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# The Baire category of independent sets

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Let us denote the linear continuum by  $C$ . Suppose that to every  $x \in C$  there corresponds a set  $P(x) \subset C$  such that  $x \notin P(x)$  and  $x$  is not a limit point of  $P(x)$ . Two points  $x$  and  $y$  of  $C$  are said to be independent, provided that  $x \notin P(y)$  and  $y \notin P(x)$ . A subset of  $C$  is said to be independent, provided that every pair of points of this subset is independent.

Fodor [1], [2] has obtained results concerning the Lebesgue measure of independent sets. The present note contains several theorems regarding the Baire category of independent sets, a few of which are somewhat analogous to Fodor's results. In the proof of Theorem 1 we make use of an idea due to Lázár [4]. Our theorems are valid for more general sets than  $C$ , as will be seen from the proofs.

**THEOREM 1.** *There always exists an independent set of second category.*

**Proof:** We have assumed that no point  $x$  of  $C$  is a limit point of  $P(x)$ , and therefore we can associate with every  $x \in C$  an interval  $J(x)$  with rational endpoints, such that  $J(x) \cap P(x)$  is empty and  $x \in J(x)$ . The set of all intervals with rational endpoints is enumerable; denote these intervals by  $J_1, J_2, \dots, J_n, \dots$ . For every natural number  $n$ , let  $C_n$  be the set of points  $x \in C$  with the property that  $J(x) = J_n$ . Then  $C = \bigcup_{n=1}^{\infty} C_n$ . If  $C_n$  were of first category for every  $n$ ,  $C$  would also be of first category [3, p. 130], which is impossible [3, p. 136]. Hence, there exists a natural number  $k$  such that  $C_k$  is of second category. If  $x$  and  $y$  are any two points of  $C_k$ , then  $P(x) \cap C_k$  and  $P(y) \cap C_k$  are both empty, so that  $x$  and  $y$  are independent, and consequently  $C_k$  is an independent set. This completes the proof.

A consequence of Theorem 1 and a theorem [3, p. 134] on category, is that there always exists an independent set which is of second category in every subinterval of some interval of  $C$ . It is not true, however, that there always exists an independent

set which is of second category in every interval of  $C$ . This follows immediately from

**THEOREM 2.** *There does not always exist an independent set which is everywhere dense in  $C$ .*

**Proof:** If  $x \in C$ , define  $P(x)$  to be the set of all real numbers  $y$  satisfying the relation  $[x]+2 \leq y < [x]+3$ , where  $[x]$  denotes the greatest integer in  $x$ . Now suppose that  $D$ , a subset of  $C$ , is everywhere dense in  $C$ . Then  $D$  must contain a point  $x$  such that  $0 \leq x < 1$ , and a point  $y$  such that  $2 \leq y < 3$ ; since  $y \in P(x)$ ,  $x$  and  $y$  are not independent, and hence  $D$  cannot be an independent set.

*A fortiori* [3, p. 135] there does not always exist an independent set which is a residual subset of  $C$ . A sufficient condition for the nonexistence of a residual independent set is furnished by

**THEOREM 3.** *Let  $M$ , a subset of  $C$ , be of second category, and suppose that  $P(x)$  is of second category for every  $x \in M$ . Then there does not exist a residual independent set.*

**Proof:** If  $R$  is a residual set, then [3, p. 134]  $R \cap M$  is not empty; let  $x \in R \cap M$ . Since  $P(x)$  is of second category, it again follows that  $R \cap P(x)$  is not empty; let  $y \in R \cap P(x)$ . Now  $x$  and  $y$  are not independent, and hence  $R$  cannot be an independent set.

**THEOREM 4.** *There does not always exist an independent set which is residual in some interval of  $C$ .*

**PROOF:** It is possible (see, e.g., [5, p. 208]) to express  $C$  as the union of enumerably many mutually exclusive sets  $E_1, E_2, \dots, E_n, \dots$ , each of which is of second category in every interval of  $C$ . If  $x \in C$ , let  $n$  be that natural number for which  $x \in E_n$ , and define  $P(x)$  to be the set of all elements of  $E_n$  lying outside the interval of length  $1/n$  with  $x$  as midpoint. Now suppose that  $S$ , a subset of  $C$ , is residual in some interval,  $K$ , of  $C$ . Since each set  $E_n$  ( $n = 1, 2, 3, \dots$ ) is of second category in every subinterval of  $K$ , it follows [3, pp. 130, 134] that  $S \cap K \cap E_n$  ( $n = 1, 2, 3, \dots$ ) is everywhere dense in  $K$ . Hence, if  $n$  is sufficiently large, there exists an  $x \in S \cap K \cap E_n$  such that the interval of length  $1/n$  with  $x$  as midpoint has both endpoints in the interior of  $K$ . This implies the existence of a subinterval,  $L$ , of  $K$  such that  $L \cap E_n \subset P(x)$ , and since  $S \cap K \cap E_n$  is everywhere dense in  $K$ , there exists a  $y \in S \cap L \cap E_n$ . Thus  $S$  contains two elements  $x$  and  $y$  which are not independent, and therefore  $S$  cannot be an independent set.

In the proof of Theorem 4,  $P(x)$  was chosen to be of second

category for every  $x \in C$ . Does Theorem 4 remain valid if  $P(x)$  is required to be a "thinner" set for every  $x \in C$ ? Of course if  $P(x)$  is required to be empty for every  $x \in C$ , then Theorem 4 is trivially false. The next theorem indicates, however, that the "thinness" of  $P(x)$  has very little effect on the truth of Theorem 4. The proof of Theorem 5 obviously constitutes an alternative proof of Theorem 4.

**THEOREM 5.** *The assumption that  $P(x)$  contains at most one point for every  $x \in C$  does not imply the existence of an independent set which is residual in some interval of  $C$ .*

**PROOF:** There are enumerably many closed (nondegenerate) intervals of  $C$  with rational endpoints. There are [3, p. 344]  $2^{\aleph_0}$   $G_\delta$ -subsets of  $C$  that are everywhere dense in  $C$ , and likewise, for every closed interval,  $H$ , of  $C$  with rational endpoints, there are  $2^{\aleph_0}$   $G_\delta$ -subsets of  $H$  that are everywhere dense in  $H$ ; all together, then, this makes  $2^{\aleph_0} \cdot \aleph_0 = 2^{\aleph_0}$  subsets, which may be arranged in a transfinite sequence,

$$(1) \quad G_0, G_1, \dots, G_\xi, \dots \quad (\xi < \omega_\gamma),$$

where  $\omega_\gamma$  is the initial number [3, p. 43] of  $Z(2^{\aleph_0})$ . Every  $G_\xi (\xi < \omega_\gamma)$  contains [3, pp. 135, 128]  $2^{\aleph_0}$  points.

Now we define, by means of transfinite induction, a sequence of distinct points

$$x_0, x_1, \dots, x_\xi, \dots \quad (\xi < \omega_\gamma)$$

and a sequence of points

$$y_0, y_1, \dots, y_\xi, \dots \quad (\xi < \omega_\gamma)$$

as follows. Let  $x_0$  be an arbitrary point of  $G_0$ , and let  $y_0$  be any other point of  $G_0$ . Suppose that  $0 < \alpha < \omega_\gamma$ , and that we have defined  $x_\beta$  and  $y_\beta$  for every  $\beta < \alpha$ . There are fewer than  $2^{\aleph_0}$  points  $x_\beta$  with  $\beta < \alpha$ , whereas  $G_\alpha$  contains  $2^{\aleph_0}$  points. Let  $x_\alpha$  be an arbitrary point of  $G_\alpha$  such that  $x_\alpha \neq x_\beta (\beta < \alpha)$ , and let  $y_\alpha$  be any other point of  $G_\alpha$ . This completes the induction.

For every  $\xi < \omega_\gamma$ , let  $P(x_\xi) = \{y_\xi\}$ ; for every  $x \in C$  such that  $x \neq x_\xi (\xi < \omega_\gamma)$ , let  $P(x)$  be the empty set.

Suppose that  $T$ , a subset of  $C$ , is residual in some interval of  $C$ ; then  $T$  is residual in some closed subinterval,  $Q$ , of this interval, with rational endpoints. Hence [3, p. 135], there exists a  $\xi < \omega_\gamma$  such that  $G_\xi \subseteq T \cap Q$ , which implies that  $x_\xi$  and  $y_\xi$  belong to  $T$ . Since  $x_\xi$  and  $y_\xi$  are not independent,  $T$  cannot be independent.

**THEOREM 6.** *Let  $d$  be a positive number, and suppose that, for a residual set,  $R$ , of elements  $x \in C$ , the distance between  $x$  and  $P(x)$  is not less than  $d$ . Then, if  $D$  is any interval of  $C$  of length  $d$ , there exists an independent set which is residual in  $D$ .*

**PROOF:** The set  $R \cap D$  is residual in  $D$ , and if  $x$  and  $y$  are distinct points of  $R \cap D$ , both different from the possible end-points of  $D$ , then  $x$  and  $y$  are independent, because  $P(x)$  and  $P(y)$  cannot contain any points in the interior of  $D$ .

**THEOREM 7.** *Let  $d$  be a positive number, and suppose that, for a set,  $S$ , of elements  $x \in C$ , which is of second category in every interval of  $C$ , the distance between  $x$  and  $P(x)$  is not less than  $d$ . Then, if  $D$  is any interval of  $C$  of length  $d$ , there exists an independent set which is of second category in every subinterval of  $D$ ; but there does not always exist an independent set which is everywhere dense in  $C$ , even if  $S = C$ .*

**PROOF:** The first and second parts of the conclusion follow from arguments analogous to those used in proving Theorems 6 and 2, respectively.

**THEOREM 8.** *Let  $d$  be a positive number. Suppose that, for every  $x \in C$ ,  $P(x)$  consists of at most one point, and the distance between  $x$  and  $P(x)$  is not less than  $d$ . Then there does not always exist a residual independent set.*

**PROOF:** It is only necessary to modify the proof of Theorem 5 in two essential respects: let the terms of the sequence (1) be the  $G_\delta$ -subsets of  $C$  that are everywhere dense in  $C$ , and subject each  $y_\xi$  ( $\xi < \omega_\gamma$ ) to the additional condition that the distance between  $x_\xi$  and  $y_\xi$  be not less than  $d$ .

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