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CANONICITY OF A CYCLIC SUBGROUP  
OF AN ELLIPTIC CURVE

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The story begins with an observation made by Mazur in [1]. Let  $p$  be a prime, and suppose an elliptic curve  $E$  defined over a number field  $K$  has  $E_p$  (the groupscheme kernel of multiplication by  $p$ ) isomorphic to  $\mu_p^{\mathbb{Z}/p\mathbb{Z}}$ . Then for all finite extension fields  $L$  of  $K$ , the  $\mathbf{F}(p)$ -dimension of the Selmer group  $\mathcal{S}^{(p)}(E, L)$  is at least  $[L:\mathbb{Q}]/2 - c$ , for a fixed  $c$ . This is Proposition 10.1 of [1], and it follows from the fact that  $\mathcal{S}^{(p)}$  is essentially an  $H^1$  with coefficients in  $E_p$ , except for primes  $\ell$  where the reduction is unseemly (malséante), and from the fact that  $H^1(\mu_p)$  is  $U_L/U_L^D$ , where  $U_L$  is the group of global units of  $L$ . My aim has been to find cases where the rank of the Selmer group might increase at least linearly with  $[L:\mathbb{Q}]$ , other than the very special case mentioned by Mazur, whose hypothesis on  $E_p$  means not merely that  $E$  is ordinary at every prime  $\mathfrak{p}$  of  $K$  dividing  $p$ , but that the cyclic subgroup of  $E_p(\bar{K})$  that is annihilated by reduction modulo  $\mathfrak{p}$  is the same for all such  $\mathfrak{p}$ .

The results given below are stated for elliptic curves with integral  $j$ -invariant, but the modifications necessary for the general case are all easily made. Having made this assumption on  $j$ , we may pass to a finite extension of  $K$  over which  $E$  everywhere has reduction that is seemly (bienséante), and so may ignore unseemly reduction of additive type.

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The Selmer group fits into the exact sequence

$$0 \rightarrow E(K)/pE(K) \rightarrow \mathcal{S}^{(p)}(E, K) \rightarrow \mathcal{W}(E, K)_p \rightarrow 0 ,$$

and it is computed from purely local data. If  $E$  is ordinary at a prime  $\mathfrak{p}$  dividing  $p$ , the only important datum to know is which one of the  $p+1$  proper subgroups of  $E_p(\bar{K})$  is canonical in the sense that its elements are annihilated by reduction modulo  $\mathfrak{p}$ . In the supersingular case, all points of  $E_p$  are annihilated by reduction modulo  $\mathfrak{p}$ , but it may be that  $p$  of them form a canonical subgroup in the sense that they are  $\mathfrak{p}$ -adically closer to the identity than the other  $p^2-p$  points of  $E_p(\bar{K})$ . This happens exactly when the Hasse invariant  $h$  of  $E$ , computed in the well-known way not modulo  $\mathfrak{p}$  but modulo  $p$ , satisfies the condition  $v_{\mathfrak{p}}(h) < p/(p+1)$ , where  $v_{\mathfrak{p}}$  is the  $\mathfrak{p}$ -adic valuation, normalized so that  $v_{\mathfrak{p}}(p)=1$ . In either the ordinary or supersingular case, then, if  $S$  is a subgroup of  $E(\bar{K})$  of order  $p$ , we will say that the local canonicity of  $S$  is zero,  $c_{\mathfrak{p}}(S)=0$ , if  $S$  is not canonical at  $\mathfrak{p}$ ; and  $c_{\mathfrak{p}}(S)=1 - \frac{p+1}{p}v_{\mathfrak{p}}(h)$  if  $S$  is canonical at  $\mathfrak{p}$ . The global canonicity of  $S$  is the weighted sum of the local canonicities:

$$c(S) = \sum_{\mathfrak{p}|p} \frac{n_{\mathfrak{p}}}{n} c_{\mathfrak{p}}(S) ,$$

where  $n_{\mathfrak{p}}$  is the local degree  $[K_{\mathfrak{p}}:\mathbb{Q}_p]$  and  $n$  is the global degree  $[K:\mathbb{Q}]$ . Canonicity is clearly invariant under extension of the base field, and  $c(S)=1$  is just the case that Mazur mentioned.

The computation necessary to connect the canonicity with the local contributions to the Selmer group was first done by L. Roberts (in [3], and quoted as Proposition 9.3 of [2]). With this, we can prove:

Theorem 1. Let  $E$  be an elliptic curve defined over the number field  $K_0$ , with  $j$ -invariant integral, and let  $S$  be a subgroup of  $E(\bar{K}_0)$  of order  $p$ . Then there is a finite extension  $K$  of  $K_0$ , such that for every field  $L$  finite over  $K$ ,

$$\dim_{\mathbf{F}(p)} \mathcal{S}^{(p)}(E, L) \geq (c(S) - \frac{1}{2})[L:\mathbb{Q}] .$$

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(The field  $K$  need only be large enough for  $E$  over  $K$  to have seemingly reduction everywhere, for  $E_p(K)$  to equal  $E_p(\bar{K})$ , and for  $K$  to be totally complex.)

The way that the Hasse invariant behaves under isogeny of degree  $p$  enables us to prove also:

Theorem 2. Let  $E_0$  be an elliptic curve defined over a number field  $K_0$ , with  $j$ -invariant integral, and let  $\epsilon > 0$  and the prime number  $p$  be given. Then there exist: a finite extension-field  $K$  of  $K_0$ ; an elliptic curve  $E$  defined over  $K$  and  $K$ -isogenous to  $E_0$ ; and a subgroup  $S$  of  $E(K)$  of order  $p$ , such that  

$$c(S) > 1 - \epsilon .$$

For such a curve,

$$\dim_{\mathbf{F}(p)} \mathcal{L}^{(p)}(E, L) > \left(\frac{1}{2} - \epsilon\right)[L:Q] .$$

References:

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- [3] L. Roberts, On the flat cohomology of finite groups, Harvard thesis, 1968.

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