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# UNIFORM ESTIMATES FOR THE CAUCHY-RIEMANN EQUATION ON $q$ -CONCAVE WEDGES

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## 0. Introduction

This article is the continuation of [L-T/Le]. Both papers are preliminary works for a systematic study of the tangential Cauchy-Riemann equation on real submanifolds from the viewpoint of uniform estimates and by means of integral formulas. For this study we have to solve the Cauchy-Riemann equation with uniform estimates on  $q$ -convex and  $q$ -concave wedges in  $\mathbb{C}^n$  (for historical remarks, see the introduction to [L-T/Le]). Whereas [L-T/Le] is devoted to  $q$ -convex wedges, here we study  $q$ -concave wedges.

The main result of the present paper can be formulated as follows. Let  $G \subseteq \mathbb{C}^n$  be a domain,  $q$  an integer with  $1 \leq q \leq n-1$ , and  $\varphi_1, \dots, \varphi_N$  a collection of real  $C^2$  functions on  $G$  satisfying the following three conditions :

- (i)  $E := \{ z \in G : \varphi_1(z) = \dots = \varphi_N(z) = 0 \} \neq \emptyset$  ;
- (ii)  $d\varphi_1(z) \wedge \dots \wedge d\varphi_N(z) \neq 0$  for all  $z \in G$  ;
- (iii) If  $\lambda = (\lambda_1, \dots, \lambda_N)$  is a collection of non-negative real numbers with  $\lambda_1 + \dots + \lambda_N = 1$ , then, at all points in  $G$ , the Levi form of the function

$$\lambda_1\varphi_1 + \dots + \lambda_N\varphi_N$$

has at least  $q+1$  positive eigenvalues.

Set

$$D = \bigcap_{j=1}^N \{z \in G: \varphi_j(z) > 0\} \quad (0.1)$$

and

$$\Omega = \bigcup_{j=1}^N \{z \in G: \varphi_j(z) > 0\} . \quad (0.2)$$

Further, for  $\xi \in \mathbb{C}^n$  and  $R > 0$ , we denote by  $B_R(\xi)$  the open ball of radius  $R$  in  $\mathbb{C}^n$  centered at  $\xi$ . Then Theorems 5.6, 5.7 and 6.6 of the present work imply the following

0.1. THEOREM. — For each point  $\xi \in E$  there exists a radius  $R > 0$  such that :

- (a) If  $q-N \geq 0$ , then each holomorphic function on  $D$  extends holomorphically to  $D \cup B_R(\xi)$  ;
- (b) If  $q-N \geq 1$  and  $f$  is a continuous  $\bar{\partial}$ -closed  $(n, r)$ -form with  $1 \leq r \leq q-N$  on  $D$ , then there exists a continuous  $(n, r-1)$ -form  $u$  on  $D \cap B_R(\xi)$  with

$$\bar{\partial}u = f \text{ on } D \cap B_R(\xi) . \quad (0.3)$$

Moreover if, for some  $\beta$  with  $0 \leq \beta < 1$ ,  $f$  satisfies the estimate

$$\|f(\zeta)\| \leq [\text{dist}(\zeta, \partial D)]^{-\beta}, \quad \zeta \in D, \quad (0.4)$$

then the solution  $u$  of (0.3) can be given by an explicit integral operator and, for all  $\varepsilon > 0$ , there is a constant  $C_\varepsilon > 0$  (independent of  $f$ ) such that :

If  $0 \leq \beta < 1/2$ , then  $u$  is Hölder continuous with exponent  $1/2-\beta-\varepsilon$  on  $\overline{D \cap B_R(\xi)}$  and

$$\|u\|_{1/2-\beta-\varepsilon, \overline{D \cap B_R(\xi)}} \leq C_\varepsilon \sup_{\zeta \in D} \|f(\zeta)\| [\text{dist}(\zeta, \partial D)]^\beta, \quad (0.5)$$

where  $\|\cdot\|_{1/2-\beta-\varepsilon, \overline{D \cap B_R(\xi)}}$  is the Hölder norm with exponent  $1/2-\beta-\varepsilon$  on  $\overline{D \cap B_R(\xi)}$ .

If  $1/2 \leq \beta < 1$ , then

$$\sup_{z \in D} \|u(z)\| [\text{dist}(z, \partial D)]^{\beta-1/2+\varepsilon} \leq C_\varepsilon \sup_{\zeta \in D} \|f(\zeta)\| [\text{dist}(\zeta, \partial D)]^\beta . \quad (0.6)$$

Note that the radius  $R$  and the constant  $C_\varepsilon$  in Theorem 0.1 depend continuously on  $\varphi_1, \dots, \varphi_N$  with respect to the  $C^2$  topology.

Theorem 0.1 implies the following corollary for the domain  $\Omega$  defined by (0.2) :

0.2. COROLLARY. — For each point  $\xi \in E$  there exists a radius  $R > 0$  such that :

- (i) If  $q \geq 1$ , then each holomorphic function on  $\Omega$  extends holomorphically to  $\Omega \cup B_R(\xi)$  ;
- (ii) If  $q \geq 2$  and  $f$  is a continuous  $\bar{\partial}$ -closed  $(n, r)$ -form with  $1 \leq r \leq q-1$  on  $\Omega$ , then there is a continuous  $(n, r-1)$ -form  $u$  on  $\Omega \cap B_r(\xi)$  with

$$\bar{\partial}u = f \text{ on } \Omega \cap B_r(\xi) . \quad (0.7)$$

It is easy to see that, for  $r = 1$ , estimates (0.5) and (0.6) (with  $\Omega$  instead of  $D$ ) hold also in this corollary. We do not know whether this is true for  $r \geq 2$ .

For the smooth case ( $N = 1$ ) Theorem 0.1 was obtained by Lieb [Li]. We prove Theorem 0.1 by means of integral formulas which are obtained combining the construction of Lieb [Li] with the construction of Range and Siu [R/S]. The main problem then consists in the proof of the estimates. Fortunately, in large parts, this proof is parallel to the corresponding proof in the  $q$ -convex case which is carried out in [L-T/Le]. Note that, in both proofs, an idea of Henkin plays a very important role (see the introduction to [L-T/Le]). Note also that in the survey article [He] of Henkin a global result, corresponding to the important special case  $\beta = 0$ ,  $\varepsilon = \frac{1}{2}$  of Theorem 0.1 is formulated (see [He] th. 8-12 d)).

Finally we want to compare our results with the work [G] of Grauert. He studied domains of type  $\Omega$  defined by (0.2), where instead of condition (iii) the following stronger hypothesis is used :

(iii)' There is a fixed  $(q+1)$ -dimensional subspace  $T$  of  $\mathbb{C}^n$  such that, for all  $j = 1, \dots, N$  and  $z \in G$ , the Levi form  $\varphi_j$  is positive definite on  $T$ .

Under this hypothesis, Corollary 0.2 follows from Satz 1 in [G]. Note that the conclusion of Satz 1 in [G] is essentially stronger than the conclusion of our Corollary 0.2 : we can solve  $\bar{\partial}u = f$  only on the smaller set  $\Omega \cap B_r(\xi)$  if  $f$  is given on  $\Omega$ , whereas Grauert proves the existence of a basis of Stein neighborhoods  $U$  of  $\xi$  such that, if  $f$  is given on  $\Omega \cap U$ , the equation  $\bar{\partial}u = f$  can be solved on the same set  $\Omega \cap U$ . In the smooth case ( $N = 1$ ) such a solution without shrinking of the domain is possible also with estimates as in Theorem 0.1 (see Theorem 14.1 in [He/Le 2]). On the other hand, it is not clear whether one can solve (even without estimates) the  $\bar{\partial}$ -equation without shrinking of the domain in the situation of Theorem 0.1 if  $N \geq 2$ . Note also that the statement of Theorem 0.1 under the stronger condition (iii)' and without estimates and with shrinking of the domain can be obtained also from Satz 1 in [G].

## 1. Preliminaries

1.1. — For  $z \in \mathbb{C}^n$  we denote by  $z_1, \dots, z_n$  the canonical complex coordinates of  $z$ . We write  $\langle z, w \rangle = z_1 w_1 + \dots + z_n w_n$  and  $|z| = \langle z, z \rangle^{1/2}$  for  $z, w \in \mathbb{C}^n$ .

1.2. — Let  $M$  be a closed real  $C^1$  submanifold of a domain  $\Omega \subseteq \mathbb{C}^n$ , and let  $\zeta \in M$ . Then we denote by  $T_\zeta^{\mathbb{C}}(M)$  the complex, and by  $T_\zeta^{\mathbb{R}}(M)$  the real tangent space of  $M$  at  $\zeta$ . We identify these spaces with subspaces of  $\mathbb{C}^n$  as follows : if  $\rho_1, \dots, \rho_N$  are real  $C^1$  functions in a neighborhood  $U_\zeta$  of  $\zeta$  such that  $M \cap U = \{ \rho_1 = \dots = \rho_N = 0 \}$  and

$d\rho_1(\zeta) \wedge \cdots \wedge d\rho_N(\zeta) \neq 0$ , then

$$T_{\zeta}^{\mathbb{C}}(M) = \left\{ t \in \mathbb{C}^n : \sum_{\nu=1}^n \frac{\partial \rho_j(\zeta)}{\partial \zeta_{\nu}} t_{\nu} = 0 \text{ for } j = 1, \dots, n \right\}$$

and

$$T_{\zeta}^{\mathbb{R}}(M) = \left\{ t \in \mathbb{C}^n : \sum_{\nu=1}^{2n} \frac{\partial \rho_j(\zeta)}{\partial x_{\nu}} x_{\nu}(t) = 0 \text{ for } j = 1, \dots, n \right\},$$

where  $x_1, \dots, x_{2n}$  are the real coordinates on  $\mathbb{C}^n$  with  $t_{\nu} = x_{\nu}(t) + ix_{\nu+n}(t)$  for  $t \in \mathbb{C}^n$  and  $\nu = 1, \dots, n$ .

1.3. — Let  $\Omega \subseteq \mathbb{C}^n$  be a domain and  $\rho$  a real  $C^2$  function on  $\Omega$ . Then we denote by  $L_{\rho}(\zeta)$  the Levi form of  $\rho$  at  $\zeta \in \Omega$ , and by  $F_{\rho}(\cdot, \zeta)$  the Levi polynomial of  $\rho$  at  $\zeta \in \Omega$ , i.e.

$$L_{\rho}(\zeta)t = \sum_{j,k=1}^n \frac{\partial^2 \rho(\zeta)}{\partial \bar{\zeta}_j \partial \zeta_k} \bar{t}_j t_k$$

$\zeta \in \Omega$ ,  $t \in \mathbb{C}^n$ , and

$$F_{\rho}(z, \zeta) = 2 \sum_{j=1}^n \frac{\partial \rho(\zeta)}{\partial \zeta_j} (\zeta_j - z_j) - \sum_{j,k=1}^n \frac{\partial^2 \rho(\zeta)}{\partial \bar{\zeta}_j \partial \zeta_k} (\zeta_j - z_j)(\zeta_k - z_k)$$

$\zeta \in \Omega$ ,  $z \in \mathbb{C}^n$ . Recall that by Taylor's theorem (see, e.g., Lemma 1.4.13 in [He/Le 1])

$$\operatorname{Re} F_{\rho}(z, \zeta) = \rho(\zeta) - \rho(z) + L_{\rho}(\zeta)(\zeta - z) + o(|\zeta - z|^2). \quad (1.1)$$

1.4. — Let  $J = (j_1, \dots, j_{\ell})$ ,  $1 \leq \ell < \infty$ , be an ordered collection of elements in  $\mathbb{N} \cup \{*\}$ . Then we write  $|J| = \ell$ ,  $J(\nu) = (j_1, \dots, j_{\nu-1}, j_{\nu+1}, \dots, j_{\ell})$  for  $\nu = 1, \dots, \ell$ , and  $j \in J$  if  $j \in \{j_1, \dots, j_{\ell}\}$ .

1.5. — Let  $N \geq 1$  be an integer. Then we denote by  $P(N)$  the set of all ordered collections  $K = (k_1, \dots, k_{\ell})$ ,  $\ell \geq 1$ , of integers with  $1 \leq k_1, \dots, k_{\ell} \leq N$ , and by  $P(N, *)$  the set of all ordered collections  $K = (k_1, \dots, k_{\ell})$ ,  $\ell \geq 1$  such that either  $K \in P(N)$  or for a  $\nu \in \{1, \dots, \ell\}$ ,  $k_{\nu} = *$  and  $K(\hat{\nu}) \in P(N)$  as well as  $K = (*)$ . We call  $P'(N)$  the subset of all  $K = (k_1, \dots, k_{\ell}) \in P(N)$  with  $k_1 < \dots < k_{\ell}$  and  $P'(N, *)$  the subset of all  $K = (k_1, \dots, k_{\ell})$  where either  $K \in P'(N)$  or  $1 \leq k_1 < \dots < k_{\ell-1} \leq N$  and  $k_{\ell} = *$ , i.e.  $K(\hat{\ell}) \in P'(N)$  and  $K = K(\hat{\ell})*$ , as well as  $K = (*)$ .

1.6. — Let  $J = (j_1, \dots, j_{\ell})$ ,  $1 \leq \ell < \infty$ , be an ordered collection of integers with  $0 \leq j_1 < \dots < j_{\ell}$ . Then we denote by  $\Delta_J$  (or  $\Delta_{j_1, \dots, j_{\ell}}$ ) the simplex of all sequences  $\{\lambda_j\}_{j=0}^{\infty}$  of numbers  $0 \leq \lambda_j \leq 1$  such that  $\lambda_j = 0$  if  $j \notin J$  and  $\sum \lambda_j = 1$ . We orient  $\Delta_J$  by the form  $d\lambda_{j_2} \wedge \cdots \wedge d\lambda_{j_{\ell}}$  if  $\ell \geq 2$ , and by  $+1$  if  $\ell = 1$ .

Further  $\Delta_{J*}$  (or  $\Delta_{j_1, \dots, j_{\ell}*}$ ) will be the simplex of all sequences  $\{\lambda_j\}_{j=0}^{\infty} \cup \{\lambda_*\}$  of numbers  $0 \leq \lambda_j \leq 1$ ,  $0 \leq \lambda_* \leq 1$  such that  $\lambda_j = 0$  if  $j \notin J$  and  $\sum_{j=0}^{\infty} \lambda_j + \lambda_* = 1$ . We orient  $\Delta_{J*}$  by the form  $d\lambda_{j_2} \wedge \cdots \wedge d\lambda_{j_{\ell}} \wedge d\lambda_*$ .

We set also  $\Delta_\emptyset = \emptyset$ .

1.7. — We denote by  $\overset{\circ}{\chi}$  a fixed  $C^\infty$  function

$$\overset{\circ}{\chi}: [0, 1] \longrightarrow [0, 1]$$

with  $\overset{\circ}{\chi}(\lambda) = 0$  if  $0 \leq \lambda \leq 1/4$  and  $\overset{\circ}{\chi}(\lambda) = 1$  if  $1/2 \leq \lambda \leq 1$ .

1.8. — Let  $N \geq 1$  be an integer and  $K = (k_1, \dots, k_\ell) \in P'(N, *)$ . Then, for  $\lambda \in \Delta_{OK}$  with  $\lambda_0 \neq 1$ , we denote by  $\overset{\circ}{\lambda}$  the point in  $\Delta_K$  defined by

$$\overset{\circ}{\lambda}_{k_\nu} = \frac{\lambda_{k_\nu}}{1 - \lambda_0} \quad (\nu = 1, \dots, \ell)$$

and for  $\lambda \in \Delta_{K^*}$  with  $\lambda_* \neq 1$ , we set  $\overset{*}{\lambda}$  the point in  $\Delta_K$  defined by

$$\overset{*}{\lambda}_{k_\nu} = \frac{\lambda_{k_\nu}}{1 - \lambda_*} \quad (\nu = 1, \dots, \ell) .$$

If  $\lambda \in \Delta_{OK^*}$  with  $\lambda_0 \neq 1$  we set  $\overset{\circ}{\lambda}_* = \frac{\lambda_*}{1 - \lambda_0}$  and if moreover  $\lambda_* \neq 1$  we define  $\overset{\circ*}{\lambda} \in \Delta_K$  by

$$\overset{\circ*}{\lambda}_{k_\nu} = \frac{\overset{*}{\lambda}_{k_\nu}}{1 - \lambda_0} .$$

1.9. — Let  $D \subset\subset \mathbb{C}^n$  be a domain.  $D$  will be called a  $C^k$  intersection,  $k = 1, 2, \dots, \infty$ , if there exist a neighborhood  $U_{\overline{D}}$  of  $\overline{D}$  and a finite number of real  $C^k$  functions  $\rho_1, \dots, \rho_N, \rho_*$  in a neighborhood of  $\overline{U_{\overline{D}}}$  such that

$$D = \{z \in U_{\overline{D}}: \rho_j(z) < 0 \text{ for } j = 1, \dots, N, *\}$$

and

$$d\rho_{k_1}(z) \wedge \dots \wedge d\rho_{k_\ell}(z) \neq 0$$

for all  $(k_1, \dots, k_\ell) \in P'(N, *)$  and  $z \in \partial D$  with  $\rho_{k_1}(z) = \dots = \rho_{k_\ell}(z) = 0$ . In this case, the collection  $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$  will be called a  $C^k$  frame for  $D$ .

1.10. — Let  $D \subset\subset \mathbb{C}^n$  be a  $C^1$  intersection and  $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$  a frame for  $D$ . Then, for  $K = (k_1, \dots, k_\ell) \in P(N, *)$ , we set

$$S_K = \{z \in \partial D: \rho_{k_1}(z) = \dots = \rho_{k_\ell}(z) = 0\}$$

if  $k_1, \dots, k_\ell$  are different in pairs, and

$$S_K = \emptyset$$

otherwise. We orient the manifolds  $S_K$  so that the orientation is skew symmetric in  $k_1, \dots, k_\ell$ , and

$$\partial D = \sum_{j=1}^N S_j + S_* \tag{1.2}$$

and

$$\partial S_K = \sum_{j=1}^N S_{Kj} + S_{K*} \quad (1.3)$$

for all  $K \in P(N, *)$ .

1.11. — Let  $f$  be a differential form on a domain  $D \subseteq \mathbb{C}^N$ . Then we denote by  $\|f(z)\|, z \in D$ , the Riemannian norm of  $f$  at  $z$  (see, e.g., Sect. 0.4 in [He/Le 2]).

1.12. — If  $M$  is an oriented real  $C^1$  manifold and  $f$  is a differential form of maximal degree, then we denote by  $|f|$  the absolute value of  $f$  (see, e.g., Sect. 0.3 in [He/Le 2]).

1.13. — Let  $D \subset\subset \mathbb{C}^n$  be a domain. Then we shall use the following spaces and norms of differential forms :

$C_*^0(D)$  is the set of continuous forms on  $D$ . Set

$$\|f\|_0 = \|f\|_{0,D} = \sup_{z \in D} \|f(z)\| \quad (1.4)$$

for  $f \in C_*^0(D)$ .

$C_*^\alpha(\overline{D})$ ,  $0 \leq \alpha \leq 1$ , is the set of forms  $f \in C_*^0(D)$  whose coefficients admit a continuous extension to  $\overline{D}$  which are, if  $\alpha > 0$ , even Hölder continuous with exponent  $\alpha$  on  $\overline{D}$ . Set

$$\|f\|_\alpha = \|f\|_{\alpha,D} = \|f\|_{0,D} + \sup_{\substack{z, \zeta \in D \\ z \neq \zeta}} \frac{\|f(z) - f(\zeta)\|}{|\zeta - z|^\alpha} \quad (1.5)$$

for  $0 < \alpha \leq 1$  and  $f \in C_*^\alpha(\overline{D})$ .

$B_*^\beta(D)$ ,  $\beta \geq 0$ , is the set of forms  $f \in C_*^0(D)$  such that, for some constant  $C > 0$ ,

$$\|f(z)\| \leq C[\text{dist}(z, \partial D)]^{-\beta}, \quad z \in D,$$

where  $\text{dist}(z, \partial D)$  is the Euclidean distance between  $z$  and  $\partial D$ . Set

$$\|f\|_{-\beta} = \|f\|_{-\beta,D} = \sup_{z \in D} \|f(z)\| [\text{dist}(z, \partial D)]^\beta \quad (1.6)$$

for  $\beta \geq 0$  and  $f \in B_*^\beta(D)$ .

If  $\Lambda_{p,r}(D)$  is the space of forms of bidegree  $(p, r)$  on  $D$ , then we set

$$C_{p,r}^0(D) = C_*^0(D) \cap \Lambda_{p,r}(D),$$

$$C_{p,r}^\alpha(\overline{D}) = C_*^\alpha(\overline{D}) \cap \Lambda_{p,r}(D),$$

$$B_{p,r}^\beta(D) = B_*^\beta(D) \cap \Lambda_{p,r}(D),$$

and

$$C_{p,*}^0(D) = \bigcup_{0 \leq r \leq n} C_{p,r}^0(D),$$

$$C_{p,*}^\alpha(\overline{D}) = \bigcup_{0 \leq r \leq n} C_{p,r}^\alpha(\overline{D}),$$

$$B_{p,*}^\beta(D) = \bigcup_{0 \leq r \leq n} B_{p,r}^\beta(D).$$

## 2. Local $q$ -concave wedges

In this section  $n$  and  $q$  are fixed integers with  $0 \leq q \leq n-1$ . Denote by  $MO(n, q)$  the complex manifold of all complex  $n \times n$ -matrices which define an orthogonal projection from  $\mathbb{C}^n$  onto some  $q$ -dimensional subspace of  $\mathbb{C}^n$ .

2.1. DEFINITION. — A collection  $(U, \rho_1, \dots, \rho_N)$  will be called a  $q$ -configuration in  $\mathbb{C}^n$  if  $U \subseteq \mathbb{C}^n$  is a convex domain, and  $\rho_1, \dots, \rho_N$  are real  $C^3$  functions on  $U$  satisfying the following conditions :

- (i)  $\{z \in U : \rho_1(z) = \dots = \rho_N(z) = 0\} \neq \emptyset$  ;
- (ii)  $d\rho_1(z) \wedge \dots \wedge d\rho_N(z) \neq 0$  for all  $z \in U$  ;
- (iii) If  $\lambda \in \Delta_{1\dots N}$  (see Sect. 1.6) and

$$\rho_\lambda := \lambda_1 \rho_1 + \dots + \lambda_N \rho_N ,$$

then the Levi form  $L_{\rho_\lambda}(z)$  (see Sect. 1.3) has at least  $q+1$  positive eigenvalues.

2.2. DEFINITION. — A local  $q$ -concave wedge  $(E, D)$ ,  $0 \leq q \leq n-1$ , is a  $C^3$  intersection  $D$  such that one can find a frame  $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$  (see Sect. 1.9) with  $E = \{z \in U_{\overline{D}} : \rho_1(z) = \dots = \rho_N(z) = 0, \rho_*(z) < 0\}$  satisfying

- (i) if  $K = (k_1, \dots, k_\ell) \in P'(N)$  and  $U_{\overline{D}}^K = \{z \in U_{\overline{D}} : \rho_{k_1}(z) = \dots = \rho_{k_\ell}(z)\}$  then  $d\rho_{k_1}(z) \wedge \dots \wedge d\rho_{k_\ell}(z) \neq 0$  for all  $z \in U_{\overline{D}}^K$  ;
- (ii)  $\rho_*$  is convex and if  $U_{\overline{D}}^{K*} = \{z \in U_{\overline{D}} : \rho_{k_1}(z) = \dots = \rho_{k_\ell}(z) = \rho_*(z)\}$  then  $d\rho_{k_1}(z) \wedge \dots \wedge d\rho_{k_\ell}(z) \wedge d\rho_*(z) \neq 0$  for all  $z \in U_{\overline{D}}^{K*}$  ;
- (iii) there exist a  $C^\infty$  map  $Q : \Delta_{1\dots N} \rightarrow MO(n, n-q-1)$  and constants  $\alpha, A > 0$  such that

$$-\operatorname{Re} F_{\rho_\lambda}(z, \zeta) \geq \rho_\lambda(z) - \rho_\lambda(\zeta) + \alpha|\zeta - z|^2 - A|Q(\lambda)(\zeta - z)|^2$$

for all  $\lambda \in \Delta_{1\dots N}$  and  $z, \zeta \in U_{\overline{D}}$ .

2.3. LEMMA. — Let  $(U, \varphi_1, \dots, \varphi_N)$  be a  $q$ -configuration in  $\mathbb{C}^n$ ,  $0 \leq q \leq n-1$ . Then for each  $\xi \in U$  with  $\varphi_1(\xi) = \dots = \varphi_N(\xi) = 0$ , there exists a number  $R_\xi > 0$  such that for all  $R$  with  $0 < R < R_\xi$ , if

$$\begin{aligned} D &= \{z \in U : \varphi_j(z) > 0, j = 1, \dots, N\} \cap \{z \in \mathbb{C}^n : |z - \xi| < R\} \\ \text{and} \\ E &= \{z \in U : \varphi_1(z) = \dots = \varphi_N(z) = 0\} \cap \{z \in \mathbb{C}^n : |z - \xi| < R\} \end{aligned}$$

then  $(E, D)$  is a local  $q$ -concave wedge.

If  $U_{\overline{D}} = \{z \in \mathbb{C}^n : |z - \xi| < R_\xi\}$ ,  $\rho_j = -\varphi_j$  for  $j = 1, \dots, N$ ,  $\rho_*(z) = |z - \xi|^2 - R^2$  then  $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$  is a frame for  $D$ .

*Proof.* — It is sufficient to repeat the proof of Lemma 2.4 in [L-T/Le] using  $-\rho_\lambda = -(\lambda_1 \rho_1 + \dots + \lambda_N \rho_N) = \lambda_1 \varphi_1 + \dots + \lambda_N \varphi_N$  at the place of  $\rho_\lambda^R$ . ■



2.4. DEFINITION. — We shall say that a local  $q$ -concave wedge  $(E, D)$  is defined by a  $q$ -configuration if there exists a frame  $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$  for  $(E, D)$  such that  $(U_{\overline{D}}, -\rho_1, \dots, -\rho_N)$  is a  $q$ -configuration.

2.5. Remark. — It is easy to see, using Lemma 2.3 and Lemma 2.2 in [L-T/Le], that if  $\xi \in \mathbb{C}^n$  is a fixed point and  $\varphi_1, \dots, \varphi_N$  are real  $C^3$  functions in a neighborhood  $V$  of  $\xi$  such that the following conditions are fulfilled

- (i)  $d\varphi_1(\xi) \wedge \dots \wedge d\varphi_N(\xi) \neq 0$  ;
- (ii)  $\varphi_1(\xi) = \dots = \varphi_N(\xi) = 0$  ;
- (iii) set  $Y_j = \{z \in V : \varphi_j(z) = 0\}$  for  $j = 1, \dots, N$  and  $\varphi_\lambda = \lambda_1\varphi_1 + \dots + \lambda_N\varphi_N$  for  $\lambda \in \Delta_{1\dots N}$ , then for all  $K = (k_1, \dots, k_\ell) \in P'(N)$  and  $\lambda \in \Delta_K$  (see sects 1.5 and 1.6), the Levi form  $L_{\rho_\lambda}(\xi)$  restricted to  $T_\xi^{\mathbb{C}}(Y_{k_1} \cap \dots \cap Y_{k_\ell})$  (see Sect. 1.2) has at least

$$\dim_{\mathbb{C}} T_\xi^{\mathbb{C}}(Y_{k_1} \cap \dots \cap Y_{k_\ell}) - n + q + 1$$

negative eigenvalues ;

then there exists a number  $R_\xi > 0$  such that, for all  $R$  with  $0 < R \leq R_\xi$ ,  $(E, D)$ , where  $E = Y_1 \cap \dots \cap Y_N \cap \{z \in \mathbb{C}^n : |z - \xi| < R\}$  and  $D = \{z \in V : \varphi_j(z) < 0\} \cap \{z \in \mathbb{C}^n : |z - \xi| < R\}$ , is a local  $q$ -concave wedge defined by a  $q$  configuration.

2.6. Remark. — It is clear that in the case of a local  $q$ -concave wedge defined by a  $q$ -configuration we can choose the constant  $\alpha$  of Definition 2.2 (iii) such that for each  $\lambda \in \Delta_{1\dots N}$ ,  $z \in U_{\overline{D}}$ , the Levi form  $L_{\tilde{\rho}_\lambda}(\zeta)$  of  $\tilde{\rho}_\lambda(\zeta) = \rho_\lambda(\zeta) - \rho_\lambda(z) + \frac{\alpha}{2}|\zeta - z|^2$  has at least  $(q+1)$  negative eigenvalues on  $U_{\overline{D}}$ .

### 3. A Leray map for local $q$ -concave wedges

Let  $D \subset \subset \mathbb{C}^n$  be a  $C^3$  intersection,  $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$  a frame for  $D$ , and let  $S_K$  be the corresponding manifolds introduced in Sect. 1.10.

3.1. DEFINITION. — A *Leray map* for  $D$  or, more precisely, for the frame  $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$  is a map  $\psi$  which attaches to each  $K \in P'(N, *)$  a  $\mathbb{C}^n$ -valued map

$$\psi_K(z, \zeta, \lambda) = (\psi_K^1(z, \zeta, \lambda), \dots, \psi_K^n(z, \zeta, \lambda))$$

defined for  $(z, \zeta, \lambda) \in D \times S_K \times \Delta_K$  such that  $\langle \psi_K(z, \zeta, \lambda), \zeta - z \rangle = 1$ .

Now let  $(E, D)$  be a local  $q$ -concave wedge and  $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$  the associated frame.

Since  $\rho_*$  is a convex function, if we set

$$w^*(\zeta) := 2 \left( \frac{\partial \rho_*}{\partial \zeta_1}(\zeta), \dots, \frac{\partial \rho_*}{\partial \zeta_n}(\zeta) \right)$$

for  $\zeta \in U_{\overline{D}}$  and

$$\psi^*(z, \zeta) = \langle w^*(\zeta), \zeta - z \rangle$$

for  $(z, \zeta) \in \mathbb{C}^n \times U_{\overline{D}}$ , then there exists  $\varepsilon, \gamma > 0$  such that

$$\operatorname{Re} \psi^*(z, \zeta) \geq \rho_*(\zeta) - \rho_*(z) + \gamma |\zeta - z|^2 \quad (3.1)$$

for all  $(z, \zeta) \in \mathbb{C}^n \times U_{\overline{D}}$  with  $|\zeta - z| \leq \varepsilon$ .

It follows that  $\psi^*(z, \zeta) \neq 0$  for all  $(z, \zeta) \in D \times S_*$ .

Since  $\rho_1, \dots, \rho_N$  are defined and of class  $C^3$  in a neighborhood of  $\overline{U_{\overline{D}}}$ , we can find  $C^\infty$  functions  $a_\nu^{kj}$  ( $\nu = 1, \dots, N$ ;  $k, j = 1, \dots, n$ ) on  $U_{\overline{D}}$  such that

$$\left| a_\nu^{kj}(\zeta) - \frac{\partial^2 \rho_\nu(\zeta)}{\partial \zeta_k \partial \zeta_j} \right| < \frac{\alpha}{2n^2}$$

for all  $\zeta \in U_{\overline{D}}$ , where  $\alpha$  is as in Definition 2.2.

Set  $\rho_\lambda = \lambda_1 \rho_1 + \dots + \lambda_N \rho_N$  and  $a_\lambda^{kj} = \lambda_1 a_1^{kj} + \dots + \lambda_N a_N^{kj}$  for  $\lambda \in \Delta_{1\dots N}$ . Then

$$\left| \sum_{k,j=1}^n (a_\lambda^{kj}(\zeta) - \frac{\partial^2 \rho_\lambda}{\partial \zeta_k \partial \zeta_j}(\zeta)) t_k t_j \right| \leq \frac{\alpha}{2} |t|^2 \quad (3.2)$$

for all  $\zeta \in U_{\overline{D}}$ ,  $t \in \mathbb{C}^n$  and  $\lambda \in \Delta_{1\dots N}$ . Set

$$\tilde{F}_{\rho_\lambda}(z, \zeta) = 2 \sum_{j=1}^n \frac{\partial \rho_\lambda}{\partial \zeta_j}(\zeta_j - z_j) - \sum_{k,j=1}^n a_\lambda^{kj}(\zeta)(\zeta_k - z_k)(\zeta_j - z_j)$$

for  $(z, \zeta, \lambda) \in \mathbb{C}^n \times U_{\overline{D}} \times \Delta_{1\dots N}$ . Then it follows from (3.2) and condition (iii) in Definition 2.2 that

$$-\operatorname{Re} \tilde{F}_{\rho_\lambda}(z, \zeta) \geq \rho_\lambda(z) - \rho_\lambda(\zeta) + \frac{\alpha}{2} |\zeta - z|^2 - A |Q(\lambda)(\zeta - z)|^2 \quad (3.3)$$

for all  $(z, \zeta, \lambda) \in U_{\overline{D}} \times U_{\overline{D}} \times \Delta_{1\dots N}$ .

Denote by  $Q_{kj}(\lambda)$  the entries of the matrix  $Q(\lambda)$ , i.e.

$$Q(\lambda) = (Q_{kj}(\lambda))_{k,j=1}^n \quad (k = \text{column index}).$$

If  $(z, \zeta, \lambda) \in \mathbb{C}^n \times U_{\overline{D}} \times \Delta_{1\dots N}$ , then we set

$$\begin{cases} v^j(z, \zeta, \lambda) = 2 \frac{\partial \rho_\lambda}{\partial \zeta_j}(\zeta) - \sum_{k=1}^n a_\lambda^{kj}(\zeta)(\zeta_k - z_k) - A \sum_{k=1}^n \overline{Q_{kj}(\lambda)(\zeta_k - z_k)} \\ v = (v^1, \dots, v^n) \\ \varphi = \langle v(z, \zeta, \lambda), \zeta - z \rangle \end{cases} \quad (3.4)$$

Since  $Q(\lambda)$  is an orthogonal projection, we have

$$\varphi(z, \zeta, \lambda) = \tilde{F}_{\rho_\lambda}(z, \zeta) - A |Q(\lambda)(\zeta - z)|^2 \quad (3.5)$$

for all  $(z, \zeta, \lambda) \in \mathbb{C}^n \times U_{\overline{D}} \times \Delta_{1\dots N}$  and it follows from estimates (3.3) that

$$-\operatorname{Re} \varphi(z, \zeta, \lambda) \geq \rho_\lambda(z) - \rho_\lambda(\zeta) + \frac{\alpha}{2} |\zeta - z|^2 \quad (3.6)$$

for all  $(z, \zeta, \lambda) \in U_{\overline{D}} \times U_{\overline{D}} \times \Delta_{1\dots N}$ .

Now we set for  $(z, \zeta, \lambda) \in U_{\overline{D}} \times \mathbb{C}^n \times \Delta_{1\dots N}$ .

$$\left. \begin{aligned} w^j(z, \zeta, \lambda) &= v^j(\zeta, z, \lambda) \\ \psi(z, \zeta, \lambda) &= \varphi(\zeta, z, \lambda) \end{aligned} \right\} \quad (3.7)$$

It follows from estimate (3.6) that  $\psi(z, \zeta, \lambda) \neq 0$  if  $(z, \zeta, \lambda) \in D \times S_K \times \Delta_K$  for some  $K \in P'(N)$ .

Therefore, by setting

$$\psi_K(z, \zeta, \lambda) = \frac{w(z, \zeta, \lambda)}{\psi(z, \zeta, \lambda)} \quad (3.8)$$

for  $(z, \zeta, \lambda) \in D \times S_K \times \Delta_K, K \in P'(N)$  and

$$\psi_{K^*}(z, \zeta, \lambda) = \overset{\circ}{\chi}(\lambda_*) \frac{w^*(\zeta)}{\psi^*(z, \zeta)} + (1 - \overset{\circ}{\chi}(\lambda_*)) \frac{w(z, \zeta, \lambda)}{\psi(z, \zeta, \lambda)} \quad (3.9)$$

for  $(z, \zeta, \lambda) \in D \times S_{K^*} \times \Delta_{K^*}, K \in P'(N)$ , we obtain a family  $\psi = \{ \psi_K, \psi_{K^*} \}_{K \in P'(N)}$  of  $\mathbb{C}^n$ -valued  $C^1$  maps. Obviously,  $\psi$  is a Leray map for the frame  $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$ .

**3.2. DEFINITION.** — A map  $f$  defined on some complex manifold  $X$  will be called *k-holomorphic* if, for each point  $\xi \in X$ , there exist holomorphic coordinates  $h_1, \dots, h_n$  in a neighborhood of  $\xi$  such that  $f$  is holomorphic with respect to  $h_1, \dots, h_k$ .

We deduce immediately from (3.4), (3.7) and Lemma 3.3 in [L-T/Le] that :

**3.3. LEMMA.** — For every fixed  $(z, \lambda) \in U_{\overline{D}} \times \Delta_{1\dots N}$  the map  $w(z, \zeta, \lambda)$  and the function  $\psi(z, \zeta, \lambda)$  are  $(q+1)$ -holomorphic in  $\zeta \in \mathbb{C}^n$ .

#### 4. An integral formula in local $q$ -concave wedges

We denote by  $\widehat{B}(z, \zeta)$  the Martinelli-Bochner kernel for  $(n, r)$ -forms, i.e.

$$\widehat{B}(z, \zeta) = \frac{1}{(2\pi i)^n} \det \left( \overbrace{\begin{matrix} \bar{\zeta} - \bar{z} \\ |\zeta - z|^2 \end{matrix}}^1, d \overbrace{\begin{matrix} \bar{\zeta} - \bar{z} \\ |\zeta - z|^2 \end{matrix}}^{n-1} \right) \wedge dz_1 \wedge \dots \wedge dz_n$$

for all  $z, \zeta \in \mathbb{C}^n$  with  $z \neq \zeta$  (for the definition of determinants of matrices of differential forms, see, e.g., Sect. 0.7 in [He/Le 2]). If  $D \subset \subset \mathbb{C}^n$  is a domain and  $f$  is a continuous differential form with integrable coefficients on  $D$ , then we set

$$B_D f(z) = \int_{\zeta \in D} f(\zeta) \wedge \widehat{B}(z, \zeta), \quad z \in D$$

(for the definition of integration with respect to a part of the variables, see, e.g., Sect. 0.2 in [He/Le 2]).

Let  $D \subset\subset \mathbb{C}^n$  be a  $C^3$  intersection,  $(U_{\bar{D}}, \rho_1, \dots, \rho_N, \rho_*)$  a frame for  $D$ , and let  $S_K$  be the corresponding manifolds introduced in Sect. 1.10.

Further, let  $\psi$  be a Leray map for the frame  $(U_{\bar{D}}, \rho_1, \dots, \rho_N, \rho_*)$ . Then we set

$$\psi_{OK}(z, \zeta, \lambda) = \overset{\circ}{\chi}(\lambda_0) \frac{\bar{\zeta} - \bar{z}}{|\zeta - z|^2} + (1 - \overset{\circ}{\chi}(\lambda_0)) \psi_K(z, \zeta, \lambda) \quad (4.1)$$

for  $K \in P'(N, *)$  and  $(z, \zeta, \lambda) \in D \times S_K \times \Delta_{OK}$ . Note that  $1 - \overset{\circ}{\chi}(\lambda_0) = 0$  for  $\lambda$  in the neighborhood  $\Delta_{OK} \setminus \overset{\circ}{\Delta}_{OK}$  of  $\Delta_0$  and therefore  $\psi_{OK}$  is of class  $C^2$ . For  $K \in P'(N, *)$  we introduce the differential form

$$\widehat{R}_K^\psi(z, \zeta, \lambda) = \frac{(-1)^{|K|}}{(2\pi i)^n} \det \left( \overbrace{\psi_{OK}(z, \zeta, \lambda)}^1, \overbrace{d\psi_{OK}(z, \zeta, \lambda)}^{n-1} \right) \wedge dz_1 \wedge \dots \wedge dz_n$$

defined for  $(z, \zeta, \lambda) \in D \times S_K \times \Delta_{OK}$ , and the differential form

$$\widehat{L}_K^\psi(z, \zeta, \lambda) = \frac{1}{(2\pi i)^n} \det \left( \overbrace{\psi_K(z, \zeta, \lambda)}^1, \overbrace{d\psi_K(z, \zeta, \lambda)}^{n-1} \right) \wedge dz_1 \wedge \dots \wedge dz_n$$

defined for  $(z, \zeta, \lambda) \in D \times S_K \times \Delta_K$  (here  $d$  denotes the exterior differential operator with respect to all variables  $z, \zeta, \lambda$ ). If  $f$  is a continuous differential form on  $\bar{D}$ , then, for all  $K \in P'(N, *)$ , we set

$$R_K^\psi f(z) = \int_{(\zeta, \lambda) \in S_K \times \Delta_{OK}} f(\zeta) \wedge \widehat{R}_K^\psi(z, \zeta, \lambda), \quad z \in D,$$

and

$$L_K^\psi f(z) = \int_{(\zeta, \lambda) \in S_K \times \Delta_K} f(\zeta) \wedge \widehat{L}_K^\psi(z, \zeta, \lambda), \quad z \in D.$$

Then, for each continuous  $(n, r)$ -form  $f$  on  $\bar{D}$ ,  $0 \leq r \leq n$ , such that  $df$  is also continuous on  $\bar{D}$ , one has the representation

$$\begin{aligned} (-1)^{n+r} f &= dB_D f - B_D df + \sum_{K \in P'(N)} \left( L_K^\psi f + dR_K^\psi f - R_K^\psi df \right) \\ &+ \sum_{K \in P'(N) \cup \emptyset} \left( L_{K^*}^\psi f + dR_{K^*}^\psi f - R_{K^*}^\psi df \right) \quad \text{on } D. \end{aligned} \quad (4.2)$$

This formula is basic for the present paper. It has different names and a long history (see Proposition 1.3.1 in [Ai/He], Sect. 3.12 in [He/Le 2] and the notes at the end of ch. 4 in [He/Le 1], we call it *Cauchy-Fantappie formula*).

**4.1. Cauchy-Fantappie formula for a local  $q$ -concave wedge.** — Let  $(E, D)$  be a local  $q$ -concave wedge,  $0 \leq q \leq n-1$ ,  $(U_{\bar{D}}, \rho_1, \dots, \rho_N, \rho_*)$  the associated frame satisfying conditions (i), (ii) and (iii) in Definition 2.2 and  $\psi$  the Leray map constructed in Section 3 for the frame  $(U_{\bar{D}}, \rho_1, \dots, \rho_N, \rho_*)$ .

We set

$$T^\psi = B_D + \sum_{K \in P'(N)} R_K^\psi + \sum_{K \in P'(N) \cup \emptyset} R_{K^*}^\psi$$

and

$$L^\psi = \sum_{K \in P'(N)} L_K^\psi + \sum_{K \in P'(N) \cup \emptyset} L_{K^*}^\psi$$

$$L_*^\psi = \sum_{K \in P'(N) \cup \emptyset} L_{K^*}^\psi.$$

With this notation, for each continuous  $(n, r)$ -form  $f$  on  $\overline{D}$ ,  $0 \leq r \leq n$ , such that  $df$  is also continuous on  $\overline{D}$ , (4.2) can be written

$$(-1)^{n+r} f = dT^\psi f - T^\psi df + L^\psi f \quad \text{on } D. \quad (4.3)$$

4.1.1. THEOREM. — If  $0 \leq r \leq q-N$ , for each continuous  $(n, r)$ -form  $f$  on  $\overline{D}$  such that  $df$  is also continuous on  $\overline{D}$

$$(-1)^{n+r} f = dT^\psi f - T^\psi df + L_*^\psi f \quad \text{on } D.$$

*Proof.* — In view of the Cauchy-Fantappie formula (4.3) it is sufficient to prove that for  $0 \leq r \leq q-N$ ,  $K \in P'(N)$ ,  $L_K^\psi f = 0$ .

Let us denote by  $[\widehat{L}_K^\psi]_{\text{deg } \bar{\zeta}=k}$  the part of the form  $\widehat{L}_K^\psi$  which is of type  $(0, k)$  in  $\zeta$ . Then, by Lemma 3.3,  $[\widehat{L}_K^\psi]_{\text{deg } \bar{\zeta}=k} = 0$  for  $K \in P'(N)$  and  $k \geq n-q$ .

Since  $f$  is of type  $(n, r)$ ,  $\dim \Delta_K = |K|-1$ ,  $\dim S_K = 2n-|K|$  and  $|K| \leq N$  we obtain, by definition of  $L_K^\psi f$ , that  $L_K^\psi f = 0$  for  $0 \leq r \leq q-N$  and  $K \in P'(N)$ . ■

4.1.2. Remark. — In fact we can prove that, for  $K \in P'(N)$ ,  $L_K^\psi f = 0$  if  $r \leq q-|K|$ .

4.2. The manifolds  $\Gamma_K$ . — As we want to obtain an integral formula for forms which are not necessarily defined on  $\partial D$ , we are going to replace the integrals over the manifolds  $S_K$  in (4.2) by integrals over certain submanifolds  $\Gamma_K$  of  $D$ .

For  $K = (k_1, \dots, k_\ell) \in P(N, *)$  we set

$$U_D^K = \{ \zeta \in U_{\overline{D}} : \rho_{k_1}(\zeta) = \dots = \rho_{k_\ell}(\zeta) \}$$

if  $k_1, \dots, k_\ell$  are different in pairs, and  $U_D^K = \emptyset$  otherwise. By conditions (i) and (ii) in Definition 2.2 each  $U_D^K$  is a closed  $C^3$  submanifold of  $U_{\overline{D}}$ . We denote by  $\rho_K, K \in P(N, *)$ , the function on  $U_D^K$  which is defined by

$$\rho_K(\zeta) = \rho_{k_\nu}(\zeta) \quad (\zeta \in U_D^K; \nu = 1, \dots, \ell).$$

Now, for all  $K \in P(N, *)$ , we define

$$\Gamma_K = \{ \zeta \in U_D^K : \rho_j(\zeta) \leq \rho_K(\zeta) \leq 0 \text{ for } j = 1, \dots, N, * \}.$$

Then it is easy to see that all  $\Gamma_K$  are  $C^3$  submanifolds of  $\bar{D}$  with piecewise  $C^3$  boundary, and that

$$\bar{D} = \Gamma_1 \cup \dots \cup \Gamma_N \cup \Gamma_*$$

and

$$\partial\Gamma_K = S_K \cup \Gamma_{K_1} \cup \dots \cup \Gamma_{K_N} \cup \Gamma_{K^*}, \quad K \in P(N).$$

We choose the orientation on  $\Gamma_K$  such that the orientation is skew symmetric in the components of  $K$ , and the following conditions hold :

$$\left. \begin{array}{l} \Gamma_1, \dots, \Gamma_N, \Gamma_* \text{ carry the orientation of } \mathbb{C}^n, \text{ and if} \\ K \in P(N, *) \text{ and } 1 \leq j \leq N \text{ with } * \notin K, \text{ resp. } j \notin K, \text{ then} \\ \Gamma_{K^*}, \text{ resp. } \Gamma_{K_j} \text{ are oriented just as } -\partial\Gamma_K \end{array} \right\}$$

As in [L-T/Le], we obtain the following lemmas :

4.2.1. LEMMA. — If  $\Gamma_K$  are the above manifolds, then

$$\partial\Gamma_K = S_K - \sum_{j=1}^N \Gamma_{K_j} - \Gamma_{K^*}$$

for all  $K \in P(N, *)$ .

4.2.2. LEMMA. — If  $\Gamma_K$  are the above manifolds and  $\Delta_K, \Delta_{OK}$  are oriented simplices introduced in Sect. 1.6, then

$$\sum_{K \in P'(N, *)} (-1)^{|K|} \partial(\Gamma_K \times \Delta_{OK}) =$$

$$\bar{D} \times \Delta_O + \sum_{K \in P'(N, *)} (-1)^{|K|} S_K \times \Delta_{OK} - \sum_{K \in P'(N, *)} \Gamma_K \times \Delta_K. \quad (4.4)$$

$$\sum_{K \in P'(N, *)} \partial(\Gamma_K \times \Delta_K) = \sum_{K \in P'(N, *)} S_K \times \Delta_K \quad (4.5)$$

and

$$\sum_{K \in P'(N) \cup \emptyset} \partial(\Gamma_{K^*} \times \Delta_{K^*}) = \sum_{K \in P'(N) \cup \emptyset} S_{K^*} \times \Delta_{K^*} + \sum_{K \in P'(N)} \Gamma_{K^*} \times \Delta_K. \quad (4.6)$$

4.3. The operators  $L$  and  $M$ . — Let  $w^*(z, \zeta)$ ,  $\psi^*(z, \zeta)$ ,  $w(z, \zeta, \lambda)$  and  $\psi(z, \zeta, \lambda)$  be the maps defined in paragraph 3. We set

$$\begin{aligned} \Phi^*(z, \zeta) &= \psi^*(z, \zeta) - 2\rho_*(\zeta) & \text{for } (z, \zeta) \in \mathbb{C}^n \times U_{\bar{D}} \\ \Phi(z, \zeta, \lambda) &= \psi(z, \zeta, \lambda) + 2\rho_\lambda(\zeta) & \text{for } (z, \zeta, \lambda) \in \mathbb{C}^n \times U_{\bar{D}} \times \Delta_{1\dots N}. \end{aligned}$$

Then it follows from (3.1), (3.6) and (3.7) that  $\Phi^*(z, \zeta) \neq 0$  for  $(z, \zeta) \in D \times \bar{D}$  and  $\Phi(z, \zeta, \lambda) \neq 0$  for  $(z, \zeta, \lambda) \in D \times \bar{D} \times \Delta_{1\dots N}$ .

So we can define the  $C^2$  maps

$$\tilde{\psi}_K(z, \zeta, \lambda) = \overset{\circ}{\chi}(\lambda_*) \frac{w^*(\zeta)}{\Phi^*(z, \zeta)} + (1 - \overset{\circ}{\chi}(\lambda_*)) \frac{w(z, \zeta, \lambda)}{\Phi(z, \zeta, \lambda)}$$

for all  $(z, \zeta, \lambda) \in D \times \overline{D} \times \Delta_K$ ,  $K \in P'(N, *)$ . Notice that  $\tilde{\psi}_K(z, \zeta, \lambda) = \psi_K(z, \zeta, \lambda)$  when  $(z, \zeta, \lambda) \in D \times S_K \times \Delta_K$ .

We set for  $(z, \zeta, \lambda) \in D \times \overline{D} \times \Delta_K$

$$\widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda) = \frac{1}{(2i\pi)^n} \det \left( \overbrace{\tilde{\psi}_K(z, \zeta, \lambda)}^1, \overbrace{d\tilde{\psi}_K(z, \zeta, \lambda)}^{n-1} \right) \wedge dz_1 \wedge \cdots \wedge dz_n$$

and one has  $\widehat{L}_K^{\tilde{\psi}} = \widehat{L}_K^{\psi}$  on  $D \times S_K \times \Delta_K$ .

We set also for  $(z, \zeta, \lambda) \in D \times \overline{D} \times \Delta_K$

$$\widehat{M}_K^{\tilde{\psi}}(z, \zeta, \lambda) = \frac{1}{(2i\pi)^n} \det \left( \overbrace{d\tilde{\psi}_K(z, \zeta, \lambda)}^n \right) \wedge dz_1 \wedge \cdots \wedge dz_n.$$

4.3.1. Remark. — It comes from the properties of determinants that if  $K \in P'(N)$

$$\widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda) = \frac{1}{(2i\pi)^n \Phi^n(z, \zeta, \lambda)} \det \left( \overbrace{w(z, \zeta, \lambda)}^1, \overbrace{dw(z, \zeta, \lambda)}^{n-1} \right)$$

for  $(z, \zeta, \lambda) \in D \times \overline{D} \times \Delta_K$ , where  $w(z, \zeta, \lambda)$  is  $(q+1)$ -holomorphic in  $\zeta$ .

Now let us define the operators  $L, L^*, M$  and  $M^*$  on  $C_{n,r}^0(D)$ ,  $0 \leq r \leq n$ , by

$$\begin{aligned} Lf(z) &= \sum_{K \in P'(N, *)} \int_{\zeta \in \Gamma_K \times \Delta_K} f(\zeta) \wedge \widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda), \quad z \in D \\ L^* f(z) &= \sum_{K \in P'(N) \cup \emptyset} \int_{\zeta \in \Gamma_{K^*} \times \Delta_{K^*}} f(\zeta) \wedge \widehat{L}_{K^*}^{\tilde{\psi}}(z, \zeta, \lambda), \quad z \in D \\ Mf(z) &= \sum_{K \in P'(N, *)} \int_{\zeta \in \Gamma_K \times \Delta_K} f(\zeta) \wedge \widehat{M}_K^{\tilde{\psi}}(z, \zeta, \lambda), \quad z \in D \\ M^* f(z) &= \sum_{K \in P'(N) \cup \emptyset} \int_{\zeta \in \Gamma_{K^*} \times \Delta_{K^*}} f(\zeta) \wedge \widehat{M}_{K^*}^{\tilde{\psi}}(z, \zeta, \lambda), \quad z \in D \end{aligned}$$

for  $f \in C_{n,r}^0(D)$ .

For  $f \in C_{n,r}^0(D)$ , the forms  $Lf, L^*f, Mf$  and  $M^*f$  are continuous on  $D$ .

4.3.2. LEMMA. — Let  $f$  be a continuous  $(n, r)$ -form on  $\overline{D}$ . If we set

$$\Lambda f(z) = \sum_{K \in P'(N) \cup \emptyset} \int_{\Gamma_{K^*} \times \Delta_{K^*}} f(\zeta) \wedge \widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda), \quad z \in D,$$

then  $\Lambda f \equiv 0$  when  $0 \leq r \leq q-N$ .

Proof. — By remark 4.3.1,  $[\widehat{L}_K^{\tilde{\psi}}]_{\deg \bar{\zeta}=k} = 0$  for  $K \in P'(N)$  and  $k \geq n-q$ . Using that  $\dim \Gamma_{K^*} = 2n - |K|$  and  $|K| \leq N$ , the result follows easily from the definition of  $\Lambda$ . ■

4.3.3. PROPOSITION. — Let  $f$  be a continuous  $(n, r)$ -form on  $\overline{D}$  such that  $df$  is also continuous on  $\overline{D}$ , then

$$L^\psi f = \sum_{K \in P'(N, *)} L_K^\psi f = Ldf - dLf + (-1)^{r+n} Mf$$

and, if  $0 \leq r \leq q-N$

$$L_*^\psi f = \sum_{K \in P'(N) \cup \emptyset} L_{K*}^\psi f = L^* df - dL^* f + (-1)^{r+n} M^* f .$$

*Proof.* — As  $\widehat{L}_K^{\bar{\psi}} = \widehat{L}_K^\psi$  on  $D \times S_K \times \Delta_K$ , we have for  $z \in D$

$$\sum_{K \in P'(N, *)} L_K^\psi f(z) = \sum_{K \in P'(N, *)} \int_{\zeta \in S_K \times \Delta_K} f(\zeta) \wedge \widehat{L}_K^{\bar{\psi}}(z, \zeta, \lambda) .$$

Then using (4.5) in Lemma 4.2.2, we get

$$\begin{aligned} \sum_{K \in P'(N, *)} L_K^\psi f(z) &= \sum_{K \in P'(N, *)} \int_{(\zeta, \lambda) \in \partial(\Gamma_K \times \Delta_K)} f(\zeta) \wedge \widehat{L}_K^{\bar{\psi}}(z, \zeta, \lambda) \\ &= \sum_{K \in P'(N, *)} \left[ \int_{(\zeta, \lambda) \in \Gamma_K \times \Delta_K} df(\zeta) \wedge \widehat{L}_K^{\bar{\psi}}(z, \zeta, \lambda) \right. \\ &\quad \left. + (-1)^{n+r} \int_{(\zeta, \lambda) \in \Gamma_K \times \Delta_K} f(\zeta) \wedge d_{\zeta, \lambda} \widehat{L}_K^{\bar{\psi}}(z, \zeta, \lambda) \right] \end{aligned}$$

by Stokes' theorem.

As  $d_{\zeta, \lambda} \widehat{L}_K^{\bar{\psi}}(z, \zeta, \lambda) = -d_z \widehat{L}_K^{\bar{\psi}}(z, \zeta, \lambda) + \widehat{M}_K^{\bar{\psi}}(z, \zeta, \lambda)$ , then we get

$$\sum_{K \in P'(N, *)} L_K^\psi f(z) = Ldf - dLf + (-1)^{r+n} Mf .$$

In the same way, using (4.6) in Lemma 4.2.2 and Lemma 4.3.2, we obtain the second relation in Proposition 4.3.3. ■

4.4. The operator  $H$ . — Using  $\Phi^*$  and  $\Phi$  (see Sect. 4.3), we can define the  $C^1$  map

$$\eta(z, \zeta, \lambda) = \overset{\circ}{\chi}(\lambda_0) \frac{\bar{\zeta} - \bar{z}}{|\zeta - z|^2} + (1 - \overset{\circ}{\chi}(\lambda_0)) \left[ \overset{\circ}{\chi}(\lambda_*) \frac{w^*(\zeta)}{\Phi^*(z, \zeta)} + (1 - \overset{\circ}{\chi}(\lambda_*)) \frac{w(z, \zeta, \lambda)}{\Phi(z, \zeta, \lambda)} \right]$$

for all  $(z, \zeta, \lambda) \in D \times \overline{D} \times \Delta_{01 \dots N*}$ , with  $z \neq \zeta$  (for the definitions of  $\overset{\circ}{\chi}$ ,  $\overset{\circ}{\lambda}_*$  and  $\overset{\circ}{\lambda}$  see Sect. 1.7 and 1.8). Note that

$$\eta(z, \zeta, \lambda) = \frac{\bar{\zeta} - \bar{z}}{|\zeta - z|^2} \quad \text{if } 1/2 \leq \lambda_0 \leq 1 \quad (4.7)$$

$$\eta(z, \zeta, \lambda) = \overset{\circ}{\chi}(\lambda_*) \frac{w^*(\zeta)}{\Phi^*(z, \zeta)} + (1 - \overset{\circ}{\chi}(\lambda_*)) \frac{w(z, \zeta, \lambda)}{\Phi(z, \zeta, \lambda)} \quad \text{if } 0 \leq \lambda_0 \leq 1/4$$

$$\eta(z, \zeta, \lambda) = \overset{\circ}{\chi}(\lambda_*) \frac{w^*(\zeta)}{\Phi^*(z, \zeta)} + (1 - \overset{\circ}{\chi}(\lambda_*)) \frac{w(z, \zeta, \lambda)}{\Phi(z, \zeta, \lambda)} \quad \text{if } \lambda_0 = 0 .$$



In particular, for all  $K \in P'(N, *)$  we have the relations

$$\eta(z, \zeta, \lambda) = \psi_{OK}(z, \zeta, \lambda) \quad \text{if } (\zeta, \lambda) \in S_K \times \Delta_{OK} \quad (4.8)$$

(see (4.1) for the definition of  $\psi_{OK}$ ) and

$$\eta(z, \zeta, \lambda) = \tilde{\psi}_K(z, \zeta, \lambda) \quad \text{if } (\zeta, \lambda) \in \Gamma_K \times \Delta_K. \quad (4.9)$$

Now for  $(z, \zeta, \lambda) \in D \times \bar{D} \times \Delta_{01\dots N^*}$  with  $z \neq \zeta$  we introduce the continuous differential forms

$$\widehat{G}(z, \zeta, \lambda) = \frac{1}{(2i\pi)^n} \det \left( \overbrace{\eta(z, \zeta, \lambda)}^1, \overbrace{d\eta(z, \zeta, \lambda)}^{n-1} \right) \wedge dz_1 \wedge \dots \wedge dz_n$$

and

$$\widehat{H}(z, \zeta, \lambda) = \frac{1}{(2i\pi)^n} \det \left( \overbrace{d\eta(z, \zeta, \lambda)}^n \right) \wedge dz_1 \wedge \dots \wedge dz_n$$

where  $d$  is the exterior differential with respect to all variables  $z, \zeta, \lambda$ .

Then it is easy to see that

$$d\widehat{G} = \widehat{H} \quad (4.10)$$

It follows from the definitions of the kernels  $\widehat{B}$ ,  $\widehat{R}_K^\psi$ ,  $\widehat{L}_K^{\tilde{\psi}}$  and from the relations (4.7), (4.8) and (4.9) that

$$\widehat{G} \Big|_{D \times \bar{D} \times \Delta_0} = \widehat{B} \quad (4.11)$$

$$\widehat{G} \Big|_{D \times S_K \times \Delta_{0K}} = (-1)^{|K|} \widehat{R}_K^\psi \quad \text{for all } K \in P'(N, *) \quad (4.12)$$

$$\widehat{G} \Big|_{D \times \Gamma_K \times \Delta_K} = \widehat{L}_K^{\tilde{\psi}} \quad \text{for all } K \in P'(N, *). \quad (4.13)$$

Like in [L-T/Le] we can describe the singularity of  $\widehat{G}$  and  $\widehat{H}$  at  $z = \zeta$ .

4.4.1. LEMMA. — Denote by  $[\widehat{G}(z, \zeta, \lambda)]_{\text{deg } \lambda = k}$  and  $[\widehat{H}(z, \zeta, \lambda)]_{\text{deg } \lambda = k}$  the parts of the forms  $\widehat{G}(z, \zeta, \lambda)$  and  $\widehat{H}(z, \zeta, \lambda)$ , respectively, which are of degree  $k$  in  $\lambda$ . Then the following statements hold :

- (i) The singularity at  $z = \zeta$  of the form  $[\widehat{G}(z, \zeta, \lambda)]_{\text{deg } \lambda = k}$  is of order  $\leq 2n - 2k - 1$  ;
- (ii) The singularities at  $z = \zeta$  of the first-order derivatives with respect to  $z$  of the coefficients of  $[\widehat{G}(z, \zeta, \lambda)]_{\text{deg } \lambda = k}$  are of order  $\leq 2n - 2k$  ;
- (iii) The singularity at  $z = \zeta$  of the form  $[\widehat{H}(z, \zeta, \lambda)]_{\text{deg } \lambda = k}$  is of order  $\leq 2n - 2k + 1$ .

As  $(E, D)$  is a local  $q$ -concave wedge, the map  $w$  is  $(q+1)$ -holomorphic in  $\zeta$  (Lemma 3.3) and therefore

4.4.2. LEMMA. — If  $f \in C_{n,r}^0(\bar{D})$  with  $r \leq q - N + 1$ , then

$$\int_{(\zeta, \lambda) \in \Gamma_K \times \Delta_K} f(\zeta) \wedge \widehat{G}(z, \zeta, \lambda) = 0$$

for all  $K \in P'(N)$  and  $z \in D$ .

*Proof.* — Let us remark that for  $K \in P'(N)$

$$\widehat{G} \Big|_{D \times \Gamma_K \times \Delta_K} = \frac{1}{(2i\pi)^n} \frac{1}{\Phi^n} \det \left( w(z, \zeta, \lambda), \overbrace{dw(z, \zeta, \lambda)}^{n-1} \right) \wedge dz_1 \wedge \cdots \wedge dz_n$$

where  $w$  is  $(q+1)$ -holomorphic in  $\zeta$ . Therefore  $[\widehat{G}(z, \zeta, \lambda)]_{\deg \bar{\zeta} = k} = 0$  for  $K \in P'(N)$ ,  $(z, \zeta, \lambda) \in D \times \Gamma_K \times \Delta_K$ ,  $k \geq n-q$ .

Since  $f$  is of type  $(n, r)$ ,  $\dim \Delta_K = |K|-1$ ,  $\dim \Gamma_K = 2n-|K|+1$  and  $|K| \leq N$ , we get

$$\int_{(\zeta, \lambda) \in \Gamma_K \times \Delta_K} f(\zeta) \wedge \widehat{G}(z, \zeta, \lambda) = 0$$

when  $r \leq q-N+1$  and  $K \in P'(N)$ . ■

Let  $f \in B_{n,*}^\beta(D)$ ,  $0 \leq \beta < 1$  (see Sect. 1.13). Then, for all  $K \in P'(N, *)$ , we define

$$H_K f(z) = \int_{(\zeta, \lambda) \in \Gamma_K \times \Delta_{OK}} f(\zeta) \wedge \widehat{H}(z, \zeta, \lambda), \quad z \in D. \quad (4.14)$$

It follows from Lemma 4.4.1 (iii) that these integrals converge and the so defined differential forms  $H_K f$  are continuous on  $D$ . We set

$$Hf = \sum_{K \in P'(N, *)} (-1)^{|K|} H_K f$$

for  $f \in B_{n,*}^\beta(D)$ ,  $0 \leq \beta < 1$ .

Now let  $f \in B_{n,r}^\beta(D)$ ,  $0 \leq \beta < 1$ ,  $0 \leq r \leq n$ . Since  $\widehat{H}(z, \zeta, \lambda)$  is of degree  $2n$  and contain the factor  $dz_1 \wedge \cdots \wedge dz_n$  and since  $\dim_{\mathbb{R}} \Gamma_K \times \Delta_{OK} = 2n+1$ , then only such monomials of  $\widehat{H}(z, \zeta, \lambda)$  contribute to the integral in (4.14) which are of degree  $(n+1-r)$  in  $(\zeta, \lambda)$  and hence of bidegree  $(n, r-1)$  in  $z$ . This implies that  $H_K f = 0$  if  $r = 0$  or  $n+1-r < |K| = \dim_{\mathbb{R}} \Delta_{OK}$ .

Hence, for  $f \in B_{n,r}^\beta(D)$ ,  $0 \leq \beta < 1$ ,  $0 \leq r \leq n$ , we have

$$\left. \begin{aligned} Hf &= \sum_{\substack{K \in P'(N, *) \\ |K| \leq n+1-r}} (-1)^{|K|} H_K f, \\ Hf &= 0 \text{ if } r = 0, \text{ and } Hf \in C_{n,r-1}^0(D) \text{ if } 1 \leq r \leq n. \end{aligned} \right\} \quad (4.15)$$

**4.4.3. THEOREM.** — Let  $(E, D)$  be a local  $q$ -concave wedge,  $0 \leq q \leq n-1$  and  $f \in B_{n,r}^\beta(D)$  an  $(n, r)$ -form,  $0 \leq r \leq n$ ,  $0 \leq \beta < 1$  such that  $df \in B_*^\beta(D)$ . Then

$$f = dHf + Hdf + Mf \quad \text{on } D.$$

Let  $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$  the frame associated to  $(E, D)$  in Definition 2.2, then, if  $0 \leq r \leq q-N$ ,

$$f = dHf + Hdf + M^* f \quad \text{on } D.$$

In particular, if  $r = 0$ ,  $f = Hdf + M^* f$  on  $D$ .

*Proof.* — The proof of this theorem is analogous to that of Theorem 4.11 in [L-T/Le]. For the convenience of the lecturer we will repeat it here

First consider a form  $g \in C_{n,j}^0(\overline{D})$ . Then by (4.10)

$$d_{\zeta,\lambda}(g \wedge \widehat{G}) = dg \wedge \widehat{G} - d_z(g \wedge \widehat{G}) + (-1)^{n+j} g \wedge \widehat{H}$$

and it follows from Stokes' formula (which can be applied in view of Lemma 4.4.1) that

$$\int_{\partial(\Gamma_K \times \Delta_{OK})} g \wedge \widehat{G} = \int_{\Gamma_K \times \Delta_{OK}} dg \wedge \widehat{G} + d \int_{\Gamma_K \times \Delta_{OK}} g \wedge \widehat{G} + (-1)^{n+j} H_K g$$

for all  $K \in P'(N, *)$ . In view of (4.4) this implies that

$$\begin{aligned} \int_{D \times \Delta_0} g \wedge \widehat{G} + \sum_{K \in P'(N, *)} (-1)^{|K|} \int_{S_K \times \Delta_{OK}} g \wedge \widehat{G} - \sum_{K \in P'(N, *)} \int_{\Gamma_K \times \Delta_K} g \wedge \widehat{G} \\ = \sum_{K \in P'(N, *)} (-1)^{|K|} \left( \int_{\Gamma_K \times \Delta_{OK}} dg \wedge \widehat{G} + d \int_{\Gamma_K \times \Delta_{OK}} g \wedge \widehat{G} + (-1)^{n+j} H_K g \right). \end{aligned}$$

Taking into account (4.11) and (4.12) as well as the definitions of  $T^\psi$  and  $H$ , this can be written

$$\begin{aligned} T^\psi g - \sum_{K \in P'(N, *)} \int_{\Gamma_K \times \Delta_K} g \wedge \widehat{G} \\ = \sum_{K \in P'(N, *)} (-1)^{|K|} \left( \int_{\Gamma_K \times \Delta_{OK}} dg \wedge \widehat{G} + d \int_{\Gamma_K \times \Delta_{OK}} g \wedge \widehat{G} \right) + (-1)^{j+n} Hg. \end{aligned} \quad (4.16)$$

Now we consider a form  $f \in C_{n,r}^0(\overline{D})$  with  $0 \leq r \leq n$  such that  $df$  is also continuous on  $\overline{D}$ . Setting  $g = df$  in (4.16), we obtain that

$$T^\psi df = \sum_{K \in P'(N, *)} (-1)^{|K|} d \int_{\Gamma_K \times \Delta_{OK}} df \wedge \widehat{G} + (-1)^{r+1+n} H df + \sum_{K \in P'(N, *)} \int_{\Gamma_K \times \Delta_K} df \wedge \widehat{G}.$$

Setting  $g = f$  in (4.16), applying  $d$  to the resulting relation, we obtain that

$$dT^\psi f = \sum_{K \in P'(N, *)} (-1)^{|K|} d \int_{\Gamma_K \times \Delta_{OK}} df \wedge \widehat{G} + (-1)^{r+n} dHf + \sum_{K \in P'(N, *)} d \left( \int_{\Gamma_K \times \Delta_K} f \wedge \widehat{G} \right).$$

Using (4.13) and Proposition 4.3.3, these two relations imply that

$$dT^\psi f - T^\psi df + L^\psi f = (-1)^{r+n} (dHf + Hdf + Mf)$$

and hence by (4.3)

$$f = dHf + Hdf + Mf. \quad (4.17)$$

If moreover  $0 \leq r \leq q - N$ , then by Lemma 4.4.2, we obtain

$$dT^\psi f - T^\psi df = (-1)^{r+n} (dHf + Hdf) + \sum_{K \in P'(N)} \left[ d \left( \int_{\Gamma_{K^*} \times \Delta_{K^*}} f \wedge \widehat{G} \right) - \int_{\Gamma_{K^*} \times \Delta_{K^*}} df \wedge \widehat{G} \right].$$

It follows from Theorem 4.1.1, Proposition 4.3.3 and (4.13) that

$$f = dHf + Hdf + M^* f. \quad (4.18)$$

Now we consider the general case. Let  $f \in B_{n,r}^\beta(D)$ ,  $0 \leq \beta < 1$ ,  $0 \leq r \leq n$ , such that also  $df \in B_*^\beta(D)$ . Choose  $\varepsilon > 0$  with  $\beta + \varepsilon < 1$ . Then, by local shifts of  $f$  and a partition of unity argument, we can find a sequence of forms  $f_\nu \in C_{n,r}^0(\overline{D})$  such that also the forms  $df_\nu$  are continuous on  $\overline{D}$  and

$$f_\nu \longrightarrow f \text{ and } df_\nu \longrightarrow df$$

in the space  $B_*^{\beta+\varepsilon}(D)$ . By Lemma 4.4.1 (iii), then

$$Hf_\nu \longrightarrow Hf \text{ and } Hdf_\nu \longrightarrow Hdf$$

uniformly on the compact subsets of  $D$ . Moreover the kernels  $\widehat{M}_K^{\psi}$  are of class  $C^1$  in  $D \times \overline{D} \times \Delta_K$  and therefore

$$Mf_\nu \longrightarrow Mf \text{ and } M^*f_\nu \longrightarrow M^*f$$

uniformly on the compact subsets of  $D$ . Since, by (4.17) and (4.18),

$$f_\nu = dHf_\nu + Hdf_\nu + Mf_\nu$$

and

$$f_\nu = dHf_\nu + Hdf_\nu + M^*f_\nu, \text{ if } 0 \leq r \leq q - N,$$

this implies that

$$f = dHf + Hdf + Mf$$

$$f = dHf + Hdf + M^*f, \text{ if } 0 \leq r \leq q - N. \blacksquare$$

## 5. Homotopy formula and solution of the $\bar{\partial}$ -equation

### in local $q$ -concave wedges

Let  $(E, D)$  be a local  $q$ -concave wedge,  $0 \leq q \leq n-1$ ,  $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$  the associated frame satisfying conditions (i), (ii) and (iii) in Definition 2.2.

5.1. LEMMA. — Let  $\xi$  be a fixed point in  $E$ , then there exists a neighborhood  $W$  of  $\xi$  in  $\mathbb{C}^n$  such that for each  $f \in B_{n,r}^\beta(D)$ ,  $0 \leq \beta < 1$ ,  $0 \leq r \leq n$ , the differential form  $M^*f = \sum_{K \in \mathcal{P}'(N)} \int_{\Gamma_{K^*} \times \Delta_{K^*}} f(\zeta) \wedge \widehat{M}_{K^*}(\cdot, \zeta, \lambda)$  is of class  $C^1$  in  $W$  and  $D \subset W$ . Moreover  $M^*$  is a bounded operator from  $B_{n,*}^\beta(D)$  into  $C_{n,*}^1(W)$ .

*Proof.* — Recall that  $\widehat{M}_{K^*}(z, \zeta, \lambda) = \frac{1}{(2i\pi)^n} \det(d\tilde{\psi}_{K^*}(z, \zeta, \lambda))$  where

$$\tilde{\psi}_{K^*}(z, \zeta, \lambda) = \overset{\circ}{\chi}(\lambda_*) \frac{w^*(\zeta)}{\Phi^*(z, \zeta)} + (1 - \overset{\circ}{\chi}(\lambda_*)) \frac{w(z, \zeta, \lambda)}{\Phi(z, \zeta, \lambda)},$$

for  $(z, \zeta, \lambda) \in D \times \overline{D} \times \Delta_{K^*}$ .

Moreover, we know from (3.1) and the definition of  $\Phi^*$  in Section 4.3 that

$$\Phi^*(z, \zeta) \neq 0 \text{ for all } (z, \zeta) \in \{x \in U_{\overline{D}}/\rho_*(x) < 0\} \times \{y \in U_{\overline{D}}/\rho_*(y) \leq 0\}. \quad (5.1)$$

From (3.6), (3.7) and the definition of  $\Phi$  in Section 4.3 we get

$$\operatorname{Re} \Phi(z, \zeta, \lambda) \leq \rho_\lambda(z) + \rho_\lambda(\zeta) - \frac{\alpha}{2} |\zeta - z|^2 \text{ for all } (z, \zeta, \lambda) \in U_{\overline{D}} \times U_{\overline{D}} \times \Delta_K. \quad (5.2)$$

Set  $\delta = \operatorname{dist}(\xi, \Gamma_{1 \dots N^*})$ , if  $z \in B(\xi, \tau\delta)$ ,  $\tau < 1$ , and  $\zeta \in \Gamma_{K^*}$ , then  $|z - \zeta| > (1 - \tau)\delta$ .

Let  $W_{\tau, \lambda}^* = \{z \in B(\xi, \tau\delta) \mid \rho_*(z) < \frac{\delta\alpha(1-\tau)}{2}\}$ , then  $W_\tau = \bigcap_{\lambda \in \Delta_{K^*}} W_{\tau, \lambda}^*$  is a neighborhood of  $\xi$ , which contains  $D \cap B(\xi, \tau\delta)$ .

We set  $W = \left[ \left( \bigcup_{\tau < 1} W_\tau \right) \cup D \right] \cap \{z \in U_{\overline{D}} \mid \rho_*(z) < 0\}$ ,  $W$  is a neighborhood of  $\xi$  in  $\mathbb{C}^n$ , which contains  $D$ . We deduce from (5.1) and (5.2) that  $\Phi^*(z, \zeta) \neq 0$  and  $\Phi(z, \zeta) \neq 0$  for  $(z, \zeta, \lambda) \in W \times \Gamma_{K^*} \times \Delta_{K^*}$ .

Consequently  $\widehat{M}_{K^*}$  is a  $C^1$  differential form on  $W \times \Gamma_{K^*} \times \Delta_{K^*}$ , which defines a bounded operator  $M^*$  from  $B_{n, r}^\beta(D)$  into  $C_{n, r}^1(W)$ . ■

5.2. LEMMA. — Let  $f \in B_{n, r}^\beta(D)$  a  $(n, r)$ -differential form,  $0 \leq \beta < 1$ , such that  $df \in B_{n, r}^\beta(D)$ . Then if  $0 \leq r \leq q - N - 1$ ,  $dM^*f = M^*df$  on  $W$ .

*Proof.* — We consider first the case, where  $f \in C_{n, r}^0(\overline{D})$  and  $df$  is also continuous on  $\overline{D}$ . If  $z \in W$

$$dM^*f(z) = (-1)^{r+1} \sum_{K \in P'(N) \cup \emptyset} \int_{(\zeta, \lambda) \in \Gamma_{K^*} \times \Delta_{K^*}} f(\zeta) \wedge d_{\zeta, \lambda} \widehat{M}_{K^*}(z, \zeta, \lambda)$$

since  $d\widehat{M}_{K^*} = 0$  by definition of  $\widehat{M}_{K^*}$ .

Therefore, using Stokes' theorem and (4.6) we get

$$\begin{aligned} dM^*f(z) &= M^*df(z) - \sum_{K \in P'(N) \cup \emptyset} \int_{(\zeta, \lambda) \in S_{K^*} \times \Delta_{K^*}} f(\zeta) \wedge \widehat{M}_{K^*}(z, \zeta, \lambda) \\ &\quad - \sum_{K \in P'(N)} \int_{(\zeta, \lambda) \in \Gamma_{K^*} \times \Delta_K} f(\zeta) \wedge \widehat{M}_{K^*}(z, \zeta, \lambda). \end{aligned}$$

But we have  $\widehat{M}_{K^*} \big|_{S_{K^*} \times \Delta_{K^*}} = d\widehat{L}_{K^*}^{\psi} = 0$ , then

$$dM^*f(z) = M^*df(z) - \sum_{K \in P'(N)} \int_{(\zeta, \lambda) \in \Gamma_{K^*} \times \Delta_K} f(\zeta) \wedge \widehat{M}_{K^*}(z, \zeta, \lambda). \quad (5.3)$$

Since  $\widehat{M}_{K^*} \big|_{\Gamma_{K^*} \times \Delta_K} = d\widehat{L}_K^{\psi} \big|_{\Gamma_{K^*} \times \Delta_K}$ , we have

$$\begin{aligned} \int_{(\zeta, \lambda) \in \Gamma_{K^*} \times \Delta_K} f(\zeta) \wedge \widehat{M}_{K^*}(z, \zeta, \lambda) &= \int_{(\zeta, \lambda) \in \Gamma_{K^*} \times \Delta_K} f(\zeta) \wedge d_{z, \zeta, \lambda} \widehat{L}_K^{\psi}(z, \zeta, \lambda) \\ &= (-1)^r d_z \left( \int_{(\zeta, \lambda) \in \Gamma_{K^*} \times \Delta_K} f(\zeta) \wedge \widehat{L}_K^{\psi}(z, \zeta, \lambda) \right) \\ &\quad + (-1)^{r+1} \int_{(\zeta, \lambda) \in \Gamma_{K^*} \times \Delta_K} df(\zeta) \wedge \widehat{L}_K^{\psi}(z, \zeta, \lambda) \\ &\quad + (-1)^r \int_{(\zeta, \lambda) \in \Gamma_{K^*} \times \Delta_K} d_{\zeta, \lambda} (f(\zeta) \wedge \widehat{L}_K^{\psi}(z, \zeta, \lambda)) \end{aligned} \quad (5.4)$$

By Lemma 4.3.1 we get that, if  $0 \leq r \leq q-N$ ,

$$\int_{(\zeta, \lambda) \in \Gamma_{K^*} \times \Delta_K} f(\zeta) \wedge \widehat{L}_K^{\psi}(z, \zeta, \lambda) = 0 \quad (5.5)$$

and if  $0 \leq r \leq q-N-1$  or  $df = 0$

$$\int_{(\zeta, \lambda) \in \Gamma_{K^*} \times \Delta_K} df(\zeta) \wedge \widehat{L}_K^{\psi}(z, \zeta, \lambda) = 0. \quad (5.6)$$

One can easily prove that

$$\sum_{K \in P'(N)} \partial(\Gamma_{K^*} \times \Delta_K) = \sum_{K \in P'(N)} S_{K^*} \times \Delta_K. \quad (5.7)$$

Then, from Stokes' theorem and (5.7) we deduce

$$\begin{aligned} \sum_{K \in P'(N)} \int_{(\zeta, \lambda) \in \Gamma_{K^*} \times \Delta_K} d_{\zeta, \lambda}(f(\zeta) \wedge \widehat{L}_K^{\psi}(z, \zeta, \lambda)) \\ = \sum_{K \in P'(N)} \int_{(\zeta, \lambda) \in S_{K^*} \times \Delta_K} f(\zeta) \wedge \widehat{L}_K^{\psi}(z, \zeta, \lambda). \end{aligned} \quad (5.8)$$

Using  $[\widehat{L}_K^{\psi}]_{\deg \bar{\zeta} = k} = 0$  for  $K \in P'(N)$ ,  $k \geq n-q$ , and  $\dim S_{K^*} = 2n-|K|-1$  for  $K \in P'(N)$ , we obtain that

$$\int_{(\zeta, \lambda) \in S_{K^*} \times \Delta_K} f(\zeta) \wedge \widehat{L}_K^{\psi}(z, \zeta, \lambda) = 0 \quad \text{if } 0 \leq r \leq q-N-1. \quad (5.9)$$

Therefore using (5.3), (5.4), (5.5), (5.6), (5.8) and (5.9) the lemma is proved for  $f \in C_{n,r}^0(\overline{D})$  such that  $df$  is continuous on  $\overline{D}$ .

Now, let  $f \in B_{n,r}^{\beta}(D)$ ,  $0 \leq \beta < 1$ ,  $0 \leq r \leq q-N-1$ , such that also  $df \in B_{*}^{\beta}(D)$ . Choose  $\varepsilon > 0$  with  $\beta + \varepsilon < 1$ . Then as in the proof of Theorem 4.4.3, we can find a sequence of forms  $f_{\nu} \in C_{n,r}^0(\overline{D})$  such that the forms  $df_{\nu}$  are also continuous on  $\overline{D}$  and

$$f_{\nu} \longrightarrow f \quad \text{and} \quad df_{\nu} \longrightarrow df$$

in the space  $B_{*}^{\beta+\varepsilon}(D)$ .

As the kernels  $\widehat{M}_{K^*}$  are of class  $C^1$  in  $W \times \Gamma_{K^*} \times \Delta_{K^*}$ ,  $K \in P'(N) \cup \emptyset$ ,  $M^* f_{\nu} \rightarrow M^* f$  and  $M^* df_{\nu} \rightarrow M^* df$  for the  $C^1$  topology in the open set  $W$ . Since  $dM^* f_{\nu} = M^* df_{\nu}$  by the first part of the proof we get that  $dM^* f = M^* df$  for  $0 \leq r \leq q-N-1$ . ■

**5.3. THEOREM.** — Let  $(E, D)$  be a local  $q$ -concave wedge,  $0 \leq q \leq n-1$ ,  $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$  the frame associated to  $(E, D)$  in Definition 2.2 and  $\xi$  a fixed point in  $E$ . Then there exists a real  $R, R > 0$ , such that for each  $f \in B_{n,r}^{\beta}(D)$ ,  $0 \leq \beta < 1$ ,  $1 \leq r \leq q-N-1$ , with  $df \in B_{*}^{\beta}(D)$  we have

$$f = Sdf + dSf \quad \text{on} \quad D \cap B(\xi, R)$$

where  $S = H + TM^*$ ,  $T$  being the Henkin operator for solving the  $\bar{\partial}$ -equation in  $B(\xi, R)$ .

*Proof.* — In Theorem 4.4.3, we have proved that, if  $1 \leq r \leq q-N$ , we have

$$f = dHf + Hdf + M^*f \quad \text{on } D. \quad (5.10)$$

Let  $W$  be the neighborhood of  $\xi$  defined in Lemma 5.1. Then, there exists,  $R > 0$ , such that  $\overline{B}(\xi, R) \subset W$  and  $M^*f$  is a  $C^1$  differential form on  $\overline{B}(\xi, R)$ .

Let  $T$  be the operator defined by Corollary 1.12.2 in [He/Le 1] with the Leray map associated to  $B(\xi, R)$  (see Definition 2.1.2 and Corollary 2.1.4 in [He/Le 1]). Then we have

$$M^*f = dTM^*f + TdM^*f \quad \text{on } B(\xi, R). \quad (5.11)$$

Setting  $S = H + TM^*$ , (5.10), (5.11) and Lemma 5.2 imply

$$f = dSf + Sdf \quad \text{on } D \cap B(\xi, R). \blacksquare$$

5.4. LEMMA. — *Let us suppose that  $(E, D)$  is a local  $q$ -concave wedge defined by a  $q$ -configuration,  $\xi$  a fixed point in  $E$  and  $W$  the neighborhood of  $\xi$  defined in Lemma 5.1 using a constant  $\alpha$  satisfying the properties of Remark 2.6. Then for each  $(z, \lambda) \in W \times \Delta_{1\dots N}$  there exists a strictly  $q$ -convex domain  $G$  such that*

- a)  $S_{1\dots N*} \subset\subset G$  ;
- b)  $U_{\overline{D}}$  is a  $q$ -convex extension of  $G$  ;
- c)  $[\widehat{L}_{1\dots N}^\psi]_{\text{deg } \bar{\zeta} = n-q-1}$  is a  $\bar{\partial}$ -closed form on a neighborhood of  $\overline{G}$ .

*Proof.* — Set  $\tilde{\rho}_i(\zeta) = \rho_i(\zeta) - \rho_i(z) + \frac{\alpha}{2}|\zeta-z|^2$ ,  $i = 1, \dots, N$  and for  $\varepsilon > 0$ , sufficiently small

$$\tilde{\varphi} = \max(-\tilde{\rho}_1, \dots, -\tilde{\rho}_N, \rho_* - \varepsilon).$$

By definition of  $W$ , if  $z \in W$ , we have

$$S_{1\dots N*} \subset\subset \{\zeta \in U_{\overline{D}} \mid \tilde{\varphi}(\zeta) < 0\}.$$

Consequently there exists  $\beta > 0$  such that

$$S_{1\dots N*} \subset\subset \{\zeta \in U_{\overline{D}} \mid \tilde{\varphi}^\beta(\zeta) < 0\}$$

where  $\tilde{\varphi}^\beta = \max_\beta(-\tilde{\rho}_1, \dots, -\tilde{\rho}_N, \rho_* - \varepsilon)$ .

Since  $\tilde{\rho}_\lambda$  is strictly  $(q+1)$ -convex for each  $\lambda \in \Delta_{1\dots N}$  and  $\rho_*$  is convex, the function  $\tilde{\varphi}^\beta$  is strictly  $(q+1)$ -convex on  $U_{\overline{D}}$ . Without loss of generality, we can assume that  $\rho_*$  is an unbounded exhausting function for  $U_{\overline{D}}$ . Then also  $\tilde{\varphi}^\beta$  is an unbounded exhausting function for  $U_{\overline{D}}$ .

Since  $-\text{Re } \psi(z, \zeta, \lambda) > \tilde{\rho}_\lambda(\zeta)$  for  $(z, \zeta, \lambda) \in U_{\overline{D}} \times U_{\overline{D}} \times \Delta_{1\dots N}$ , for each  $(z, \lambda) \in W \times \Delta_{1\dots N}$ ,  $\widehat{L}_{1\dots N}^\psi(z, \cdot, \lambda)$  is defined on  $\{\zeta \in U_{\overline{D}} \mid \tilde{\varphi}^\beta(\zeta) < 0\}$ .

Using the  $(q+1)$ -holomorphy of  $\psi$  and the definition of  $\widehat{L}_{1\dots N}^\psi$  we get

$$[L_{1\dots N}^\psi]_{\text{deg } \bar{\zeta} = n-q} = 0 \quad \text{and} \quad d_{z, \zeta, \lambda} L^\psi = 0,$$

therefore

$$\bar{\partial}_\zeta [L_{1\dots N}^\psi]_{\text{deg } \bar{\zeta}=n-q-1} = -(\partial_\zeta + d_{z,\lambda})[L_{1\dots N}^\psi]_{\text{deg } \bar{\zeta}=n-q} = 0.$$

For  $(z, \lambda) \in W \times \Delta_{1\dots N}$ ,  $\widehat{L}_{1\dots N}^\psi(z, \cdot, \lambda)$  is  $\bar{\partial}$ -closed on  $\{\zeta \in U_{\bar{D}} \mid \bar{\varphi}^\beta(\zeta) < 0\}$  and for sufficiently small  $c > 0$ ,  $G = \{\zeta \in U_{\bar{D}} \mid \bar{\varphi}^\beta(\zeta) < -c\}$  has the required properties. ■

5.5. LEMMA. — Under the hypothesis of Lemma 5.4, let  $f \in B_{n,q-N}^\beta(D)$  an  $(n, q-N)$  differential form,  $0 \leq \beta < 1$ , such that  $df = 0$  then

$$dM^* f = 0 \quad \text{on } W.$$

*Proof.* — First let us assume that  $f$  is continuous on  $\bar{D}$ . Using (5.3), (5.4), (5.5), (5.6) and (5.8) we get for  $z \in W$

$$dM^* f(z) = \sum_{K \in P'(N)} \int_{(\zeta, \lambda) \in S_{K^*} \times \Delta_K} f(\zeta) \wedge \widehat{L}_K^\psi(z, \zeta, \lambda).$$

Since on  $W \times S_{K^*} \times \Delta_K$ ,  $\widehat{L}_K^\psi = \widehat{L}_K^\psi$  and  $[\widehat{L}_K^\psi]_{\text{deg } \bar{\zeta}=k} = 0$  for  $K \in P'(N)$ ,  $k \geq n-q$ , we obtain

$$\begin{aligned} dM^* f(z) &= \int_{(\zeta, \lambda) \in S_{1\dots N^*} \times \Delta_{1\dots N}} f(\zeta) \wedge [\widehat{L}_{1\dots N}^\psi]_{\text{deg } \bar{\zeta}=n-q-1}(z, \zeta, \lambda) \\ &= \int_{\lambda \in \Delta_{1\dots N^*}} \left( \int_{\zeta \in S_{1\dots N^*}} f(\zeta) \wedge [\widehat{L}_{1\dots N}^\psi]_{\text{deg } \bar{\zeta}=n-q-1}(z, \zeta, \lambda) \right). \end{aligned} \quad (5.12)$$

We fix  $(z, \lambda) \in W \times \Delta_{1\dots N}$ , by Lemma 5.4  $[\widehat{L}_{1\dots N}^\psi]_{\text{deg } \bar{\zeta}=n-q-1}$  is a  $\bar{\partial}$ -closed form on a neighborhood of a strictly  $q$ -convex domain  $G$  containing  $S_{1\dots N^*}$ . Moreover  $U$  is a  $q$ -convex extension of  $G$  and by Corollary 12.12 (ii) in [He/Le 2] we can approach  $[\widehat{L}_{1\dots N}^\psi]_{\text{deg } \bar{\zeta}=n-q-1}$  uniformly on  $\bar{G}$  by a sequence  $(F_j)_{j \in \mathbb{N}}$  of  $\bar{\partial}$ -closed form on  $U$ . Therefore we have

$$\int_{\zeta \in S_{1\dots N^*}} f(\zeta) \wedge [\widehat{L}_{1\dots N}^\psi]_{\text{deg } \bar{\zeta}=n-q-1}(z, \zeta, \lambda) = \lim_{j \rightarrow \infty} \int_{\zeta \in S_{1\dots N^*}} f(\zeta) \wedge F_j(\zeta).$$

Since  $S_{1\dots N^*}$  is the boundary of  $S_{1\dots N}$  and  $f(\zeta) \wedge F_j(\zeta)$  is closed on  $S_{1\dots N}$  we obtain

$$\int_{\zeta \in S_{1\dots N^*}} f(\zeta) \wedge [\widehat{L}_{1\dots N}^\psi]_{\text{deg } \bar{\zeta}=n-q-1}(z, \zeta, \lambda) = 0$$

and consequently using (5.12)  $dM^* f = 0$  on  $W$ .

This proves the lemma when  $f$  is continuous on  $\bar{D}$ . The same argument as in the proof of Lemma 5.2, implies this lemma when  $f \in B_{n,q-N}^\beta(D)$ .

5.6. THEOREM. — Let  $(E, D)$  be a local  $q$ -concave wedge defined by a  $q$ -configuration (see Definition 2.4),  $1 \leq q \leq n-1$ ,  $\xi$  a fixed point in  $E$  and  $N$  the real codimension of  $E$  in  $\mathbb{C}^n$ .

Then there exists a real  $R$ ,  $R > 0$ , such that for each  $f \in B_{n,q-N}^\beta(D)$ ,  $0 \leq \beta < 1$ ,  $q-N \geq 1$ , with  $df = 0$  on  $D$  we have

$$f = dSf \quad \text{on } D \cap B(\xi, R)$$



where  $S = H + TM^*$ ,  $T$  being the Henkin operator for solving the  $\bar{\partial}$ -equation in  $B(\xi, R)$ .

*Proof.* — From Theorem 4.4.3, we know that

$$f = dHf + M^*f \quad \text{on } D. \quad (5.13)$$

Let  $W$  be the neighborhood of  $\xi$  defined in Lemma 5.1. Then there exists  $R > 0$  such that  $\bar{B}(\xi, R) \subset W$  and  $M^*f$  is a  $C^1$  differential form on  $\bar{B}(\xi, R)$ . Moreover by Lemma 5.5,  $M^*f$  is  $\bar{\partial}$ -closed on  $B(\xi, R)$ .

Let  $T$  be the operator defined by Corollary 1.12.2 in [He/Le 1] with the Leray map associated to  $B(\xi, R)$  (see Definition 2.1.2 and Corollary 2.1.4 in [He/Le 1]).

Then we have

$$M^*f = dTM^*f \quad \text{on } B(\xi, R). \quad (5.14)$$

Setting  $S = H + TM^*$ , (5.13) and (5.14) imply

$$f = dSf \quad \text{on } D \cap B(\xi, R). \blacksquare$$

5.7. THEOREM. — Let  $(E, D)$  be a local  $q$ -concave wedge, defined by a  $q$ -configuration,  $1 \leq q \leq n-1$ ,  $N$  the real codimension of  $E$  and  $\xi$  a fixed point in  $E$ . Let us suppose that  $q-N \geq 0$ , then there exists a neighborhood  $W$  of  $\xi$  in  $\mathbb{C}^n$ ,  $D \subset W$ , such that each holomorphic function in  $D$  has an holomorphic extension to  $W$ .

*Proof.* — Let  $f$  be a holomorphic function in  $D$  and  $\varepsilon > 0$  a real number. We set  $\rho_j^\varepsilon = \rho_j + \varepsilon$ ,  $j = 1 \cdots N, *$ . For  $\varepsilon$  sufficiently small, the frame  $(U_{\bar{D}}, \rho_1^\varepsilon, \dots, \rho_N^\varepsilon, \rho_*^\varepsilon)$  defines a new local  $q$ -concave wedge, denoted by  $(E_\varepsilon, D_\varepsilon)$ , which has the same properties than  $(E, D)$ . Let  $d_\varepsilon = \text{dist}(\xi, E_\varepsilon)$  and  $\xi_\varepsilon \in E_\varepsilon$  a point such that  $|\xi - \xi_\varepsilon| = d_\varepsilon$ .

Set  $\tilde{f}(\zeta) = f(\zeta)d\zeta_1 \wedge \cdots \wedge d\zeta_n$ ,  $\tilde{f}$  is a  $d$ -closed  $(n, 0)$ -form which is continuous in  $\bar{D}_\varepsilon$ . Since  $q \geq N$ , Theorem 4.4.3, applied to  $\tilde{f}$  and  $D_\varepsilon$ , implies that

$$\tilde{f} = M_\varepsilon^*f \quad \text{in } D_\varepsilon.$$

As in the proof of Lemma 5.1 we have to consider the functions  $\Phi^*$  and  $\Phi_\varepsilon$  associated to  $(E_\varepsilon, D_\varepsilon)$ .

If  $\zeta \in I_{K,*}^\varepsilon$ , then  $\Phi^*(z, \zeta) \neq 0$  for all  $z \in U_{\bar{D}}$  such that  $\rho_*^\varepsilon(z) < 0$ , i.e.  $\rho^*(z) < -\varepsilon$ .

On the other hand, for all  $(z, \zeta, \lambda) \in U_{\bar{D}} \times U_{\bar{D}} \times \Delta_K$

$$\text{Re } \Phi_\varepsilon(z, \zeta, \lambda) \leq \rho_\lambda^\varepsilon(z) + \rho_\lambda^\varepsilon(\zeta) - \frac{\alpha}{2} |\zeta - z|^2$$

where the constant  $\alpha$  depends only on the second derivatives of  $\rho_\lambda^\varepsilon$  and consequently is independent of  $\varepsilon$ .

Following the proof of Lemma 5.1, if  $\delta_\varepsilon = \text{dist}(\xi_\varepsilon, I_{1 \dots N,*}^\varepsilon)$  set  $W_{\tau, \lambda}^\varepsilon = \{z \in B(\xi_\varepsilon, \tau\delta_\varepsilon) \mid \rho_\lambda^\varepsilon < \frac{\delta_\varepsilon \alpha (1-\tau)}{2}\}$ , then  $W_\tau^\varepsilon = \bigcap_{\lambda \in \Delta_{K,*}} W_{\tau, \lambda}^\varepsilon$  is a neighborhood of  $\xi_\varepsilon$ .

We shall prove that for some  $\tau$  and for sufficiently small  $\varepsilon$ , then  $W_\tau^\varepsilon$  is a neighborhood of  $\xi$ .

Since  $\Gamma_{1\dots N^*}^\varepsilon = \Gamma_{1\dots N^*} \cap D_\varepsilon$ , we have  $\delta_\varepsilon \geq \delta - d_\varepsilon$ . Choose  $\varepsilon_0 > 0$  such that for all  $\varepsilon < \varepsilon_0$ ,  $\delta - d_\varepsilon > \frac{\delta}{2}$  and  $\tau$  such that  $d_{\varepsilon_0} < \frac{\tau\delta}{2}$ .

Then if  $\varepsilon < \inf(\frac{\alpha}{4}(1-\tau)\delta, \varepsilon_0)$ , the point  $\xi$  belongs to  $\{z \in B(\xi_\varepsilon, \tau\frac{\delta}{2}) \mid \rho_\lambda^\varepsilon < \frac{\delta_\varepsilon\alpha(1-\tau)}{2}\}$  and therefore  $\xi \in W_\tau^\varepsilon$  and  $\Phi_\varepsilon(z, \zeta, \lambda) \neq 0$  on  $W_\tau^\varepsilon \times \Gamma_{K^*} \times \Delta_{K^*}$ .

Choose such an  $\varepsilon$ , it follows from the definition of  $M_\varepsilon^*$  that  $M_\varepsilon^* \tilde{f}$  is a  $C^1, (n, 0)$ -form in  $W_\tau^\varepsilon$ , moreover by Lemma 5.5  $dM_\varepsilon^* \tilde{f} = 0$ .

Finally the  $(n, 0)$ -form  $\tilde{h}$  defined by  $\tilde{h} = \tilde{f}$  on  $D$  and  $\tilde{h} = M_\varepsilon^* \tilde{f}$  on  $W_\tau^\varepsilon$  defined a holomorphic function  $h$  on  $W = W_\tau^\varepsilon \cup D$  such that  $h = f$  on  $D$ . ■

## 6. Estimates

In this section we denote by  $(E, D)$  a local  $q$ -concave wedge,  $0 \leq q \leq n-1$ , and by  $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$  the associated frame satisfying (i), (ii) and (iii) in Definition 2.2. Let  $\Gamma_K, K \in P(N, *)$  be the submanifolds of  $\overline{D}$  defined in Section 4.2 and  $\Phi(z, \zeta, \lambda)$  the function defined in Section 4.3.

In Section 4.3, we have defined an operator  $H$  from  $B_{n,*}^\beta(D)$  into  $C_{n,*}^0(D)$  by

$$Hf = \sum_{K \in P'(N, *)} (-1)^{|K|} H_K f \quad \text{for } f \in B_{n,*}^\beta(D)$$

where the  $H_K$ 's are given by (4.14).

Let us set  $H'f = \sum_{K \in P'(N)} (-1)^{|K|} H_K f$  and  $H^*f = \sum_{K \in P'(N) \cup \emptyset} (-1)^{|K|+1} H_K f$ .

Let us recall some definitions and propositions given in [L-T/Le].

6.1. DEFINITION. — Let  $K \in P'(N, *)$  and let  $s$  be an integer.

A form of type  $O_s$  (or of type  $O_s(z, \zeta, \lambda)$ ) on  $D \times \Gamma_K \times \Delta_{OK}$  is, by definition, a continuous differential form  $f(z, \zeta, \lambda)$  defined for all  $(z, \zeta, \lambda) \in D \times \Gamma_K \times \Delta_{OK}$  with  $z \neq \zeta$  such that the following conditions are fulfilled :

- (i) All derivatives of the coefficients of  $f(z, \zeta, \lambda)$  which are of order 0 in  $\zeta$ , of order  $\leq 1$  in  $z$ , and of arbitrary order in  $\lambda$  are continuous for all  $(z, \zeta, \lambda) \in D \times \Gamma_K \times \Delta_{OK}$  with  $z \neq \zeta$ .
- (ii) Let  $\nabla_z^\kappa, \kappa = 0, 1$ , be a differential operator with constant coefficients which is of order 0 in  $\zeta$ , of order  $\kappa$  in  $z$ , and of arbitrary order in  $\lambda$ . Then there is a constant  $C > 0$  such that, for each coefficient  $\varphi(z, \zeta, \lambda)$  of the form  $f(z, \zeta, \lambda)$ ,

$$|\nabla_z^\kappa \varphi(z, \zeta, \lambda)| \leq C |\zeta - z|^{s-\kappa}$$

for all  $(z, \zeta, \lambda) \in D \times \Gamma_K \times \Delta_{OK}$  with  $z \neq \zeta$ .

(iii) There exist neighborhood  $U_0, U_K \subseteq \Delta_{OK}$  of  $\Delta_0$  and  $\Delta_K$ , respectively, such that  $f(z, \zeta, \lambda) = 0$  for all  $(z, \zeta, \lambda) \in D \times \Gamma_K \times (U_0 \cup U_K)$ .

The symbols  $O_s(z, \zeta, \lambda)$  and  $O_s$  will be used also to denote forms of this type, also in formulas. For example :

$f = O_s$  means :  $f$  is a form of type  $O_s$ .

$O_s \wedge f = O_k \wedge g + O_m$  means : for each form  $h$  of type  $O_s$ , there exist a form  $u$  of type  $O_k$  and a form  $v$  of type  $O_m$  such that  $h \wedge f = u \wedge g + v$ .

The equation

$$Ef(z) = \int_{(\zeta, \lambda) \in S_K \times \Delta_{OK}} O_s(z, \zeta, \lambda) \wedge f(z, \zeta, \lambda)$$

means : there exists a form  $\hat{E}$  of type  $O_s$  such that

$$Ef(z) = \int_{(\zeta, \lambda) \in S_K \times \Delta_{OK}} \hat{E}(z, \zeta, \lambda) \wedge f(z, \zeta, \lambda)$$

for all  $f$ .

6.2. DEFINITION. — Let  $m \geq 0$  be an integer. An operator of type  $m$  is, by definition, a map

$$E : \cup_{0 \leq \beta < 1} B_{n,*}^\beta(D) \longrightarrow C_{n,*}^0(D)$$

such that there exist

- an integer  $k \geq 0$ ,
- $K \in P'(N)$ ,
- a form  $\hat{E}(z, \zeta, \lambda)$  of type  $O_{|K|-2n+2k+m}$  on  $D \times \Gamma_K \times \Delta_{OK}$  such that, for all  $f \in B_{n,*}^\beta(D), 0 \leq \beta < 1$ ,

$$Ef(z) = \int_{(\zeta, \lambda) \in \Gamma_K \times \Delta_{OK}} \tilde{f}(\zeta) \wedge \frac{\hat{E}(z, \zeta, \lambda) \wedge \Theta(\zeta)}{\Phi^{k+m}(z, \zeta, \lambda)}$$

where  $\tilde{f} \in B_{0,*}^\beta(D)$  is the form with

$$f(\zeta) = \tilde{f}(\zeta) \wedge d\zeta_1 \wedge \cdots \wedge d\zeta_n,$$

and for  $\Theta$  holds the following :

if  $m = 0$ , then  $\Theta = 1$  ;

if  $m \geq 1$ , then there exist indices  $i_1, \dots, i_m \in K$  such that either

$$\Theta = \partial \rho_{i_1} \wedge \cdots \wedge \partial \rho_{i_m} \quad \text{or} \quad \Theta = \bar{\partial} \rho_{i_1} \wedge \partial \rho_{i_2} \wedge \cdots \wedge \partial \rho_{i_m}$$

(for the definition of  $\lambda$ , see Sect. 1.8).

6.3. PROPOSITION. — Let us consider an operator  $E$  of type  $m, m \geq 0$ .

(i) Let  $0 \leq \beta < 1/2$ ,  $0 < \varepsilon \leq 1/2 - \beta$ , and  $1 \leq r \leq n$ . Then

$$E(B_{n,r}^\beta(D)) \subset C_{n,r-1}^{1/2-\beta-\varepsilon}(\bar{D})$$

and the operator  $E$  is compact as operator between the Banach spaces  $B_{n,r}^\beta(D)$  and  $C_{n,r-1}^{1/2-\beta-\varepsilon}(\bar{D})$

(ii) Let  $1/2 \leq \beta < 1$ ,  $0 < \varepsilon \leq 1 - \beta$ , and  $1 \leq r \leq n$ . Then

$$E(B_{n,r}^\beta(D)) \subset B_{n,r-1}^{\beta+\varepsilon-1/2}(\bar{D})$$

and the operator  $E$  is compact as operator between the Banach spaces  $B_{n,r}^\beta(D)$  and  $B_{n,r-1}^{\beta+\varepsilon-1/2}(D)$ .

For the proof of this proposition see the proof of Theorem 4.12 in Section 8 of [L-T/Le].

6.4. THEOREM. — The operator  $H'$  is a finite sum of operators of type  $m, m \geq 0$ .

*Proof.* — It comes from the definition of  $H'$  that the calculations are exactly the same than in the proof of Theorem 5.4 in [L-T/Le]. The only change is that we have exchange the roles of  $z$  and  $\zeta$  in the definition of  $w$ . But using that, for all  $k = 1, \dots, N$ ,  $\rho_k$  is of class  $C^3$ , we get that

$$\begin{aligned} O_0 \wedge W &= O_0 \wedge \sum_{j=1}^n w^j(z, \zeta, \lambda) d\zeta_j = O_0 \wedge \sum_{k \in K} \frac{\partial \rho_k}{\partial z_j}(z) d\zeta_j + O_1 \\ &= O_0 \wedge \partial \rho_j(\zeta) + \sum_{k \in K} \left( \frac{\partial \rho_k}{\partial z_j}(z) - \frac{\partial \rho_k}{\partial z_j}(\zeta) \right) d\zeta_j + O_1 \\ &= O_0 \wedge \partial \rho_j(\zeta) + O_1 \end{aligned}$$

and in the same way  $O_0 \wedge d_\lambda W = \sum_{j \in K} O_0 \wedge \partial \rho_j(\zeta) + O_1$  and  $O_0 \wedge \bar{\partial}_{z,\zeta} \Phi = \sum_{j \in K} O_0 \wedge \bar{\partial} \rho_j(\zeta) + O_1$  on  $D \times \Gamma_K \times \Delta_{OK}$ ,  $K \in P'(N)$ , which are exactly the same estimates than in [L-T/Le]. ■

6.5. PROPOSITION. — Let  $\xi$  be a fixed point in  $E$  and  $W$  the neighborhood of  $\xi$  defined in Lemma 5.1. Then for each  $f \in B_{n,r}^\beta(D)$ ,  $0 \leq \beta < 1$ ,  $0 \leq r \leq n$  the differential form  $H^* f$  is of class  $C^1$  in  $W$  and the operator  $H^*$  is a bounded linear operator from  $B_{n,*}^\beta(D)$  into  $C_{n,*}^1(W)$ .

*Proof.* — By definition of  $W$ ,  $\Phi^*(z, \zeta) \neq 0$ ,  $\Phi(z, \zeta) \neq 0$  and  $|z - \zeta| \neq 0$  for  $(z, \zeta, \lambda) \in W \times \Gamma_{K^*} \times \Delta_{OK^*}$ .

Therefore the kernels, which are used to define the operator  $H^*$ , are  $C^1$  differential forms on  $W \times \Gamma_{K^*} \times \Delta_{OK^*}$ . Then it follows easily from the definition of  $H^*$  that  $H^*$  is a bounded linear operator from  $B_{n,*}^\beta(D)$ ,  $0 \leq \beta < 1$ , into  $C_{n,*}^1(W)$ .

6.6. THEOREM. — Let  $\xi$  be a fixed point in  $E$  and  $R$  be a positive real number such that  $\bar{B}(\xi, R) \subset W$ , where  $W$  is the neighborhood of  $\xi$  defined in Lemma 5.1. Then

the operator  $S = H + TM^*$ ,  $T$  being the Henkin operator for solving the  $\bar{\partial}$ -equation in  $B(\xi, R)$  has the following properties :

- i) For  $0 \leq \beta < 1/2$ ,  $0 < \varepsilon \leq 1/2 - \beta$  and  $1 \leq r \leq n$ ,  $S$  is a compact operator between the Banach spaces  $B_{n,r}^\beta(D)$  and  $C_{n,r-1}^{1/2-\beta-\varepsilon}(\bar{D} \cap \bar{B}(\xi, R))$ .
- ii) For  $1/2 \leq \beta < 1$ ,  $0 < \varepsilon \leq 1 - \beta$  and  $1 \leq r \leq n$ ,  $S$  is a compact operator, between the Banach spaces  $B_{n,r}^\beta(D)$  and  $B_{n,r-1}^{\beta+\varepsilon-1/2}(D \cap B(\xi, R))$ .

*Proof.* — Recall that  $S = H' + H^* + TM^*$ . It follows from Proposition 6.3 and Theorem 6.4 that  $H'$  satisfies the conclusions i) and ii) of the theorem.

By Lemma 5.1 and Theorem 2.2.2 in [He/Le 1],  $TM^*$  is a bounded operator from  $B_{n,*}^\beta(D)$ ,  $0 \leq \beta < 1$ , into  $C_{n,*}^{1/2}(\bar{D} \cap \bar{B}(\xi, R))$  and, by Proposition 6.5,  $H^*$  is a bounded operator from  $B_{n,*}^\beta(D)$ ,  $0 \leq \beta < 1$ , into  $C_{n,*}^1(\bar{D} \cap \bar{B}(\xi, R))$ .

Now let  $0 \leq \beta < 1/2$ . It follows from Ascoli's theorem that the injection maps from  $C_{n,*}^{1/2}(\bar{D} \cap \bar{B}(\xi, R))$  and  $C_{n,*}^1(\bar{D} \cap \bar{B}(\xi, R))$  into  $C^{1/2-\beta-\varepsilon}(\bar{D} \cap \bar{B}(\xi, R))$  are compact. This ends the proof of the theorem in the first case.

Finally, suppose that  $1/2 \leq \beta < 1$ . By Ascoli's theorem,  $H^* + TM^*$  is a compact operator from  $B_{n,*}^\beta(D)$  into  $C_{n,*}^0(\bar{D} \cap \bar{B}(\xi, R))$ . Moreover the injection map from  $C_{n,*}^0(\bar{D} \cap \bar{B}(\xi, R))$  into  $B_{n,*}^{\beta+\varepsilon-1/2}(D \cap B(\xi, R))$  is bounded and the second assertion of the theorem is proved. ■

Combining Theorem 5.3, Theorem 5.6 and Theorem 6.6, we obtain the main result of this paper :

6.7. THEOREM. — Let  $(E, D)$  be a local  $q$ -concave wedge,  $0 \leq q \leq n-1$ , and  $\xi$  be a fixed point in  $E$ . Then there exists a real  $R$ ,  $R > 0$ , and a linear operator  $S$  from  $B_{n,r}^\beta(D)$  into  $C_{n,r-1}^0(D \cap B(\xi, R))$ ,  $1 \leq r \leq n$ , such that :

- i) If  $0 \leq \beta < 1/2$  and  $0 < \varepsilon \leq 1/2 - \beta$ ,  $S$  is compact from  $B_{n,*}^\beta(D)$  into  $C_{n,*}^{1/2-\beta-\varepsilon}(\bar{D} \cap \bar{B}(\xi, R))$ .
- ii) If  $1/2 \leq \beta < 1$  and  $0 < \varepsilon \leq 1 - \beta$ ,  $S$  is compact from  $B_{n,*}^\beta(D)$  into  $B_{n,*}^{\beta+\varepsilon-1/2}(D \cap B(\xi, R))$ .
- iii) For each  $f \in B_{n,r}^\beta(D)$ ,  $0 \leq \beta < 1$ ,  $1 \leq r \leq q - \text{codim}_{\mathbb{R}} E - 1$  with  $df \in B_*^\beta(D)$  we have

$$f = Sdf + dSf \quad \text{on } D \cap B(\xi, R) .$$

- iv) If moreover the local  $q$ -concave wedge  $(E, D)$  is defined by a  $q$ -configuration and  $1 \leq r = q - \text{codim}_{\mathbb{R}} E$ , then for each  $d$ -closed form  $f \in B_{n,r}^\beta(D)$ ,  $0 \leq \beta < 1$  we have

$$f = dSf \quad \text{on } D \cap B(\xi, R) .$$

## 7. Globalization

Let us denote by  $E$  a holomorphic vector bundle over an  $n$ -dimensional complex manifold  $X$ , by  $\Omega$  and  $\Delta$  two domains in  $X$  such that  $\Omega \subset\subset \Delta \subset\subset X$  and by  $D$  the domain  $\Delta \setminus \Omega$ . Further, let  $C_{n,r}^\alpha(\overline{D}, E)$ ,  $B_{n,r}^\beta(D, E)$  etc... the Banach spaces of  $E$ -valued differential forms on  $D$ , which are obtained canonically extending the definitions of Section 1.13.

7.1. DEFINITION. — Let  $q$  and  $q'$  be two integers,  $0 \leq q, q' \leq n-1$ . A domain  $D \subset\subset X$  will be called a  $q$ -concave,  $q'$ -convex domain of order  $N$ ,  $1 \leq N \leq 2n$ , if there exist two domains  $\Omega \subset\subset \Delta \subset\subset X$  such that  $D = \Delta \setminus \Omega$  and satisfying the following properties :

- (i) For each point  $\xi \in \partial\Omega$ , there exists a neighborhood  $U_\xi$  of  $\xi$  in  $X$  contained in a coordinate domain, such that, after identification with its image in  $\mathbb{C}^n$ ,  $U_\xi$  contains a local  $q$ -concave wedge  $(E_\xi, D_\xi)$  with
  - (a)  $\xi \in E_\xi$  ;
  - (b)  $\text{codim}_{\mathbb{R}} E_\xi \leq N$  ;
  - (c)  $(E_\xi, D_\xi)$  is defined by a  $q$ -configuration ;
  - (d) If  $(U_{\overline{D}_\xi}, \rho_1, \dots, \rho_{N_\xi}, \rho_*)$  is a frame for  $(E_\xi, D_\xi)$  then  $D \cap U_\xi \cap \{z \in U_{\overline{D}_\xi} \mid \rho_*(z) < 0\} = D_\xi$ .
- (ii)  $\Delta$  is a local  $q'$ -convex domain.

7.2. Examples. — The simplest example of such domains is given by  $D = B(0, R') \setminus B(0, R)$ ,  $0 < R < R'$  in  $\mathbb{C}^n$ , this is a  $(n-1)$ -concave,  $(n-1)$ -convex domain of order 1. Another simple example is  $D = \Delta \setminus \Omega$  with  $\Delta$  a  $C^2$  smooth  $q'$ -convex domain and  $\Omega$  a  $C^3$  smooth  $q$ -convex domain.

A more interesting example is given by  $D = \Delta \setminus \Omega$  where  $\Delta$  is a strictly pseudoconvex domain with  $C^2$ -smooth boundary and  $\Omega$  is the union of  $N$  strictly pseudoconvex domains with  $C^3$ -smooth boundary, whose boundaries are intersecting transversally. Such a domain is a  $(n-1)$ -concave,  $(n-1)$ -convex domain of order  $N$ .

The case where  $\Delta$  is a strictly pseudoconvex domain with  $C^2$ -smooth boundary and  $\Omega = \Omega_1 \cup \Omega_2$  with  $\Omega_i$ ,  $i = 1, 2$ , two strictly  $q$ -convex domains with  $C^3$ -smooth boundary intersecting themselves transversally defined by  $\Omega_i = \{z \in U_{\partial\Omega_i} \mid \varphi_i(z) < 0\}$  and such that for each  $\lambda \in [0, 1]$  and  $\xi \in \partial\Omega_1 \cap \partial\Omega_2$  the Levi form  $L_{\lambda\varphi_1 + (1-\lambda)\varphi_2}(\xi)$  restricted to  $T_\xi^{\mathbb{C}}(\partial\Omega_1 \cap \partial\Omega_2)$  has at least  $\dim_{\mathbb{C}} T_\xi^{\mathbb{C}}(\partial\Omega_1 \cap \partial\Omega_2) - n + q + 1$  positive eigenvalues, defines a  $q$ -concave,  $(n-1)$ -convex domain of order 2 (cf. remark 2.5).

7.3. THEOREM. — Let  $D$  be a  $q$ -concave,  $q'$ -convex domain of order  $N$  in  $X$ . We suppose that  $q+q'-N \geq n$ . Then there exist linear operators

$$\tilde{T}_r : \bigcup_{0 \leq \beta < 1} B_{n,r}^\beta(D, E) \longrightarrow C_{n,r-1}^0(D, E)$$

and

$$K_r : \bigcup_{0 \leq \beta < 1} B_{n,r}^\beta(D, E) \longrightarrow C_{n,r}^0(D, E)$$

for  $n-q' \leq r \leq q-N$  such that the following holds :

(i) if  $n-q' \leq r \leq q-N-1$ , then

$$f = d\tilde{T}_r f + \tilde{T}_{r+1} df + K_r f$$

for all  $f \in B_{n,r}^\beta(D, E)$ ,  $0 \leq \beta < 1$ , such that  $df$  also belongs to  $B_*^\beta(D, E)$  ;

(ii) if  $r = q-N$ , then for all  $d$ -closed  $f \in B_{n,r}^\beta(D, E)$ ,  $0 \leq \beta < 1$ ,

$$f = d\tilde{T}_r f + K_r f ;$$

(iii) if  $0 \leq \beta < 1/2$  and  $0 < \varepsilon \leq 1/2 - \beta$ , then  $\tilde{T}_r$  and  $K_r$ ,  $n-q' \leq r \leq q-N$ , are compact operators from  $B_{n,r}^\beta(D, E)$  into  $C_{n,r-1}^{1/2-\beta-\varepsilon}(\bar{D}, E)$ , resp.  $C_{n,r}^{1/2-\beta-\varepsilon}(\bar{D}, E)$  ;

(iv) if  $1/2 \leq \beta < 1$  and  $\varepsilon > 0$ , then  $\tilde{T}_r$  and  $K_r$ ,  $n-q' \leq r \leq q-N$ , are compact operators from  $B_{n,r}^\beta(D, E)$  into  $B_{n,r-1}^{\beta+\varepsilon-1/2}(D, E)$ , resp.  $B_{n,r}^{\beta+\varepsilon-1/2}(D, E)$

*Proof.* — By Definition 7.1 and Lemma 2.4 in [L-T/Le] there exists a finite number of open sets  $U_1, \dots, U_m \subset X$  such that  $\bar{D} \subset U_1 \cup \dots \cup U_m$  and each  $U_j \cap D$ ,  $1 \leq j \leq m$  is either a local  $q'$ -convex domain or a local  $q$ -concave wedge defined by a  $q$ -configuration. The second case occurs, when  $U_j \cap \Omega \neq \emptyset$ . Moreover, we may assume that  $E$  is trivial over some neighborhood of each  $\overline{U_j \cap D}$ ,  $1 \leq j \leq m$ .

Let  $A_j$  be the operators which are induced in

$$\bigcup_{0 \leq \beta < 1} B_{n,*}^\beta(D, E)$$

by the local operators in the following way : if  $U_j \cap D$  is a local  $q$ -concave wedge  $A_j f = S(f|_{U_j \cap D})$  where  $S$  is defined in Theorems 5.3 and 5.6 and if  $U_j \cap D$  is a local  $q'$ -convex domain  $A_j f = H(f|_{U_j \cap D})$  where  $H$  is defined in Section 4 of [L-T/Le].

We choose non negative  $C^\infty$  functions  $\chi_j$  with compact support in  $U_j$  such that  $\chi_1 + \dots + \chi_m = 1$  in a neighborhood of  $\bar{D}$  and we set

$$\tilde{T}_r f = \sum_{j=1}^m \chi_j A_j f$$

and

$$K_r f = \sum_{j=1}^m d\chi_j \wedge A_j f$$

for  $n-q' \leq r \leq q-N$ ,  $f \in B_{n,r}^\beta(D)$ ,  $0 \leq \beta < 1$ . ■

Up to the end of this part we will suppose that  $X = \mathbb{C}^n$ .

7.4. DEFINITION. — A  $q$ -concave,  $q'$ -convex domain of order  $N$ ,  $1 \leq N \leq 2n$ ,  $D$  contained in  $\mathbb{C}^n$  will be of *special type* if  $D = \Delta \setminus \Omega$  where  $\Delta$  is a local  $q'$ -convex domain and  $\Omega$  is the union of  $N$  strictly  $q$ -convex domains  $\Omega_i$ ,  $1 \leq i \leq N$ , with  $C^3$  smooth boundary intersecting themselves transversally, defined by  $\Omega_i = \{z \in U_{\partial\Omega_i} \mid \varphi_i(z) < 0\}$  and such that for each multi-index  $K \in \mathcal{P}(N)$ , each  $\lambda \in \Delta_K$  and each  $\xi \in \bigcap_{k_\nu \in K} \partial\Omega_{k_\nu}$ , the Levi form  $L_{\lambda_1 \varphi_{k_1} + \dots + \lambda_\ell \varphi_{k_\ell}}(\xi)$  restricted to  $T_\xi^{\mathbb{C}}(\partial\Omega_{k_1} \cap \dots \cap \partial\Omega_{k_\ell})$  has at least  $\dim_{\mathbb{C}} T_\xi^{\mathbb{C}}(\partial\Omega_{k_1} \cap \dots \cap \partial\Omega_{k_\ell}) - n + q + 1$  positive eigenvalues.

7.5. PROPOSITION. — Let  $D \subset\subset \mathbb{C}^n$  be a  $q$ -concave,  $q'$ -convex domain of order  $N$  and of special type and suppose that  $q+q'-N \geq n$ . If  $f$  is a continuous  $(n, r)$ -form in some neighborhood  $U_{\overline{D}}$  of  $\overline{D}$ ,  $n-q' \leq r \leq q-N$ , such that  $\overline{\partial}f = 0$  in  $U_{\overline{D}}$ , then there exists a form  $u \in \bigcap_{\varepsilon > 0} C_{n, r-1}^{1/2-\varepsilon}(\overline{D})$  such that  $\overline{\partial}u = f$  in  $D$ .

*Proof.* — This proposition is the analogous in the case of  $q$ -concave,  $q'$ -convex domains of Lemma 2.3.4 in [He/Le 1]. Using Theorem 7.3 at the place of Lemma 2.3.1 ([He/Le 1]) we can repeat the proof of Lemma 2.3.4 in [He/Le 1]. We have only to remark that there exists a  $q$ -concave,  $q'$ -convex domain of order  $N$  and of special type  $G$  such that  $D \subset\subset G \subset\subset U_{\overline{D}}$ .

Let us consider  $\Omega_{i, \alpha} = \{z \in U_{\partial\Omega_i} \mid \varphi_i(z) > \alpha\}$ . For  $\alpha > 0$ , sufficiently small it is easy to verify that  $\Omega_\alpha = \bigcup_{i=1}^N \Omega_{i, \alpha}$  has the same properties than  $\Omega$ . Moreover if  $\Delta = \{z \in U_{\overline{\Delta}} \mid \rho_j < 0, j = 1, \dots, N\}$  then  $\Delta_\beta = \{z \in U_{\overline{\Delta}} \mid \rho_j < -\beta, j = 1, \dots, N\}$  is also a local  $q$ -convex domain for sufficiently small  $\beta > 0$ . Then it suffices to take  $G = \Delta_\beta \setminus \Omega_\alpha$  for some small  $\alpha$  and  $\beta$ . ■

Following the same methods than in part 2.3 of [He/Le 1], we get the following theorem on the resolution of the  $\overline{\partial}$ -equation in  $q$ -concave,  $q'$ -convex domains with estimates up to the boundary.

7.6. THEOREM. — Let  $D \subset\subset \mathbb{C}^n$  be a  $q$ -concave,  $q'$ -convex domain of order  $N$  and of special type such that  $q+q'-N \geq n$  and for  $0 \leq \beta < 1$ , let  $f \in B_{n, r}^\beta(D)$  be a  $\overline{\partial}$ -closed form on  $D$ ,  $n-q' \leq r \leq q-N$ .

- (i) if  $0 \leq \beta < 1/2$ , there exists  $u \in \bigcap_{\varepsilon > 0} C_{n, r-1}^{1/2-\beta-\varepsilon}(\overline{D})$  such that  $\overline{\partial}u = f$  and for each  $\varepsilon > 0$  there exists also a constant  $C_\varepsilon$  such that

$$\|u\|_{1/2-\beta-\varepsilon} \leq C_\varepsilon \|f\|_{-\beta};$$

- (ii) if  $1/2 \leq \beta < 1$ , there exists  $u \in \bigcap_{\varepsilon > 0} B_{n, r-1}^{\beta+\varepsilon-1/2}(D)$  such that  $\overline{\partial}u = f$  and for each  $\varepsilon > 0$  there exists also a constant  $C_\varepsilon$  such that

$$\|u\|_{1/2-\beta-\varepsilon} \leq C_\varepsilon \|f\|_{-\beta}.$$

*Proof.* — As in the proof of Theorem 2.3.5 in [He/Le 1], we deduce the existence of the solution  $u$  from Proposition 7.5 by the bumping method. The estimates are a consequence of the Banach's open mapping theorem and of Theorem 7.3 (cf. [He/Le 1] appendix 2). ■



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