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## CURVATURE OF PENCILS OF FOLIATIONS

by

Alcides Lins Neto

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*Dedicated to J.-P. Ramis in his 60<sup>th</sup> birthday*

**Abstract.** — Let  $\mathcal{F}$  and  $\mathcal{G}$  be two distinct singular holomorphic foliations on a compact complex surface  $M$ , in the same class, that is  $N_{\mathcal{F}} = N_{\mathcal{G}}$ . In this case, we can define the pencil  $\mathcal{P} = \mathcal{P}(\mathcal{F}, \mathcal{G})$  of foliations generated by  $\mathcal{F}$  and  $\mathcal{G}$ . We can associate to a pencil  $\mathcal{P}$  a meromorphic 2-form  $\Theta = \Theta(\mathcal{P})$ , the form of curvature of the pencil, which is in fact the Chern curvature (cf. [Ch]). When  $\Theta(\mathcal{P}) \equiv 0$  we will say that the pencil is *flat*. In this paper we give some sufficient conditions for a pencil to be flat. (Theorem 2). We will see also how the flatness reflects in the pseudo-group of holonomy of the foliations of  $\mathcal{P}$ . In particular, we will study the set  $\{\mathcal{H} \in \mathcal{P} \mid \mathcal{H} \text{ has a first integral}\}$  in some cases (Theorem 1).

**Résumé (Courbure de pinceaux de feuilletages).** — Nous nous intéressons au *pinceau de feuilletages*  $\mathcal{P} = \mathcal{P}(\mathcal{F}, \mathcal{G})$  engendré par deux feuilletages  $\mathcal{F}$  et  $\mathcal{G}$  holomorphes singuliers distincts sur une surface complexe compacte  $M$  et appartenant à la même classe, *i.e.*,  $N_{\mathcal{F}} = N_{\mathcal{G}}$ . La forme de courbure du pinceau  $\mathcal{P}$  est une 2-forme  $\Theta = \Theta(\mathcal{P})$  qui coïncide avec la courbure de Chern (cf. [Ch]); lorsque  $\Theta(\mathcal{P}) \equiv 0$  on dit que le pinceau est *plat*. Dans cet article, nous donnons des conditions suffisantes de platitude d'un pinceau (Théorème 2). Nous regardons comment se traduit la platitude dans le pseudo-groupe d'holonomie des feuilletages de  $\mathcal{P}$  et, en particulier, nous étudions dans certains cas l'ensemble  $\{\mathcal{H} \in \mathcal{P} \mid \mathcal{H} \text{ admet une intégrale première}\}$  (Théorème 1).

### 1. Introduction

Let  $\mathcal{F}$  and  $\mathcal{G}$  be two distinct singular holomorphic foliations on a compact complex surface  $M$ , with isolated singularities, in the same class, that is  $N_{\mathcal{F}} = N_{\mathcal{G}}$ . This means that there exists a Leray covering  $(U_{\alpha})_{\alpha \in A}$  of  $M$  by open sets, and collections  $(\omega_{\alpha})_{\alpha \in A}$ ,  $(\eta_{\alpha})_{\alpha \in A}$  and  $(g_{\alpha\beta})_{U_{\alpha\beta} \neq \emptyset}$ ,  $U_{\alpha\beta} = U_{\alpha} \cap U_{\beta}$ , such that

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(I)  $\omega_\alpha$  and  $\eta_\alpha$  are holomorphic 1-forms on  $U_\alpha$  which represent the foliations  $\mathcal{F}$  and  $\mathcal{G}$ , respectively. This means that  $\mathcal{F}|_{U_\alpha}$  and  $\mathcal{G}|_{U_\alpha}$  are defined by the differential equations  $\omega_\alpha = 0$  and  $\eta_\alpha = 0$ , respectively. Since the singularities of  $\mathcal{F}$  and  $\mathcal{G}$  are isolated, we have  $\text{cod}_{\mathbb{C}}(\omega_\alpha = 0) \geq 2$  and  $\text{cod}_{\mathbb{C}}(\eta_\alpha = 0) \geq 2$  for every  $\alpha \in A$ .

(II) If  $U_{\alpha\beta} \neq \emptyset$  then  $g_{\alpha\beta} \in \mathcal{O}^*(U_{\alpha\beta})$ ,  $\omega_\alpha = g_{\alpha\beta} \cdot \omega_\beta$  and  $\eta_\alpha = g_{\alpha\beta} \cdot \eta_\beta$  on  $U_{\alpha\beta}$ .

The class of the multiplicative cocycle  $(g_{\alpha\beta})_{U_{\alpha\beta} \neq \emptyset}$  in  $\text{Pic}(M)$  defines  $N_{\mathcal{F}}$  and  $N_{\mathcal{G}}$ , so that  $N_{\mathcal{F}} = N_{\mathcal{G}}$ . The pencil generated by  $\mathcal{F}$  and  $\mathcal{G}$  is the family  $\mathcal{P} = (\mathcal{F}_T)_{T \in \mathbb{C}}$ , where

(III)  $\mathcal{F}_\infty = \mathcal{G}$  and if  $T \in \mathbb{C}$ , then  $\mathcal{F}_T$  is represented on  $U_\alpha$  by the form  $\omega_\alpha^T := \omega_\alpha + T \cdot \eta_\alpha$ .

The singular set of  $\mathcal{F}_T$  is defined by  $\text{sing}(\mathcal{F}_T) \cap U_\alpha = \{\omega_\alpha^T = 0\}$ . The tangency divisor of  $\mathcal{F}$  and  $\mathcal{G}$  is defined by  $\text{Tang}(\mathcal{F}, \mathcal{G}) \cap U_\alpha = \{\omega_\alpha \wedge \eta_\alpha = 0\}$ . Note that  $\text{sing}(\mathcal{F}_T)$  and  $\text{Tang}(\mathcal{F}, \mathcal{G})$  are analytic subsets of  $M$  and that  $\text{sing}(\mathcal{F}_T) \subset |\text{Tang}(\mathcal{F}, \mathcal{G})|$  for all  $T \in \mathbb{C}$ . Since  $\mathcal{F} \neq \mathcal{G}$ ,  $|\text{Tang}(\mathcal{F}, \mathcal{G})|$  is a proper analytic subset of pure dimension one. Let  $W = M \setminus |\text{Tang}(\mathcal{F}, \mathcal{G})|$  and  $W_\alpha = W \cap U_\alpha$ . Since  $\omega_\alpha \wedge \eta_\alpha(p) \neq 0$  for all  $p \in W_\alpha$ , there exists an unique holomorphic 1-form  $\theta_\alpha$  on  $W_\alpha$  such that

$$(*) \quad d\omega_\alpha = \theta_\alpha \wedge \omega_\alpha \quad \text{and} \quad d\eta_\alpha = \theta_\alpha \wedge \eta_\alpha$$

for all  $\alpha \in A$ . It follows from (\*), (II) and  $\omega_\alpha \wedge \eta_\alpha \neq 0$  that, if  $W_{\alpha\beta} := W_\alpha \cap W_\beta \neq \emptyset$  then,  $\theta_\alpha = \theta_\beta + dg_{\alpha\beta}/g_{\alpha\beta}$  on  $W_{\alpha\beta}$ . Hence  $d\theta_\alpha = d\theta_\beta$  on  $W_{\alpha\beta}$  and we can define a holomorphic 2-form  $\Theta$  on  $W$  by

$$(**) \quad \Theta|_{U_\alpha} := d\theta_\alpha$$

It can be proved that the form  $\Theta$  can be extended meromorphically to  $\text{Tang}(\mathcal{F}, \mathcal{G})$  (see § 2). This extension will be called the curvature of the pencil  $\mathcal{P}(\mathcal{F}, \mathcal{G})$ . We will say that the pencil is flat if  $\Theta = 0$ . Let us see some examples of flat pencils.

**Example 1.** — Let  $\omega$  and  $\eta$  be two meromorphic closed 1-forms on some compact complex surface  $M$ , such that  $\omega \wedge \eta \neq 0$  and the divisors of poles and zeroes of  $\omega$  and  $\eta$  coincide. Let  $\mathcal{F}$  and  $\mathcal{G}$  be the foliations generated by  $\omega$  and  $\eta$ , respectively. It is known that  $N_{\mathcal{F}} = N_{\mathcal{G}}$  in this case (cf. [Br]). Moreover, the pencil generated by  $\mathcal{F}$  and  $\mathcal{G}$ , say  $\mathcal{P}(\mathcal{F}, \mathcal{G})$ , is defined by the pencil of forms  $\omega_T = \eta + T \cdot \omega$ . Therefore, it is flat. We will call a pencil like this a pencil of closed forms.

A particular case is given by some families of logarithmic forms in  $\mathbb{C}P(2)$ . Let  $f_1, \dots, f_k, k \geq 3$ , be irreducible homogeneous polynomials of three variables such that  $df_i \wedge df_j \neq 0$  if  $i \neq j$ . Given  $\lambda = (\lambda_1, \dots, \lambda_k) \in \mathbb{C}^k$ , such that  $\sum_{j=1}^k \lambda_j \cdot dg(f_j) = 0$ , set  $\omega_\lambda = \sum_{j=1}^k \lambda_j \cdot df_j/f_j$ . The closed form  $\omega_\lambda$  can be considered as meromorphic form on  $\mathbb{C}P(2)$ , so that the family  $(\omega_\lambda)_\lambda$  generates a family of foliations  $(\mathcal{F}_\lambda)_\lambda$  on  $\mathbb{C}P(2)$ . It can be checked that any pencil contained in this family is flat.

Another particular case, is the following: let  $M$  be the complex two torus  $\mathbb{C}^2/\Gamma$ , where  $\Gamma = \mathbb{Z} \cdot v_1 \oplus \mathbb{Z} \cdot v_2 \oplus \mathbb{Z} \cdot v_3 \oplus \mathbb{Z} \cdot v_4$  is some lattice in  $\mathbb{C}^2$ , and  $\pi: \mathbb{C}^2 \rightarrow \mathbb{C}^2/\Gamma$  be

the canonical projection. Consider an affine coordinate system  $(z, w)$  on  $\mathbb{C}^2$  and let  $\mathcal{F}$  and  $\mathcal{G}$  be the foliations generated by the closed forms  $\omega$  and  $\eta$  such that  $\pi^*(\omega) = dz$  and  $\pi^*(\eta) = dw$ , respectively.

**Example 2.** – The pull-back of a flat pencil is a flat pencil. More precisely, let  $M$  and  $N$  be complex surfaces and  $f: M \rightarrow N$  be a meromorphic map. If  $\mathcal{P} := \mathcal{P}(\mathcal{F}, \mathcal{G})$  is a pencil of foliations on  $N$ , then we can define the pencil  $f^*(\mathcal{P}) = \mathcal{P}(f^*(\mathcal{F}), f^*(\mathcal{G}))$  on  $M$ . It is not difficult to prove that, if  $\mathcal{P}$  is flat then  $f^*(\mathcal{P})$  is also flat.

**Example 3.** – Suppose that the pencil  $\mathcal{P}(\mathcal{F}, \mathcal{G})$  is defined by  $\omega + T \cdot \eta$ , where  $\omega$  and  $\eta$  are meromorphic 1-forms, and there exists a closed meromorphic 1-form  $\theta$  such that  $d\omega = \theta \wedge \omega$  and  $d\eta = \theta \wedge \eta$ . Then the pencil  $\mathcal{P}(\mathcal{F}, \mathcal{G})$  is flat. Of course, the pencils of Example 1 are of this kind, because the forms  $\omega$  and  $\eta$  are closed. However, the reader can find some examples in [LN] or [LN-1] which are not generated by closed forms. One example of this kind is the pencil  $\mathcal{P}_1$  of foliations of degree two on  $\mathbb{C}P(2)$  defined in some affine coordinate system  $(x, y) \in \mathbb{C}^2 \subset \mathbb{C}P(2)$  by the the forms (see §2.4 of [LN]):

$$(1) \quad \begin{cases} \omega_1 = (4x - 9x^2 + y^2)dy - 6y(1 - 2x)dx \\ \eta_1 = 2y(1 - 2x)dy - 3(x^2 - y^2)dx. \end{cases}$$

A straightforward computation gives  $d\omega_1 = \frac{5}{6} \frac{dP}{P} \wedge \omega_1$  and  $d\eta_1 = \frac{5}{6} \frac{dP}{P} \wedge \eta_1$ , where  $P(x, y) = -4y^2 + 4x^3 + 12xy^2 - 9x^4 - 6x^2y^2 - y^4$ . The other examples of [LN] can be obtained from the above one by pulling-back  $\mathcal{P}_1$  by a meromorphic map  $f: \mathbb{C}P(2) \rightarrow \mathbb{C}P(2)$ .

Another example is the pencil  $\mathcal{P}_2$  of degree three generated by

$$(2) \quad \begin{cases} \omega_2 = y(x^2 - y^2)dy - 2x(y^2 - 1)dx \\ \eta_2 = (4x - x^3 - x^2y - 3xy^2 + y^3)dy + 2(x + y)(y^2 - 1)dx. \end{cases}$$

In this case, we have  $d\omega_2 = \frac{3}{4} \frac{dQ}{Q} \wedge \omega_2$  and  $d\eta_2 = \frac{3}{4} \frac{dQ}{Q} \wedge \eta_2$ , where  $Q(x, y) = (y^2 - 1)(x^2 + y^2 - 2x)(x^2 + y^2 + 2x)$ .

We would like to observe that both pencils  $\mathcal{P}_1$  and  $\mathcal{P}_2$  are exceptional families of foliations in the sense of [LN-1]. This means the following: Let  $\mathcal{F}_T^j, T \in \overline{\mathbb{C}}$ , be the foliation defined in  $\mathbb{C}^2 \subset \mathbb{C}P(2)$  by the form  $\omega_j + T \cdot \eta_j$  ( $\mathcal{F}_\infty^j$  defined by  $\eta_j$ ), where  $\omega_j$  and  $\eta_j$  are as in (j),  $j = 1, 2$ , of example 3. Then, for  $j = 1, 2$ , we have:

(a) The singularities of  $\mathcal{F}_T^j$  are of constant analytic type. In other words, there is a finite subset  $F_j \subset \overline{\mathbb{C}}$  such that if  $T_1, T_2 \in \overline{\mathbb{C}} \setminus F_j$  then every singularity of  $\mathcal{F}_{T_1}^j$  is locally analytically equivalent to some singularity of  $\mathcal{F}_{T_2}^j$ .

(b) If we set

$$E_j = \{T \in \overline{\mathbb{C}} \mid \mathcal{F}_T^j \text{ has a meromorphic first integral}\},$$

then  $E_j$  is countable and dense in  $\overline{\mathbb{C}}$ .

(c) Given  $T \in E_j$  denote by  $d_j(T)$  the degree of the generic level of the first integral of  $\mathcal{F}_T^j$ . Then, for any  $m \in \mathbb{N}$  the set  $\{T \in E_j \mid d_j(T) \leq m\}$  is finite. In particular, in both families, there are foliations with first integrals of arbitrarily large degrees.

Concerning the exceptional pencils above, we have the following result:

**Theorem 1.** — *Let  $E_j, j = 1, 2$ , be as in (b). Then*

$$\begin{cases} E_1 = \mathbb{Q} \cdot \langle 1, e^{2\pi i/3} \rangle \cup \{\infty\} \\ E_2 = \mathbb{Q} \cdot \langle 1, i \rangle \cup \{\infty\}. \end{cases}$$

where  $\mathbb{Q} \cdot \langle a, b \rangle = \{q_1 \cdot a + q_2 \cdot b \mid q_1, q_2 \in \mathbb{Q}\}$ .

In our last result we will give some sufficient conditions for the flatness of a pencil  $\mathcal{P} = \mathcal{P}(\mathcal{F}, \mathcal{G})$  in terms of the singularities of the foliations in  $\mathcal{P}$  and the components of the divisor of tangencies. In order to state it, let us consider the singularities of  $\mathcal{F}_T, T \in \overline{\mathbb{C}}$ . Without loss of generality, we will suppose that  $\mathcal{F}$  and  $\mathcal{G}$  have isolated singularities. This implies that the set  $NI := \{T \in \overline{\mathbb{C}} \mid \mathcal{F}_T \text{ has non-isolated singularities}\}$  is finite. Set  $IS := \overline{\mathbb{C}} \setminus NI$  and for each  $T \in IS$ , set  $n(T) := \#(\text{sing}(\mathcal{F}_T))$ . Note that, if  $T \in IS$  then  $N_{\mathcal{F}_T} = N_{\mathcal{F}}$ . It is well known that the number of singularities of  $\mathcal{F}_T$ , counted with multiplicities, is given by (cf. [Br]):

$$m(\mathcal{F}) = m(\mathcal{F}_T) = N_{\mathcal{F}}^2 + N_{\mathcal{F}} \cdot K_M + c_2(M)$$

where  $K_M$  is the canonical bundle of  $M$ . Hence  $n(T) \leq m(\mathcal{F})$  for all  $T \in IS$ . Let  $n_0 = \max\{n(T) \mid T \in IS\}$  and  $GP = \{T \in IS \mid n(T) = n_0\}$ . We need a fact.

**Lemma 1.** —  *$\overline{\mathbb{C}} \setminus GP$  is finite. Moreover, there exist holomorphic maps  $p_j : GP \rightarrow M, j = 1, \dots, n_0$ , such that  $\text{sing}(\mathcal{F}_T) = \{p_1(T), \dots, p_{n_0}(T)\}$  for all  $T \in GP$ .*

The proof of Lemma 1 is left for the reader.

**Definition 1.** — We say that the singularity  $p_j$  is *fixed* if the map  $p_j : GP \rightarrow M$  is constant. Otherwise, we say that  $p_j$  is *movable*. For instance, if  $p$  is a singularity of the curve  $\text{Tang}(\mathcal{F}, \mathcal{G})$  then  $p$  is a singularity of all foliations of the pencil and it is a fixed singularity of the pencil.

Note that, for any movable singularity  $p_j$  of the pencil, the image  $p_j(GP)$  is contained in some irreducible component  $C$  of  $\text{Tang}(\mathcal{F}, \mathcal{G})$ . In this case we will say that  $p_j$  is contained in  $C$ .

Let  $C \subset \text{Tang}(\mathcal{F}, \mathcal{G})$  be an irreducible component. We have two possibilities:

(A)  $C$  is invariant for both foliations  $\mathcal{F}$  and  $\mathcal{G}$ . In this case,  $C$  is invariant for all foliations  $\mathcal{F}_T$  in the pencil and we will say that  $C$  is *invariant for the pencil*.

(B)  $C$  is not invariant for the pencil. In this case, the set  $IN(C) = \{T \in \overline{\mathbb{C}} \mid C \text{ is invariant for } \mathcal{F}_T\}$  is finite.

**Remark 1.** — Given an irreducible component  $C$  of  $\text{Tang}(\mathcal{F}, \mathcal{G})$ , we have two possibilities: either  $C$  contains a movable singularity, or  $C$  does not contain movable singularities. In the second case, we will call  $C$  a *NI-component*. The reason is the following: let  $(U_\alpha)_{\alpha \in A}$  be a covering of  $M$  by open sets and  $(\omega_\alpha)_{\alpha \in A}$ ,  $(\eta_\alpha)_{\alpha \in A}$  be collections of holomorphic 1-forms such that the foliations in the pencil are defined on  $U_\alpha$  by  $\omega_\alpha^T := \omega_\alpha + T \cdot \eta_\alpha$ ,  $T \in \mathbb{C}$ . Given  $p \in U_\alpha \cap C \setminus (\text{sing}(\mathcal{F}) \cup \text{sing}(\mathcal{G}))$ , there exists a unique  $T_o$  such that  $\omega_\alpha(p) + T_o \cdot \eta_\alpha(p) = 0$ , because  $\omega_\alpha(p)$  and  $\eta_\alpha(p)$  are linearly dependent. However, since  $C$  does not contain movable singularities and  $p \notin \text{sing}(\mathcal{F}) \cup \text{sing}(\mathcal{G})$ , the unique possibility is that  $\omega_\alpha(q) + T_o \cdot \eta_\alpha(q) = 0$  for all  $q \in C \cap U_\alpha$ . Hence,  $T_o \in NI$  and the component  $C$  is contained in  $\text{sing}(\mathcal{F}_{T_o})$ . Note that  $T_o$  depends only on  $C$ . We will use the notation  $T_o = T(C)$ . This happens for instance in the case of the Logarithmic forms (see Example 1).

The *divided foliation* associated to  $T(C)$  is defined as follows: for each  $\alpha \in A$ , let  $(f_\alpha = 0)$  be a reduced equation of  $C \cap U_\alpha$ . Since  $\omega_\alpha^{T(C)}|_{C \cap U_\alpha} \equiv 0$ , we can write  $\omega_\alpha^{T(C)} = f_\alpha^\ell \cdot \tilde{\omega}_\alpha$ , where  $\tilde{\omega}_\alpha$  has isolated singularities and  $\ell \in \mathbb{N}$ , does not depend on  $\alpha$ . The divided foliation, denoted by  $\tilde{\mathcal{F}}_{T(C)}$ , is defined by the collection  $(\tilde{\omega}_\alpha)_{\alpha \in A}$ . Note that  $N_{\tilde{\mathcal{F}}_{T(C)}} = N_{\mathcal{F}_{T(C)}} \otimes C^{-\ell}$ .

**Definition 2.** — We say that an irreducible component  $C$  of  $\text{Tang}(\mathcal{F}, \mathcal{G})$  is *nice*, if one of the following conditions hold:

- (a)  $C$  is invariant for the pencil and contains a movable singularity  $p_j(T)$  such that the function  $T \in GP \mapsto BB(p_j(T), \mathcal{F}_T)$  is constant, where  $BB(p_j(T), \mathcal{F}_T)$  denotes the Baum-Bott index of the singularity (cf. [Br]).
- (b)  $C$  is an *NI-component*, invariant for the pencil.
- (c)  $C$  is non-invariant for the pencil and  $C$  contains a movable singularity, say  $p_j(T)$ , such that  $BB(p_j(T), \mathcal{F}_T) = 0$  for all  $T \in GP$ .
- (d)  $C$  is an *NI-component*, non-invariant for the pencil. In this case, we ask that  $C$  is invariant for the divided foliation associated to  $T(C)$ .

The last result, characterizes when the pencil is flat, if we assume that the components of the divisor of tangencies have multiplicity one.

**Theorem 2.** — Let  $\mathcal{F}$  and  $\mathcal{G}$  be two holomorphic foliations on a compact complex surface, such that  $N_{\mathcal{F}} = N_{\mathcal{G}}$ , and let  $\Theta$  be the curvature of the pencil generated by them. Suppose that all components of  $\text{Tang}(\mathcal{F}, \mathcal{G})$  have multiplicity one. Then the following conditions are equivalent:

- (a) The pencil is flat.
- (b) All components of  $\text{Tang}(\mathcal{F}, \mathcal{G})$  are nice.
- (c)  $\Theta$  is holomorphic.

Let us state one consequence.

**Corollary.** — Let  $\mathcal{F}$  and  $\mathcal{G}$  be two holomorphic foliations on a compact complex surface  $M$ . Suppose that  $N_{\mathcal{F}} = N_{\mathcal{G}}$  and  $\text{Tang}(\mathcal{F}, \mathcal{G}) = \emptyset$ . Then the pencil generated by them is flat. Moreover,  $M$  is a complex 2-torus and  $\mathcal{F}, \mathcal{G}$  are linear foliations.

We observe that this corollary is a consequence of Theorem 2 and the classification of complex compact surfaces (see [BPV]). We would like to pose the following problems:

**Problem 1.** — Given a flat pencil  $\mathcal{P} = \mathcal{P}(\mathcal{F}, \mathcal{G})$ , describe the set

$$E(\mathcal{P}) = \{\alpha \in \overline{\mathbb{C}} \mid \mathcal{F}_{\alpha} \text{ has a first integral}\}.$$

**Problem 2.** — Give necessary and sufficient conditions for a pencil to be flat, like in Theorem 2. Recall that Theorem 2 is true only in the case that all components of  $\text{Tang}(\mathcal{F}, \mathcal{G})$  have multiplicity one.

**Problem 3.** — Give necessary and sufficient conditions for a flat pencil to be a pencil of closed 1-forms. We observe that the pencils defined by logarithmic forms satisfy the following properties, when all components of  $\text{Tang}(\mathcal{F}, \mathcal{G})$  have multiplicity one:

- (a) All invariant components of  $\text{Tang}(\mathcal{F}, \mathcal{G})$  are  $NI$ -components.
- (b) All non-invariant components of  $\text{Tang}(\mathcal{F}, \mathcal{G})$  are nice.

We note that the above conditions are necessary in the case that all components of  $\text{Tang}(\mathcal{F}, \mathcal{G})$  have multiplicity one. It seems that they are also sufficient in some cases.

## 2. Proofs

**2.1. Proof of Theorem 1.** — We will use the notation  $\mathcal{F}_T^j$  (resp.  $\mathcal{F}_{\infty}^j$ ) to denote the foliation defined by  $\omega_j + T \cdot \eta_j$ ,  $T \in \mathbb{C}$  (resp.  $\eta_j$ ), where  $\omega_j$  and  $\eta_j$  are as in (j) of example 3,  $j = 1, 2$ . First of all, we observe that, in both cases, it is easy to see that some foliations in the pencils have first integrals. Given  $\alpha \in E_j$  we will call  $g_{\alpha}^j$  the first integral of  $\mathcal{F}_{\alpha}^j$ . For the pencil  $\mathcal{P}_1$  we have:

$$(3) \quad \begin{cases} g_{\infty}^1(x, y) = P(x, y)/(2x - 1)^3 \\ g_1^1(x, y) = P(x, y)/(y - x)^3 \\ g_{-1}^1(x, y) = P(x, y)/(y + x)^3 \end{cases}$$

where,  $P(x, y) = -4y^2 + 4x^3 + 12xy^2 - 9x^4 - 6x^2y^2 - y^4$ .

In particular,  $1, -1, \infty \in E_1$ . On the other hand, for the pencil  $\mathcal{P}_2$  we have

$$(4) \quad \begin{cases} g_0^2(x, y) = C_1(x, y) \cdot C_{-1}(x, y)/4L_1(y) \cdot L_{-1}(y) \\ g_{\infty}^2(x, y) = L_{-1}(y) \cdot C_1(x, y)/L_1(y) \cdot C_{-1}(x, y) \\ g_{1/2}^2(x, y) = L_1(y) \cdot C_1(x, y)/L_{-1}(y) \cdot C_{-1}(x, y) \end{cases}$$

where

$$\begin{cases} C_1(x, y) = x^2 + y^2 - 2x \\ C_{-1}(x, y) = x^2 + y^2 + 2x \\ L_1(y) = y - 1 \\ L_{-1}(y) = y + 1 \end{cases}$$

In particular,  $0, \infty, 1/2 \in E_2$ .

Note that, in all above cases, the generic level curves of  $g_\alpha^j$  are elliptic curves. There is a difference between the two cases: for  $j = 1$  the level curves, after normalization, are of the form  $\mathbb{C}/\langle 1, e^{2\pi i/3} \rangle$ , whereas for  $j = 2$  they are of the form  $\mathbb{C}/\langle 1, i \rangle$ . In the case  $j = 1$ , the proof can be found in §2.4 of [LN]. In the case  $j = 2$ , the fact that the level curves are elliptic can be proved by using the genus formula. For instance, in the case of  $g_\infty^2$ , the level curve  $L_c := (g_\infty^2 = c)$ , for generic  $c \in \mathbb{C}$ , has degree three and no singularities. Hence,  $g(L_c) = (3 - 1)(3 - 2)/2 = 1$ . The proof that the normalization  $L_c$  is  $\mathbb{C}/\langle 1, i \rangle$  will be sketched next.

Let us give an idea of the proof that the pencil  $\mathcal{P}_2$  is exceptional. This proof was done in §2.2 of [LN] for another pencil (of degree four), but the idea is the same. First of all, the divisor of tangency of  $\mathcal{F}_0^2$  and  $\mathcal{F}_\infty^2$  is

$$Tg := \text{Tang}(\mathcal{F}_0^2, \mathcal{F}_\infty^2) = C_1 + C_{-1} + L_1 + L_{-1} + L_\infty,$$

where  $L_\infty$  is the line at infinity of  $\mathbb{C}^2 \subset \mathbb{C}P(2)$ . The singular set of  $Tg$ , which are the fixed singularities of the pencil, is (in homogeneous coordinates)

(I)  $\text{Fix} := \{O := (0 : 0 : 1), A := (-1 : 1 : 1), B := (1 : 1 : 1), C := (1 : -1 : 1), D := (-1 : -1 : 1), E := (1 : i : 0), F := (1 : -i : 0), G := (1 : 0 : 0)\}$ . For  $T \notin \{1, -1, i, -i, \infty\}$  the points  $E, F, G$  are radial singularities for the foliation  $\mathcal{F}_T^2$  (of type  $1 : 1$ ), whereas the points  $A, B, C, D$  and  $O$  are singularities of type  $2 : 1$ . We say that a singularity is of type  $p : q$  if the foliation has a local first integral of the form  $u^p/v^q$ , in some local coordinate system  $(u, v)$ .

On the other hand, each component of  $Tg$  contains exactly one movable singularity of  $\mathcal{F}_\alpha^2$ ,  $\alpha \in \overline{\mathbb{C}}$ :

(II) The points  $P_{-1}(\alpha) := (\alpha, -1) \in L_{-1}$ ,  $P_1(\alpha) := (-\alpha, 1) \in L_1$ ,  $Q_{-1}(\alpha) := (-2/(1 + \alpha^2), 2\alpha/(1 + \alpha^2)) \in C_{-1}$  and  $Q_1(\alpha) := (2/(1 + \alpha^2), -2\alpha/(1 + \alpha^2)) \in C_1$ . These singularities are of the type  $1 : -4$  (with local first integral of the type  $u \cdot v^4$ ).

(III) The point  $P_\infty(\alpha) := [\alpha : 1 : 0] \in L_\infty$ . This singularity is of the type  $1 : -2$ .

The next step is to reduce the fixed singularities (which are dicritical) by blowing-ups. This can be done for all foliations in the pencil simultaneously by doing one blowing-up at each radial singularity and two at each singularity of the type  $2 : 1$ . After this procedure, we find a rational surface  $M$  and a bimeromorphism  $\pi : M \rightarrow \mathbb{C}P(2)$ . We will use the notation  $\mathcal{F}_\alpha = \pi^*(\mathcal{F}_\alpha^2)$ ,  $\alpha \in \overline{\mathbb{C}}$ , and  $\mathcal{P}$  for the pencil in  $M$  so obtained. The pencil  $\mathcal{P}$  has ten invariant curves (rational): five of them are the strict



transforms of the components of  $Tg$  and the other five are the divisors introduced in the first blowing-up at the singularities of the type  $2 : 1$   $(A, B, C, D, O)$ . For each  $\alpha \in E_2$ , the foliation  $\mathcal{F}_\alpha$ , which corresponds to the first integral  $g_\alpha^2$ , has also a first integral  $g_\alpha := g_\alpha^2 \circ \pi$ . We observe that  $g_\alpha$  is holomorphic, because the foliation  $\mathcal{F}_\alpha$  has no dicritical singularities. In fact, for any  $\alpha \in \overline{\mathbb{C}}$ ,  $\mathcal{F}_\alpha$  has ten singularities, one in each invariant curve, which are the following: four of the type  $1 : -4$ , which come from the singularities  $P_1(\alpha)$ ,  $P_{-1}(\alpha)$ ,  $Q_1(\alpha)$  and  $Q_{-1}(\alpha)$ , and six of the type  $1 : -2$ . One of these six singularities come from  $P_\infty(\alpha)$  and the other five are contained in the five invariant divisors introduced in the blowing-up procedure. We leave the details of the proof of these facts for the reader.

Let us describe briefly the (singular) fibration  $g_\infty$ . We will denote by  $T_c$  the level curve  $g_\infty^{-1}(c) \subset M$ . It has three critical levels:  $T_0, T_1$  and  $T_\infty$ . If we call  $U = M \setminus (T_0 \cup T_1 \cup T_\infty)$ , then  $f := g_\infty|_U : U \rightarrow \overline{\mathbb{C}} \setminus \{0, 1, \infty\} := W$  is a (regular) elliptic fibration. The main fact is the following

**Lemma 2.1.1.** – *If  $\alpha \neq \infty$  then  $\mathcal{F}_\alpha$  is transverse to the fibers of  $f$  in all points of the set  $U$ .*

*Proof.* – Since the divisors introduced by  $\pi$  are contained in  $T_0 \cup T_1 \cup T_\infty$ , it is sufficient to prove that the foliations  $\mathcal{F}_\infty^2$  and  $\mathcal{F}_\alpha^2$  are transverse outside  $Tg$ , because  $\pi|_U : U \rightarrow \pi(U) = \mathbb{C}P(2) \setminus Tg$  is a biholomorphism. On the other hand, we have:

$$\begin{aligned} (\omega_2 + \alpha \cdot \eta_2) \wedge \eta_2 &= 2(x^2 + y^2 - 2x)(x^2 + y^2 + 2x)(y - 1)(y + 1)dx \wedge dy \\ &= 2C_1 \cdot C_{-1} \cdot L_1 \cdot L_{-1} dx \wedge dy. \end{aligned}$$

Hence  $\mathcal{F}_\alpha^2$  and  $\mathcal{F}_\infty^2$  are transverse outside  $Tg$ , which implies the lemma. □

Now, we use Ehresmann’s theory of foliations transverse to a fibration (*cf.* [E-R]). According to this theory, if  $L$  is a leaf of  $\mathcal{F}_\alpha|_U$  then  $f|_L : L \rightarrow W$  is a covering map. Moreover, if we fix a (regular) fiber  $T_c$  and a closed curve  $\gamma : [0, 1] \rightarrow W = \overline{\mathbb{C}} \setminus \{0, 1, \infty\}$  with  $\gamma(0) = \gamma(1) = c$ , then we can define an automorphism  $H_{\gamma, \alpha} : T_c \rightarrow T_c$ , as follows: given  $p \in T_c$ , let  $L_\alpha(p)$  be the leaf of  $\mathcal{F}_\alpha$  through  $p$ . Since  $f|_{L_\alpha(p)} : L_\alpha(p) \rightarrow W$  is a covering map, there exists an unique curve  $\hat{\gamma}$  on  $L_\alpha(p)$  such that  $f \circ \hat{\gamma} = \gamma$  and  $\hat{\gamma}(0) = p$ . The automorphism is defined by  $H_{\gamma, \alpha}(p) = \hat{\gamma}(1)$ . It is called the *global holonomy transformation* associated to  $\gamma$ . We will use the following facts:

- (i) For every  $\alpha \in \mathbb{C}$  the automorphism  $H_{\gamma, \alpha}$  is holomorphic and depends only of the the class of  $\gamma$  in  $\Pi_1(W, c)$ . This follows from Ehresmann’s theory and the fact that the foliations are holomorphic.
- (ii) If  $\gamma_1, \gamma_2 \in \Pi_1(W, c)$  and  $\alpha \in \mathbb{C}$  then  $H_{\gamma_1 * \gamma_2, \alpha} = H_{\gamma_1, \alpha} \circ H_{\gamma_2, \alpha}$ . In particular, for each  $\alpha \in \mathbb{C}$ , we can define an action  $H_\alpha : \Pi_1(W, c) \rightarrow \text{Aut}(T_c)$  by  $H_\alpha(\gamma) = H_{\gamma, \alpha}$ , called the *holonomy representation*. The image  $H_\alpha(\Pi_1(W, c)) := G(\alpha, c)$  is called the *global holonomy group* of  $\mathcal{F}_\alpha$ .

(iii) For each fixed  $\gamma \in \Pi_1(W, c)$ , the map  $H_\gamma : \mathbb{C} \times T_c \rightarrow T_c$  defined by  $H_\gamma(\alpha, p) = H_{\gamma, \alpha}(p)$  is holomorphic. This follows from the theorem of holomorphic dependency of the solutions with respect to initial conditions and parameters and the fact that  $H_{\gamma, \alpha}$  can be found by integrating the equation  $\omega_2 + \alpha \cdot \eta_2 = 0$ .

(iv) For any  $p \in T_c$ , the orbit of  $p$  by  $H_\alpha$  coincides with the intersection of the leaf  $L_\alpha(p)$  with the fiber  $T_c$ .

(v) If  $c_1$  is another point of  $W$  and  $\gamma_1$  is a curve in  $W$  connecting  $c_1$  to  $c$ , then, for each  $\alpha \in \mathbb{C}$  it can be defined a biholomorphism  $F_\alpha : T_{c_1} \rightarrow T_c$  (by lifting  $\gamma_1$  to leaves of  $\mathcal{F}_\alpha$ ) such that

$$H_\alpha(\gamma_1^{-1} * \gamma * \gamma_1) = F^{-1} \circ H_\alpha(\gamma) \circ F.$$

In particular, the holonomy representations are conjugated and the fibration  $f$  is isotrivial, that is, all regular fibers are biholomorphic.

Now, consider the two closed curves  $\gamma_0, \gamma_1 : [0, 1] \rightarrow W$ , where  $\gamma_k(0) = \gamma_k(1) = c$ ,  $k = 0, 1$ ,  $\gamma_0$  goes around 0 once and  $\gamma_1$  goes around  $\infty$  once. It is known that  $\gamma_0, \gamma_1$  generate  $\Pi_1(W, c)$ . We will call  $f_{1, \alpha} = H_\alpha(\gamma_0)$  and  $g_{1, \alpha} = H_\alpha(\gamma_1)$ . Fix a holomorphic universal covering  $P : \mathbb{C} \rightarrow T_c$  and let  $f_\alpha, g_\alpha \in \text{Aut}(\mathbb{C})$  be coverings of  $f_{1, \alpha}$  and  $g_{1, \alpha}$ , respectively ( $P \circ f_\alpha = f_{1, \alpha} \circ P$  and  $P \circ g_\alpha = g_{1, \alpha} \circ P$ ).

**Lemma 2.1.2.** – *If we choose well the orientation of the curves  $\gamma_0$  and  $\gamma_1$ , then for any  $\alpha \in \mathbb{C}$  we have  $f_\alpha(z) = i \cdot z + A(\alpha)$  and  $g_\alpha(z) = i \cdot z + B(\alpha)$ , where  $A, B : \mathbb{C} \rightarrow \mathbb{C}$  are holomorphic.*

*Idea of the proof.* – The proof is analogous to the proof of Proposition 4 of §2.2 of [LN], and so we will give only an idea. Let us consider the case of  $f_\alpha$ . The critical fiber  $T_0 := f^{-1}(0)$  of the fibration  $f$  contains the strict transforms, by  $\pi : M \rightarrow \mathbb{C}P(2)$ , of the curves  $C_1$  and  $L_{-1}$ , which we call  $C$  and  $L$ , respectively. On the other hand,  $C_1$  and  $L_{-1}$  contain the movable singularities  $Q_1(\alpha)$  and  $P_{-1}(\alpha)$  of  $\mathcal{F}_\alpha^2$ , which are of the type  $1 : -4$ . These singularities give origin to movable singularities of the pencil  $\mathcal{P}$ ,  $Q(\alpha) := \pi^{-1}(Q_1(\alpha)) \in C$  and  $P(\alpha) = \pi^{-1}(P_{-1}(\alpha)) \in L$ , which are also of the type  $1 : -4$ . Since  $Q(\alpha)$  is the unique singularity of  $\mathcal{F}_\alpha$  on  $C$  and  $C$  is a rational curve,  $Q(\alpha)$  is linearizable for the foliation  $\mathcal{F}_\alpha$  (because the holonomy of  $C$  is trivial, and so linearizable). The same argument applies to  $P(\alpha)$ , which is the unique singularity of  $\mathcal{F}_\alpha$  on  $L$ . On the other hand, the foliation  $\mathcal{F}_\alpha$  has an unique local smooth separatrix, say  $S(\alpha)$ , which is transversal to  $C$ . Since the quotient of the eigenvalues is  $-1/4$ , the holonomy of  $S(\alpha)$ , in a suitable coordinate system  $u$  of a transversal  $\Sigma$ , is linear of the form  $u \mapsto e^{-2\pi i/4} \cdot u = -i \cdot u$ . If we choose  $c$  near 0 then the separatrix  $S(\alpha)$  cuts the fiber  $T_c$  in an unique point, say  $p(\alpha)$ . It can be checked that  $f|_{S(\alpha)} : S(\alpha) \rightarrow D := f(S(\alpha))$  is a bijection. If we choose the curve  $\gamma_0$  as a small circle surrounding 0 contained in  $D$ , then when we go around  $\gamma_0$  in order to evaluate  $f_{1, \alpha}$  we see that  $p(\alpha)$  is a fixed point of  $f_{1, \alpha}$ . Moreover, the section  $\Sigma$  can be choosed to be contained in  $T_c$ . This implies that  $f_{1, \alpha}$  is locally conjugated to  $u \mapsto \pm i \cdot u$ . The

sign  $\pm$  depends on the orientation of  $\gamma_0$ . We choose this orientation in such a way that  $f_{1,\alpha}$  is locally conjugated to  $u \mapsto i \cdot u$ . This implies that  $f_{1,\alpha}$  has period four and that  $f_\alpha(z) = i \cdot z + A(\alpha)$ . Analogously, we can choose the orientation of  $\gamma_1$  in such a way that  $g_\alpha(z) = i \cdot z + B(\alpha)$ . The maps  $\alpha \in \mathbb{C} \mapsto A(\alpha), B(\alpha)$  are holomorphic by (iii).  $\square$

As a consequence of Lemma 2.1.2, we obtain that  $T_c$  is biholomorphic to  $\mathbb{C}/\langle 1, i \rangle$ . This implies that all regular fibers of  $f$  are biholomorphic to  $\mathbb{C}/\langle 1, i \rangle$ , because the fibration is isotrivial. We will fix an universal covering  $P: \mathbb{C} \rightarrow T_c$  such that the associated lattice is  $\langle 1, i \rangle$ . The crucial result is the following:

**Lemma 2.1.3.** —  *$A(\alpha)$  and  $B(\alpha)$  are affine, that is,  $A(\alpha) = a_1 \cdot \alpha + a_0$  and  $B(\alpha) = b_1 \cdot \alpha + b_0$ , where  $a_0, a_1, b_0, b_1 \in \mathbb{C}$ .*

*Proof.* — We need another lemma.

**Lemma 2.1.4.** — *Let  $\mathcal{P}(\mathcal{F}, \mathcal{G})$  be a flat pencil on a surface  $M$ . Given  $p \in M \setminus \text{Tang}(\mathcal{F}, \mathcal{G})$ , there exists a local coordinate system  $(U, (x, y))$ ,  $p \in U$ ,  $(x, y): U \rightarrow \mathbb{C}^2$ , such that the foliation  $\mathcal{F}_\alpha$  of the pencil,  $\alpha \in \overline{\mathbb{C}}$ , is defined on  $U$  by  $dy + \alpha \cdot dx = 0$ . Moreover, if  $(V, (u, v))$  is another coordinate system such that  $U \cap V \neq \emptyset$  is connected and  $\mathcal{F}_\alpha|_V$  is defined by  $dv + \alpha \cdot du = 0$ ,  $\alpha \in \overline{\mathbb{C}}$ , then  $du = \lambda \cdot dx$  and  $dv = \lambda \cdot dy$  on  $U \cap V$ , where  $\lambda \in \mathbb{C}^*$ .*

*Proof.* — Let  $W \subset M \setminus \text{Tang}(\mathcal{F}, \mathcal{G})$  be a small simply connected open neighborhood of  $p$  and  $\omega, \eta$  be holomorphic 1-forms such that the foliation  $\mathcal{F}_\alpha|_W$  is defined by  $\omega + \alpha \cdot \eta = 0$ . Note that  $\mathcal{F}_0 = \mathcal{F}$  and  $\mathcal{F}_\infty = \mathcal{G}$  are defined on  $W$  by  $\omega = 0$  and  $\eta = 0$ , respectively. Since  $W \cap \text{Tang}(\mathcal{F}, \mathcal{G}) = \emptyset$ , we have  $\omega \wedge \eta \neq 0$  on  $W$ . Hence, we can write  $d\omega = \theta \wedge \omega$  and  $d\eta = \theta \wedge \eta$ , where  $\theta$  is holomorphic on  $W$ . Since the pencil is flat,  $\theta$  is closed. Therefore, there exists  $h \in \mathcal{O}(W)$  such that  $\theta = dh$ . If we set  $f = \exp(h)$  then we get

$$d\omega = \frac{df}{f} \wedge \omega \quad \text{and} \quad d\eta = \frac{df}{f} \wedge \eta \quad \implies \quad d\left(\frac{\omega}{f}\right) = d\left(\frac{\eta}{f}\right) = 0.$$

Again, since  $W$  is simply connected, there exist  $x, y \in \mathcal{O}(W)$  such that  $dy = \omega/f$  and  $dx = \eta/f$ . The foliation  $\mathcal{F}_\alpha$  is defined on  $W$  by  $dy + \alpha \cdot dx = \frac{1}{f}(\omega + \alpha \cdot \eta) = 0$ . Note that  $dx \wedge dy \neq 0$  on  $W$ . It follows that  $(x, y): W \rightarrow \mathbb{C}^2$  is an immersion. This implies that we can take a smaller neighborhood  $U \subset W$  of  $p$  such that  $(x, y)|_U$  is a biholomorphism from  $U$  to an open set of  $\mathbb{C}^2$ .

Let  $(V, (u, v))$  be another coordinate system such that  $U \cap V \neq \emptyset$  is connected and  $\mathcal{F}_\alpha|_V$  is defined by  $dv + \alpha \cdot du = 0$ . Note that  $\mathcal{F}|_V$  and  $\mathcal{G}|_V$  are defined by  $dv = 0$  and  $du = 0$ , respectively. Since  $\mathcal{F}_\alpha|_{U \cap V}$  is defined by  $dy + \alpha \cdot dx$  and  $du + \alpha \cdot dv = 0$ , we get

$$(*) \quad dv + \alpha \cdot du = h(x, y, \alpha)(dy + \alpha \cdot dx)$$

where  $h$  is holomorphic. Differentiating both members of (\*) with respect to  $\alpha$ , we get

$$du = \frac{\partial h}{\partial \alpha}(dy + \alpha \cdot dx) + h \cdot dx \implies \frac{\partial h}{\partial \alpha} \equiv 0.$$

because  $du$  is a multiple of  $dx$  on  $U \cap V$ . Hence,  $h(x, y, \alpha) = h(x, y)$ , does not depend on  $\alpha$ . Therefore,  $du = h \cdot dx$  and  $dv = h \cdot dy$  on  $U \cap V$ . This implies that  $dh \wedge dy = dh \wedge dx = 0$  and  $h \in \mathbb{C}^*$ , is a constant. This finishes the proof of lemma 2.1.4. □

Let us finish the proof of Lemma 2.1.3. Fix  $\alpha_0 \in \mathbb{C}$  and  $p \in T_c$ . Set  $q = f_{1,\alpha_0}(p) \in T_c$ . Denote by  $L_\alpha(p)$  the leaf of  $\mathcal{F}_\alpha$  through  $p$ . Let  $\gamma_p: [0, 1] \rightarrow L_{\alpha_0}(p)$  be the lifting of  $\gamma_0$  on the leaf  $L_{\alpha_0}(p)$  through the fibration  $f$ . Note that  $\gamma_p(0) = p$  and  $\gamma_p(1) = q$ . Let  $(U_n)_{1 \leq n \leq m}$  be a covering of  $\gamma_p[0, 1]$  by open sets as in Lemma 2.1.4. For each  $n = 1, \dots, m$  there exists a coordinate system  $(x_n, y_n)$  on  $U_n$  such  $\mathcal{F}_\alpha|_{U_n}$  is defined by  $dy_n + \alpha \cdot dx_n = 0$ . We can choose the enumeration in such a way that there is a partition  $0 = t_0 < t_1 < \dots < t_m = 1$  of  $[0, 1]$  such that  $\gamma_p[t_{n-1}, t_n] \subset U_n$ , for all  $n = 1, \dots, m$ . We can suppose that  $U_n \cap U_{n+1}$  is connected for every  $n = 1, \dots, m - 1$ . It follows from Lema 2.1.4 that there exist constants  $\lambda_n \in \mathbb{C}^*$  such that  $dx_{n+1} = \lambda_n \cdot dx_n$  and  $dy_{n+1} = \lambda_n \cdot dy_n$ ,  $n = 1, \dots, m - 1$ . Hence,

(i)  $y_{n+1} = \lambda_n \cdot y_n + a_n$ , where  $a_n \in \mathbb{C}$ ,  $n = 1, \dots, m - 1$ .

Fix transversal sections to the foliation  $\mathcal{F}_0, \Sigma_0, \dots, \Sigma_m$ , such that:

(ii)  $\gamma_p(t_n) \in \Sigma_n$ ,  $n = 0, 1, \dots, m$ .

(iii)  $\Sigma_n \subset (x_n = ct)$ , that is  $\Sigma_n$  is contained in a leaf of  $\mathcal{F}_\infty$ . Note that  $\Sigma_0, \Sigma_m \subset T_c$ .

Since  $\mathcal{F}_\alpha$  is defined by  $dy_n + \alpha \cdot dx_n = 0$  on  $U_n$ , the holonomy transformation of  $\mathcal{F}_\alpha$ ,  $\alpha$  near  $\alpha_0$ , from the section  $\Sigma_{n-1} \subset (x_n = c_1)$  to the section  $\Sigma_n \subset (x_n = c_2)$ , in terms of the parameter  $y_n$  is of the form  $y_n \mapsto H_n(y_n, \alpha) = y_n - \alpha \cdot b_n$ ,  $b_n = c_2 - c_1$ . It follows from (i) that, in the section  $\Sigma_n$ , we have  $y_{n+1} = \lambda_n \cdot y_n + a_n$ . and so the holonomy transformation  $H_n$ , can be written in terms of the parameter  $y_{n+1}$  (in the image) as  $y_{n+1}(y_n, \alpha) = \lambda_n \cdot H_n(y_n) + a_n = \lambda_n \cdot y_n - \alpha \cdot \lambda_n \cdot b_n + a_n$ . As the reader can check, this implies that the holonomy transformation from the section  $\Sigma_0 \subset U_1 \cap T_c$  to the section  $\Sigma_m \subset U_M \cap T_c$ , which is the composition of the intermediate holonomies, is of the form

$$y_m = H(y_1, \alpha) = \mu \cdot y_1 + \alpha \cdot b + c, \quad \text{where } \mu \in \mathbb{C}^*, b, c \in \mathbb{C}.$$

Now, let us relate the parameters  $y_1 \in \Sigma_1$  and  $y_m \in \Sigma_m$  with the parametrization which comes from the universal covering  $P: \mathbb{C} \rightarrow T_c$ . Since  $\mathcal{F}_0$  is transverse to  $T_c$ , there exists a neighborhood  $V$  of  $T_c$  such that

(iv)  $f|_V: V \rightarrow D := f(V)$  is a trivial fibration. In particular,  $V \simeq D \times T_c$ , where  $f|_V = \pi_1$ , the first projection, and the fibers of the second projection  $\pi_2: V \rightarrow T_c$  are the leaves of  $\mathcal{F}_0|_V$ .

Let  $\tau$  be a non-vanishing 1-form on  $T_c$  such that  $P^*(\tau) = dz$ .

**Claim.** — *There exist constants  $k_1, k_m \in \mathbb{C}^*$  such that  $dy_1|_{\Sigma_1} = k_1 \cdot \tau|_{\Sigma_1}$  and  $dy_m|_{\Sigma_m} = k_m \cdot \tau|_{\Sigma_m}$ .*

*Proof.* — Set  $\omega = \pi_2^*(\tau)$ . Note that  $\omega(p) \neq 0$ , for all  $p \in V$ , and that the foliation  $\mathcal{F}_0|_V$  is defined by  $\omega = 0$ . We can suppose that  $D \subset \mathbb{C}$  and consider  $x := f|_V: V \rightarrow \mathbb{C}$ . This implies that  $\mathcal{F}_\infty|_V$  is defined by  $dx = 0$ . We assert that there exists  $g \in \mathcal{O}^*(D)$  such that the foliation  $\mathcal{F}_\alpha|_V$  is defined by  $\omega + \alpha \cdot g(x) \cdot dx = 0$ .

In fact, since  $\omega$  and  $dx$  are linearly independent on  $V$ , the foliation  $\mathcal{F}_\alpha|_V$  is defined by a 1-form of the type  $\omega_\alpha = \omega + g_\alpha \cdot dx$ , where  $g_\alpha \in \mathcal{O}^*(V)$ . Since the fiber  $T_x = f^{-1}(x)$  is compact, the function  $g_\alpha|_{T_x}$  is constant. Hence, we can write  $g_\alpha = g_\alpha(x)$  and  $\omega_\alpha = \omega + g_\alpha(x) \cdot dx$ . Fix a point  $q \in V$  and a coordinate system  $(U_q, (x_q, y_q))$  such that  $U_q \subset V$  and  $\mathcal{F}_\alpha|_{U_q}$  is defined by  $dy_q + \alpha \cdot dx_q = 0$ . It follows that  $dy_q + \alpha \cdot dx_q = h_\alpha(\omega + g_\alpha(x) \cdot dx)$  on  $U_q$ , where  $h_\alpha \in \mathcal{O}^*(U_q)$ . Differentiating twice both members with respect to  $\alpha$  and by an argument similar to the proof of Lemma 2.1.4, we get  $\partial h_\alpha / \partial \alpha = 0$  and  $\partial^2 g_\alpha / \partial \alpha^2 = 0$ . This implies that  $g_\alpha(x) = \alpha \cdot g(x)$ , where  $g \in \mathcal{O}^*(V)$ .

Since  $\omega$  and  $g(x)dx$  are closed, they are locally exact and we can apply Lemma 2.1.4 to them and the forms  $dy_1$  and  $dx_1$ . It follows that  $dy_1 = k_1 \cdot \omega|_{U_1}$ ,  $k_1 \in \mathbb{C}^*$ . Similarly,  $dy_m = k_m \cdot \omega|_{U_m}$ ,  $k_m \in \mathbb{C}^*$ . Hence,  $dy_j|_{\Sigma_j} = k_j \cdot \tau|_{\Sigma_j}$ ,  $j = 1, m$ . □

Now, fix a disk  $D_1 \subset \mathbb{C}$  such that  $\phi_1 := P|_{D_1}: D_1 \rightarrow \Sigma_1$  is a biholomorphism. The claim implies that  $\phi_1^*(dy_1) = k_1 \cdot dz$ . Therefore,  $y_1 \circ \phi_1(z) = k_1 \cdot z + d_1$ ,  $d_1 \in \mathbb{C}$ . Similarly,  $y_m \circ \phi_m(z) = k_m \cdot z + d_m$ ,  $d_m \in \mathbb{C}$  ( $\phi_m = P|_{D_m}$ ). It follows that the holonomy transformation  $f_\alpha$  can be written, in terms of the parameter  $z \in \mathbb{C}$ , as

$$f_\alpha(z) = k_m^{-1} \cdot H(y_1 \circ \phi_1(z), \alpha) - k_m^{-1} \cdot d_m = i \cdot z + a_1 \cdot \alpha + a_0,$$

where  $a_1 = k_m^{-1} \cdot b$  and  $a_0 = k_m^{-1}(c - d_m) + \mu \cdot d_1$ . Hence,  $A(\alpha) = a_1 \cdot \alpha + a_0$ , where  $a_1, a_0 \in \mathbb{C}$ . Similarly,  $B(\alpha) = b_1 \cdot \alpha + b_0$ . □

Now, the point  $z_0 = A(\alpha)/(1 - i)$  is a fixed point of  $f_\alpha$ . Let  $Q_\alpha(z) = z - z_0$ . The global holonomy group  $G(\alpha, c)$  (viewed in the universal covering) is conjugated to the group generated by  $F_\alpha(z) = Q_\alpha \circ f_\alpha \circ Q_\alpha^{-1}(z) = i \cdot z$  and  $G_\alpha(z) = Q_\alpha \circ g_\alpha \circ Q_\alpha^{-1}(z) = i \cdot z + C(\alpha)$ , where  $C(\alpha) = B(\alpha) - A(\alpha) = a \cdot \alpha + b$ ,  $a = b_1 - a_1$  and  $b = b_0 - a_0$ . Let us finish the proof of Theorem 1. We need two more results. We will give only an idea of the proof of these results (see Proposition 5 and its corollary in [LN]).

**Lemma 2.1.5.** — *The following assertions are equivalent:*

- (a) *The group  $G(\alpha, c)$  is finite.*
- (b)  *$G(\alpha, c)$  has a finite orbit in  $T_c$ .*
- (c) *There exists  $m \in \mathbb{N}$  such that  $m \cdot C(\alpha) \in \langle 1, i \rangle$ .*
- (d)  *$\mathcal{F}_\alpha$  has a first integral. In particular,  $\alpha \in E_2$ .*

*Idea of the proof.* – The proof of the equivalences (a)  $\iff$  (b)  $\iff$  (c) is based in the fact that the group generated by  $F_\alpha$  and  $G_\alpha$  is

$$G = \{z \mapsto c \cdot z + d \cdot C(\alpha) \mid c \in \{1, -1, i, -i\} \text{ and } d \in \langle 1, i \rangle\}.$$

This is done in Proposition 5 of [LN] in another case, but the proof is similar for the above case. On the other hand, if  $\mathcal{F}_\alpha$  has a first integral, then all leaves of  $\mathcal{F}_\alpha$  are algebraic and cut  $T_c$  in a finite number of points. Hence, (d)  $\implies$  (b). Finally, if the group  $G(\alpha, c)$  is finite, say  $\#G(\alpha, c) = m$ , then each leaf of  $\mathcal{F}_\alpha$  cut each fiber  $T_x = f^{-1}(x)$  in  $m$  points. This implies that all leaves  $\mathcal{F}_\alpha$  are algebraic. There is a delicate point here, which involves the fact that the leaves of  $\mathcal{F}_\alpha$  cut transversely the components of the critical fibers of  $f$  which are not invariant for  $\mathcal{F}_\alpha$ . We have not proved this fact here, but the proof can be done by studying carefully the blowing-up process  $\pi$ . We leave the details for the reader. Now, we can use Darboux’s theorem which asserts that if all leaves of a foliation are algebraic then the foliation has a first integral. Therefore, (a)  $\implies$  (d).  $\square$

**Lemma 2.1.6.** *The map  $\alpha \mapsto C(\alpha)$  is non-constant. In particular,  $a \neq 0$ .*

*Idea of the proof.* If  $\alpha \mapsto C(\alpha)$  were constant then all holonomy groups  $G(\alpha, c)$  would be isomorphic. Therefore, it is sufficient to prove that there are  $\alpha_0, \alpha_1 \in E_2$  such that  $\#(G(\alpha_0, c)) \neq \#(G(\alpha_1, c))$ . In the case of this pencil, we have  $0, 1/2 \in E_2$  and the first integrals  $g_0^2$  and  $g_{1/2}^2$  given in (4). It can be checked by using Bézout’s theorem and the explicit expressions for  $g_\infty^2, g_0^2$  and  $g_{1/2}^2$  that the generic leaf of  $\mathcal{F}_0$  cuts  $T_c$  in eight points, whereas the generic leaf of  $\mathcal{F}_{1/2}$  cuts  $T_c$  in four points. This implies that  $\#(G(0, c)) = 8$  and  $\#(G(1/2, c)) = 4$ . Therefore,  $\alpha \mapsto C(\alpha)$  is not constant.  $\square$

*End of the proof of Theorem 1.* We have seen that  $C(\alpha) = a \cdot \alpha + b$ , where  $a \neq 0$ . On the other hand,  $0, 1/2 \in E_2$ , which implies that there exist  $m, n \in \mathbb{N}$  and  $m_0, n_0, m_1, n_1 \in \mathbb{N}$  such that

$$m \cdot b = m_0 + n_0 \cdot i \quad \text{and} \quad n \left( \frac{a}{2} + b \right) = m_1 + n_1 \cdot i \quad \implies \quad a, b \in \mathbb{Q} \cdot \langle 1, i \rangle.$$

Since  $\mathbb{Q} \cdot \langle 1, i \rangle$  is a field, we get

$$m(\alpha \cdot a + b) \in \mathbb{Q} \cdot \langle 1, i \rangle, \quad m \in \mathbb{N} \quad \iff \quad \alpha \in \mathbb{Q} \cdot \langle 1, i \rangle.$$

This finishes the proof in the case of the pencil  $\mathcal{P}_2$ .

In the case of the pencil  $\mathcal{P}_1$  the proof is similar. In this case, the non-singular fibers of  $f$  are biholomorphic to  $\mathbb{C}/\langle 1, k \rangle$  ( $k = e^{\pi i/3}$ ) and the holonomy group of  $\mathcal{F}_\alpha$  is isomorphic to the group generated by the transformations  $E_\alpha(z) = k \cdot z$  and  $G_\alpha(z) = k^2 \cdot z + C(\alpha)$  (in the universal covering), where  $C(\alpha) = a \cdot \alpha + b$ ,  $a \neq 0$ . This group is

$$G = \{z \mapsto c \cdot z + d \cdot C(\alpha) \mid c \in \{1, k, k^2, k^3, k^4, k^5\} \text{ and } d \in \langle 1, k \rangle\}.$$

By the analogous of Lemma 2.1.5 we have that  $\alpha \in E_1$  if, and only if, there exists  $m \in \mathbb{N}$  such that  $m \cdot C(\alpha) \in \langle 1, k \rangle$ . On the other hand, we know that  $1, -1 \in E_1$ , because we have the explicit first integrals  $g_1^1$  and  $g_{-1}^1$  (see (3)). Therefore, there exist  $m, n \in \mathbb{N}$  and  $m_0, n_0, m_1, n_1 \in \mathbb{Z}$  such that

$$m(a + b) = m_0 + n_0 \cdot k \text{ and } n(-a + b) = m_1 + n_1 \cdot k \implies a, b \in \mathbb{Q} \cdot \langle 1, k \rangle.$$

Since  $\mathbb{Q} \cdot \langle 1, k \rangle$  is a field, we get

$$m(a \cdot \alpha + b) \in \langle 1, k \rangle, m \in \mathbb{N} \iff \alpha \in \mathbb{Q} \cdot \langle 1, k \rangle.$$

This finishes the proof of the theorem. □

**2.2. Proof of Theorem 2.** — Let  $\mathcal{P}(\mathcal{F}, \mathcal{G})$  be a pencil of foliations on the compact complex surface  $M$ .

**Definition 3.** — Suppose that  $\mathcal{F}$  and  $\mathcal{G}$  are defined on an open set  $U \subset M$  by  $\omega = 0$  and  $\eta = 0$ , where  $\omega$  and  $\eta$  are holomorphic 1-forms on  $U$ . We will say that  $(U, \omega, \eta)$  are *compatible with the pencil* if the foliation  $\mathcal{F}_\alpha$  is defined on  $U$  by  $\omega + \alpha \cdot \eta = 0$ ,  $\alpha \in \mathbb{C}$ .

We need a Lemma.

**Lemma 2.2.1.** — Let  $C$  be an irreducible component of  $\text{Tang}(\mathcal{F}, \mathcal{G})$  of multiplicity  $k \geq 1$ . There exists a finite set  $F \subset |C|$  such that if  $p \in |C| \setminus F$  then there is a holomorphic coordinate system  $(U, (x, y))$  with  $p \in U$ ,  $x(p) = y(p) = 0$ ,  $|C| \cap U = (y = 0)$ , and holomorphic 1-forms  $\omega$  and  $\eta$ , representing  $\mathcal{F}|_U$  and  $\mathcal{G}|_U$  respectively, such that  $(U, \omega, \eta)$  is compatible with the pencil and

(a) If  $C$  is invariant for the pencil then

$$\begin{cases} \omega = dy \\ \eta = P(x, y) dy - y^k dx \end{cases}$$

where  $P \in \mathcal{O}(U)$ . If  $\theta$  is such that  $d\omega = \theta \wedge \omega$  and  $d\eta = \theta \wedge \eta$ , then

$$\theta = \left( \frac{P_x}{y^k} + \frac{k}{y} \right) dy$$

In particular,  $\Theta|_U = y^{-k} P_{xx}(x, y) dx \wedge dy$  in these coordinates.

(b) If  $C$  is non-invariant for  $\mathcal{F}$  (and so for the pencil) then

$$\begin{cases} \omega = dx \\ \eta = y^k dy - Q(x, y) dx \end{cases}$$

where  $Q \in \mathcal{O}(U)$ . If  $\theta$  is such that  $d\omega = \theta \wedge \omega$  and  $d\eta = \theta \wedge \eta$ , then

$$\theta = \frac{Q_y}{y^k} dx$$

In particular  $\Theta|_U = -\frac{\partial}{\partial y}(y^{-k} Q_y) dx \wedge dy$  in these coordinates.

*Proof.* — Consider a covering  $\mathcal{U} = (U_\alpha)_{\alpha \in A}$  of  $M$  by open sets and collections  $\Omega = (\omega_\alpha)_{\alpha \in A}$ ,  $\Xi = (\eta_\alpha)_{\alpha \in A}$  and  $\Lambda = (g_{\alpha\beta})_{U_{\alpha,\beta} \neq \emptyset}$ , such that  $(U_\alpha, \omega_\alpha, \eta_\alpha)$  is compatible with the pencil for every  $\alpha \in A$  and, if  $U_{\alpha\beta} \neq \emptyset$  then  $\omega_\alpha = g_{\alpha\beta} \cdot \omega_\beta$  and  $\eta_\alpha = g_{\alpha\beta} \cdot \eta_\beta$  on  $U_{\alpha\beta} = U_\alpha \cap U_\beta$ . Let  $F_1 = |C| \cap \text{sing}(\mathcal{F})$ . Given  $p \in |C| \setminus F_1$ , let  $(V, (u, v))$  be a holomorphic coordinate system around  $p$  such that  $u(p) = v(p) = 0$  and  $V \cap |C| = (v = 0)$ . We can suppose that  $V \subset U_\alpha$ , for some  $\alpha \in A$ . Suppose first that  $C$  is invariant for the pencil. Since  $p \notin \text{sing}(\mathcal{F})$  and  $C$  is invariant for  $\mathcal{F}$ , by taking a smaller  $V$  if necessary, we can suppose that the leaves of  $\mathcal{F}|_C$  are the level curves of  $v$ , so that  $\omega_\alpha|_V = f \cdot dv$ , where  $f \in \mathcal{O}^*(V)$ . Set  $\omega = f^{-1} \cdot \omega_\alpha = dv$  and  $\eta = f^{-1} \cdot \eta_\alpha$ . Note that  $(V, \omega, \eta)$  is compatible with the pencil. Let  $\eta = A(u, v)dv - B(u, v)du$ . Since  $\omega \wedge \eta = B(u, v)du \wedge dv$  and the multiplicity of  $C$  in  $\text{Tang}(\mathcal{F}, \mathcal{G})$  is  $k$ , then  $B(u, v) = v^k \cdot b(u, v)$ , where  $b \in \mathcal{O}(V)$  and  $b(u, 0) \neq 0$ . Let  $F_V = \{(u, 0) \in |C| \cap V : b(u, 0) = 0\}$  and  $F = \cup_V F_V \cup F_1$ . We leave for the reader the proof that  $F$  is finite. If  $p \in |C| \setminus F$  then, in the above coordinate system we have  $b(0, 0) \neq 0$ . Therefore, there exists a neighborhood  $U$  of  $p$ , with  $U \subset\subset V$ , and a function  $x \in \mathcal{O}(U)$  such that  $x(p) = 0$ ,  $\partial x / \partial u = b$  and  $\Phi(u, v) = (x(u, v), v)$  is biholomorphism onto  $\Phi(U) \subset \mathbb{C}^2$ . In the coordinate system  $(x, y) := (x, v)$ , we have  $\omega = dy$  and

$$\eta = A dv - v^k b du = A dy - y^k \left( dx - \frac{\partial x}{\partial v} dy \right) = \left( A + y^k \frac{\partial x}{\partial v} \right) dy - y^k dx := P dy - y^k dx$$

Let us compute  $\Theta|_U$ . If  $\theta$  is such that  $d\omega = \theta \wedge \omega$  and  $d\eta = \theta \wedge \eta$  then  $\theta = \phi \cdot dy$ , because  $\omega = dy$  and  $d\omega = 0$ . Since

$$d\eta = (P_x + k y^{k-1}) dx \wedge dy = \left( \frac{P_x}{y^k} + \frac{k}{y} \right) dy \wedge \eta$$

we get that

$$\theta = \left( \frac{P_x}{y^k} + \frac{k}{y} \right) dy \implies \Theta|_U = d\theta = \frac{P_{xx}}{y^k} dx \wedge dy$$

Now, suppose that  $C$  is non-invariant for  $\mathcal{F}$ . Let

$$F_1 = \{p \in |C| : \mathcal{F} \text{ is tangent to } |C| \text{ at } p\}.$$

Clearly  $F_1$  is finite and if  $p \in |C| \setminus F_1$  then there exists a holomorphic coordinate system  $(V, (u, v))$  around  $p$  such that  $V \subset U_\alpha$  for some  $\alpha \in A$ ,  $u(p) = v(p) = 0$ ,  $|C| \cap V = (v = 0)$  and the leaves of  $\mathcal{F}|_V$  are the level curves of  $u$ . In this case,  $\omega_\alpha|_V = f \cdot du$  where  $f \in \mathcal{O}^*(V)$ . Set  $\omega := du = f^{-1} \omega_\alpha|_V$  and  $\eta = f^{-1} \cdot \eta_\alpha|_V$ . Note that  $(V, \omega, \eta)$  is compatible with the pencil. Let  $\eta = A dv - B du$ , where  $A, B \in \mathcal{O}(U)$ . Since  $\omega \wedge \eta = A du \wedge dv$  and  $C$  is a component of multiplicity  $k$ , we can write  $A = v^k \cdot a$ , where  $a(u, 0) \neq 0$ . Let  $F_V = \{(u, 0) \in |C| \cap V : a(u, 0) = 0\}$  and set  $F = \cup_V F_V \cup F_1$ . We leave for the reader the proof that  $F$  is finite. If  $p \in |C| \setminus F$  then in the above coordinate system we have  $a(0, 0) \neq 0$ . We assert that there exists a coordinate system  $(U, (x, y))$  around  $p$  such that  $U \subset V$ ,  $u = x$ ,  $y = v \cdot \phi(u, v)$  and

$$(*) \quad \frac{\partial y^{k+1}}{\partial v} = (k+1) v^k a(u, v)$$



In fact, in a neighborhood of  $p = (0, 0) \in V$ , we can write  $(k + 1) v^k a(u, v) = \sum_{j=k}^{\infty} a_j(u) v^j$ , where  $a_k(0) = (k + 1) a(0, 0) \neq 0$ . Let

$$\phi(u, v) = \sum_{j=k+1}^{\infty} \frac{1}{j} a_{j-1}(u) v^j := v^{k+1} \cdot b(u, v).$$

Note that  $b(0, 0) = a(0, 0) \neq 0$  and  $\partial\phi/\partial v = (k + 1) v^k a(u, v)$ . Let  $U_1 \subset V$  be a simply connected open neighborhood of  $(0, 0)$  such that  $b \in \mathcal{O}^*(U_1)$ . Let  $c \in \mathcal{O}^*(U_1)$  be such that  $c^{k+1} = b$  and  $y \in \mathcal{O}(U_1)$  be defined by  $y(u, v) = v \cdot c(u, v)$ . Note that  $y^{k+1} = \phi$  and the map  $\Phi(u, v) = (u, y(u, v)) = (x, y)$  is a biholomorphism from some open neighborhood  $U \subset U_1$  onto an open subset of  $\mathbb{C}^2$ . Clearly, the coordinate system  $(U, (x, y))$  satisfies  $(*)$ . In these coordinates, we have  $\omega = dx$  and

$$\begin{aligned} \eta &= v^k a(u, v) dv - B(u, v) du = \frac{1}{k+1} \frac{\partial y^{k+1}}{\partial v} dv - B(u, v) du \\ &= y^k dy - \left( \frac{1}{k+1} \frac{\partial y^{k+1}}{\partial u} + B(u, v) \right) du := y^k dy - Q(x, y) dx \end{aligned}$$

If  $\theta$  is such that  $d\omega = \theta \wedge \omega$  and  $d\eta = \theta \wedge \eta$  then  $\theta = \psi \cdot dx$ , because  $\omega = dx$  and  $d\omega = 0$ . Since

$$d\eta = Q_y dx \wedge dy = \frac{Q_y}{y^k} dx \wedge \eta$$

we get that

$$\theta = \frac{Q_y}{y^k} dx \implies \Theta = d\theta = -\frac{\partial}{\partial y} \left( \frac{Q_y}{y^k} \right) dx \wedge dy$$

This finishes the proof of Lemma 2.2.1. □

From now on, in this section, we will suppose that all irreducible components of  $\text{Tang}(\mathcal{F}, \mathcal{G})$  have multiplicity one.

2.2.2. (b)  $\implies$  (c). Denote by  $D_\infty$  the divisor of poles of  $\Theta$ . Let  $C$  be a component of  $\text{Tang}(\mathcal{F}, \mathcal{G})$ . Suppose first that  $C$  is invariant for the pencil. Since the multiplicity of  $C$  in  $\text{Tang}(\mathcal{F}, \mathcal{G})$  is one, by Lemma 2.2.1, we can choose a coordinate system  $(U, (x, y))$  such that  $U \cap C = (y = 0)$ ,  $p = (0, 0) \in U$  and

$$(5) \quad \begin{cases} \omega = dy \\ \eta = P(x, y) dy - y dx \end{cases}$$

Let  $P(x, y) = p_0(x) + yp(x, y)$ , where  $p \in \mathcal{O}(U)$  and  $p_0(x) = \sum_{j=0}^{\infty} a_j x^j$ . Since  $\Theta = h \cdot dx \wedge dy$ , where  $h = y^{-1} P_{x,x} = y^{-1} p_0''(x) + p_{x,x}(x, y)$ , then  $C \not\subset D_\infty$  if, and only if,  $p_0(x) = a_0 + a_1 x$ . Note that the foliation  $\mathcal{F}_T$  associated to  $\eta_T = \eta + T \cdot \omega = (T + P(x, y))dy - y dx$ , is defined on  $U$  by the vector field

$$X_T(x, y) = (T + p_0(x) + yp(x, y)) \frac{\partial}{\partial x} + y \frac{\partial}{\partial y}$$

Hence, the singularities of  $\mathcal{F}_T$  on  $U$  are given by  $y = T + p_0(x) = 0$ .

We have two possibilities: either  $p_0$  is a constant ( $p_0(x) = a_0$ ), or  $p_0$  is not a constant. In the first case, we get that  $\eta_{-a_0} = y(pdy - dx)$ . In this case,  $-a_0 \in NI$  and there is no movable singularity on  $C$ . Moreover  $\Theta = p_{,xx} dx \wedge dy$ , which implies that  $C \not\subset D_\infty$ . In the second case, there is a movable singularity on  $C$ : if  $x(T)$  is such that  $T + p_0(x(T)) = 0$  and  $-T$  is a regular value of  $p_0$  then  $x(T)$  is a movable singularity of  $\mathcal{P}$  and  $T \in GP = \{T \in IS \mid n(T) = n_0\}$ . Without lost of generality, we can suppose that this singularity satisfies (a) of Definition 2. This singularity is non-degenerate, in the sense that zero is not an eigenvalue of  $DX_T(q(T))$ , where  $q(T) = (x(T), 0)$ . In this case, the Baum-Bott index of  $\mathcal{F}_T$  at  $p(T)$  is given by (cf. [Br]):

$$\begin{aligned}
 B(T) &:= BB(q(T), \mathcal{F}_T) = \frac{\text{tr}^2(DX_T(q(T)))}{\det(DX_T(q(T)))} = \frac{(p'_0(x(T)) + 1)^2}{p'_0(x(T))} \\
 (6) \qquad &= p'_0(x(T)) + \frac{1}{p'_0(x(T))} + 2
 \end{aligned}$$

Since  $C$  is nice, we have  $B'(T) \equiv 0$ . As the reader can check, this condition is equivalent to

$$p''_0(x(T)) \left(1 - \frac{1}{(p'_0(x(T)))^2}\right) x'(T) \equiv 0$$

Since  $q(T)$  is a movable singularity, we have  $x'(T) \neq 0$ . Therefore,  $p''_0(x(T)) \equiv 0$ , which implies that  $p'_0 \equiv 0$  and  $p_0(x) = a_0 + a_1 x$  (note that  $p'_0(x(T)) = \pm 1$  implies also that  $p'_0 = 0$ ). Therefore,  $C \not\subset D_\infty$ .

Suppose now that  $C$  is non-invariant for  $\mathcal{P}$ . Consider a coordinate system  $(U, (x, y))$  such that  $U \cap C = (y = 0)$ ,  $p = (0, 0) \in U$  and

$$(7) \qquad \begin{cases} \omega = dx \\ \eta = y dy - Q(x, y) dx \end{cases}$$

where  $Q(x, y) = q_0(x) + q_1(x)y + y^2 q(x, y)$ , where  $q_0, q_1$  and  $q$  are holomorphic. Since  $\Theta = -(y^{-1} Q_{,y})_y dx \wedge dy$ , then  $C \not\subset D_\infty$  if, and only if,  $q_1(x) \equiv 0$ . Note that the foliation  $\mathcal{F}_T$  associated to  $\eta_T = \eta + T \cdot \omega = y dy + (T - Q(x, y))dx$ , is defined on  $U$  by the vector field

$$X_T(x, y) = y \frac{\partial}{\partial x} + (q_0(x) + q_1(x)y + y^2 q(x, y) - T) \frac{\partial}{\partial y}$$

Hence, the singularities of  $\mathcal{F}_T$  on  $U$  are given by  $y = q_0(x) - T = 0$ .

We have two possibilities: either  $q_0$  is a constant, or  $q_0$  is not a constant. In the first case, we get  $\eta_{q_0} = y[dy - (q_1(x) + yq(x, y))dx]$ , and so  $q_0 \in NI$  and there is no movable singularity on  $C$ . Since  $C$  is nice, the curve  $C$  is invariant for the divided foliation associated to  $q_0$ , which is defined by  $\tilde{\omega} = dy - (q_1(x) + yq(x, y))dx$  on  $U$ . But,  $C \cap U = (y = 0)$  and this curve is invariant for  $\tilde{\omega} = 0$  if, and only if,  $q_1 \equiv 0$ . Therefore,  $C \not\subset D_\infty$ . In the second case, there is a movable singularity:  $p(T) = (x(T), 0) \in U \cap C$ , where  $x(T)$  is such that  $q_0(x(T)) - T = 0$ . Set  $q_0(0) = T_0$ . If  $T$  is a regular value

of  $q_0$  near  $T_0$ , then  $T \in GP$ . Without loss of generality, we can suppose that this singularity satisfies (c) of Definition 2. This singularity is non-degenerate, and so:

$$(8) \quad BB(p(T), \mathcal{F}_T) = \frac{\text{tr}^2(DX_T(p(T)))}{\det(DX_T(p(T)))} = \frac{q_1^2(x(T))}{q_0'(x(T))}$$

Since  $C$  is nice, we get  $B \equiv 0$ , and so  $q_1 \equiv 0$ , which implies that  $C \not\subset D_\infty$ .

2.2.3. (a)  $\implies$  (b). – Suppose first that  $C$  is invariant for  $\mathcal{P}(\mathcal{F}, \mathcal{G})$ . Let  $(U, (x, y))$  be a coordinate system like in (5), around a point  $p = (0, 0) \in U \cap C$ . Since  $\Theta \equiv 0$ , by Lemma 2.2.1, we have  $P_{xx} = 0$ . This implies that  $P(x, y) = p_0(y) + p_1(y)x$ , where  $p_0, p_1$  are holomorphic. Hence, the singularities of  $\mathcal{F}_T$  on  $C \cap U$  are the solutions of  $y = T + p_0(0) + p_1(0)x = 0$ . We have two possibilities: either  $p_1(0) \neq 0$ , or  $p_1(0) = 0$ . If  $p_1(0) = 0$ , then  $T = -p_0(0) \in NI$  and we are in the situation of (b) of Definition 2. Therefore,  $C$  is nice. If  $p_1(0) \neq 0$ , then  $C$  contains a unique movable singularity:  $q(T) = (x(T), 0)$ , where  $x(T) = -(T + p_0(0))/p_1(0)$  (clearly  $q(T) \in U$  for  $|T + p_0(0)|$  small enough). This singularity is non-degenerate, and so by (6) we get:

$$BB(p(T), \mathcal{F}_T) = \frac{\text{tr}^2(DX_T(p(T)))}{\det(DX_T(p(T)))} = \frac{(p_1(0) + 1)^2}{p_1(0)}$$

Hence,  $C$  is nice in this case.

Suppose now that  $C$  is non-invariant for the pencil. Consider a coordinate system  $(U, (x, y))$  around  $p = (0, 0) \in U$  as in (7). Since  $\Theta \equiv 0$ , Lemma 2.2.1 implies that

$$\frac{\partial}{\partial y}(y^{-1} Q_y) = 0 \implies Q(x, y) = q_0(x) + q_2(x)y^2$$

This implies that  $C$  is nice, as the reader can check by using (8).

2.2.4. (c)  $\implies$  (a). – Suppose that  $\Theta$  is holomorphic. The idea is to use the well-known fact that

$$\Theta \equiv 0 \iff \int_M \Theta \wedge \bar{\Theta} = 0 \iff [\Theta] = 0 \text{ in } H_{DR}^2(M)$$

The proof will be based in the following:

**Claim 1.** —  $\int_M \Theta \wedge \bar{\Theta} = -2\pi i \int_M c_1(N_{\mathcal{F}}) \wedge \bar{\Theta}$ , where  $c_1(N_{\mathcal{F}})$  is any representative of the first Chern class of  $N_{\mathcal{F}}$  in  $H_{DR}^2(M)$ .

*Proof.* — Let  $\mathcal{U} = (U_\alpha)_{\alpha \in A}$  be a covering of  $M$  by open sets,  $\Omega = (\omega_\alpha)_{\alpha \in A}$ ,  $\Xi = (\eta_\alpha)_{\alpha \in A}$  and  $\Lambda = (g_{\alpha\beta})_{U_{\alpha\beta} \neq \emptyset}$  be as in (I), (II) and (III) of §1. Let  $(\theta_\alpha)_{\alpha \in A}$  be a collection of 1-forms, where  $\theta_\alpha$  is meromorphic on  $U_\alpha$ ,  $d\omega_\alpha = \theta_\alpha \wedge \omega_\alpha$  and  $d\eta_\alpha = \theta_\alpha \wedge \eta_\alpha$ . Recall that, if  $U_{\alpha\beta} \neq \emptyset$  then  $\theta_\alpha - \theta_\beta = dg_{\alpha\beta}/g_{\alpha\beta}$ . On the other hand, by taking a  $C^\infty$  resolution of the additive cocycle  $(dg_{\alpha\beta}/g_{\alpha\beta})_{U_{\alpha\beta} \neq \emptyset}$ , we can write  $dg_{\alpha\beta}/g_{\alpha\beta} = \mu_\alpha - \mu_\beta$ , where the closed 2-form  $\Lambda$  defined by  $\Lambda|_{U_\alpha} = \frac{i}{2\pi} d\mu_\alpha$ , represents  $c_1(N_{\mathcal{F}})$  on  $H_{DR}^2(M)$  (cf. [G-H], p. 141). If  $U_{\alpha\beta} \neq \emptyset$ , then  $dg_{\alpha\beta}/g_{\alpha\beta} = \theta_\alpha - \theta_\beta = \mu_\alpha - \mu_\beta$ . Hence, we can

define a  $C^\infty$  1-form  $\varphi$  on  $W := M \setminus \text{Tang}(\mathcal{F}, \mathcal{G})$  by  $\varphi|_{U_\alpha \cap W} = \frac{i}{2\pi}(\theta_\alpha - \mu_\alpha)$ . Note that  $d\varphi = \frac{i}{2\pi}\Theta - \Lambda$ . This implies that  $d\varphi$  extends to a  $C^\infty$  form in  $M$ . Moreover,

$$(9) \quad \int_M \left(\frac{i}{2\pi}\Theta - \Lambda\right) \wedge \bar{\Theta} = \int_M d\varphi \wedge \bar{\Theta}.$$

The idea is to prove that  $\int_M d\varphi \wedge \bar{\Theta} = 0$ . Let us study the behavior of  $\varphi$  near an irreducible component of  $\text{Tang}(\mathcal{F}, \mathcal{G})$ . Set  $\text{Tang}(\mathcal{F}, \mathcal{G}) = \sum_{j=1}^k C_j + \sum_{i=1}^\ell D_i$ , where  $C_j$  is invariant for the pencil,  $j = 1, \dots, k$ , and  $D_i$  is non-invariant,  $i = 1, \dots, \ell$ . Consider first the non-invariant case. Let  $p \in |D_i| \cap U_\alpha$  be a point such that we have a normal form like in (b) of Lemma 2.2.1, in a coordinate system  $(U, (x, y))$ , where  $U \subset U_\alpha$ . As we have seen,  $\omega_\alpha|_U = f\omega$  and  $\eta_\alpha|_U = f\eta$ , where  $f \in \mathcal{O}^*(U)$ ,  $\omega = dx$  and  $\eta = ydy - Q(x, y)dx$ ,  $Q(x, y) = q_0(x) + q_1(x)y + y^2q(x, y)$ . This implies that  $\theta_\alpha = \theta + df/f$ , where  $\theta = \frac{Q_y}{y}dx$ . Note that  $\Theta$  is holomorphic in  $U$  if, and only if,  $Q_y/y$  is holomorphic, which implies that  $\theta_\alpha$  is holomorphic in  $U$  and  $\varphi$  is  $C^\infty$  in  $U$ . This implies that  $\varphi$  is  $C^\infty$  on  $M \setminus |C|$ , where  $C = \sum_j C_j$  and  $|C| = \cup_j |C_j|$ .

Consider now a point  $p \in |C|$ . Let  $(f_1 \cdots f_k = 0)$  be a (reduced) equation of  $C$  in a small Stein neighborhood  $U$  of  $p$ . We assert that there exist  $\lambda_1, \dots, \lambda_k \in \mathbb{C}$  and a  $C^\infty$  1-form  $\nu$  such that

$$(10) \quad \varphi|_U = \sum_{j=1}^r \lambda_j \frac{df_j}{f_j} + \nu.$$

In fact, suppose first that  $p$  belongs to an invariant component  $C_j$  and we have a normal form like in (a) of Lemma 2.2.1 on a coordinate system  $(U, (x, y))$ , where  $U \subset U_\alpha$ , for some  $\alpha \in A$ . As before, we have  $\omega_\alpha|_U = f \cdot \omega = f \cdot dy$  and  $\eta_\alpha|_U = f \cdot \eta$ , where  $f \in \mathcal{O}^*(U)$  and  $\eta = P(x, y)dy - ydx$ . From the first part of the proof and the fact that  $\Theta$  is holomorphic, we get

$$(*) \quad \theta_\alpha = \theta + \frac{df}{f} = \frac{1 + P_x}{y}dy + \frac{df}{f} = \frac{1}{2\pi i} \lambda_U \frac{dy}{y} + \varsigma_U,$$

where  $\lambda_U \in \mathbb{C}$  and  $\varsigma_U$  is a holomorphic 1-form. This implies that  $\varphi|_U = \lambda_U \frac{dy}{y} + \nu_U$ , where  $\nu_U$  is a  $C^\infty$  1-form.

Let us prove that  $\lambda_U$  depends only of  $C_j$ . It follows from (\*) that

$$\frac{1}{2\pi i} \lambda_U = \text{Res}(\theta_\alpha, C_j) = \frac{1}{2\pi i} \int_\gamma \theta_\alpha,$$

where  $\gamma$  is a small cycle surrounding  $C_j$ . If  $\beta \in A$  is such that  $U_\alpha \cap U_\beta \cap C_j \neq \emptyset$  then  $\theta_\alpha - \theta_\beta = dg_{\alpha\beta}/g_{\alpha\beta}$ . Hence,

$$\frac{1}{2\pi i} \int_\gamma \theta_\alpha = \frac{1}{2\pi i} \int_\gamma \theta_\beta,$$

if  $\gamma \subset U_\alpha \cap U_\beta$ . This proves that  $\lambda_U$  depends only of  $C_j$ . Set  $\lambda_U = \lambda_j$ .

Note that  $\lambda_j$  satisfies the following property

(A) Let  $(f_{j\alpha} = 0)$  be a reduced equation of  $C_j \cap U_\alpha$ . Then  $\theta_\alpha - \frac{1}{2\pi i} \lambda_j df_{j\alpha}/f_{j\alpha}$  has no poles along  $C_j \cap U_\alpha$ .

We leave the proof of (A) for the reader. Let  $p \in |C| \cap U_\alpha$  and  $(f_{j\alpha} = 0)$  be a reduced equation of  $C_j$  on  $U_\alpha$ . It follows from (A) that  $\theta_\alpha - \sum_{j=1}^k \frac{1}{2\pi i} \lambda_j df_{j\alpha}/f_{j\alpha}$  is holomorphic on  $U_\alpha$ . Hence,  $\nu = \varphi|_{U_\alpha} - \sum_{j=1}^k \lambda_j df_{j\alpha}/f_{j\alpha}$  is  $C^\infty$ . This proves (10).

Let us prove that  $\int_M d\varphi \wedge \bar{\Theta} = 0$ . We will consider two cases:

*First case.* — All the singularities of  $C$  are nodes. In this case, we can find a finite open covering  $\mathcal{V} = (V_\alpha)_{\alpha \in A}$  of  $M$  with the following properties:

(i) For every  $\alpha \in A$ ,  $V_\alpha$  is a domain of a coordinate system  $\psi_\alpha = (x_\alpha, y_\alpha): U_\alpha \rightarrow \mathbb{C}^2$  such that  $\psi_\alpha(U_\alpha) = D_2 \times D_2$ , where  $D_r = \{z \in \mathbb{C} \mid |z| < r\}$ .

(ii) If  $U_\alpha = \psi_\alpha^{-1}(D_1 \times D_1)$  then  $\cup_\alpha U_\alpha = M$ .

(iii) If  $|C| \cap V_\alpha \neq \emptyset$  is smooth then  $(y_\alpha = 0)$  is an equation of  $C \cap V_\alpha$ . In particular,  $\varphi|_{V_\alpha} = \lambda \frac{dy_\alpha}{y_\alpha} + \nu$ , where  $\lambda \in \mathbb{C}$  and  $\nu$  is  $C^\infty$ .

(iv) If  $|C| \cap V_\alpha$  has a singularity in  $V_\alpha$  then  $(x_\alpha \cdot y_\alpha = 0)$  is an equation of  $C \cap V_\alpha$ . In particular,  $\varphi|_{V_\alpha} = \lambda_a \frac{dx_\alpha}{x_\alpha} + \lambda_b \frac{dy_\alpha}{y_\alpha} + \nu$ , where  $\lambda_a, \lambda_b \in \mathbb{C}$  and  $\nu$  is  $C^\infty$ .

In general, let  $(f_\alpha = 0)$  be an equation of  $C \cap V_\alpha$ . Let  $(\varphi_\alpha)_{\alpha \in A}$  be a  $C^\infty$  partition of the unity such that  $\text{supp}(\varphi_\alpha) \subset V_\alpha$  for all  $\alpha \in A$  and set  $f = \exp(\sum_\alpha \varphi_\alpha \cdot \ln |f_\alpha|)$ . If  $\beta \in A$  is fixed, then

$$\begin{aligned} f|_{V_\beta} &= \exp\left(\sum_{\alpha, V_{\alpha,\beta} \neq \emptyset} \varphi_\alpha \cdot \ln |f_\alpha|\right) \cdot \exp\left(\sum_{\alpha, V_{\alpha,\beta} = \emptyset} \varphi_\alpha \cdot \ln |f_\alpha|\right) \\ &= \exp\left(\sum_{\alpha, V_{\alpha,\beta} \neq \emptyset} \varphi_\alpha \cdot \ln |g_{\alpha\beta} f_\beta|\right) \cdot \exp\left(\sum_{\alpha, V_{\alpha,\beta} = \emptyset} \varphi_\alpha \cdot \ln |f_\alpha|\right) = |f_\beta| \cdot g_\beta \end{aligned}$$

where  $g_\beta: V_\beta \rightarrow (0, +\infty)$  is  $C^\infty$ .

(v)  $f|_{V_\alpha} = |f_\alpha| \cdot g_\alpha$ , where  $g_\alpha \in C^\infty(V_\alpha)$ . In particular,  $f$  can be extended continually to  $M$  as  $f|_{|C|} \equiv 0$ .

(vi)  $f > 0$  on  $M \setminus |C|$  and  $f^{-1}(0) = |C|$ .

Set  $M_\varepsilon = \{p \in M \mid f(p) \geq \varepsilon\}$  and  $C_\varepsilon = \{p \in M \mid f(p) \leq \varepsilon\}$ . For all  $\varepsilon > 0$  we have

$$\begin{aligned} \int_M d\varphi \wedge \bar{\Theta} &= \int_{M_\varepsilon} d\varphi \wedge \bar{\Theta} + \int_{C_\varepsilon} d\varphi \wedge \bar{\Theta} = \int_{M_\varepsilon} d(\varphi \wedge \bar{\Theta}) + \int_{C_\varepsilon} d\varphi \wedge \bar{\Theta} \\ &= \int_{\partial M_\varepsilon} \varphi \wedge \bar{\Theta} + \int_{C_\varepsilon} d\varphi \wedge \bar{\Theta}. \end{aligned}$$

Since  $\lim_{\varepsilon \rightarrow 0} (\int_{C_\varepsilon} d\varphi \wedge \bar{\Theta})$ , we get

(vii)  $\int_M d\varphi \wedge \bar{\Theta} = \lim_{\varepsilon \rightarrow 0} (\int_{\partial M_\varepsilon} \varphi \wedge \bar{\Theta})$ .

It is enough to prove that  $\lim_{\varepsilon \rightarrow 0} (\int_{\partial M_\varepsilon} \varphi \wedge \bar{\Theta}) = 0$ . In order to prove this fact, consider a covering  $\{V_1 := V_{\alpha_1}, \dots, V_n := V_{\alpha_n}\}$  of  $|C|$  by sets of  $\mathcal{V}$ , such that  $\{U_j := U_{\alpha_j} \mid 1 \leq j \leq n\}$  is still a covering of  $|C|$ . If  $U = \cup_{j=1}^n U_j$  then there

exists  $\varepsilon_0$  such that, if  $\varepsilon < \varepsilon_0$  then  $\partial M_\varepsilon \subset V$ . Hence, if  $S_j(\varepsilon) = \partial M_\varepsilon \cap \bar{U}_\varepsilon$  and  $I_j(\varepsilon) = \int_{S_j(\varepsilon)} |\varphi \wedge \bar{\Theta}|$ , we get that

$$\left| \int_{\partial M_\varepsilon} \varphi \wedge \bar{\Theta} \right| \leq \sum_{j=1}^n I_j(\varepsilon), \quad \text{if } \varepsilon < \varepsilon_0$$

It follows that, it is sufficient to prove that  $\lim_{\varepsilon \rightarrow 0} I_j(\varepsilon) = 0$  for all  $j = 1, \dots, n$ . We will prove this fact in the case where  $V_j$  is like in (iv) and leave the other case for the reader.

Consider a coordinate system  $(x, y)$  on  $V_j$  as in (iv), that is  $|C| \cap V_j = (x \cdot y = 0)$ . As we have seen before,  $\Theta|_{V_j} = g(x, y) dx \wedge dy$  and  $\varphi|_{V_j} = \lambda_a \frac{dx}{x} + \lambda_b \frac{dy}{y} + \nu$ , where  $g \in \mathcal{O}(V_j)$ ,  $\lambda_a, \lambda_b \in \mathbb{C}$  and  $\nu$  is  $C^\infty$ . Therefore, there exists a constant  $c > 0$  such that on  $\bar{U}_j$  we have

$$|\varphi \wedge \bar{\Theta}| \leq c \left( \left| \frac{dx}{x} \wedge d\bar{x} \wedge d\bar{y} \right| + \left| \frac{dy}{y} \wedge d\bar{x} \wedge d\bar{y} \right| + \left| \nu \wedge d\bar{x} \wedge d\bar{y} \right| \right)$$

If we set

$$\begin{aligned} A_j(\varepsilon) &= \int_{S_j(\varepsilon)} \left| \frac{dx}{x} \wedge d\bar{x} \wedge d\bar{y} \right|, \\ B_j(\varepsilon) &= \int_{S_j(\varepsilon)} \left| \frac{dy}{y} \wedge d\bar{x} \wedge d\bar{y} \right| \\ C_j(\varepsilon) &= \int_{S_j(\varepsilon)} \left| \nu \wedge d\bar{x} \wedge d\bar{y} \right|. \end{aligned}$$

then  $I_j(\varepsilon) \leq c(A_j(\varepsilon) + B_j(\varepsilon) + C_j(\varepsilon))$ . Hence, it is sufficient to prove that  $\lim_{\varepsilon \rightarrow 0} A_j(\varepsilon) = \lim_{\varepsilon \rightarrow 0} B_j(\varepsilon) = \lim_{\varepsilon \rightarrow 0} C_j(\varepsilon) = 0$ . We will prove that  $\lim_{\varepsilon \rightarrow 0} A_j(\varepsilon) = 0$  and leave the proof that  $\lim_{\varepsilon \rightarrow 0} B_j(\varepsilon) = \lim_{\varepsilon \rightarrow 0} C_j(\varepsilon) = 0$  for the reader (note that  $\lim_{\varepsilon \rightarrow 0} C_j(\varepsilon) = 0$  because  $\nu$  is  $C^\infty$ ). Given  $0 < a < 1$ , define

$$J(a, \varepsilon) = \int_{S_j(\varepsilon) \cap (|x| \geq a)} \left| \frac{dx}{x} \wedge d\bar{x} \wedge d\bar{y} \right| \quad \text{and} \quad K(a, \varepsilon) = \int_{S_j(\varepsilon) \cap (|x| \leq a)} \left| \frac{dx}{x} \wedge d\bar{x} \wedge d\bar{y} \right|$$

so that  $A_j(\varepsilon) = J(a, \varepsilon) + K(a, \varepsilon)$ . Since  $\left| \frac{dx}{x} \wedge d\bar{x} \wedge d\bar{y} \right|$  is  $C^\infty$  on  $(|x| \geq a)$ , we get that  $\lim_{\varepsilon \rightarrow 0} J(a, \varepsilon) = 0$  for all  $a > 0$ . Therefore, it is sufficient to prove that there exists  $0 < a < 1$  such that  $\lim_{\varepsilon \rightarrow 0} K(a, \varepsilon) = 0$ .

Set  $x = r e^{i\alpha}$  and  $y = s e^{i\beta}$ , so that  $\left| \frac{dx}{x} \wedge d\bar{x} \wedge d\bar{y} \right| = 2|dr \wedge d\alpha \wedge d\bar{y}|$ . In the coordinate system  $(r, \alpha, y)$  we have  $f(r, \alpha, y) = r \cdot s \cdot g(r, \alpha, y)$  (by (iv)), where  $g \in C^\infty$  and  $g > 0$ . Since  $\partial r \cdot g / \partial r(0, \alpha, y) = g(0, \alpha, y) > 0$ , there exists  $0 < a < 1$  such that the map  $\psi(r, \alpha, y) = (r \cdot g(r, \alpha, y), \alpha, y) = (R, \alpha, y)$  is diffeomorphism from a neighborhood  $W$  of  $(r = 0) \cap (|y| \leq 1)$  onto  $W_1 = (R < \delta) \cap (|y| < 1 + \delta)$ , where  $W \supset (r \leq a) \cap (|y| \leq 1)$ . Note that  $\psi^{-1}(R, \alpha, y) = (R \cdot h(R, \alpha, y), \alpha, y)$ , where  $h$  is  $C^\infty$ . In the coordinate system  $(R, \alpha, y)$  we have

$$S_j(\varepsilon) \cap W_1 = (R \cdot |y| = R \cdot s = \varepsilon) \cap (s \leq 1) := T(\varepsilon) \implies K(a, \varepsilon) = \int_{T(\varepsilon)} 2|d(R \cdot h) \wedge d\alpha \wedge d\bar{y}|$$

if  $\varepsilon > 0$  is small. We assert that there exists a constant  $c > 0$  such that  $2|d(R \cdot h) \wedge d\alpha \wedge d\bar{y}| \leq c \cdot R|ds \wedge d\alpha \wedge d\beta|$  on  $T(\varepsilon)$ , if  $\varepsilon$  is small (the restriction to  $T(\varepsilon)$ ). In fact,

$$\begin{aligned} 2|d(R \cdot h) \wedge d\alpha \wedge d\bar{y}| &\leq 2R|dh \wedge d\alpha \wedge d\bar{y}| + 2|h||dR \wedge d\alpha \wedge d\bar{y}| \\ &\leq 2R|h_R||dR \wedge d\alpha \wedge d\bar{y}| + 2R|h_y||d\alpha \wedge dy \wedge d\bar{y}| \\ &\quad + 2|h||dR \wedge d\alpha \wedge d\bar{y}| \end{aligned}$$

Since  $K := \psi((r \leq a) \cap (|y| \leq 1))$  is compact,  $2|h|, 2|h_R|, 2|h_y|, R$  are bounded in  $K$ , so that there exists a constants  $c_1 > 0$  such that

$$\begin{aligned} 2|d(R \cdot h) \wedge d\alpha \wedge d\bar{y}| &\leq c_1(R|d\alpha \wedge dy \wedge d\bar{y}| + |dR \wedge d\alpha \wedge d\bar{y}|) \\ &\leq c_1(2R|ds \wedge d\alpha \wedge d\beta| + |dR \wedge d\alpha \wedge d\bar{y}|) \end{aligned}$$

on  $K$ , because  $|d\alpha \wedge dy \wedge d\bar{y}| = 2|ds \wedge d\alpha \wedge d\beta|$ . On the other hand,  $\bar{y} = s \cdot e^{-i\beta}$  and  $R \cdot s = \varepsilon$  on  $T(\varepsilon)$ . Hence, if  $\varepsilon > 0$  is small, we get

$$\begin{aligned} |dR \wedge d\alpha \wedge d\bar{y}| &= |d(R d\bar{y}) \wedge d\alpha| = |d(-R s i e^{-i\beta} d\beta) \wedge d\alpha + d(R e^{-i\beta} ds) \wedge d\alpha| \\ &= |d(-\varepsilon i e^{-i\beta} d\beta) \wedge d\alpha + d(R e^{-i\beta} ds) \wedge d\alpha| \\ &= |d(R e^{-i\beta} ds) \wedge d\alpha| \leq R|ds \wedge d\alpha \wedge d\beta| + |dR \wedge ds \wedge d\alpha| \\ &= R|ds \wedge d\alpha \wedge d\beta| \end{aligned}$$

because  $dR \wedge ds = 0$  on  $T(\varepsilon)$ . Therefore, on  $T(\varepsilon)$  we have  $2|d(R \cdot h) \wedge d\alpha \wedge d\bar{y}| \leq c \cdot R|ds \wedge d\alpha \wedge d\beta|$ , where  $c = 3c_1$ . From this, we get that

$$K(a, \varepsilon) \leq c \int_{T(\varepsilon)} R|ds \wedge d\alpha \wedge d\beta| = c\varepsilon \int_{T(\varepsilon)} \left| \frac{ds}{s} \wedge d\alpha \wedge d\beta \right|.$$

On the other hand, the region  $T(\varepsilon)$  in the real hypersurface  $R \cdot s = \varepsilon$ , is contained in a region of the form

$$T_1(\varepsilon) := \{(R, s, \alpha, \beta) \mid R \cdot s = \varepsilon, \alpha, \beta \in [0, 2\pi], 1 \geq s \geq \varepsilon/R_0\},$$

where  $R_0 = \sup\{R(r, \alpha, y) \mid (r, \alpha, y) \in S_j(\varepsilon)\}$ . This implies that

$$K(a, \varepsilon) \leq c\varepsilon \int_{T_1(\varepsilon)} \left| \frac{ds}{s} \wedge d\alpha \wedge d\beta \right| = 4\pi^2 c\varepsilon \cdot |\log(\varepsilon/R_0)| \implies \lim_{\varepsilon \rightarrow 0} K(a, \varepsilon) = 0$$

This finishes the proof of Claim 1 in the first case.

*Second case: general case.* – Consider a resolution of the curve  $C$  by blowing-ups  $\pi: \widehat{M} \rightarrow M$  and let  $C^* = \pi^{-1}(C)$ ,  $\Theta^* = \pi^*(\Theta)$  and  $\varphi^* = \pi^*(\varphi)$ . Then  $\int_M d\varphi \wedge \bar{\Theta} = 0$  if, and only if,  $\int_{\widehat{M}} d\varphi^* \wedge \bar{\Theta}^* = 0$ . Note that the singularities of  $C^*$  are of nodal type. It is sufficient to prove that  $|C^*|$  admits an open covering satisfying (i), (ii), (iii) and (iv). Let  $p \in \text{sing}(C)$  (which is not a node) and  $q \in \pi^{-1}(p)$ . Since the singularities of  $C^*$  are nodes, we have two possibilities: either  $q$  is a smooth point of  $C^*$ , or  $q$  is in the normal crossing of two local components, say  $D_1$  and  $D_2$  of  $C^*$ . Let us consider, for instance, the second case. Let  $(W, (x, y))$  be a coordinate system

around  $p$ , where  $C \cap U$  has a reduced equation  $(f_1 \cdots f_k = 0)$ . As we have seen, we can write  $\varphi|_W = \sum_{j=1}^k \lambda_j \frac{df_j}{f_j} + \nu$ , where  $\lambda_1, \dots, \lambda_k \in \mathbb{C}$  and  $\nu$  is  $C^\infty$ . Consider a coordinate system  $(V, \phi = (u, v))$  around  $q = (0, 0)$  such that  $\pi(V) \subset W$ ,  $\phi(V) = \{(u, v) \in \mathbb{C}^2 \mid |u|, |v| \leq 2\}$ ,  $D_1 \cap V = (u = 0)$  and  $D_2 \cap V = (v = 0)$ . We have still two possibilities: either  $\pi(D_1) = \pi(D_2) = \{p\}$ , or  $\pi(D_j) = \{p\}$  for just one  $j \in \{1, 2\}$ . Let us consider, for instance, the first case. In this case, if  $\widehat{f}_j$  is the strict transform of  $f_j$ , then  $F_j := \widehat{f}_j|_V \in \mathcal{O}^*(V)$ . On the other hand,  $f_j \circ \pi(u, v) = u^{m_j} \cdot v^{n_j} \cdot F_j$ . Hence, in the coordinates  $(u, v)$  we have,  $\pi^*(\varphi) = \lambda_a \frac{du}{u} + \lambda_b \frac{dv}{v} + \nu^*$ , where  $\lambda_a = \sum_j m_j \cdot \lambda_j$ ,  $\lambda_b = \sum_j n_j \cdot \lambda_j$  and  $\nu^* = \pi^*(\nu) + \sum_j \lambda_j dF_j / F_j$ . Since  $F_j \in \mathcal{O}^*(V)$  for all  $j$ , we get that  $\nu^*$  is  $C^\infty$ . We leave the proof of the other cases for the reader. This finishes the proof of Claim 1.  $\square$

Let us finish the proof of (c)  $\implies$  (a). Suppose by contradiction that  $\Theta$  is holomorphic and  $\Theta \neq 0$ . Let  $Z := (\Theta)_0$  be the divisor of zeroes of  $\Theta$ . Given a divisor  $D$  on  $M$  we will denote by  $[D]$  its class in  $\text{Pic}(M)$ . Since  $\Theta$  is a non-vanishing section of  $\Omega^2(M)$ , we have  $K_M = [Z]$ . On the other hand, it is known that  $\text{Tang}(\mathcal{F}, \mathcal{G}) = K_M + N_{\mathcal{F}} + N_{\mathcal{G}}$  (cf. [Br]). Since  $N_{\mathcal{F}} = N_{\mathcal{G}}$  we get that  $2N_{\mathcal{F}} = \text{Tang}(\mathcal{F}, \mathcal{G}) - [Z] = \sum_{j=1}^m n_j [D_j]$ , where  $n_j \in \mathbb{Z}$  and  $D_j$  is an irreducible component of  $\text{Tang}(\mathcal{F}, \mathcal{G}) \cup Z$ ,  $1 \leq j \leq m$ . It follows from Claim 1 that

$$\int_M \Theta \wedge \bar{\Theta} = \sum_{j=1}^m -i\pi m_j \int_M c_1(D_j) \wedge \bar{\Theta}$$

On the other hand, it is known that (cf. [G-H])

$$\int_M c_1(D_j) \wedge \bar{\Theta} = \int_{D_j} \bar{\Theta} = 0$$

because  $\bar{\Theta}$  is a  $(0, 2)$ -form. This finishes the proof of Theorem 2.  $\square$

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