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p-ADIC *L*-FUNCTIONS FOR ELLIPTIC CURVES WITH COMPLEX MULTIPLICATION I

Pierrette Cassou-Noguès*

1. Introduction

Let K be an imaginary quadratic field with class number one, lying inside the complex field C, and O the ring of integers of K. Let E be an elliptic curve defined over K, whose ring of endomorphisms is isomorphic to O. Since K has class number 1, we can choose a Weierstraß model for E

(1)
$$y^2 = 4x^3 - g_2x - g_3$$

where g_2 and g_3 belong to \mathcal{O} , and where the discriminant of (1) is divisible only by the primes of K where E has a bad reduction, and possibly by the primes of K above 2 and 3. Let $\mathfrak{p}(z)$ be the associated Weierstraß function and L its period lattice. As K has class number one, we can choose $\Omega \in L$ such that $L = \Omega \mathcal{O}$. We fix, once and for all, an algebraic closure \overline{K} of K, which we suppose lies inside the complex field C.

Let S be the set of rational primes consisting of 2, 3, and all q such that E has a bad reduction at at least one prime of K above q. For the rest of the paper, we shall assume that p is a rational prime, not in S, which splits in K, say $(p) = \mathfrak{p}\mathfrak{p}$. We write $K_{\mathfrak{p}}$ for the completion of K at \mathfrak{p} , $\mathcal{O}_{\mathfrak{p}}$ the ring of integers of $K_{\mathfrak{p}}$, and $C_{\mathfrak{p}}$ for the completion of an algebraic closure

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of K_p . We assume that we are given a fixed prime β of \overline{K} lying above p, or, what amounts to the same thing, an embedding τ of \overline{K} into C_p .

The aim of the present paper is to prove the existence of β -adic *L*-functions attached to *E* and certain abelian extensions of *K*, and to give several arithmetic applications of these. Functions of this type have already been constructed by Katz [9], [10], Manin-Vishik [15], and Lichtenbaum [12]. In fact, much of our construction has been based on an earlier version of Lichtenbaum's paper [12], and we wish to make quite clear our indebtedness to his work. We do, however, go further than [12] both in defining β -adic *L*-functions for a wider class of abelian extensions of *K*, and in the arithmetic applications we give. Also, we shall treat the case in which the class number of *K* is greater than 1 by similar methods in a later paper. The present paper should be viewed as an introduction to our later work.

Finally, I wish to thank J. Coates for helpful suggestions on this work.

1. Results used from elsewhere

In this section we summarize, without proofs, a number of results from related papers, which will be used in our construction of the β -adic L-functions. We use the notation in the introduction.

Let \hat{E} be the formal group giving the kernel of reduction modulo \mathfrak{p} on the curve E; for a detailed discussion of this, see [19], p. 42. A local parameter for \hat{E} is given by t = -2x/y, where x and y are the coordinates of the model (1) of E. Since p splits in K, it is easy to see that \hat{E} has height one. Let T be the completion of the maximal unramified extension of $K_{\mathfrak{p}}$, and \mathcal{O}_T the ring of integers of T. It is shown in [13] that every formal group of height 1 defined over \mathcal{O}_T is isomorphic over \mathcal{O}_T to the formal multiplicative group G_m . From this fact, it is easy to deduce the following lemma. Let z be given by $t = -2\mathfrak{p}(z)/\mathfrak{p}'(z)$. Thus we can view z as the parameter of the formal additive group G_a .

LEMMA 1: There exists $g(X) \in \mathcal{O}_T[[X]]$, and $\gamma \in \mathcal{O}_T^x$, such that $t = g(e^{\gamma z} - 1)$.

Here $\mathcal{O}_T[[X]]$ denotes the ring of formal power series in X with coefficients in \mathcal{O}_T .

If \mathcal{L} is any lattice in the complex plane, we define, as usual

$$\sigma(z, \mathscr{L}) = z \prod_{\substack{\omega \in \mathscr{L} \\ \omega \neq 0}} \left(1 - \frac{z}{\omega} \right) e^{(z/\omega) + (1/2)(z/\omega)^2}$$

and put

$$\theta(z,\mathscr{L}) = \Delta(\mathscr{L})e^{-6s_2(\mathscr{L})z^2}\sigma(z,\mathscr{L})^{12}$$

where $\Delta(\mathcal{L})$ is the discriminant function of \mathcal{L} , and

$$s_2(\mathscr{L}) = \lim_{\substack{s \to 0 \\ s > 0 \\ \omega \neq 0}} \sum_{\substack{\omega \in \mathscr{L} \\ \omega \neq 0}} \omega^{-2} |\omega|^{-2s}.$$

If a is any integral ideal of K, we define

(2)
$$\Theta(z, \mathfrak{a}) = \theta(z, L)^{N\mathfrak{a}}/\theta(z, \mathfrak{a}^{-1}L)$$

where $N\mathfrak{a}$ is the absolute norm of \mathfrak{a} . In fact, as is shown in Robert [16], $\Theta(z, \mathfrak{a})$ is an elliptic function for the lattice L.

Assume now that H is an arbitrary finite abelian extension of K. Let ψ be the Grössencharacter of E over K. We define b to be the least common multiple of the conductor of ψ and the conductor of H/K. Let h be a generator of the ideal b and define $\rho = \Omega/h$. Let E_b be the group of b-division-points on E. By Lemma 2 of [1], $K(E_b)$ is the ray class field of K modulo b. We now choose and fix a set B of integral ideals of K, which are prime to b, and which are such that $\{(b, K(E_b)/K); b \in B\}$ is precisely the Galois group of $K(E_b)/H$; here $(b, K(E_b)/K)$ denotes the Artin symbol of b for $K(E_b)/K$. If α is an integral ideal of K, we define

$$\Lambda(z,\mathfrak{a})=\prod_{\mathfrak{b}\in B} \Theta(z+\psi(\mathfrak{b})\rho,\mathfrak{a})$$

It is shown in [1] (cf. Lemma 7) that $\Lambda(z, \mathfrak{a})$ is a rational function of $\mathfrak{p}(z)$ and $\mathfrak{p}'(z)$ with coefficients in *H*. If σ is an element of the Galois group of *H* over *K*, we write $\Lambda_{\sigma}(z, \mathfrak{a})$ for the rational function of $\mathfrak{p}(z)$ and $\mathfrak{p}'(z)$, which is obtained by letting σ act on the coefficients of $\Lambda(z, \mathfrak{a})$.

If c is an integral ideal of K, prime to the conductor of H/K, we write σ_c for the Artin symbol (c, H/K). Let k be an integer ≥ 1 . We introduce the partial Hecke L-function, for each σ in the Galois group of H over K,

Pierrette Cassou-Noguès

$$\zeta_H(\sigma, k; s) = \sum_{\substack{(\mathfrak{a}, \mathfrak{b})=1\\\sigma_\mathfrak{a}=\sigma}} \frac{\psi^k(\mathfrak{a})}{(N\mathfrak{a})^s},$$

where the summation is over all integral ideals a of K, prime to \mathfrak{h} , such that $\sigma_{\mathfrak{a}} = \sigma$. It can be shown that $\zeta_H(\sigma, k; s)$ can be analytically continued over the whole complex plane, and we write $\zeta_H(\sigma, k)$ for its value at s = k. The following lemma is proven in [1]:

LEMMA 2: For each $\sigma \in G(H/K)$, we have

$$z \frac{d}{dz} \log \Lambda_{\sigma}(z, \mathfrak{a}) = \sum_{k=1}^{\infty} c_k(\mathfrak{a}, \sigma) z^k$$

where, for $k \ge 1$

$$c_k(\mathfrak{a},\sigma) = 12(-1)^{k-1}\rho^{-k}(N\mathfrak{a}\zeta_H(\sigma,k) - \psi^k(\mathfrak{a})\zeta_H(\sigma\sigma_\mathfrak{a},k)).$$

Here a is any integral ideal of K, prime to b.

Finally we recall some basic facts about Leopoldt's Γ -transform (see [12]). Let M be any complete subfield of C_p . Let Q_M be the set of power series $\sum_{n=0}^{\infty} a_n x^n$ in M[[x]] such that $\lim_{n \to \infty} |a_n n| = 0$, where | | denotes the valuation of C_p . Let C_M be the set of continuous functions from \mathbb{Z}_p to M. Then both Q_M and C_M are Banach algebras with the norms $\sup_n |n!a_n|$ and $\max_{z \in \mathbb{Z}_p} |f(z)|$, respectively. Let α be a residue class mod (p-1). Following Leopoldt [11], Lichtenbaum has shown in [12] that one can define the Γ^{α} -transform. For the precise definition, see [12]. We simply note that Γ^{α} is a bounded linear map from Q_M to C_M . The following is a key lemma about Γ^{α} .

LEMMA 3: Given $A(X) \in Q_M$, define

$$\tilde{A}(X) = A(X) - \frac{1}{p} \sum_{\zeta} A(\zeta(X+1) - 1)$$

where ζ ranges over all p-th roots of unity. If k is an integer ≥ 0 with $k \equiv \alpha \mod p - 1$, then

$$\Gamma^{\alpha}(A)(k) = \frac{d^k}{dz^k} \tilde{A}(e^z - 1) \Big|_{z=0}.$$

Let \mathcal{O}_M be the ring of integers of M. Given a power series $f(X) \in \mathcal{O}_M[[X]]$, we can obtain a function $f^* \in C_M$ by $f^*(s) = f((1+p)^s - 1)$. We call f^* an *Iwasawa function* in C_M . Another basic result about Γ^{α} is the following (see [12]). If $A(X) \in \mathcal{O}_M[[X]]$, then $\Gamma^{\alpha}(A)(s)$ is an Iwasawa function.

II. \$-adic L-functions

As before, let M denote a complete subfield of \mathbb{C}_p , and \mathcal{O}_M the ring of integers of M. We suppose, for simplicity, that M contains the field T, which is the completion of the maximal unramified extension of K_p . By Lemma 1, there exists a power series $g(X) \in \mathcal{O}_T[[X]]$, and $\gamma \in \mathcal{O}_T^x$, such that $t = g(e^{\gamma z} - 1)$. In fact, g(X) defines an isomorphism from G_m to \hat{E} . Let \hat{E}_{π} , where $\pi = \psi(\mathfrak{p})$ be the kernel of the endomorphism $[\pi]$ of \hat{E} . Given $A(t) \in \mathcal{O}_M[[t]]$, we define, as before,

$$\tilde{A}(t) = A(t) - \frac{1}{p} \sum_{\zeta} A(\zeta(t+1) - 1),$$

where ζ runs over all *p*-th roots of unity in C_p.

LEMMA 4: Let $B(t) \in \mathcal{O}_M[[t]]$, and define A(X) = B(g(X)). Then, for each integer $k \ge 0$, we have

$$\left(\frac{d}{dz}\right)^k \tilde{A}(e^z-1)\Big|_{z=0} = \gamma^{-k} \left(\frac{d}{dz}\right)^k \left\{ (B(t) - \frac{1}{p} \sum_{\eta \in \hat{E}_{\pi}} B(t * \eta)) \right\}_{t=0}$$

here $t * \eta$ denotes the sum of t and η on \hat{E} .

PROOF: Since $t = g(e^{\gamma z} - 1)$ and $\eta = g(\zeta - 1)$, it follows from the fact that g is an isomorphism from G_m to \hat{E} that $t * \eta = g(\zeta e^{\gamma z} - 1)$ (note that $\zeta e^{\gamma z} - 1$ is the product of $\zeta - 1$ and $e^{\gamma z} - 1$ on G_m). Hence

$$\left(\frac{d}{dz}\right)^k B(g(\zeta e^z - 1)) = \gamma^{-k} \left(\frac{d}{dz}\right)^k B(g(\zeta e^{\gamma z} - 1))$$
$$= \gamma^{-k} \left(\frac{d}{dz}\right)^k B(t * \eta).$$

It is clear that η ranges over \hat{E}_{π} as ζ runs over the *p*-th roots of unity. Then the assertion of the lemma is clear.

As in \$.1, let H be an arbitrary finite abelian extension of K and

write G = G(H/K). We assume now that p is prime to 2, 3 and \mathfrak{h} , where \mathfrak{h} is the least common multiple of the conductor of H/K and the conductor of ψ . Let \mathfrak{a} be an integral ideal of K, which is prime to \mathfrak{h} , and let $\Lambda(z, \mathfrak{a})$ be as defined in §.1. The prime \mathfrak{p} of \overline{K} determines a prime \mathfrak{P} of H lying above \mathfrak{p} .

LEMMA 5: Let $\sigma \in G$. In terms of the parameter $t = -2\mathfrak{p}(z)/\mathfrak{p}'(z)$, the function

$$\frac{d}{dz}\log\Lambda_{\sigma}(z,\mathfrak{a})$$

has an expansion whose coefficients all belong to $\mathcal{O}_{\mathfrak{P}}$, the ring of integers of the completion of H at \mathfrak{P} .

PROOF: By Lemma 11 of [1], $\Lambda_{\sigma}(z, \mathfrak{a})$ has a power series expansion $\sum_{k=0}^{\infty} h_k(\mathfrak{a}, \sigma) t^k$, where the $h_k(\mathfrak{a}, \sigma)$ belong to $\mathcal{O}_{\mathfrak{B}}$, and $h_0(\mathfrak{a}, \sigma)$ is a unit in $\mathcal{O}_{\mathfrak{B}}$. It follows that the logarithmic derivative, with respect to t, of this power series also belongs to $\mathcal{O}_{\mathfrak{B}}[[t]]$. Now we can write $z = \lambda(t)$, where λ is the logarithm map of \hat{E} . It is well known that $\lambda'(t)$ is a power series with coefficients in Z_p and leading coefficient 1. Thus $1/(\lambda'(t))$ also belongs to $Z_p[[t]]$, and the assertion of Lemma 5 follows by the chain rule for differentiation.

LEMMA 6: Let n be an integer ≥ 0 . There exists $c \in \mathbb{C}$ such that

(3)
$$\prod_{q} \Theta(z+q,\mathfrak{a}) = c \Theta(\pi^{n} z,\mathfrak{a}),$$

where the product on the left is taken over a set of representatives modulo L of the π^n -division points of L.

PROOF: Both sides of (3) are elliptic functions for the lattice L, and so it suffices to verify that the two sides have the same zeros and poles. The zeros of $\Theta(z, \mathfrak{a})$ occur precisely at the elements of L each with the multiplicity $12(N\mathfrak{a} - 1)$. Similarly, the poles of $\Theta(z, \mathfrak{a})$ are each of order 12, and occur precisely at the elements of $\mathfrak{a}^{-1}L$ which are not in L. Using these remarks, one immediately concludes that the right and left sides of (3) have the same zeros and poles, as required.

LEMMA 7: Let $\sigma \in G$, and let n be an integer ≥ 0 . There exists $C \in \mathbb{C}$ such that

p-Adic *L*-functions for elliptic curves

(4)
$$\prod_{q} \Lambda_{\sigma}(z+q,\mathfrak{a}) = C\Lambda_{\sigma\sigma_{\mathfrak{p}}^{\mathfrak{n}}}(\pi^{n}z,\mathfrak{a})$$

where the product on the left is taken over a set of representatives modulo L of the π^n -division points of L.

PROOF: Let $\sigma = \sigma_c$, where c is an integral ideal of K prime to \mathfrak{h} . Then it is shown in the proof of Lemma 8 of [1] that

$$\Lambda_{\sigma}(z,\mathfrak{a})=\prod_{\mathfrak{b}\in B} \Theta(z+\psi(\mathfrak{b}\mathfrak{c})\rho,\mathfrak{a}).$$

On the other hand, recalling that $\pi = \psi(\mathfrak{p})$, it follows from (3) that

$$\prod_{q} \Theta(z+q+\psi(\mathfrak{b}\mathfrak{c})\rho,\mathfrak{a}) = \Theta(\pi^{n}z+\psi(\mathfrak{b}\mathfrak{p}^{n}\mathfrak{c})\rho,\mathfrak{a}).$$

Taking the product of both sides of this equation over the $b \in B$, and using (5) with c replaced by cp^n , the assertion of Lemma 7 follows.

We now apply Lemma 4 with $B_{\sigma}(t)$ given by the expansion in t of $\frac{d}{dz} \log \Lambda_{\sigma}(z, \mathfrak{a})$. By Lemma 5, this expansion does, in fact, belong to $\mathcal{O}_{T}[[t]]$. Taking the logarithm derivative with respect to z of both sides of (4), we conclude that

$$\left(\frac{d}{dz}\right)^{k} \left(\sum_{\eta \in \hat{E}_{\pi}} B_{\sigma}(t * \eta)\right)_{z=0} = \left(\frac{d}{dz}\right)^{k+1} \log \Lambda_{\sigma\sigma_{\eta}}(\pi z, \mathfrak{a})\Big|_{z=0}$$

Hence, if $A_{\sigma}(X) = B_{\sigma}(g(X))$, Lemma 4 implies that

$$\left(\frac{d}{dz}\right)^{k}\tilde{A}_{\sigma}(e^{z}-1)_{z=0}=\gamma^{-k}\left(\frac{d}{dz}\right)^{k+1}\left\{\left(\log\Lambda_{\sigma}(z,\mathfrak{a})-\frac{1}{p}\log\Lambda_{\sigma\sigma_{\mathfrak{p}}}(\pi z,\mathfrak{a})\right)\right\}_{z=0}.$$

Thus, in view of Lemma 2 and 3, we have established the following result. Write $\lambda_k = 12(-1)^{k-1}\rho^{-k}(k-1)!$. Let α fixed be a residue class mod (p-1). We define

(6)
$$\zeta_{H,\mathfrak{p}}(\sigma,k) = \zeta_H(\sigma,k) - \frac{\psi^k(\mathfrak{p})}{N\mathfrak{p}} \zeta_H(\sigma\sigma_{\mathfrak{p}},k).$$

THEOREM 8: Let $B_{\sigma}(t) = B(t, \sigma, \mathfrak{a})$ be the expansion in t of $\frac{d}{dz} \log \Lambda_{\sigma}(z, \mathfrak{a})$. Put $A_{\sigma}(t) = B_{\sigma}(g(t))$. Then for all integers $k \ge 0$ with $k \equiv \alpha \mod (p-1)$, we have

[7]

Pierrette Cassou-Noguès

$$\Gamma^{\alpha}(A_{\sigma})(k) = \gamma^{-k}\lambda_{k+1}(N\mathfrak{a}\zeta_{H,\mathfrak{p}}(\sigma, k+1) - \psi^{k+1}(\mathfrak{a})\zeta_{H,\mathfrak{p}}(\sigma\sigma_{\mathfrak{a}}, k+1)).$$

We now use Theorem 8 to construct β -adic *L*-functions. Suppose χ is a homomorphism of *G* into \overline{K} . Replacing *H* by the fixed field of the kernel of χ if necessary, we can assume that the kernel of χ is trivial.

Let us denote also by χ the homomorphism of G into $\mathbb{C}^*_{\mathfrak{p}}$ given by $\tau \circ \chi$. For each integer $k \ge 1$, we define the number $\Omega^{-k}L(\tilde{\psi}^{-k}\chi^{-1}, k)$ in $\mathbb{C}_{\mathfrak{p}}$ by

(7)
$$\Omega^{-k}L(\bar{\psi}^k\chi^{-1},k) = \sum_{\sigma \in G} \chi^{-1}(\sigma)\zeta_H(\sigma,k)\Omega^{-k}$$

Let $\mathcal{O}_{T,\chi}$ be the ring of integers of the field obtained by adjoining the values of χ to T, and write $\Lambda_{\chi} = \mathcal{O}_{T,\chi}[[X]]$.

Now take \mathfrak{a} an integral ideal in K, prime to \mathfrak{h} and \mathfrak{p} , and let $A_{\sigma}(t) = A_{\sigma}(t, \mathfrak{a})$ be the power series in t, which is defined in Theorem 8. Let α be an arbitrary residue class modulo (p-1). It follows from Lemma 5 that there is a power series $r_{\alpha}(X; \chi, \mathfrak{a})$ in Λ_{χ} such that

(8)
$$r_{\alpha}((1+p)^{s}-1;\chi,\mathfrak{a}) = \sum_{\sigma \in G} \chi^{-1}(\sigma) \Gamma^{\alpha-1}(A_{\sigma})(-s)$$

for all s in \mathbb{Z}_p .

LEMMA 9: For all integers $k \ge 0$, with $k \equiv \alpha - 1 \mod (p - 1)$, we have

$$r_{\alpha}((1+p)^{-k}-1;\chi,\mathfrak{a}) = \gamma^{-k}\lambda_{k+1}(N\mathfrak{a}-\psi^{k+1}(\mathfrak{a})\chi(\mathfrak{a}))$$
$$\times \left(1-\frac{\chi(\mathfrak{p})\psi^{k+1}(\mathfrak{p})}{N\mathfrak{p}}\right)L(\chi^{-1}\psi^{-k+1},k+1).$$

PROOF: This is immediate from Theorem 8 and the definitions (7) and (8).

If x is a unit in $K_{\mathfrak{p}}$, we write as usual $x = \omega(x)\langle x \rangle$, where $\omega(x)$ is a (p-1)-th root of unity, and $\langle x \rangle \equiv 1 \mod \mathfrak{p}$. Since $\psi(\mathfrak{a})$ generates the ideal \mathfrak{a} , and \mathfrak{a} is prime to \mathfrak{p} by hypothesis, the number $\psi(\mathfrak{a})$ is a unit in $K_{\mathfrak{p}}$ when viewed under the canonical inclusion of K in $K_{\mathfrak{p}}$. Define $\beta(\mathfrak{a})$ in Z_p by the equation

$$\langle \psi(\mathfrak{a}) \rangle = (1+p)^{\beta(\mathfrak{a})}$$

and $a_{\alpha}(X; \chi, \mathfrak{a})$ by

(9)
$$a_{\alpha}(X;\chi,\mathfrak{a}) = N\mathfrak{a} - \psi(\mathfrak{a})\chi(\mathfrak{a})\omega(\psi(\mathfrak{a}))^{\alpha-1}(1+X)^{-\beta(\mathfrak{a})}.$$

It is clear that for all integers $k \ge 0$ with $k \equiv \alpha - 1 \mod(p-1)$, we have

$$a_{\alpha}((1+p)^{-k}-1;\chi,\mathfrak{a})=N\mathfrak{a}-\psi^{k+1}(\mathfrak{a})\chi(\mathfrak{a}).$$

Since $a \neq 1$ and $\psi(a)$ generates a, it is easy to see that $a_{\alpha}(X; \chi, a)$ is not identically zero.

Define

(10)
$$f_{\alpha}(X;\chi,\mathfrak{a}) = \frac{r_{\alpha}(X;\chi,\mathfrak{a})}{a_{\alpha}(X;\chi,\mathfrak{a})}.$$

For $\lambda \in K$, let $S(\lambda)$ denote the trace, from K to Q, of α . Let \mathcal{D} be the different of K and d its discriminant. Let \mathfrak{h}_0 be the conductor of χ and $\mathfrak{h}_0^{-1}\mathcal{D}^{-1} = (\delta_0)$. We choose once for all δ_0 so that $\delta_0\sqrt{d}$ has exact denominator \mathfrak{h}_0 . Put, [18], when χ is a proper character

$$T(\bar{\chi}) = \sum_{\lambda \bmod \mathfrak{h}_0} \bar{\chi}(\lambda) e^{2\pi i S(\lambda \delta_0)}$$

where λ runs through a full system of representatives of residue classes mod \mathfrak{h}_0 . $T(\overline{\chi})$ is different from zero.

Let w_{b} be the number of roots of unity in K congruent to 1 mod \mathfrak{h} .

Let θ be the canonical character giving the action of $G(H(E_p)/K)$ on the group E_p of p-division points on E. We define the p-adic L-functions $L_p(\chi \theta^{\alpha}, s)$ by

(11)
$$L_{\mathfrak{p}}(\chi\theta^{\alpha},s) = \frac{1}{T(\bar{\chi})\sqrt{d}w_{\mathfrak{q}}}f_{\alpha}((1+p)^{s}-1;\chi,\mathfrak{a}).$$

(Here \mathfrak{g} is the least common multiple of the conductor of $\chi \theta^{\alpha}$ and \mathfrak{f} .) Now if H = K, $\chi = \chi_0$ is the trivial character with conductor (1). We take $T(\chi_0) = 1$ we consider as before $r_{\alpha}(X;\chi_0,\mathfrak{a})$, $a_{\alpha}(X;\chi_0,\mathfrak{a})$, $f_{\alpha}(X;\chi_0,\mathfrak{a})$ and we define

(12)
$$L_{\mathfrak{p}}(\theta^{\alpha}, s) = \frac{1}{\sqrt{d}w_{\mathfrak{p}\mathfrak{f}}}f_{\alpha}((1+p)^{s}-1;\chi_{0},\mathfrak{a}).$$

THEOREM 10: For all integers $k \ge 0$, $k \equiv \alpha - 1 \mod(p-1)$ we have

[9]

Pierrette Cassou-Noguès

(13)
$$L_{\mathfrak{p}}(\chi\theta^{\alpha},-k) = \frac{\gamma^{-k}\lambda_{k+1}}{T(\bar{\chi})w_{\mathfrak{q}}\sqrt{d}} \left(1 - \frac{\chi(\mathfrak{p})\psi^{k+1}(\mathfrak{p})}{N\mathfrak{p}}\right) L(\bar{\chi}^{1}\bar{\psi}^{k+1},k+1).$$

and

(14)
$$L_{\mathfrak{p}}(\theta^{\alpha},-k) = \frac{\gamma^{-k}\lambda_{k+1}}{w_{\mathfrak{q}}\sqrt{d}} \left(1-\frac{\psi^{k+1}(\mathfrak{p})}{N\mathfrak{p}}\right) L(\bar{\psi}^{k+1},k+1).$$

REMARKS:

1) The functions $L_{\mathfrak{p}}(\theta^{\alpha}, s)$ have been also constructed in [5].

2) The factor $\left(1 - \frac{\chi(\mathfrak{p})\psi^{k+1}(\mathfrak{p})}{N\mathfrak{p}}\right)$ is the Euler factor of \mathfrak{p} in the Euler product of $L(\chi\psi^{k+1}, 1)$. In fact $L(\chi^{-1}\psi^{k+1}, k+1)$ and $L(\chi\psi^{k+1}, 1)$ are linked by the functional equation of $L(\chi^{-1}\overline{\psi}^{k+1}, s)$ [7].

3) We have chosen this normalisation of $L(\chi \theta^{\alpha}, s)$ because in §.III, we want to give a formula for $L_{p}(\chi \theta^{\alpha}, 1)$, which will be an analogue of the classical complex formula for $L(\chi \psi^{0}, 1)$ (see the above remark), arising from Kronecker's limit formula [18].

4) We can choose an a such that $a_{\alpha}(X;\chi, \mathfrak{a})$ is a unit in Λ_{χ} . Let *e* denote a generator of the ideal $12\mathfrak{h} \cap \mathbb{Z}$. Choose *n* to be a rational integer, prime to *p*, such that $(1 + ne\pi)$ is not divisible by \mathfrak{p} and take $\mathfrak{a} = (1 + ne\pi)$. Then $N\mathfrak{a} \neq 1 \mod p$; also $\sigma_{\mathfrak{a}} = 1$ because the conductor of H/K divides *e*, and $\psi^k(\mathfrak{a}) = (1 + ne\pi)^k$. Then $\psi^k(\mathfrak{a}) \equiv 1 \mod \mathfrak{p}$ because the conductor of ψ divides *e*. Then $f_{\alpha}(X;\chi,\mathfrak{a})$ belongs to Λ_{χ} even when $\chi = \chi_0$ is trivial. Moreover as the right hand side of (13) and (14) is independent of the choice of \mathfrak{a} , and $f_{\alpha}((1 + p)^s - 1;\chi,\mathfrak{a})$ is a continuous function, it follows that $L_{\mathfrak{p}}(\chi \theta^{\alpha}, s)$ and $L_{\mathfrak{p}}(\theta^{\alpha}, s)$ are Iwas-awa functions independent of \mathfrak{a} .

III. Leopoldt's formula

Now we will compute the value $L_{\mathfrak{p}}(\chi\theta^{\alpha}, 1)$ to get an analogue of Leopoldt's formula and we will see that it is a \mathfrak{p} -adic analogue of the complex formula for $L(\chi\psi^{0}\theta^{\alpha}, 1)$.

An important role here is played by the elliptic units of Robert [16]. Let \mathfrak{h} be an arbitrary integral ideal of K. We denote by \mathscr{P} a pair $(\mathscr{A}, \mathscr{N})$ where $\mathscr{A} = \{\mathfrak{a}_j, j \in J\}$ and $\mathscr{N} = \{n_j, j \in J\}$; here J is an arbitrary finite index set and \mathfrak{a}_j are integral ideals of K, prime to S and $(p)\mathfrak{h}$, and the n_j are rational integers satisfying $\sum_{j \in J} n_j (N\mathfrak{a}_j - 1) = 0$. Given such a pair \mathscr{P} , we put

$$\boldsymbol{\Theta}(z, \boldsymbol{\mathcal{P}}) = \prod_{j \in J} \boldsymbol{\Theta}(z, \boldsymbol{\mathfrak{a}}_j)^{n_j}$$

where $\Theta(z, \mathfrak{a}_j)$ is defined in the first part. Let ρ be a \mathfrak{h} -division point on *E*. Then Robert has shown that $\Theta(\rho, \mathcal{P})$ is a unit in $K(E_{\mathfrak{h}})$.

1) Leopoldt's formula

Recall that we have defined

$$L_{\mathfrak{p}}(\chi \theta^{\alpha}, s) = \frac{1}{T(\bar{\chi}) w_{\mathfrak{q}} \sqrt{d}} f_{\alpha}((1+p)^{s}-1; \chi, \mathfrak{a})$$

and

$$L_{\mathfrak{p}}(\theta^{\alpha}, s) = \frac{1}{w_{\mathfrak{q}}\sqrt{d}} f_{\alpha}((1+p)^{s}-1; \chi, \mathfrak{a})$$

where \mathfrak{g} is the least common multiple of the conductor of $\chi \theta^{\alpha}$ (resp. θ^{α}) and \mathfrak{f} .

This formula is not convenient for studying the value $L_{p}(\chi \theta^{\alpha}, 1)$. We will find another one.

Let \mathcal{P} a pair as before (for the ideal \mathfrak{h} least common multiple of the conductor of χ and \mathfrak{f}). For each $\sigma \in G(H/K)$, let:

$$\Lambda_{\sigma}(z,\mathscr{P})=\prod_{j\in J}\Lambda_{\sigma}(z,\mathfrak{a}_{j})^{n_{j}}.$$

In terms of the parameter $t = -2\mathfrak{p}(z)/\mathfrak{p}'(z)$ of \hat{E} , $\Lambda_{\sigma}(z, \mathcal{P})$ has an expansion whose coefficients all belong to $\mathcal{O}_{\mathfrak{p}}$. Moreover

$$\Lambda_{\sigma}(0,\mathscr{P}) = N_{K(E_{\mathsf{h}})/H} \Theta(\rho,\mathscr{P}).$$

Thus $\Lambda_{\sigma}(0, \mathcal{P})$ is a unit in \mathcal{O}_{P} . Hence $\operatorname{Log} \frac{\Lambda_{\sigma}(z, \mathcal{P})}{\Lambda_{\sigma}(0, \mathcal{P})}$ has an expansion in t, whose coefficients all belong to $H_{\mathfrak{P}}$.

LEMMA 11: Let $B_{\sigma}(t, \mathcal{P})$ be given by the expansion in t of $\operatorname{Log} \frac{A_{\sigma}(z, \mathcal{P})}{A_{\sigma}(0, \mathcal{P})}$ and $A_{\sigma}(t, \mathcal{P}) = B_{\sigma}(g(t), \mathcal{P})$. Then for all integers $k \geq 1$, with $k \equiv \alpha \mod (p-1)$,

(15)
$$\Gamma^{\alpha}(A_{\sigma}(t, \mathcal{P}))(k) = \gamma^{-k}\lambda_{k}\sum_{j\in J}n_{j}(N\mathfrak{a}_{j}\zeta_{H,\mathfrak{p}}(\sigma, k) - \psi^{k}(\mathfrak{a}_{j})\zeta_{H,\mathfrak{p}}(\sigma\sigma_{\mathfrak{a}_{j}}, k)).$$

PROOF: Let

$$B_{\sigma}(t,\mathscr{P})=\sum_{n=0}^{\infty}a_{n}t^{n}$$

Define

$$B'_{\sigma}(t,\mathscr{P})=\sum_{n=1}^{\infty}na_{n}t^{n}$$

and

$$DB_{\sigma}(t, \mathcal{P}) = (1+t) \operatorname{Log}(1+t)B'_{\sigma}(t, \mathcal{P}).$$

It is easy to see that [12], for all $s \in \mathbb{Z}_p$

$$\Gamma^{\alpha}(DB_{\sigma}(t,\mathscr{P}))(s) = s\Gamma^{\alpha}(B_{\sigma}(t,\mathscr{P}))(s).$$

But

$$DB_{\sigma}(e^{z}-1,\mathscr{P})=z\frac{d}{dz}B_{\sigma}(e^{z}-1,\mathscr{P}).$$

Thus

$$DB_{\sigma}(e^{z}-1,\mathscr{P})=z\frac{d}{dz}\operatorname{Log}\Lambda_{\sigma}(\gamma^{-1}z,\mathscr{P}).$$

As a_j has been chosen prime to (p), we define $\beta(a_j)$ by

$$\langle \psi(\mathfrak{a}_j) \rangle = (1+p)^{\beta(\mathfrak{a}_j)}$$

and $a_{\alpha}(X; \chi, \mathcal{P})$ by

(16)
$$a_{\alpha}(X;\chi,\mathscr{P}) = \sum_{j \in J} n_j [N\mathfrak{a}_j - \chi(\mathfrak{a}_j)\omega(\psi(\mathfrak{a}_j)^{\alpha})(1+X)^{-\beta(\mathfrak{a}_j)}].$$

It is clear that for all integers $k \ge 0$, with $k \equiv \alpha \mod p - 1$ we have

$$a_{\alpha}((1+p)^{-k}-1;\chi,\mathscr{P})=\sum_{j\in J}n_{j}[N\mathfrak{a}_{j}-\chi(\mathfrak{a}_{j})\psi^{k}(\mathfrak{a}_{j})].$$

Using (15) and (16), we can prove the following Lemma.

LEMMA 12: For all integers $k \ge 1$, $k \equiv \alpha \mod p - 1$ we have

$$\frac{\sum_{\sigma\in G(H/K)}\chi^{-1}(\sigma)\Gamma^{\alpha}(A_{\sigma}(t,\mathscr{P}))(k)}{a_{\alpha}((1+p)^{-k}-1;\chi,\mathscr{P})}=\gamma^{-k}\lambda_{k}(1-\frac{\chi(\mathfrak{p})\psi^{k}(\mathfrak{p})}{N\mathfrak{p}}\Big)L(\chi^{-1}\bar{\psi}^{k},k).$$

[12]

LEMMA 13: If either χ is non trivial or α different from 0, there exists a pair \mathcal{P} such that $a_{\alpha}(X; \chi, \mathcal{P})$ is a unit in Λ_{χ} .

PROOF: If χ is non trivial, there exists σ such that $\chi(\sigma) \neq 1$. Let e denote a generator of the ideal $12\mathfrak{h} \cap \mathbb{Z}$. Choose $\mathfrak{a}_1 = (1 + ne\pi)$ $n_2 = -(N\mathfrak{a}_1 - 1)$; take \mathfrak{a}_2 to be an integral ideal of K, prime to S and p, such that $\sigma_{\mathfrak{a}_2} = \sigma$ and let $n_1 = N\mathfrak{a}_2 - 1$.

Now if χ is trivial and $\alpha \neq 0$, let η be an element of \mathcal{O} , whose image in \mathcal{O}/\mathfrak{p} is a generator of $(\mathcal{O}/\mathfrak{p})^{\chi}$. Take $\mathfrak{a}_1 = (1 + ne\pi)$. Choose $\mathfrak{a}_2 = (\alpha_2)$ where α_2 is an algebraic integer in K, satisfying $\alpha_2 \equiv 1 \mod e\pi$ and $\alpha_2 \equiv \eta \mod \pi$. Let $n_1 = N\mathfrak{a}_2 - 1$ and $n_2 = -(N\mathfrak{a}_1 - 1)$. Then n_2 is prime to p and because the conductor of ψ divides e,

$$\omega(\psi(\mathfrak{a}_1))^{\alpha} \equiv \psi^{\alpha}(\mathfrak{a}_1) \equiv 1 \mod \mathfrak{p}$$

and

ť

$$\omega(\psi(\mathfrak{a}_2))^{\alpha} \equiv \psi^{\alpha}(\mathfrak{a}_2) \equiv \eta^{\alpha} \bmod \mathfrak{p}.$$

Such a choice is made in [1] Lemma 13.

Now
$$\frac{\displaystyle\sum_{\sigma \in G(H/K)} \chi^{-1}(\sigma) \Gamma^{\alpha}(A_{\sigma}(t,\mathscr{P}))(s)}{a_{\alpha}((1+p)^{s}-1;\chi,\mathscr{P})}$$
 is a

continuous function on \mathbb{Z}_p , which is such that for all integers $k \ge 1$, $k \equiv \alpha \mod p - 1$

$$L_{\mathfrak{p}}(\chi\theta^{\alpha}, 1-k) = \frac{\gamma}{T(\bar{\chi})w_{\mathfrak{q}}\sqrt{d}} \frac{\sum_{\sigma \in G(H/K)} \chi^{-1}(\sigma)\Gamma^{\alpha}(A_{\sigma}(t, \mathcal{P}))(k)}{a_{\alpha}((1+p)^{-k}-1; \chi, \mathcal{P})}$$

if either χ is non trivial or α different from zero.

LEMMA 14: If either χ is a non trivial character, or α a non zero residue class mod (p-1), for all $s \in \mathbb{Z}_p$,

(17)
$$L_{\mathfrak{p}}(\chi\theta^{\alpha}, 1-s) = \frac{\gamma}{T(\bar{\chi})w_{\mathfrak{q}}\sqrt{d}} \frac{\sum_{\sigma \in G(H/K)} \chi^{-1}(\sigma)\Gamma^{\alpha}(A_{\sigma}(t, \mathcal{P}))(s)}{a_{\alpha}((1+p)^{-s}-1; \chi, \mathcal{P})}.$$

REMARK: If χ is trivial and α is zero

$$a_0(0;\chi_0,\mathscr{P})=\sum_{j\in J}n_j(N\mathfrak{a}_j-1)=0.$$

But:

$$\Gamma^{0}(A(t,\mathcal{P}))(0) = \tilde{A}(0,\mathcal{P}) = B(0,\mathcal{P}) - \frac{1}{p} \sum_{n \in \hat{E}_{\pi}} B(\eta,\mathcal{P})$$
$$B(0,\mathcal{P}) = 0$$

and

$$\frac{1}{p}\sum_{\eta\in\hat{E}_{\pi}}B(\eta,\mathscr{P})=\frac{1}{p}\sum_{\alpha}\operatorname{Log}_{p}\frac{\Lambda(\alpha,\mathscr{P})}{\Lambda(0,\mathscr{P})}$$

where the sum on the right is taken over a set of representatives modulo L of the π -division points of L. Then

$$\frac{1}{p}\sum_{\alpha}\operatorname{Log}_{p}\frac{\Lambda(\alpha,\mathscr{P})}{\Lambda(0,\mathscr{P})}=\left(\frac{1}{p}-1\right)\operatorname{Log}_{p}\Lambda(0,\mathscr{P}).$$

But

$$\Lambda(0,\mathcal{P}) = N_{K(E_{\mathfrak{f}})K}\Theta(\rho,\mathcal{P})$$

where ρ is a f-division point of C mod L. This is a unit in K, then a root of unity and

$$\operatorname{Log}_{p} N_{K(E_{\mathfrak{f}})/K} \Theta(\rho, \mathscr{P}) = 0.$$

Even when χ is trivial and α is zero

$$\frac{\Gamma^{0}(A(t,\mathscr{P}))(s)}{a_{0}((1+p)^{s}-1;\chi_{0},\mathscr{P})}$$

is a continuous function on \mathbb{Z}_p and we have

$$L_{p}(\theta^{0}, 1-s) = \frac{\gamma}{w_{q}\sqrt{d}} \frac{\Gamma^{0}(A(t, \mathcal{P}))(s)}{a_{0}((1+p)^{s}-1; \chi_{0}, \mathcal{P})}.$$

But this formula is not useful for computing $L_{\mathfrak{p}}(\theta^0, 1)$. Now we come back to the case where χ is non trivial, and $\alpha = 0$. From (17) we have

[14]

$$L_{\mathfrak{p}}(\chi,1) = \frac{\gamma}{T(\bar{\chi})w_{\mathfrak{q}}\sqrt{d}} \frac{\sum_{\sigma \in G(H/K)} \chi^{-1}(\sigma)\Gamma^{0}(A_{\sigma}(t,\mathcal{P}))(0)}{a_{0}(0;\chi,\mathcal{P})}$$
$$\Gamma^{0}(A_{\sigma}(t,\mathcal{P}))(0) = \tilde{A}_{\sigma}(0,\mathcal{P}) = B_{\sigma}(0,\mathcal{P}) - \frac{1}{p} \sum_{\eta \in \hat{E}_{\pi}} B_{\sigma}(\eta,\mathcal{P})$$

by lemma 4

$$B_{\sigma}(0, \mathcal{P}) = 0$$

and

$$\frac{1}{p}\sum_{\eta\in \hat{E}_{\pi}}B_{\sigma}(\eta,\mathscr{P})=\frac{1}{p}\sum_{\alpha}\operatorname{Log}\frac{\Lambda_{\sigma}(\alpha,\mathscr{P})}{\Lambda_{\sigma}(0,\mathscr{P})}.$$

where the sum on the right is taken over a set of representatives modulo L of the π -division points of L. Now from Lemma 7, we have

$$\Gamma^{0}(A_{\sigma}(t,\mathscr{P}))(0) = \frac{1}{p} \operatorname{Log} \frac{\Lambda_{\sigma\sigma_{p}}(0,\mathscr{P})}{\Lambda_{\sigma}(0,\mathscr{P})^{p}}$$

THEOREM 15: If χ is not trivial

(18)
$$L_{\mathfrak{p}}(\chi, 1) = \frac{\gamma}{T(\bar{\chi})w_{\mathfrak{q}}\sqrt{d}} \left(\frac{\chi(\mathfrak{p})}{p} - 1\right) \\ \times \underbrace{\sum_{\sigma \in G(H/K)} \chi^{-1}(\sigma) \operatorname{Log}_{p}[N_{K(E_{\mathfrak{p}})/H}\Theta(\rho, \mathscr{P})]^{\sigma}}_{a_{0}(0;\chi, \mathscr{P})}$$

We now proceed to find a similar formula for $\alpha \neq 0$. As before, define

$$T(\bar{\theta}) = \sum_{\lambda \mod \mathfrak{p}} \bar{\theta}(\lambda) e^{2\pi i S(\lambda \delta_0)}$$

where δ has been chosen once for all such that $\mathfrak{p}^{-1}\mathcal{D}^{-1} = (\delta)$ and $\delta\sqrt{d}$ has exact denominator \mathfrak{p} , and where λ runs through a full system of representatives of the residue classes mod \mathfrak{p} . Let us denote by ζ the *p*-th root of unity $e^{2\pi i S(\delta)}$. As *p* splits in *K*, \mathcal{O}/\mathfrak{p} is isomorphic to $\mathbb{Z}/p\mathbb{Z}$. Then, we will write

$$T(\bar{\theta}) = \sum_{\lambda \mod p} \bar{\theta}(\lambda) \zeta^{\lambda}.$$

[15]

Pierrette Cassou-Noguès

LEMMA 16: For each α , congruence class mod (p-1) for each rational integer n, prime to p

$$\sum_{\lambda \bmod p} \bar{\theta}^{\alpha}(\lambda) \zeta^{\lambda n} = \omega^{\alpha}(n) T(\theta^{\alpha}).$$

PROOF: Let $m \in \mathbb{Z}$, such that

$$m \equiv n \mod p$$

and

$$m \equiv 1 \mod f \text{ (where } f = \mathfrak{f} \cap \mathbb{Z}\text{)}$$
$$\sum_{\lambda \mod p} \overline{\theta}^{\alpha}(\lambda) \zeta^{\lambda n} = \sum_{\lambda \mod p} \overline{\theta}^{\alpha}(\lambda) \zeta^{\lambda m} = \theta^{\alpha}(m) \sum_{\lambda \mod p} \overline{\theta}^{\alpha}(\lambda) \zeta^{\lambda}.$$

By definition

$$\theta(m) = \omega(\psi(m)) = \omega(m) = \omega(n).$$

Then Lemma 16 is proved.

Let *M* be any complete subfield of \mathbb{C}_p , and $A \in Q_M$. For each α congruence class mod(p-1), let

$$A_{\alpha}(u) = \frac{1}{T(\bar{\theta}^{\alpha})} \sum_{\lambda \mod p} \bar{\theta}^{\alpha}(\lambda) A(\zeta^{\lambda}(u+1)-1).$$

 A_{α} belongs to Q_{M} and does not depend on ζ .

LEMMA 17: For each $s \in \mathbb{Z}_p$

$$\Gamma^{\alpha-\beta}(A)(s)=\Gamma^{-\beta}(A_{\alpha})(s).$$

PROOF: Because of the linearity of $\Gamma^{\alpha-\beta}$ and $\Gamma^{-\beta}$ we have just to prove the equality for $A(u) = (1+u)^n$. Then

$$A_{\alpha}(u) = \frac{1}{T(\bar{\theta}^{\alpha})} \sum_{\lambda \mod p} \bar{\theta}^{\alpha}(\lambda) \zeta^{\lambda n} (1+u)^{n}$$
$$A_{\alpha}(u) = \omega^{\alpha}(n) (1+u)^{n}.$$

By definition [12]:

$$\Gamma^{\alpha-\beta}(A)(s) = \omega^{\alpha-\beta}(n)\langle n \rangle^s \quad \text{if } p \nmid n$$
$$= 0 \quad \text{if } p \mid n$$

and

$$\Gamma^{-\beta}(A_{\alpha})(s) = \omega^{-\beta}(n)\omega^{\alpha}(n)\langle n \rangle^{s} \quad \text{if } p \nmid n$$
$$= 0 \quad \text{if } p \mid n.$$

Now let us consider

$$A_{\sigma,\alpha}(t,\mathscr{P}) = \frac{1}{T(\bar{\theta}^{\alpha})} \sum_{\lambda \mod p} \bar{\theta}^{\alpha}(\lambda) A_{\sigma}(\zeta^{\lambda}(t+1)-1,\mathscr{P}).$$

Then

$$\Gamma^{\alpha}(A_{\sigma}(t,\mathscr{P}))(0) = \Gamma^{0}(A_{\sigma,\alpha}(t,\mathscr{P}))(0).$$

Moreover

$$\Gamma^{0}(A_{\sigma,\alpha}(t,\mathscr{P}))(0) = A_{\sigma,\alpha}(0,\mathscr{P}) - \frac{1}{p}\sum_{\zeta'} A_{\sigma,\alpha}(\zeta'-1,\mathscr{P})$$

where ζ' runs over all *p*-th roots of unity in C_p. But:

$$\sum_{\zeta'} A_{\sigma,\alpha}(\zeta'-1,\mathscr{P}) = \frac{1}{T(\bar{\theta}^{\alpha})} \sum_{\zeta'} \sum_{\lambda \mod p} \bar{\theta}^{\alpha}(\lambda) A_{\sigma}(\zeta^{\lambda}\zeta'-1,\mathscr{P}).$$

Then

$$\sum_{\zeta'} A_{\sigma,\alpha}(\zeta'-1,\mathscr{P})=0$$

and:

$$\Gamma^{0}(A_{\sigma,\alpha}(t,\mathscr{P}))(0) = \frac{1}{T(\bar{\theta}^{\alpha})_{\lambda}} \sum_{\text{mod } p} \bar{\theta}^{\alpha}(\lambda) A_{\sigma}(\zeta^{\lambda} - 1, \mathscr{P}).$$

Recall that by definition $A_{\sigma}(t, \mathcal{P}) = B_{\sigma}(g(t), \mathcal{P})$ where $B_{\sigma}(t, \mathcal{P})$ is given by the expansion $\operatorname{Log} \frac{\Lambda_{\sigma}(z, \mathcal{P})}{\Lambda_{\sigma}(0, \mathcal{P})}$. Define:

 $\Lambda^{1}(z,\mathfrak{a}_{j})=\prod_{\mathfrak{b}\in B} \Theta(z+\psi(\mathfrak{b})\rho+q,\mathfrak{a}_{j})$

and

$$\Lambda^{1}(z, \mathscr{P}) = \prod_{j \in J} \Lambda^{1}(z, \mathfrak{a}_{j})^{n_{j}}$$

where q is an element of C such that $\xi(q)$ is the p-division point on E which corresponds to ζ . Then

$$A_{\sigma}(\zeta-1,\mathscr{P}) = \operatorname{Log} \frac{\Lambda_{\sigma}(q,\mathscr{P})}{\Lambda_{\sigma}(0,\mathscr{P})} = \operatorname{Log} \frac{\Lambda_{\sigma}^{1}(0,\mathscr{P})}{\Lambda_{\sigma}(0,\mathscr{P})}.$$

By Lubin Tate theory, we know that $G(K(E_p)/K)$ is naturally isomorphic to the group of units of \mathcal{O}/\mathfrak{p} ; moreover $G(H(E_p)/H)$ is isomorphic to $G(K(E_p)/K)$ [14]. Then to each $\lambda \mod p$ corresponds $\sigma_{\lambda} \in G(H(E_p)/H)$ and

$$A_{\sigma}(\zeta^{\lambda}-1,\mathscr{P}) = \operatorname{Log} \frac{\Lambda_{\sigma\sigma_{\lambda}}^{1}(0,\mathscr{P})}{\Lambda_{\sigma}(0,\mathscr{P})}$$

THEOREM 18: If α is a non zero residue class mod p-1

(19)

$$L_{\mathfrak{p}}(\chi\theta^{\alpha}, 1) = \frac{\gamma}{T(\bar{\chi})w_{\mathfrak{q}}\sqrt{d}} \frac{\sum_{\sigma \in G(K(E_{\mathfrak{lp}})/K} \chi^{-1}\theta^{-\alpha}(\sigma) \operatorname{Log}_{p} \Theta(p+q, \mathscr{P})^{\alpha}}{a_{\alpha}(0; \chi, \mathscr{P})}$$

(2) Analogy with complex formula

Let *H* be an arbitrary finite abelian extension of *K* and let \mathfrak{h} be the least common multiple of the conductor of ψ and \mathfrak{h}_0 , the conductor of *H*/*K*. Let χ' be a ray class character mod \mathfrak{h} such that χ , the proper ray-class character associated with χ' has conductor \mathfrak{h}_0 .

We will see that we have complex formula for $L(\chi', 1)$ which is analogue of (17) and (18).

We take the notation of Robert [16]. Let us consider the set $A(\mathfrak{h})$ of pairs $\{t, \mathfrak{h}\}$ where $t \in \mathbb{C}$ and \mathfrak{h} is an ideal of K, such that $\mathfrak{h} = \{\alpha \in \mathcal{O} \mid \alpha t \in \mathfrak{h}\}$. One says that $\{t, \mathfrak{h}\}$ is equivalent to $\{t', \mathfrak{h}'\}$ if and only if, there exists $\theta \in K^*$ such that $t'/\theta t$ is congruent to 1 mod \mathfrak{h} and $\mathfrak{h}' = \theta \mathfrak{h}$. Denote by ~ this equivalence. For each $\{t, \mathfrak{h}\} \in A(\mathfrak{h}), t\mathfrak{h}\mathfrak{h}^{-1}$ is an integral ideal, prime to \mathfrak{h} . Denote by $C_{\{t,\mathfrak{h}\}}$ the ideal class of $t\mathfrak{h}\mathfrak{h}^{-1}$. Robert has shown that the map $\{t, \mathfrak{h}\} \mapsto C_{\{t,\mathfrak{h}\}}$ defines an isomorphism between $A(\mathfrak{h})$ and the ray class group mod \mathfrak{h} , $Cl(\mathfrak{h})$. Let $[w_1, w_2]$ be a basis of \mathfrak{h} ; we define

$$\varphi^{12}(t, b) = \theta^{12}(t; w_1, w_2) \exp(-\mathcal{K}(t, t)/16)$$

where $\Re(t, t) = 12i\pi \bar{t}t/(w_2\bar{w}_1 - w_1\bar{w}_2)$. It can be shown that $\varphi^{12h}(t, b)$ depends only on $C_{\{t, b\}}$ and we set

$$\varphi_{\mathfrak{b}}(C) = \varphi^{12h}(t,\mathfrak{b}).$$

Now if we consider the pair $\{\rho, \mathcal{O}\}$ where $\rho = \frac{\Omega}{h}$. Then $C_{\{\rho, \mathcal{O}\}} = C_0$ the identity in the ray class group mod \mathfrak{h} . So

$$\Theta^{12h}(\rho,\mathfrak{a}_{j}) = \varphi(C_{0})^{N\mathfrak{a}_{j}}/\varphi(C_{0}C_{\mathfrak{a}_{j}}).$$

Then:

(20)
$$\frac{\sum_{\sigma \in G(\overline{K}(E_b)/K)} \chi'(\sigma) \operatorname{Log}[\Theta(\rho, \mathscr{P})^{\sigma}]}{a_0(0; \chi, \mathscr{P})} = \frac{1}{12h} \sum_{C \in Cl(b)} \chi'(C) \operatorname{Log}[\varphi_b(C)].$$

Moreover it can be proved that [16]:

(21)
$$\frac{1}{w_b h} \sum_{C \in Cl(b)} \chi'(C) \operatorname{Log} |\varphi_b(C)| = \frac{X(\chi)}{w_{b_0} h_0} \sum_{C \in Cl(b_0)} \chi(C) \operatorname{Log} |\varphi_{b_0}(C)|$$

when

$$X(\chi) = \prod_{\mathfrak{q}|\mathfrak{h}} (1 - \bar{\chi}(\mathfrak{q})).$$

Now Siegel [18] has shown that

(22)
$$L(\chi, 1) = \frac{2\pi}{T(\bar{\chi})\sqrt{d}w_{b_0}6h_0} \sum_{C \in Cl(b_0)} \chi(C) \operatorname{Log}|\varphi_{b_0}(C)|.$$

So, from (20), (21), (22) we have

(23)
$$X(\chi)L(\chi,1) = \frac{\pi}{T(\bar{\chi})w_b\sqrt{d}} \frac{\sum_{\sigma \in G(K(E_b)/K)} \chi'(\sigma) \operatorname{Log}[\Theta(\rho,\mathcal{P})]^{\sigma}}{a_0(0;\chi,\mathcal{P})}.$$

This formula is the complex analogue of (17) and (18). We will try to explain why this holds. We have

$$L(\bar{\chi}',0) = X(\chi)L(\bar{\chi},0)$$

and

$$L(\bar{\chi}',0) = L(\psi^0 \bar{\chi}',0) = L(\psi^0 \bar{\chi},0).$$

Moreover, from the functional equation [7], we have

$$L(\bar{\chi},0) = L(\chi,1) \frac{\sqrt{d}T(\bar{\chi})}{2\pi}.$$

Then

$$X(\chi)L(\chi,1) = \frac{2\pi}{\sqrt{d}T(\bar{\chi})} L(\psi^0\bar{\chi},0)$$

and this is to compare with Lemma 12 and 13, if we could put k = 0.

IV. p-adic residue formula

Again, we suppose throughout this section that H is an arbitrary finite abelian extension of K. As before, we write \mathfrak{h} for the least common multiple of the conductor of H over K, and the conductor of the Grossencharacter ψ of E over K. Finally, p is a rational prime, with $p \neq 2, 3$ and $(p, \mathfrak{h}) = 1$, which splits in K, say $(p) = \mathfrak{p}\mathfrak{p}$. For simplicity, we write

$$F = H(E_{\mathfrak{p}}).$$

By analogy with Leopoldt's work, in the cyclotomic case, our aim is to use the result of §.III to find the residue at s = 1 of a p-adic function that can be viewed almost as the p-adic zeta function of F. Such a formula has been studied independently of us by Vishik [20] and Lichtenbaum. We begin by recalling the complex analogue of this formula. By class field theory, we have

$$\zeta_F(s) = \zeta_K(s) \prod_{\chi \neq 1} L(\chi, s)$$

where the product on the right is taken over the non trivial characters χ of the Galois group of F over K, and $L(\chi, s)$ is the primitive complex *L*-function attached to χ . Let Δ , W, g denote respectively the discriminant of F over \mathbf{Q} the number of roots of unity in F, and

the degree of F over K. Let d, w denote the discriminant of K over \mathbb{Q} , and the number of roots of unity in K. Finally, let R_{∞} denote the regulator of F, and h the class number of F. Multiplying by s - 1 in the above formula and letting $s \rightarrow 1$ we obtain

(24)
$$\frac{(2\pi)^{g}hR_{\infty}}{W\sqrt{|\Delta|}} = \frac{2\pi}{W\sqrt{|d|}}\prod_{\chi\neq 1}L(\chi,1).$$

Let R_p be the p-adic regulator of F over K, as defined on p. 13 of [4]. Also, we can view $\sqrt{|\Delta|}$ and $\sqrt{|d|}$ as lying inside C_p by taking their images under our fixed embedding $\tau: \overline{K} \to C_p$ (for simplicity, we identify these elements with their images under τ).

Let \mathscr{P} be the pair defined in the previous section; $\rho = \frac{\Omega}{hp}$, where $(h) = \mathfrak{h}$. Let for $\sigma \in G(F/K)$

(25)
$$E(\sigma) = \frac{\prod_{\mathfrak{b} \in B} \Theta(\psi(\mathfrak{b})\rho, \mathscr{P})^{\sigma}}{\prod_{\mathfrak{b} \in B} \Theta(\psi(\mathfrak{b})\rho, \mathscr{P})}.$$

Let \mathscr{C}_1 be the group generated by the $E(\sigma)^{\sigma'}$, with $\sigma' \in G(F/K)$. It is a group of units in F.

Let us denote by

(26)
$$A(\mathscr{P}) = \prod_{\chi \neq 1} a_0(0; \chi, \mathscr{P})$$

by

(27)
$$X = \prod_{\chi \neq 1} X(\chi)$$

and

(28)
$$w' = \prod_{\chi \neq 1} w_{\mathfrak{g}_{\chi}}$$

where \mathfrak{g}_{χ} is the least common multiple of the conductor of χ and ψ , where χ runs over all primitive character of G(F/K).

LEMMA 19: The index of \mathscr{C}_1 in the group of all units in F is given by

$$2^{g-1}h\,\frac{ww'}{W}\,A(\mathscr{P})X.$$

PROOF: It is well known that the index of \mathscr{C}_1 in the group of all units in F is equal to $\frac{U}{R_{\infty}}$ where $U = \det(\log|E(\sigma)^{\sigma'}|)$ with $\sigma, \sigma' \in G(F/K)$. From (24) we have

(29)
$$\prod_{\chi \neq 1} L(\chi, 1) = (2\pi)^{g-1} \frac{w}{W} \frac{R_x \sqrt{|d|}}{\sqrt{|\Delta|}} h.$$

Moreover from (23)

(30)
$$\prod_{\chi \neq 1} L(\chi, 1) = \pi^{g-1} \frac{\sqrt{|d|}}{(\sqrt{|d|})^g} \prod_{\chi \neq 1} T(\bar{\chi}) \frac{1}{w'A(\mathscr{P})X}$$
$$\times \prod_{\chi \neq 1} \sum_{\sigma \in G(F/K)} \chi(\sigma) \operatorname{Log} \left| \prod_{\mathfrak{b} \in B} \Theta(\psi(\mathfrak{b})\rho, \mathscr{P})^{\sigma} \right|.$$

But we know [18] that

(31)
$$U = \prod_{\chi \neq 1} \sum_{\sigma \in G(F|K)} \chi(\sigma) \operatorname{Log} \left| \prod_{\mathfrak{b} \in B} \Theta(\psi(\mathfrak{b})\rho, \mathcal{P})^{\sigma} \right|.$$

Combining (29) and (30), we have the lemma, recalling that $(\sqrt{|d|})^g \prod_{\chi \neq 1} T(\bar{\chi}) = \sqrt{|\Delta|}$. Let us denote

$$P = \left(1 - \frac{1}{p}\right)^{-1} \prod_{\Re} (1 - N(\Re))^{-1}$$

where the product is taken over all primes of F above \mathfrak{p} .

THEOREM 20:

$$\prod_{\chi\neq 1} L_{\mathfrak{p}}(\chi, 1) = (2\gamma)^{g-1} h \, \frac{w}{W} \frac{R_{\mathfrak{p}} \sqrt{|d|}}{\sqrt{|\Delta|}} PX \, up \, to \, \pm 1.$$

where the product on the left is taken over all non trivial character of G(F|K).

PROOF: From (17) and (18), we know that

$$L_{\mathfrak{p}}(\chi,1) = \frac{\gamma}{\sqrt{d}T(\bar{\chi})w_{\mathfrak{g}_{\chi}}}$$
$$\frac{\sum_{\sigma \in G(F/K)} \chi(\sigma) \operatorname{Log}_{p}\left(\prod_{\mathfrak{b} \in B} \Theta(\psi(\mathfrak{b})\rho, \mathscr{P})^{\sigma}\right)}{a_{0}(0; \chi, \mathscr{P})} \left(1 - \frac{\chi(\mathfrak{p})}{p}\right)$$

Then

$$\prod_{\chi\neq 1} L_{\mathfrak{p}}(\chi, 1) = \frac{\gamma^{g^{-1}}\sqrt{|d|}}{\sqrt{|\Delta|}w'} \frac{P}{A(\mathscr{P})} \prod_{\chi\neq 1} \sum_{\alpha\in G(F/K)} \chi(\sigma) \operatorname{Log}_{p}\left(\prod_{\mathfrak{b}\in B} \Theta(\psi(\mathfrak{b})\rho, \mathscr{P})^{\sigma}\right).$$

Let

$$U_{\mathfrak{p}} = \prod_{\chi \neq 1} \sum_{\sigma \in G(F/K)} \chi(\sigma) \operatorname{Log}_{p} \left(\prod_{\mathfrak{b} \in B} \Theta(\psi(\mathfrak{b})\rho, \mathscr{P})^{\sigma} \right).$$

Then

$$U_{\mathfrak{p}} = \det(\log_{p} E(\sigma)^{\sigma'})\sigma, \, \sigma' \in G(F/K).$$

But U_p/R_p is equal to the index of \mathscr{C}_1 in the group of all units in F, up to ± 1 . Then

$$U_{\mathfrak{p}} = R_{\mathfrak{p}} 2^{g-1} h \, \frac{ww'}{W} A(\mathcal{P}) X$$
 up to ± 1 .

Then Theorem 20 is proved.

(2) Kummer's criterion

Let us recall what is known about Kummer's criterion in the elliptic case. Let $L_0(\psi^k, s)$ be the *primitive* Hecke *L*-function of ψ^k for each $k \ge 1$. Let $L_0^*(\psi^k, k) = w(k-1)! L_0(\psi^k, k), k \equiv 0 \mod w$. If p is a prime number not in the exceptional set S, which splits in K, it is shown in [4] that the numbers

(N)
$$L_0^*(\psi^k, k) (1 \le k < p-1; k \equiv 0 \mod w)$$

are all *p*-integral. Let $(p) = p\bar{p}$ and H_p the ray class field of K modulo p. It is shown in [4] the Kummer's criterion i.e.

p divides at least one of the numbers (N) if and only if there exists a Z/pZ-extension of H_{p} , which is unramified outside the prime of H_{p} above p and which is distinct from the ray class field mod p^{2} .

[23]

Pierrette Cassou-Noguès

The proof of this theorem is divided in two parts. In the first part, the authors use class field theory to establish a Galois theoretic *p*-adic residue formula for F an arbitrary finite extension of K. Denote by K_{∞} the unique \mathbb{Z}_p -extension of K, which is unramified outside \mathfrak{p} and $F_{\infty} = K_{\infty}F$. The notations are those of the previous section.

LEMMA 21: Let M be the maximal abelian p-extension of F, which is unramified outside the primes of F lying above \mathfrak{p} . Then $G(M/F_{\infty})$ is finite if and only if $R_{\mathfrak{p}} \neq 0$. If $R_{\mathfrak{p}} \neq 0$, the order of $G(M/F_{\infty})$ is equal to the inverse of the p-adic valuation of

$$\frac{p^{\epsilon}h}{W}\frac{R_{\mathfrak{p}}\sqrt{|d|}}{\sqrt{|\Delta|}}P$$

where the integer e is defined by $F \cap K_{\infty} = K_{e}$.

Then they combine this with a function theoretic *p*-adic residue formula due to Katz and Lichtenbaum for the *p*-adic zeta function of H_p over K.

Let now H be an arbitrary finite abelian extension of K and $F = H(E_p)$. Let us consider the numbers

$$N' \begin{cases} \lambda_k \left(1 - \frac{\chi(\mathfrak{p})\psi^k(\mathfrak{p})}{N\mathfrak{p}}\right) L(\bar{\chi}\bar{\psi}^k, k) (1 \le k$$

for all primitive character χ associated to the characters of the Galois group G(F/K).

Let \mathfrak{P} denote any prime of H above \mathfrak{p} .

THEOREM 22: \mathfrak{P} divides at least one of the numbers (N') if and only if there exists a Z/p Z-extension of F, which is unramified outside the primes of $H(E_{\mathfrak{p}})$ above \mathfrak{p} and which is distinct from $H(E_{\mathfrak{p}^2})$.

PROOF: Theorem 20 shows that

$$\left|\prod_{\chi\theta^{\alpha}\neq 1} L_{\mathfrak{g}}(\chi\theta^{\alpha}, 1)\right| = \left|\frac{h}{W} \frac{R_{\mathfrak{g}}\sqrt{|d|}}{\sqrt{|\Delta|}} XP\right|_{\mathfrak{g}}.$$

For all $\chi \theta^{\alpha}$, $L_{\mathfrak{p}}(\chi \theta^{\alpha}, s)$ is an Iwasawa function. Then, for all integers $k \ge 0$

$$L_{\mathfrak{p}}(\chi\theta^{\alpha}, 1) \equiv L_{\mathfrak{p}}(\chi\theta^{\alpha}, 1-k) \mod \mathfrak{p}.$$

But from theorem 10, if $k \equiv \alpha \mod(p-1)$ $k \ge 1$

$$L_{\mathfrak{p}}(\chi\theta^{\alpha}, 1-k) = \gamma^{1-k}\lambda_k \left(1 - \frac{\chi(\mathfrak{p})\psi^k(\mathfrak{p})}{N\mathfrak{p}}\right) L(\bar{\chi}\bar{\psi}^k, k).$$

This shows that if $k \equiv \alpha \mod p - 1$, $k \ge 1$

$$L_{\mathfrak{p}}(\chi\theta^{\alpha},1) \equiv \left(1 - \frac{\chi(\mathfrak{p})\psi^{k}(\mathfrak{p})}{N\mathfrak{p}}\right)\gamma^{1-k}\lambda_{k}L(\bar{\chi}\bar{\psi}^{k},k) \bmod \mathfrak{p}.$$

Moreover, if $k \equiv \alpha \mod p - 1$

$$\prod_{\mathfrak{q}|\mathfrak{p}} (1 - \bar{\chi} \theta^{-\alpha}(\mathfrak{q})) = \prod_{\mathfrak{q}|\mathfrak{f}} (1 - \bar{\chi}(\mathfrak{q}) \omega^{-k}(\psi(\mathfrak{q}))).$$

And if $k \equiv 0 \mod w$

$$X(\chi\theta^{\alpha}) \equiv \prod_{\mathfrak{q}/\mathfrak{f}} (1-\bar{\chi}(\mathfrak{q})\psi^{-k}(\mathfrak{q})) \mod \mathfrak{p}$$

Or

$$X(\chi\theta^{\alpha}) \equiv \prod_{\mathfrak{q}/\mathfrak{f}} \left(1 - \bar{\chi}(\mathfrak{q}) \frac{\bar{\psi}^k(\mathfrak{q})}{N\mathfrak{q}^k}\right) \mod \mathfrak{p}.$$

Thus, if $\alpha \equiv k \mod p - 1$

$$\begin{aligned} X(\chi\theta^{\alpha})^{-1}L_{\mathfrak{p}}(\chi,1) &\equiv \left(1 - \frac{\chi(\mathfrak{p})\psi^{k}(\mathfrak{p})}{N\mathfrak{p}}\right)\lambda_{k}\gamma^{1-k}L(\bar{\chi}\bar{\psi}^{k},k) \mod \mathfrak{p} \\ & \text{if } k \neq 0 \mod p - 1 \\ X(\chi\theta^{\alpha})^{-1}L_{\mathfrak{p}}(\chi,1) &\equiv \left(1 - \frac{\chi(\mathfrak{p})\psi^{k}(\mathfrak{p})}{N\mathfrak{p}}\right)\lambda_{k}\gamma^{1-k}L(\bar{\chi}\bar{\psi}^{k},k) \prod_{\mathfrak{q} \mid f} \left(1 - \bar{\chi}(\mathfrak{q})\frac{\bar{\psi}^{k}(\mathfrak{q})}{N\mathfrak{q}^{k}}\right)(\mathfrak{p}) \\ & \text{if } k \equiv 0 \mod p - 1. \end{aligned}$$

Now we have just to use Lemma 20.

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