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KEIJI MATSUMOTO

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# On Modular Functions in 2 Variables Attached to a Family of Hyperelliptic Curves of Genus 3

KEIJI MATSUMOTO

## 0. - Introduction

Let us consider a family  $F$  of hyperelliptic curves

$$C(x, y) : w^4 = z^2(z-1)^2(z-x)(z-y),$$

of genus 3, on the space of parameters

$$\Lambda = \{(x, y) \in \mathbb{C}^2 : xy(x-1)(y-1)(x-y) \neq 0\}.$$

For each curve  $C(x, y)$ , we take a system  $\{B_j, A_k\}, 1 \leq j, k \leq 3$ , of bases of the homology group  $H_1(C(x, y), \mathbb{Z})$  so that the corresponding  $6 \times 6$  intersection matrix takes the canonical form, i.e.  $J = \begin{pmatrix} 0 & -I_3 \\ I_3 & 0 \end{pmatrix}$ .

Then we take three linearly independent holomorphic 1-forms on  $C(x, y)$  such that the period matrix takes the form  $(\Omega, I_3)$ . This is always possible and we get a point  $\Omega(x, y)$  of the Siegel upper half space

$$H_3 = \{3 \times 3 \text{ complex matrix } \Omega \mid {}^t\Omega = \Omega, \text{Im } \Omega > 0\}$$

of degree 3. Now let  $(x, y)$  vary in  $\Lambda$  and let the basis  $\{B_j, A_k\}$  depend continuously on  $(x, y)$ . Then the correspondence  $(x, y) \mapsto \Omega(x, y)$  gives a multi-valued map  $\Psi : \Lambda \rightarrow H_3$ . For a closed loop  $\delta$  in  $\Lambda$  with a fixed terminal point  $\lambda_0$ , the analytic continuation of the restriction of  $\Psi$  to a simply connected neighbourhood of  $\lambda_0$  along  $\delta$  gives rise to a symplectic transformation  $N(\delta) : \Psi \rightarrow N(\delta)\Psi$ . In this way we have a homomorphism of the fundamental group  $\pi_1(\Lambda, \lambda_0)$  into the group  $Sp(2, \mathbb{R})$  of symplectic transformations. The image  $\Gamma$  is called *the monodromy group* of the multivalued map  $\Psi$ .

The purpose of this paper is as follows: to present the image  $\Psi(\Lambda)$  as a domain of an algebraic set of  $H_3$ , to describe the discrete group  $\Gamma$  arithmetically

and to express the inverse map  $\Psi^{-1} : \Psi(\Lambda) \rightarrow \Lambda$  explicitly in terms of theta constants.

More precisely, we show in Section 1 that the image  $\Psi(\Lambda)$  is an open dense subset of a subvariety  $V$  in  $H_3$  which is biholomorphically equivalent to the domain

$$D = \{[\xi_0, \xi_1, \xi_2] \in P^2 : [\xi_0, \xi_1, \xi_2] H^t [\bar{\xi}_0, \bar{\xi}_1, \bar{\xi}_2] < 0\},$$

where

$$H = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

An explicit equivalence  $\mu : D \rightarrow V$  is given by (1.10). We study the compound map  $\tilde{\Psi} = \mu^{-1} \circ \Psi : \Lambda \rightarrow D$ . A system of generators of the monodromy group  $G$  of  $\tilde{\Psi}$  is given by (2.5).  $G$  is characterized as a congruence subgroup of the unitary group  $G_0 = U(H, \mathbb{Z}[i])$ , (see Section 2). By making use of the embedding  $\tilde{\rightarrow} V \subset H_3$  and theta constants

$$\Theta \begin{bmatrix} 2 & {}^t p \\ 2 & {}^t q \end{bmatrix} (0, \Omega), p, q \in \left(\frac{1}{2}\mathbb{Z}\right)^3, \Omega \in H_3,$$

defined on  $H_3$ , we express the inverse map  $\tilde{\Psi}^{-1} : D \rightarrow \Lambda$  as ratios of products of theta constants (main theorem).

When we restrict the parameters on the complex line  $\{x = y\}$ , our expression reduces to the classical Jacobi formula concerning the so called lambda function:

$$\lambda(\tau) = \Theta \begin{bmatrix} 0 \\ 0 \end{bmatrix} (0, \tau)^4 / \Theta \begin{bmatrix} 0 \\ 1 \end{bmatrix} (0, \tau)^4, \text{Im } \tau > 0.$$

Let us speak about a relation with Appell's system  $F_1(\alpha, \beta, \beta', \gamma)$  of differential equations with parameters  $(\alpha, \beta, \beta', \gamma)$ . This system is defined on  $\Lambda$  and admits three linearly independent holomorphic solutions at each point in  $\Lambda$ . Let us call the ratio of three linearly independent solutions a *projective solution*. It is known ([1], [12]) that there are 27 quadruples of parameters  $(\alpha, \beta, \beta', \gamma)$  which satisfy the condition:

The image of  $\Lambda$  under the projective solution of  $F_1(\alpha, \beta, \beta', \gamma)$  is an open dense subset of a domain  $D' \subset \mathbb{C}^2$  which is projectively equivalent to the 2-dimensional complex ball  $D$ , and the inverse map of the projective solution extends to a single-valued holomorphic map  $D \rightarrow \Lambda$ .

Among the 27 cases, arithmetic characterization of the monodromy group and an expression of the inverse map:  $D' \rightarrow \Lambda$  in terms of theta constants are known only in two cases; one is studied by Picard [5], Holzapfel [3] and Shiga

[10], and the other is presented in this paper, i.e. entries of the 3-vector  $\check{\Psi}(x, y)$  are linearly independent solutions of the system  $F_1(\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{5}{4})$  and  $\check{\Psi} : \Lambda \rightarrow D$  gives a projective solution.

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**1. - The periodic map of the family  $F$**

Let us consider an algebraic curve

$$(1.1) \quad C'(x, y) := \{(z, w) \in P^1 \times P^1 : w^4 = z^2(z - 1)^2(z - x)(z - y)\}$$

where  $P^1 = \mathbb{C} \cup \{\infty\}$  and  $(x, y)$  is a pair of parameters running through

$$\Lambda = \{(x, y) \in \mathbb{C}^2 \mid xy(x - 1)(y - 1)(x - y) \neq 0\}.$$

Let  $C(x, y)$  be the non-singular model of  $C'(x, y)$ . We study the family

$$F = \bigcup_{(x,y) \in \Lambda} C(x, y).$$

One readily knows from the Riemann-Hurwitz formula that  $C(x, y)$  is a curve of genus 3. We choose a basis of holomorphic 1-forms as follows

$$(1.3) \quad \eta_1 = \frac{dz}{w}, \quad \eta_2 = \frac{z(z - 1)dz}{w^3} \quad \text{and} \quad \eta_3 = \frac{z^2(z - 1)dz}{w^3}.$$

Let  $P_x, P_y, \{P_{01}, P_{02}\}, \{P_{11}, P_{12}\}$  and  $\{P_{\infty 1}, P_{\infty 2}\}$  be the preimages, under the projection  $C(x, y) \rightarrow C'(x, y)$ , of  $(x, 0), (y, 0)$  and the three singular points  $(0, 0), (1, 0)$  and  $(\infty, \infty)$ , respectively.

The divisors of the holomorphic 1-forms are given as follows

$$(1.3) \quad \begin{aligned} (\eta_1) &= 2P_x + 2P_y, & (\eta_2) &= 2P_{\infty 1} + 2P_{\infty 2}, \\ (\eta_3) &= 2P_{01} + 2P_{02}, & (\eta_3 - \eta_2) &= 2P_{11} + 2P_{12}, \\ (\eta_3 - x\eta_2) &= 4P_x, & (\eta_3 - y\eta_2) &= 4P_y, \end{aligned}$$

where  $(h)$  stands for the divisor of a form or a function  $h$ .

**PROPOSITION 1.1** *The curve  $C(x, y), (x, y) \in \Lambda$ , is hyperelliptic.*

**PROOF.** The divisor of a meromorphic function  $f = \eta_1/(\eta_3 - y\eta_2)$  on  $C(x, y)$  is given by

$$(f) = 2P_x + 2P_y - 4P_y = 2P_x - 2P_y$$

which means that  $f$  is a map of degree 2. □

In the following we choose a basis  $\{B_j, A_k\}$  of  $H_1(C_0, \mathbb{Z})$  on  $C_0 = C(x_0, y_0)$ , where we assume  $x_0, y_0 \in \mathbb{R}$  and  $1 < x_0 < y_0$ . We regard  $C_0$  as a four sheeted cover over the  $z$ -sphere; let  $\pi_0$  be the projection  $C_0 \rightarrow P^1$  defined by  $(z, w) \rightarrow z$ . Let  $t_0 (\text{Im } t_0 < 0)$  be a fixed point on the  $z$ -plane, let  $\gamma_1, \dots, \gamma_4$  and  $\gamma_5$  be line segments connecting  $t_0$  and  $z = 0, 1, x_0, y_0$  and  $\infty$ , respectively. Let  $\sigma_1, \sigma_2, \sigma_3$  and  $\sigma_4$  be the four connected components of  $\pi^{-1}$  ( $z$ -sphere -  $\bigcup_{j=1}^5 \gamma_j$ ).

Let  $\rho$  be the automorphism of  $C_0$  defined by  $\rho(z, w) = (z, iw)$ , where  $i^2 = -1$ . Here the  $\sigma_j$ 's are supposed to satisfy  $\rho(\sigma_j) = \sigma_{j+1}, j = 1, 2, 3$  and  $\rho(\sigma_4) = \sigma_1$ . In order to recover  $C_0$ , one has to glue  $\sigma_j$  and  $\sigma_{j+2}, j = 1, 2$ , along  $\gamma_1, \gamma_2$  and  $\gamma_5$ , as well as  $\sigma_j$  and  $\rho(\sigma_j) 1 \leq j \leq 4$ , along  $\gamma_3$  and  $\gamma_4$ , because the ramification indices of  $\pi_0$  at  $P_{kj}, k = 0, 1, \infty, j = 1, 2, (P_k, k = x, y, \text{ respectively})$  are equal to 2(4, respectively). Let  $\alpha^j(P, Q)$  denote an oriented arc in  $\sigma_j$  from  $P$  to  $Q$ . Using the above notations, we define 1-cycles  $A_j, B_k$  on  $C_0$  as follows

$$\begin{aligned}
 A_1 &= \alpha^{(1)}(P_{01}, P_{\infty 1}) + \alpha^{(3)}(P_{\infty 1}, P_{01}) \\
 A_2 &= \alpha^{(2)}(P_{02}, P_{\infty 2}) + \alpha^{(4)}(P_{\infty 2}, P_{02}) \\
 A_3 &= \alpha^{(1)}(P_{y_0}, P_{x_0}) + \alpha^{(2)}(P_{x_0}, P_{y_0}) \\
 B_1 &= \alpha^{(1)}(P_{11}, P_{01}) + \alpha^{(3)}(P_{01}, P_{11}) \\
 B_2 &= \alpha^{(2)}(P_{12}, P_{02}) + \alpha^{(4)}(P_{02}, P_{12}) \\
 B_3 &= \alpha^{(1)}(P_{y_0}, P_{x_0}) + \alpha^{(4)}(P_{x_0}, P_{y_0}),
 \end{aligned}
 \tag{1.4}$$

which are displayed in Figure 1. Intersection numbers of the cycles form the following intersection matrix:

$$\begin{pmatrix} (B_j, B_k) & (B_j, A_k) \\ (A_j, B_k) & (A_j, A_k) \end{pmatrix} = \begin{pmatrix} 0 & -I_3 \\ I_3 & 0 \end{pmatrix} = J.
 \tag{1.5}$$

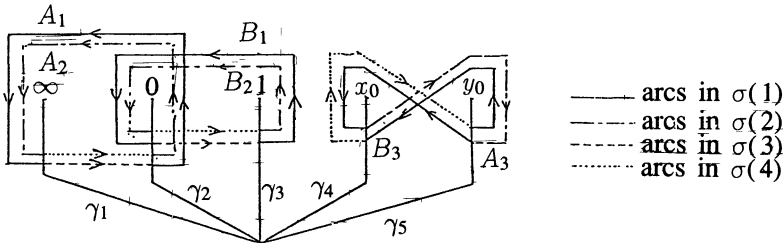


Figure 1

In order to have a basis of  $H_1(C, \mathbb{Z})$  for a general member  $C = C(x, y)$  of the family  $F$ , we take a path  $s$  joining  $\lambda_0 = (x_0, y_0)$  and  $(x, y)$  in  $\Lambda$  and define a basis  $\{B_j(x, y), A_k(x, y)\}$  of  $H_1(C(x, y), \mathbb{Z})$  by the continuation of  $\{B_j, A_k\}$  along  $s$ ; it is possible since the family  $F$  is a locally trivial fibre space over  $\Lambda$ . Notice that this choice of bases depends on the path  $s$ . Notice also that the automorphism  $\rho$  of  $C_0$  is defined also on general  $C$  in an obvious manner, and  $\rho$  operates on the basis  $\{B_j(x, y), A_k(x, y)\}$  as follows

$$(1.6) \quad \begin{aligned} \rho A_1(x, y) &= A_2(x, y), \rho A_2(x, y) = -A_1(x, y), \rho A_3(x, y) = -B_3(x, y), \\ \rho B_1(x, y) &= B_2(x, y), \rho B_2(x, y) = -B_1(x, y), \rho B_3(x, y) = A_3(x, y). \end{aligned}$$

Now we integrate the 1-forms  $\eta_j = \eta_j(x, y)$  along the cycles  $\{B_j(x, y), A_k(x, y)\}$ : the values will be denoted as follows

1-form	cycle	$B_1$	$B_2$	$B_3$	$A_1$	$A_2$	$A_3$
$\eta_1$		$a_2$	$a_4$	$a_6$	$a_1$	$a_3$	$a_5$
$\eta_2$		$b_2$	$b_4$	$b_6$	$b_1$	$b_3$	$b_5$
$\eta_3$		$c_2$	$c_4$	$c_6$	$c_1$	$c_3$	$c_5$

which reads for example,  $a_2(x, y) = \int_{B_1(x, y)} \eta_1(x, y)$ . Set

$$\Omega_1(x, y) = \begin{pmatrix} a_1 & a_3 & a_5 \\ b_1 & b_3 & b_5 \\ c_1 & c_3 & c_5 \end{pmatrix}, \quad \Omega_2(x, y) = \begin{pmatrix} a_2 & a_4 & a_6 \\ b_2 & b_4 & b_6 \\ c_2 & c_4 & c_6 \end{pmatrix}.$$

Since the  $A_j$ 's and the  $B_k$ 's satisfy (1.5),

$$\Omega = \Omega(x, y) = (\Omega_{jk}) := \Omega_1(x, y)^{-1} \Omega_2(x, y)$$

belongs to the Siegel upper half space  $H_3$  of degree 3, i.e.  $\Omega$  is symmetric and  $\text{Im } \Omega > 0$ . Hence we obtain the multi-valued map

$$\Psi : \Lambda \rightarrow H_3, (x, y) \rightarrow \Omega(x, y).$$

PROPOSITION 1.2. *The map  $\Psi : \Lambda \rightarrow H_3$  is given by*

$$(1.7) \quad \Omega(x, y) = \begin{pmatrix} u + \frac{i}{2}v^2 & -\frac{1}{2}v^2 & -iv \\ -\frac{1}{2}v^2 & u - \frac{1}{2}v^2 & v \\ -iv & v & i \end{pmatrix},$$

where  $u = u(x, y) = \frac{a_2(x, y)}{a_1(x, y)}$  and  $v = v(x, y) = \frac{a_5(x, y)}{a_1(x, y)}$ . Moreover we have

$$(1.8) \quad \text{Im } u - \frac{1}{2}|v|^2 > 0.$$

PROOF. By the relation (1.6) we have

$$(1.9) \quad \begin{aligned} a_3 &= -ia_1, & a_2 &= -ia_4, & a_6 &= -ia_5, \\ b_3 &= ib_1, & b_2 &= ib_4, & b_6 &= ib_5, \\ c_3 &= ic_1, & c_2 &= ic_4, & c_6 &= ic_5. \end{aligned}$$

These identities and the symmetry of  $\Omega$  lead to the first assertion (1.7). The second assertion (1.8) comes from the inequality  $\text{Im } \Omega > 0$ .  $\square$

Notice that the inequality (1.8) is equivalent to

$$(a_1, a_2, a_5)H^t(\bar{a}_1, \bar{a}_2, \bar{a}_5) < 0,$$

where

$$H = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Let us define an embedding  $\mu$  of

$$D = \{[\xi_0, \xi_1, \xi_2] \in P^2 : (\xi_0, \xi_1, \xi_2)H^t(\bar{\xi}_0, \bar{\xi}_1, \bar{\xi}_2) < 0\}$$

into  $H_3$  by

$$(1.10) \quad \mu([\xi_0, \xi_1, \xi_2]) = \begin{pmatrix} u + \frac{i}{2}v^2 & -\frac{1}{2}v^2 & -iv \\ -\frac{1}{2}v^2 & u - \frac{i}{2}v^2 & v \\ -iv & v & i \end{pmatrix},$$

where  $u = \frac{\xi_1}{\xi_0}, v = \frac{\xi_2}{\xi_0}$ . Since Proposition 1.2 says  $\Psi(\Lambda) \subset \mu(D)$ , we can define the map  $\tilde{\Psi} : \Lambda \rightarrow D$  by

$$\tilde{\Psi}(x, y) := \mu^{-1} \circ \Psi(x, y) = [a_1(x, y), a_2(x, y), a_5(x, y)].$$

Here we briefly recall the hypergeometric system  $F_1(\alpha, \beta, \beta', \gamma)$  of linear differential equations:

$$(1.11) \quad \begin{aligned} x(1-x)\frac{\partial^2 z}{\partial x^2} + y(1-x)\frac{\partial^2 z}{\partial x \partial y} + \{\gamma - (\alpha + \beta + 1)x\}\frac{\partial z}{\partial x} \\ - \beta y \frac{\partial z}{\partial y} - \alpha \beta z = 0 \\ y(1-y)\frac{\partial^2 z}{\partial y^2} + x(1-y)\frac{\partial^2 z}{\partial x \partial y} + \{\gamma - (\alpha + \beta' + 1)y\}\frac{\partial z}{\partial y} \\ - \beta' x \frac{\partial z}{\partial x} - \alpha \beta' z = 0 \end{aligned}$$

defined on  $\Lambda$ . The integral representations

$$\begin{aligned} a_1(x, y) &= \int_{A_1(x, y)} \eta_1(x, y), \\ a_2(x, y) &= \int_{B_1(x, y)} \eta_1(x, y), \\ a_5(x, y) &= \int_{A_3(x, y)} \eta_1(x, y), \end{aligned}$$

are known to be the Euler integral representations which give linearly independent solutions of  $F_1\left(\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{5}{4}\right)$  (see [13]). Therefore by the results obtained in [1] and [12], we conclude that the image  $\tilde{\Psi}(\Lambda)$  is an open dense in  $D$  (cf. [12]) and that  $\tilde{\Psi}^{-1}$  can be extended to  $D$  as a single-valued holomorphic map onto  $P^1 \times P^1 - \{(0, 0), (1, 1), (\infty, \infty)\}$ . Let us use the same notation  $\tilde{\Psi}$  for the extension of  $\tilde{\Psi}$  on  $P^1 \times P^1 - \{(0, 0), (1, 1), (\infty, \infty)\}$ . Then the image  $\tilde{\Psi}(P^1 \times P^1 - \{(0, 0), (1, 1), (\infty, \infty)\})$  is exactly  $D$ .

## 2. - The monodromy group

Any element  $\delta$  of  $\pi_1(\Lambda, \lambda_0)$  induces an automorphism  $\delta^*$  of  $H_1(C_0, \mathbb{Z})$  as it is explained in Section 0. Let  $N(\delta)$  be the matrix representation of  $\delta^*$  relative to the basis  $\{B_j, A_k\}$ , i.e.

$$(2.1) \quad \delta^* ( {}^t (B_1, B_2, B_3, A_1, A_2, A_3) ) = N(\delta) {}^t (B_1, B_2, B_3, A_1, A_2, A_3).$$

Because the transformation  $N(\delta)$  preveves the intersection matrix (1.5) of the system  $\{B_j, A_k\}$ , it belongs to  $Sp(3, \mathbb{Z})$ . Put

$$\Gamma = \{N(\delta) \in Sp(3, \mathbb{Z}) : \delta \in \pi_1(\Lambda, \lambda_0)\}.$$

Accordingly,  $a_1, a_2$  and  $a_5$  are transformed as follows:

$$(2.2) \quad {}^t (a_1, a_2, a_5) \rightarrow g(\delta) {}^t (a_1, a_2, a_5).$$

where (in view of (1.9))

$$g(\delta) \in GL(3, \mathbb{Z}[i]) \cap Aut(D).$$

Put

$$G = \{g(\delta) \in GL(3, \mathbb{Z}[i]) : \delta \in \pi_1(\Lambda, \lambda_0)\}.$$

We take a system  $\delta_j, j = 1, \dots, 5$ , of generators of  $\pi_1(\Lambda, \lambda_0)$  represented by the following loops:

$$(2.3) \quad \delta_1(\delta_3 \text{ and } \delta_5, \text{ respectively}) :$$



a loop contained in  $L_{y_0}^+$  except for a small positively oriented semi-circle in  $L_{y_0}$  around  $x = 1$ , ( $x = y_0$  and  $0$ , respectively);

$\delta_2$  (and  $\delta_4$ , respectively) :

a loop contained in  $L_{y_0}^+$  except for a small positively oriented semi-circle in  $L_{x_0}$  around  $y = 0$ , ( $y = \infty$ , respectively), where

$$\begin{aligned} L_{y_0} &= \{(x, y_0) \in \Lambda\}, & L_{x_0} &= \{(x_0, y) \in \Lambda\}, \\ L_{y_0}^+ &= \{(x, y_0) \in \Lambda : \operatorname{Im} x > 0\}, & L_{x_0}^+ &= \{(x_0, y) \in \Lambda : \operatorname{Im} y > 0\}. \end{aligned}$$

Along  $\delta_j$ , the branch points  $x$  and  $y$  vary as are shown in Figure 2. Once the movement of branch points are known, a routine work leads to matrixes  $N(\delta_j)$  and  $g(\delta_j)$ , ( $j = 1, \dots, 5$ ):

$$(2.4) \quad \begin{aligned} N(\delta_1) &= \begin{pmatrix} 1 & 0 & 1 & 1 & -1 & 1 \\ 0 & 1 & 1 & 1 & 1 & -1 \\ 0 & 0 & 0 & -1 & -1 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & -1 & -1 & 1 & 0 \end{pmatrix}, \\ N(\delta_2) &= \begin{pmatrix} 0 & 1 & 1 & 1 & -1 & -1 \\ -1 & 0 & -1 & 1 & 1 & -1 \\ 1 & -1 & 0 & -1 & 1 & 1 \\ -1 & 1 & 1 & 2 & -1 & -1 \\ -1 & -1 & -1 & 1 & 2 & -1 \\ -1 & -1 & -1 & 1 & 1 & 0 \end{pmatrix}, \\ N(\delta_3) &= \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 \end{pmatrix}, \\ N(\delta_4) &= \begin{pmatrix} 0 & -1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ -1 & -1 & -1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 & -1 & 1 \\ -1 & 1 & 1 & 1 & 0 & -1 \\ -1 & 1 & 0 & 0 & 0 & -1 \end{pmatrix}, \end{aligned}$$

$$N(\delta_5) = \begin{pmatrix} 0 & 1 & 1 & 1 & -1 & 1 \\ -1 & 0 & 1 & 1 & 1 & -1 \\ 1 & 1 & 0 & -1 & -1 & 1 \\ -1 & 1 & 1 & 2 & -1 & 1 \\ -1 & -1 & 1 & 1 & 2 & -1 \\ 1 & -1 & -1 & -1 & 1 & 0 \end{pmatrix}.$$

(2.5)

$$g(\delta_1) = \begin{pmatrix} 1 & 0 & 0 \\ 1+i & 1 & 1-i \\ -1-i & 0 & i \end{pmatrix},$$

$$g(\delta_2) = \begin{pmatrix} 2+i & -1-i & -1-i \\ 1+i & -i & -1-i \\ 1-i & -1+i & i \end{pmatrix},$$

$$g(\delta_3) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix},$$

$$g(\delta_4) = \begin{pmatrix} i & 1-i & 1-i \\ 0 & i & 0 \\ 0 & -1-i & -1 \end{pmatrix},$$

$$g(\delta_5) = \begin{pmatrix} 2+i & -1-i & 1-i \\ 1+i & -i & 1-i \\ -1+i & 1+i & i \end{pmatrix}.$$

The matrices  $\{N(\delta_j)\}_{j=1}^5$  and  $\{g(\delta_j)\}_{j=1}^5$  generate  $\Gamma$  and  $G$ , respectively.

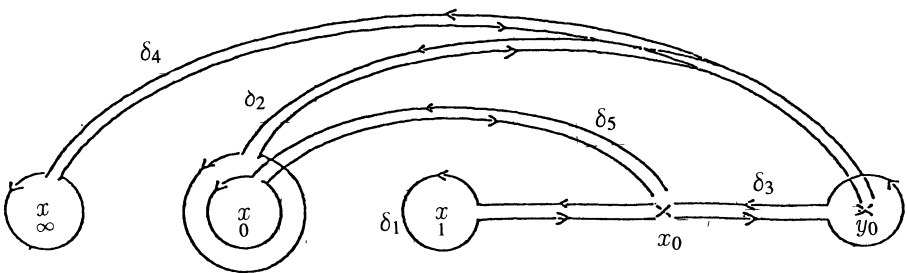


Figure 2

In the family  $F$  of curves  $C(x, y)$ , there are curves which are isomorphic.

In fact, if we define automorphisms  $k_1, k_2$  and  $k_3$  of  $\Lambda$  as follows:

$$\begin{aligned} k_1 &: (x, y) \mapsto (y, x), \\ k_2 &: (x, y) \mapsto (1 - x, 1 - y), \\ k_3 &: (x, y) \mapsto \left(\frac{1}{x}, \frac{1}{y}\right), \end{aligned}$$

and denote  $K$  the group generated by them, curves  $C(x, y)$  and  $C(x', y')$  are isomorphic if and only if  $(x', y')$  is equivalent to  $(x, y)$  under  $K$ . Let us meanwhile consider a family  $\overline{F}$  of isomorphic classes  $[C(x, y)]$  with the parameter space  $\overline{\Lambda} = \Lambda/K$  and the period map  $\overline{\Psi} : \overline{\Lambda} \rightarrow H_3$ . The monodromy group of the multi-valued map  $\overline{\Psi}$  is obtained as follows. In order  $\overline{\Psi}$  to be well-defined, we choose bases  $\{B'_k, A'_j\}$  of  $C(x', y')$ , which is  $K$ -equivalent to  $C(x, y)$ , so that

$$\int_{A'_j} \eta_1 = \int_{A_j} \eta_1, \quad \int_{B'_k} \eta_1 = \int_{B_k} \eta_1, \quad 1 \leq j, k \leq 3.$$

Since we have the exact sequence  $1 \rightarrow \pi(\Lambda, \lambda_0) \subset \pi(\overline{\Lambda}, \overline{\lambda}_0) \rightarrow K \rightarrow 1$ , the group  $\pi(\overline{\Lambda}, \overline{\lambda}_0)$  is generated by  $\pi(\Lambda, \lambda_0)$  and loops in  $\overline{\Lambda}$  of which lifts are arcs in  $\Lambda$  joining  $\lambda_0$  and its  $K$ -equivalent points. Let  $\delta_6, \delta_7$  and  $\delta_8$  be arcs joining  $\lambda_0$  and  $k_1(\lambda_0), k_2(\lambda_0)$  and  $k_3(\lambda_0)$ , respectively. Then the monodromy group of  $\overline{\Psi}$  is generated by that of  $\Psi$  and matrices  $N(\delta_j), j = 6, 7, 8$ , which are defined by

$$\delta_j^* ( {}^t(B_1, B_2, B_3, A_1, A_2, A_3) ) = N(\delta_j) {}^t(B'_1, B'_2, B'_3, A'_1, A'_2, A'_3).$$

Accordingly,  $a_1, a_2$  and  $a_5$  are transformed as follows:

$${}^t(a_1, a_2, a_5) \rightarrow g(\delta_j) {}^t(a_1, a_2, a_5), \quad j = 6, 7, 8.$$

Let us take the  $\delta_j$ 's as follows:

$$\begin{aligned} \delta_6 &: \left[ x_0 + \frac{1}{2}(e^{i\theta} + 1)(y_0 - x_0), y_0 + \frac{1}{2}(e^{i\theta} + 1)(x_0 - y_0) \right], \\ &\qquad\qquad\qquad -\pi \leq \theta \leq 0, \\ \delta_7 &: \left[ x_0 + \frac{1}{2}(e^{-i\theta} + 1)(1 - 2x_0), y_0 + \frac{1}{2}(e^{-i\theta} + 1)(1 - 2y_0) \right], \\ &\qquad\qquad\qquad -\pi \leq \theta \leq 0, \\ \delta_8 &: \left[ x_0 + \frac{1}{2}(e^{i\theta} + 1) \left( \frac{1}{x_0} - x_0 \right), y_0 + \frac{1}{2}(e^{i\theta} + 1) \left( \frac{1}{y_0} - y_0 \right) \right], \\ &\qquad\qquad\qquad -\pi \leq \theta \leq 0. \end{aligned}$$

Then  $N(\delta_j)$  and  $g(\delta_j), j = 6, 7, 8,$  are known to be

$$\begin{aligned}
 N(\delta_6) &= \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & -1 & 0 & 0 & 0 \end{pmatrix}, \\
 N(\delta_7) &= \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ -1 & 0 & 0 & 1 & 1 & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}, \\
 N(\delta_8) &= \begin{pmatrix} -1 & 0 & 0 & -1 & 0 & 0 \\ 0 & -1 & 0 & 0 & -1 & 0 \\ 0 & 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 \end{pmatrix}.
 \end{aligned}
 \tag{2.6}$$

$$\begin{aligned}
 g(\delta_6) &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & i \end{pmatrix}, \\
 g(\delta_7) &= \begin{pmatrix} 1 & -1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \\
 g(\delta_8) &= \begin{pmatrix} -1 & 0 & 0 \\ -1 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix}.
 \end{aligned}
 \tag{2.7}$$

REMARK 2.1. Matrices  $N(\delta_j), j = 1, \dots, 6,$  belong to the group  $\Gamma_{12} = \{N \in Sp(3, \mathbb{Z}) : \text{diagonal elements of } {}^tAC \text{ and } {}^tBD \text{ are even}\}$ , studied by J. Igusa; so that  $\Gamma \subset \Gamma_{12}$ .

We set

$$\begin{aligned}
 G_0 &= \{g \in GL(3, \mathbb{Z}[i]) : {}^t_g H \bar{g} = H\}, \\
 G_1 &= \{g \in G_0 : g \equiv I_3 \pmod{(1+i)}\}, \\
 G'_0 &= \text{group generated by } G \text{ and } g(\delta_j), j = 6, 7, 8, \\
 G'_1 &= \text{group generated by } G \text{ and } g(\delta_6).
 \end{aligned}$$

The single-valued map  $\bar{\Psi} : \Lambda \rightarrow D/G$  extends to the map  $P^1 \times P^1 - \{(0, 0), (1, 1), (\infty, \infty)\} \rightarrow D/G$ , which is known to be biholomorphic (cf. [12]). The transformation group  $G$  has three cups which are represented by

$$[\xi_0, \xi_1, \xi_2] = [1, 0, 0], [0, 1, 0] \text{ and } [1, 1, 0].$$

PROPOSITION 2.2. *If  $\pi$  denotes the projection of  $G_0$  onto  $G_0$  modulo its center, then*

- (1)  $\pi G'_1 = \pi G_1$ ,
- (2)  $\pi G'_0 = \pi G_0$ ,
- (3)  $[\pi G_1 : \pi G] = 2$ .

PROOF. (1). Since we have  $G'_1 \subset G_1$ , there is a natural projection  $p : D/G'_1$  to  $D/G_1$ . Let  $Aut(D)$  be the group of holomorphic automorphisms and let  $M$  be the isotropic subgroup of  $Aut(D)$  relative to  $[\xi_0, \xi_1, \xi_2] = [0, 1, 0]$ . Then  $M$  is given (cf. [6]) by

$$M = \left\{ g = \begin{pmatrix} 1 & 0 & 0 \\ b + \frac{|c|^2}{2}i & a & \bar{c}\sqrt{a} \exp(i\theta) \\ -ci & 0 & \sqrt{a} \exp(i\theta) \end{pmatrix} : a > 0, b \in \mathbb{R}, c \in \mathbb{C} \right\}.$$

Hence  $G_1 \cap M$  is the totality of transformations of the following type:

$$(2.8) \quad g(m, n, b, \nu) = \begin{pmatrix} 1 & 0 & 0 \\ b + \frac{m^2+n^2}{2}i & 1 & -(m-ni)i^\nu \\ n-mi & 0 & i^\nu \end{pmatrix}.$$

where  $m, n, b, \nu \in \mathbb{Z}, m \equiv n \equiv b \pmod{2}$ . It turns out that  $G_1 \cap M$  is generated by  $g(0, 0, 0, 1)$  and  $g(1, 1, 1, 0)$ . Since  $g(0, 0, 0, 1)$  and  $g(1, 1, 1, 0)$  belong to  $G'_1$ , we have  $G'_1 \cap M = G_1 \cap M$ . Therefore the projection  $p$  is a topological cover. By a straightforward calculation one knows that  $[1, 0, 0], [0, 1, 0]$  and  $[0, 1, 1]$  are not  $G_1$ -equivalent. Hence  $p$  is a cover of degree 1.

(2). Since we have  $G'_0 \subset G_0$ , there is a natural projection  $p' : D/G'_0 \rightarrow D/G_0$ .  $G_0 \cap M$  is the totality of transformations of the following type:  $g(m, n, b, \nu)$  where  $m, n, b, \nu \in \mathbb{Z}, m \equiv n \pmod{2}$ . It turns out that  $G_1 \cap M$  is generated by  $g(0, 0, 0, 1), g(1, 1, 1, 0)$  and  $g(0, 0, 1, 0)$ . Since they belong to  $G'_0$ , we have  $G'_0 \cap M = G_0 \cap M$ .

Therefore the projection  $p'$  is a topological cover. Hence  $p'$  is a cover of degree 1.

(3). It is easy to check that  $g(\delta_6) \in G$  and  $g(\delta_6)^2 = g(\delta_3) \in G$  (cf. [11]). □

### 3. - An expression of $\tilde{\Psi}^{-1}$ by theta constants

Let us recall some basic facts on the Riemann theta function:

$$\Theta(z, \Omega) = \sum_{n \in \mathbb{Z}^g} \exp(\pi i \, {}^t n \Omega n + 2\pi i \, {}^t n z),$$

where  $z = (z_1, \dots, z_g) \in \mathbb{C}^g, \Omega \in H_g$ . It is holomorphic on  $\mathbb{C}^g \times H_g$  and satisfies period relations:

$$(3.1) \quad \begin{aligned} \Theta(z + e_j, \Omega) &= \Theta(z, \Omega), \\ \Theta(z, \Omega e_j, \Omega) &= \exp(-\pi i \Omega_{jj} - 2\pi i z_j) \Theta(z, \Omega), \end{aligned}$$

where

$$e_j = (0, \dots, \underset{j\text{-th}}{1}, \dots, 0), \Omega = (\Omega_{jk}), 1 \leq j, k \leq g, z = (z_1, \dots, z_g).$$

For column vectors  $p$  and  $q$  of  $(\mathbb{Z}/2)^g$  the theta function with a characteristic  $\begin{bmatrix} 2 & {}^t p \\ 2 & {}^t q \end{bmatrix}$  is defined by

$$(3.2) \quad \begin{aligned} \Theta \begin{bmatrix} 2 & {}^t p \\ 2 & {}^t q \end{bmatrix} (z, \Omega) &= \exp(\pi i \, {}^t p \Omega p + 2\pi i \, {}^t p(z + q)) \Theta(z + \Omega p + q, \Omega) \\ &= \sum_{n \in \mathbb{Z}^g} \exp\{\pi i \, {}^t(n + p) \Omega(n + p) + 2\pi i \, {}^t(n + p)(z + q)\}. \end{aligned}$$

The function  $\Theta \begin{bmatrix} 2 & {}^t p \\ 2 & {}^t q \end{bmatrix} (\Omega) := \Theta \begin{bmatrix} 2 & {}^t p \\ 2 & {}^t q \end{bmatrix} (0, \Omega)$  is called a theta constant.

If  $m$  and  $n$  are increased by even integral vectors,  $\Theta \begin{bmatrix} {}^t m \\ {}^t n \end{bmatrix} (z, \Omega)$  hardly changes:

$$(3.3) \quad \Theta \begin{bmatrix} {}^t(m + 2m') \\ {}^t(n + 2n') \end{bmatrix} (z, \Omega) = \exp(\pi i \, {}^t m n') \Theta \begin{bmatrix} {}^t m \\ {}^t n \end{bmatrix} (z, \Omega),$$

where  $m, m', n, n' \in \mathbb{Z}^g$ .

REMARK 3.1. The function  $\Theta \begin{bmatrix} {}^t m \\ {}^t n \end{bmatrix} (z, \Omega)$  of  $z$  is even (odd), if  ${}^t m n$  is even (odd), respectively. In particular if  ${}^t m n$  is odd, then  $\Theta \begin{bmatrix} {}^t m \\ {}^t n \end{bmatrix} (0, \Omega)$  vanishes.

Next let us consider a compact Riemann surface  $X$  of genus  $g$ . We take a basis of  $H_1(X, \mathbb{Z})$  so that the corresponding intersection matrix takes the canonical form  $J$ . Then we take linearly independent holomorphic 1-forms  $\omega_j, j = 1, \dots, g$ , on  $X$  such that the period matrix takes the form  $(\Omega, I_g)$ .

REMARK 3.2. If  $X$  is a hyperelliptic curve of genus 3 and its period

matrix is  $(\Omega, I_3)$ , then there is only one characteristic  $\begin{bmatrix} {}^t m \\ {}^t n \end{bmatrix} \in \mathbb{Z}^6 \bmod 2\mathbb{Z}$  such that  ${}^t mn$  is even and  $\Theta \begin{bmatrix} {}^t m \\ {}^t n \end{bmatrix} (z, \Omega) = 0$ . In case  $X = C(x, y)$  we will see in Proposition 4.5 that  $\Theta \begin{bmatrix} 1 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} (0, \Omega) = 0$ .

We set  $\omega = {}^t(\omega_1, \dots, \omega_g)$ . For fixed  $z \in \mathbb{C}^g$  and fixed  $P_0 \in X$  we define a multi-valued function on  $X$  by

$$(3.4) \quad h(z; P) = \Theta \left( z + \int_{P_0}^P \omega, \Omega \right), P \in X.$$

Let us recall the celebrated Abel’s Theorem.

**THEOREM.** *Suppose  $\sum_{j=1}^d Q_j$  and  $\sum_{j=1}^d R_j$  are divisors on  $X$  of same degree.*

*If we have*

$$\sum_{j=1}^d \int_{P_0}^{Q_j} \omega \equiv \sum_{j=1}^d \int_{P_0}^{R_j} \omega \pmod{\mathbb{Z}^g + \Omega\mathbb{Z}^g},$$

*then there is a meromorphic function  $f$  on  $X$  with poles  $\sum_{j=1}^d Q_j$  and zeros*

$$\sum_{j=1}^d R_j.$$

Since we need explicit form of  $f$  later under our situation, we give a way to construct  $f$  by using the function  $h(z; P)$ . We can choose  $e \in \mathbb{C}^g$  so that  $\Theta(e, \Omega) = 0$  and that  $h\left(e - \int_{P_0}^{Q_j} \omega; P\right)$  and  $h\left(e - \int_{P_0}^{R_j} \omega; P\right)$ ,  $j = 1, \dots, d$ , do not vanish identically. Consider the following function on  $X$ :

$$f(P) = \prod_{j=1}^d \frac{h\left(e - \int_{P_0}^{Q_j} \omega; P\right)}{h\left(e - \int_{P_0}^{R_j} \omega; P\right)},$$

where the paths of integration are chosen so that

$$\sum_{j=1}^d \int_{P_0}^{Q_j} \omega = \sum_{j=1}^d \int_{P_0}^{R_j} \omega,$$

and that those joining  $P_0$  and  $P$  in the numerator and in the denominator are supposed to be the same. Then  $f$  is single-valued and has required zeros and poles.

Applying this construction by taking  $C(x, y)$  for  $X$  and  $P_y$  for  $P_0$ , we give an expression of  $\Psi^{-1}$  by theta constants. Let  $f$  be the projection

$$f : C(x, y) \rightarrow P^1, (z, w) \rightarrow z.$$

Because we have  $(f) = 2P_{01} + 2P_{02} - 2P_{\infty 1} - 2P_{\infty 2}$ , two divisors  $\sum_{j=1}^2 P_{0j}$  and  $\sum_{j=1}^2 P_{\infty j}$  satisfy the condition:

$$2 \sum_{j=1}^2 \int_{P_y}^{P_{0j}} \omega \equiv 2 \sum_{j=1}^2 \int_{P_y}^{P_{\infty j}} \omega \pmod{\mathbb{Z}^g + \Omega \mathbb{Z}^g}.$$

Moreover we have

$$\sum_{j=1}^4 \int_{(j)P_y}^{P_{0j}} \omega = \sum_{j=1}^4 \int_{(j)P_y}^{P_{\infty j}} \omega = 0,$$

where  $P_{k3} = P_{k1}$  and  $P_{k4} = P_{k2}, k = 0, \infty$ . Here the symbol  $(j)$  attached to the sign of integral stands for a path of integration on  $\sigma(j)$ . By applying the above construction, the function  $f$  has the following expression:

$$(3.5) \quad r_e f(P) = \prod_{j=1}^4 \frac{h \left( e - \int_{(j)P_y}^{P_{0j}} \omega; P \right)}{h \left( e - \int_{(j)P_y}^{P_{\infty j}} \omega; P \right)},$$

where  $e$  and the paths of integration are supposed to satisfy the conditions mentioned above, and  $r_e$  is a constant depending on  $e$ .

If we take  $P_x, P_y, P_{11}$  and  $P_{12}$  for  $P$  in (3.5), we obtain the following equalities:

$$(3.6) \quad r_{e_x} = \prod_{j=1}^4 \frac{\Theta \left( e - \int_{(j)P_y}^{P_{0j}} \omega + \int_{(j)P_y}^{P_x} \omega, \Omega(x, y) \right)}{\Theta \left( e - \int_{(j)P_y}^{P_{\infty j}} \omega + \int_{(j)P_y}^{P_x} \omega, \Omega(x, y) \right)},$$

$$(3.7) \quad r_{e_y} = \prod_{j=1}^4 \frac{\Theta \left( e - \int_{(j)P_y}^{P_{0j}} \omega, \Omega(x, y) \right)}{\Theta \left( e - \int_{(j)P_y}^{P_{\infty j}} \omega, \Omega(x, y) \right)},$$



$$(3.8) \quad r_e = \prod_{j=1}^4 \frac{\Theta \left( e - \int_{(j)P_y}^{P_{0j}} \omega + \int_{(j)P_y}^{P_{11}} \omega, \Omega(x, y) \right)}{\Theta \left( e - \int_{(j)P_y}^{P_{\infty j}} \omega + \int_{(j)P_y}^{P_{11}} \omega, \Omega(x, y) \right)},$$

$$(3.9) \quad r_e = \prod_{j=1}^4 \frac{\Theta \left( e - \int_{(j)P_y}^{P_{0j}} \omega + \int_{(j)P_y}^{P_{12}} \omega, \Omega(x, y) \right)}{\Theta \left( e - \int_{(j)P_y}^{P_{\infty j}} \omega + \int_{(j)P_y}^{P_{12}} \omega, \Omega(x, y) \right)}.$$

LEMMA 3.3. *We have*

$$\int_{(1)P_{01}}^{P_{\infty 1}} \omega = \frac{1}{2} \int_{A_1} \omega = \frac{1}{2} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \quad \int_{(2)P_{02}}^{P_{\infty 2}} \omega = \frac{1}{2} \int_{A_2} \omega = \frac{1}{2} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix},$$

$$\int_{(1)P_{11}}^{P_{01}} \omega = \frac{1}{2} \int_{B_1} \omega = \frac{\Omega}{2} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \quad \int_{(2)P_{11}}^{P_{02}} \omega = \frac{1}{2} \int_{B_2} \omega = \frac{\Omega}{2} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix},$$

$$\int_{(1)P_y}^{P_x} \omega = \frac{1}{2} \int_{A_3+B_3} \omega = \frac{\Omega}{2} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} + \frac{1}{2} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix},$$

$$\int_{(1)P_y}^{P_{11}} \omega = \frac{1}{2} \int_{A_3-A_1} \omega = \frac{1}{2} \begin{pmatrix} -1 \\ 0 \\ 1 \end{pmatrix},$$

$$\int_{(2)P_y}^{P_{12}} \omega = \frac{1}{2} \int_{B_3-A_2} \omega = \frac{\Omega}{2} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} - \frac{1}{2} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix},$$

$$\int_{(1)P_y}^{P_{01}} \omega = \int_{(1)P_y}^{P_{11}} \omega + \int_{(1)P_{11}}^{P_{01}} \omega = \frac{\Omega}{2} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} + \frac{1}{2} \begin{pmatrix} -1 \\ 0 \\ 1 \end{pmatrix},$$

$$\int_{(2)P_y}^{P_{02}} \omega = \int_{(2)P_y}^{P_{12}} \omega + \int_{(2)P_{12}}^{P_{02}} \omega = \frac{\Omega}{2} \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} - \frac{1}{2} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix},$$

$$\int_{(1)P_y}^{P_{\infty 1}} \omega = \int_{(1)P_y}^{P_{01}} \omega + \int_{(1)P_{01}}^{P_{\infty 1}} \omega = \frac{\Omega}{2} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} + \frac{1}{2} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix},$$

$$\int_{(2)P_y}^{P_{\infty 2}} \omega = \int_{(2)P_y}^{P_{02}} \omega + \int_{(2)P_{02}}^{P_{\infty 2}} \omega = \frac{\Omega}{2} \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}.$$

THEOREM 3.4. *The map  $\tilde{\Psi}^{-1} : D \ni (u, v) \mapsto (x, y) \in \Lambda$  has an expression in terms of theta constants as follows:*

(3.10)

$$x = \left\{ \frac{\Theta \begin{bmatrix} 0 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} \Theta \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \Theta \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \Theta \begin{bmatrix} 0 & 1 & 1 \\ 0 & 0 & 0 \end{bmatrix}}{\Theta \begin{bmatrix} 0 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix} \Theta \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \Theta \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 0 \end{bmatrix} \Theta \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \end{bmatrix}} \right\}^2,$$

(3.11)

$$y = \left\{ \frac{\Theta \begin{bmatrix} 0 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} \Theta \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \Theta \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \Theta \begin{bmatrix} 1 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}}{\Theta \begin{bmatrix} 0 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix} \Theta \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \Theta \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \end{bmatrix} \Theta \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}} \right\}^2,$$

where

$$\Theta \begin{bmatrix} t_m \\ t_n \end{bmatrix} = \Theta \begin{bmatrix} t_m \\ t_n \end{bmatrix} (0, \Omega) \text{ and } \Omega = \mu(u, v) \text{ in (1.10).}$$

PROOF. If we take  $e_1 = \frac{\Omega}{2} \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix} + \frac{1}{2} \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$ , then we have  $\Theta(e_1, \Omega) = 0$  by Remark 3.1. For this  $e_1$  we have to show that neither the numerator nor the denominator of (3.5) vanishes identically. Using (3.1), (3.2), (3.3) and Lemma 3.3, we can express the numerator and denominator of (3.6) and (3.9) by the product of even theta constants and non-zero factors. Then neither the numerator nor the denominator of (3.5) vanishes identically in view of Remark 3.2. If we eliminate  $r_{e_1}$  from (3.6) and (3.9), then we obtain the desired presentation of  $x(u, v)$ . If we take  $e_2 = \frac{\Omega}{2} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} + \frac{1}{2} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$  for  $e_1$ , then we obtain the presentation of  $y(u, v)$ . □

COROLLARY 3.5. *We have*

$$\left\{ \frac{\Theta \begin{bmatrix} 0 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} \Theta \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}}{\Theta \begin{bmatrix} 0 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix} \Theta \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}} \right\}^2 = \left\{ \frac{\Theta \begin{bmatrix} 1 & 0 & 1 \\ 1 & 1 & 1 \end{bmatrix} \Theta \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}}{\Theta \begin{bmatrix} 1 & 0 & 1 \\ 1 & 0 & 1 \end{bmatrix} \Theta \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix}} \right\}^2.$$

PROOF. If we take  $\epsilon_3 = \frac{\Omega}{2} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} + \frac{1}{2} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$  and use (3.8) and (3.9), then we obtain the relation. □

REMARK 3.6. In the next section we shall find more precise relations among the  $\Theta \begin{bmatrix} t & m \\ t & n \end{bmatrix} (\mu(u, v))$ 's, where  $m, n \in \mathbb{Z}^3$  and  $(u, v) \in D$ .

#### 4. - Modular forms induced from $\tilde{\Psi}^{-1}$

Let  $\phi_1$  and  $\phi_2$  (respectively  $\phi_3$  and  $\phi_4$ ) stand for numerator and denominator of (3.10) (respectively (3.11)). In this section we show that  $\phi_1, \phi_2, \phi_3$  and  $\phi_4$  are modular forms relative to the monodromy group  $G$ . A holomorphic function  $\phi$  on

$$D = \left\{ (u, v) \in \mathbb{C}^2 : \text{Im } u - \frac{1}{2}|v|^2 > 0 \right\}$$

is called a modular form of weight  $k$  relative to

$$G = \{g(\delta) \in GL(3, \mathbb{Z}[i]) : \delta \in \pi_1(\Lambda, \lambda_0)\},$$

if it satisfies the condition

$$\begin{aligned} (4.1) \quad \phi(g(\delta)(u, v)) &:= \phi \left( \frac{g_{21} + g_{22}u + g_{23}v}{g_{11} + g_{12}u + g_{13}v}, \frac{g_{31} + g_{32}u + g_{33}v}{g_{11} + g_{12}u + g_{13}v} \right) \\ &= (g_{11} + g_{12}u + g_{13}v)^k \phi(u, v), \end{aligned}$$

for any  $g(\delta) = (g_{jk}) \in G, 1 \leq j, k \leq 3$ . A holomorphic function  $\psi$  on  $H_3$  is called a Siegel modular form of weight  $k$  relative to  $Sp(3, \mathbb{Z})/\{\pm I_6\}$  if it satisfies the condition

$$\begin{aligned} (4.2) \quad \psi(N(\Omega)) &:= \psi((A\Omega + B)(C\Omega + D)^{-1}) \\ &= \{\det(C\Omega + D)\}^k \psi(\Omega), \end{aligned}$$

for any  $\Omega \in H_3$  and  $N = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in Sp(3, \mathbb{Z})/\{\pm I_6\}$ . Via the embedding  $\mu : D \rightarrow V \subset H_3$  and  $Aut(D) \subset Aut(H_3)$  we regard modular forms of weight  $2k$  on  $D$  as those of weight  $k$  on  $H_3$ . Let us recall the transformation formula of theta constants (see [4]):

$$(4.3) \quad \Theta \begin{bmatrix} 2 \text{ }^t(Dp - Cq) \\ 2 \text{ }^t(-Bp + Aq) \end{bmatrix} (0, (A\Omega + B)(C\Omega + D)^{-1}) \\ = \zeta_\gamma \sqrt{\det(C\Omega + D)} \exp(-\pi i \text{ }^t p \text{ }^t B D p + 2\pi i \text{ }^t p \text{ }^t B C q \\ - \pi i \text{ }^t q \text{ }^t A C q) \Theta \begin{bmatrix} 2 \text{ }^t p \\ 2 \text{ }^t q \end{bmatrix} (0, \Omega),$$

where

$$\Omega \in H_3, p, q \in (\mathbb{Z}/2)^g, \gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma_{12} \text{ and } \zeta_\gamma(\zeta_\gamma^8 = 1)$$

depends only on  $\gamma$  (not on  $p, q$  and  $\Omega$ ).

**THEOREM 4.1.** *The functions  $\phi_j, j = 1, \dots, 4$ , are modular forms of weight 8 relative to  $G$ .*

**PROOF.** We show that  $\phi_j, 1 \leq j \leq 4$ , satisfy (4.1) with respect to  $g(\delta_k) \in G, 1 \leq k \leq 5$ . Since a direct calculation leads to

$$\phi_j(g(\delta_k)(u, v)) = \psi_j(N(\delta_k)\mu(u, v)), \\ |C_k\mu(u, v) + D_k| = \{g(\delta_k)_{11} + g(\delta_k)_{12}u + g(\delta_k)_{13}v\}^2, 1 \leq j \leq 4, 1 \leq k \leq 5,$$

where

$$\psi_j = \phi_j \cdot \mu^{-1} : V \rightarrow \mathbb{C}, N(\delta_k) = \begin{pmatrix} A_k & B_k \\ C_k & D_k \end{pmatrix},$$

we show

$$\psi_j(N(\delta_k)\mu(u, v)) = \{\det(C_k\mu(u, v) + D_k)\}^4 \psi_j(\mu(u, v)), 1 \leq j \leq 4, 1 \leq k \leq 5.$$

Since  $N(\delta_k), 1 \leq k \leq 5$ , belong to  $\Gamma_{12}$ , we can apply (4.3) to  $\psi_j, 1 \leq j \leq 4$ . By a routine argument it turns out that we have only to show the following lemma to finish the proof of Theorem 4.1.

**LEMMA 4.2.** *We have*

$$\Theta \begin{bmatrix} 0 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}^2 \Theta \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}^2 = -\Theta \begin{bmatrix} 1 & 0 & 1 \\ 1 & 1 & 1 \end{bmatrix}^2 \Theta \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}^2, \\ \Theta \begin{bmatrix} 0 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix}^2 \Theta \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}^2 = -\Theta \begin{bmatrix} 1 & 0 & 1 \\ 1 & 0 & 1 \end{bmatrix}^2 \Theta \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix}^2.$$

PROOF OF LEMMA 4.2.

Step 1. By using (3.2), we obtain the Fourier expansion

$$\begin{aligned}
 (4.4) \quad & \Theta \begin{bmatrix} 2p_1 & 2p_2 & 2p_3 \\ 2q_1 & 2q_2 & 2q_3 \end{bmatrix} (\mu(u, v)) \\
 &= \sum_{n_1, n_2} \exp \left[ -\frac{\pi}{2} \{ (n_1 + p_1) + (n_2 + p_2) i \}^2 v^2 \right] \\
 & \quad \exp [2\pi i \{ (n_1 + p_1) q_1 + (n_2 + p_2) q_2 \}] \\
 & \quad \Theta \begin{bmatrix} 2p_3 \\ 2q_3 \end{bmatrix} (-i \{ (n_1 + p_1) + (n_2 + p_2) i \} v, i) \\
 & \quad \exp [\pi i \{ (n_1 + p_1)^2 + (n_2 + p_2)^2 \} u].
 \end{aligned}$$

Step 2. *Sublemma 4.3.*

$$\Theta \begin{bmatrix} 2p_1 & 2p_2 & 2p_3 \\ 2q_1 & 2q_2 & 2q_3 \end{bmatrix} (\mu(u, v)) = \exp(4\pi i p_1 q_1) \Theta \begin{bmatrix} 2p_2 & 2p_1 & 2p_3 \\ 2q_2 & 2q_1 & 2q_3 \end{bmatrix} (\mu(u, iv)).$$

*Proof of Sublemma 4.3.*

$$\begin{aligned}
 & \Theta \begin{bmatrix} 2p_1 & 2p_2 & 2p_3 \\ 2q_1 & 2q_2 & 2q_3 \end{bmatrix} (\mu(u, v)) \\
 &= \sum_{n_1, n_2} \exp \left[ -\frac{\pi}{2} \{ (n_1 + p_1) + (n_2 + p_2) i \}^2 v^2 \right] \\
 & \quad \exp [2\pi i \{ (n_1 + p_1) q_1 + (n_2 + p_2) q_2 \}] \\
 & \quad \Theta \begin{bmatrix} 2p_3 \\ 2q_3 \end{bmatrix} (-i \{ (n_1 + p_1) + (n_2 + p_2) i \} v, i) \exp [\pi i \{ (n_1 + p_1)^2 + (n_2 + p_2)^2 \} u] \\
 &= \sum_{n_1, n_2} \exp \left[ -\frac{\pi}{2} \{ (n_2 + p_1) + (n_1 + p_2) i \}^2 v^2 \right] \\
 & \quad \exp [2\pi i \{ (n_2 + p_1) q_1 + (n_1 + p_2) q_2 \}] \\
 & \quad \Theta \begin{bmatrix} 2p_3 \\ 2p_3 \end{bmatrix} (-i \{ (n_2 + p_1) + (n_1 + p_2) i \} v, i) \exp [\pi i \{ (n_2 + p_1)^2 + (n_1 + p_2)^2 \} u] \\
 &= \exp(4\pi i p_1 q_1) \sum_{n_1, n_2} \left\{ \exp \left[ -\frac{\pi}{2} \{ (n_1 + p_2) + (-n_2 - p_1) i \}^2 (iv)^2 \right] \right. \\
 & \quad \exp [2\pi i \{ (n_1 + p_2) q_2 + (-n_2 - p_1) q_1 \}] \\
 & \quad \Theta \begin{bmatrix} 2p_3 \\ 2q_3 \end{bmatrix} (-i \{ (n_1 + p_2) + (-n_2 - p_1) i \} (iv), i) \\
 & \quad \left. \exp [\pi i \{ (n_1 + p_2)^2 + (-n_2 - p_2)^2 \} u] \right\} \\
 &= \exp(4\pi i p_1 q_1) \Theta \begin{bmatrix} 2p_2 & -2p_1 & 2p_3 \\ 2q_2 & 2q_1 & 2q_3 \end{bmatrix} (\mu(u, iv)) \\
 &= \exp(4\pi i p_1 q_1) \Theta \begin{bmatrix} 2p_2 & 2p_1 & 2p_3 \\ 2q_2 & 2q_1 & 2q_3 \end{bmatrix} (\mu(u, iv)). \quad \square
 \end{aligned}$$

Step 3. *Sublemma 4.4.*

$$(4.5) \quad \Theta \begin{bmatrix} 2p_2 & 2p_1 & 2q_3 \\ 2q_2 & 2q_1 & 2p_3 \end{bmatrix} (\mu(u, v)) \\ = \exp(4\pi i p_1 q_1) \exp(2\pi i p_3 q_3) \Theta \begin{bmatrix} 2p_1 & 2p_2 & 2p_3 \\ 2q_1 & 2q_2 & 2q_3 \end{bmatrix} (\mu(u, v)).$$

*Proof of Sublemma 4.4.* By using (4.3) for  $N(\delta_6)$  we obtain

$$\Theta \begin{bmatrix} 2p_1 & 2p_2 & 2q_3 \\ 2q_1 & 2q_2 & -2p_3 \end{bmatrix} (\mu(u, iv)) \\ = \zeta_{N(\delta_6)} \epsilon \exp(-2\pi i p_3 q_3) \Theta \begin{bmatrix} 2p_1 & 2p_2 & 2p_3 \\ 2q_1 & 2q_2 & 2q_3 \end{bmatrix} (\mu(u, v)),$$

where  $\zeta_{N(\delta_6)}^8 = 1$  and  $\epsilon^2 = -i$ . By Sublemma 4.3 and (3.3), the above equality reduces to

$$(4.6) \quad \Theta \begin{bmatrix} 2p_2 & 2p_1 & 2q_3 \\ 2q_2 & 2q_1 & 2p_3 \end{bmatrix} (\mu(u, v)) \\ = \zeta_{N(\delta_6)} \epsilon \exp(4\pi i p_1 q_1) \exp(2\pi i p_3 q_3) \Theta \begin{bmatrix} 2p_1 & 2p_2 & 2p_3 \\ 2q_1 & 2q_2 & 2q_3 \end{bmatrix} (\mu(u, v)).$$

Let us determine the factor  $\zeta_{N(\delta_6)} \epsilon$ . By (4.4) we have

$$\Theta \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} (\mu(u, v)) \\ = \sum_{n_1, n_2} \exp\left\{-\frac{\pi}{2}(n_1 + n_2 i)^2 v^2\right\} \Theta \begin{bmatrix} 0 \\ 0 \end{bmatrix} (-i(n_1 + n_2 i)v, i) \exp\{\pi i(n_1^2 + n_2^2)u\}.$$

As the constant term of the above series does not vanish,  $\Theta \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} (\mu(u, v))$  does not vanish identically. If we put  $p_j = q_j = 0$ ,  $j = 1, 2, 3$ , in (4.6), we obtain  $\zeta_{N(\delta_6)} \epsilon = 1$ . □

Substituting explicit values in (4.5) we obtain the formulae in Lemma 4.2. □

The following fact which is announced in Remark 3.2 follows from Sublemma 4.4.

PROPOSITION 4.5. *We have*

$$\Theta \begin{bmatrix} 1 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} (\mu(u, v)) = 0.$$

PROOF. If we put  $p_j = q_j = \frac{1}{2}, j = 1, 2$ , and  $p_3 = q_3 = 0$  in Sublemma 4.4, we obtain

$$\Theta \begin{bmatrix} 1 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} (\mu(u, v)) = -\Theta \begin{bmatrix} 1 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} (\mu(u, v)) = 0. \quad \square$$

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Kyushu University  
Department of Mathematics  
Faculty of Science  
Fukuoka 812 Japan