invariant under the \mathbb{Z} -action. Let

$$\tilde{f}(z_1, z_2) = \sum q_{ij} z_1^i z_2^j$$

be the Laurent series for \tilde{f} . It follows that $a_{i,j} = a_{ij} 2^{i+\lambda j}$ for every (i, j) . Thus if $a_{i,j} \neq 0$ then $i + \lambda j = 0$; since λ is irrational this means $(i, j) = (0, 0)$. Thus \tilde{f} and hence f is a constant.

As a corollary of Theorem 2 we prove the

PROPOSITION: *Let S be an irreducible, non-singular projective surface with an elliptic fibration $S \xrightarrow{\pi} \Delta$. Let $C \subset S$ be an irreducible, complete curve with $C^2 > 0$. Assume that $\chi(S, \mathcal{O}) > 0$. Then $[\pi_1(S); \text{Im } \pi_1(\bar{C})] < \infty$, where $\bar{C} \rightarrow C$ is the non-singular model of C .*

CROLLARY: *If C is rational, then $\pi_1(S)$ is finite.*

REMARKS:

- (1) If C is rational, we will show later that $q(S) = 0$ and hence $\chi(S, \mathcal{O}) > 0$.
- (2) M.V. Nori has given an example of an elliptic fibration $S \rightarrow \Delta$ with $\chi(S, \mathcal{O}) = 0$ and an irreducible curve $C \subset S$ with $C^2 > 0$ such that $[\pi_1(S); \text{Im } \pi_1(C)] = \infty$.
- (3) The arguments in the proof of the proposition show that if we delete the condition $C^2 > 0$, then we can still conclude that $[\text{Im } \pi_1(C); \text{Im } \pi_1(\bar{C})] < \infty$ in $\pi_1(S)$. Further, if C is a connected chain of rational curves then we can conclude that $\text{Im } \pi_1(C)$ is finite in $\pi_1(S)$.

PROOF OF THE PROPOSITION: By the argument at the end of Proof of Lemma E $\chi(S, \mathcal{O}) > 0$ implies that π has at least one singular fibre not of

the type mI_0 . Let $H = \text{Im } \pi_1(\bar{C}) \subset \pi_1(S)$. Consider the covering $\tilde{S} \xrightarrow{\varphi} S$ such that $\varphi_*\pi_1(\tilde{S}) = H$. By Theorem 2, \tilde{S} is holomorphically convex. Since $C^2 > 0$, it can be shown that $\pi_1(C) \rightarrow \pi_1(S)$ is surjective. See [8] for a proof. Hence $\varphi^{-1}(C)$ is a connected curve on \tilde{S} . Also, by construction, $\bar{C} \rightarrow S$ lifts to $\bar{C} \rightarrow \tilde{S}$. Let $\varphi^{-1}(C) = \bigcup_{i=1}^r C_i$ where C_1, \dots, C_r are the irreducible components of $\varphi^{-1}(C)$. Corresponding to each C_i , \exists a unique lift of the map $\bar{C} \rightarrow S$ to $\bar{C} \rightarrow \tilde{S}$ which has C_i as the image of \bar{C} . Hence each C_i is compact. Choose points $x_i \in C_i$. If the set $\{x_1, x_2, \dots\}$ is infinite, it has no limit point. In this case \exists a holomorphic function f such that $f(x_n)$ is unbounded as $n \rightarrow \infty$. But since each C_i is compact and $\bigcup C_i$ is connected, f has to be constant on $\bigcup C_i$. This means r is finite and the covering $\tilde{S} \rightarrow S$ is of finite degree i.e. $[\pi_1(S) : \text{Im } \pi_1(\bar{C})] < \infty$.

For the proof of the corollary, we need the following.

LEMMA: *If S is a non-singular, irreducible, projective surface and $C \subset S$ an irreducible, complete, rational curve with $C^2 > 0$, then $q(S) = 0$.*

PROOF: There are several proofs of this result. We will give one due to M.P. Murthy.

Let $S \xrightarrow{\Psi} Alb S$ be the morphism from S to its Albanese variety. Clearly $\Psi(C)$ is a point. If the image of S in $Alb S$ is a surface V , then by the negative definiteness of the intersection form on the inverse image of a point of V , C^2 will have to be negative, which is not true. If $\varphi(S)$ is a curve, then the intersection form on any fibre of the map $S \rightarrow \Psi(S)$ can be seen to be negative semi-definite. This shows that $Alb S$ is a point i.e. $q(S) = 0$.

REMARK:

(1) From the classification of algebraic surface, we see now that for any surface of special type, the universal covering space is holomorphically convex (because for ruled, rational, $K - 3$, abelian surfaces this is easy to verify and all Enriques surfaces are known to have elliptic fibrations).

(2) In the above Proposition, when C is rational, it can be shown that the only possible fundamental groups of S are finite cyclic group, dihedral group of $2n$ elements, tetrahedral group with 12 elements, octahedral group with 24 elements or icosahedral group on 60 elements.

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School of Mathematics
Tata Institute of Fundamental Research
Homi Bhabha Road
Bombay 400 005
India