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# ON THE TOPOLOGY OF COMPACTOID CONVERGENCE IN NON-ARCHIMEDEAN SPACES

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## Abstract

Some of the properties, of the topology of uniform convergence on the compactoid subsets of a non-Archimedean locally convex space  $E$ , are studied. In case  $E$  is metrizable, the compactoid convergence topology coincides with the finest locally convex topology which agrees with  $\sigma(E', E)$  on equicontinuous sets.

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## 1 Introduction

In [7] some of the properties of the topology of uniform convergence on the compactoid subsets, of a non-Archimedean locally convex space, are investigated. In the same paper, the authors defined the  $\epsilon$ -product  $E\epsilon F$  of two non-Archimedean locally convex spaces  $E$  and  $F$ .  $E\epsilon F$  is the space of all continuous linear operators of  $E'_\infty$  to  $F$  equipped with the topology of uniform convergence on the equicontinuous subsets of  $E'$ , where  $E'_\infty$  is the dual space  $E'$  of  $E$  endowed with the topology of uniform convergence on the compactoid subsets of  $E$ . In this paper, we continue with the investigation of the compactoid convergence topology  $\tau_\infty$ . Among other things, we show that, for metrizable  $E$ ,  $\tau_\infty$  coincides with the topology  $\tau_\sigma$ , where  $\tau_\sigma$  is the finest locally convex topology on  $E'$  which agrees with  $\sigma(E', E)$  on equicontinuous

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sets. We also prove that  $\tau_{co}$  has a base at zero all sets  $\overline{W}^{\sigma(E',E)}$ , where  $W$  is a  $\tau_{\sigma}$ -neighborhood of zero and  $\overline{W}^{\sigma(E',E)}$  denotes the  $\sigma(E',E)$ -closure of  $W$ . If  $T : E \mapsto F$  is a nuclear (resp. compactoid) operator, then  $T' : F'_{co} \mapsto E'_{co}$  is nuclear (resp. compactoid). Also, if  $T_i : E_i \mapsto F_i$ ,  $i = 1, 2$ , are nuclear, then

$$T = T_1 \epsilon T_2 : E_1 \epsilon E_2 \mapsto F_1 \epsilon F_2, \quad Tu = T_2 u T_1'$$

is nuclear. Finally we show that  $\tau_{co}$  is compatible with the dual pair  $\langle E', E \rangle$  iff every closed compactoid subset of  $E$  is complete.

## 2 Preliminaries

Throughout this paper,  $\mathbf{K}$  will stand for a complete non-Archimedean valued field, whose valuation is non-trivial, and  $\mathbf{N}$  for the set of natural numbers. By a seminorm, on a vector space  $E$  over  $\mathbf{K}$ , we will mean a non-Archimedean seminorm.

Let now  $E$  be a locally convex space over  $\mathbf{K}$ . The collection of all continuous seminorms on  $E$  will be denoted by  $cs(E)$ . The algebraic dual, the topological dual, and the completion of  $E$  will be denoted by  $E^*$ ,  $E'$  and  $\widehat{E}$  respectively. A seminorm  $p$  on  $E$  is called polar if

$$p = \sup\{|f| : f \in E^*, |f| \leq p\},$$

where  $|f|$  is defined by  $|f|(x) = |f(x)|$ . The space  $E$  is called polar if its topology is generated by a collection of polar seminorms. The edged hull  $A^e$ , of an absolutely convex subset  $A$  of  $E$ , is defined by:

$A^e = A$  if the valuation of  $\mathbf{K}$  is discrete and  $A^e = \bigcap \{\lambda A : |\lambda| > 1\}$  if the valuation is dense (see [10]). For a subset  $S$  of  $E$ , we denote by  $co(S)$  the absolutely convex hull of  $S$ . A subset  $B$  of  $E$  is called compactoid if, for each neighborhood  $V$  of zero in  $E$ , there exists a finite subset  $S$  of  $E$  such that

$$B \subseteq co(S) + V.$$

The space  $E$  is said to be of countable type if, for each  $p \in cs(E)$ , there exists a countable subset  $S$  of  $E$ , such that the subspace  $[S]$  spanned by  $S$  is  $p$ -dense in  $E$ .

A linear map  $T : E \mapsto F$  is called:

- 1) compactoid if there exists a neighborhood  $V$  of zero in  $E$  such that  $T(V)$  is a compactoid subset of  $F$ .
- 2) compactifying if  $T(B)$  is compactoid in  $F$  for each bounded subset of  $E$ .

3) nuclear if there exist a null sequence  $(\lambda_n)$  in  $\mathbf{K}$ , a bounded sequence  $(y_n)$  in  $F$  and an equicontinuous sequence  $(f_n)$  in  $E'$  such that

$$Tx = \sum_{n=1}^{\infty} \lambda_n f_n(x) y_n$$

for all  $x \in E$ .

We will denote by  $E'_{\infty}$  the dual space  $E'$  of  $E$  equipped with the topology of uniform convergence on the compactoid subsets of  $E$ . The  $\epsilon$ -product  $E \epsilon F$ , of two locally convex spaces  $E, F$  is the space of all continuous linear maps from  $E'_{\infty}$  to  $F$  endowed with the topology of uniform convergence on the equicontinuous subsets of  $E'$ . For other notions, concerning non-Archimedean locally convex spaces and for related results, we will refer to [10].

We will need the following

**Lemma 2.1** ([7, Lemma 2.6]). *Let  $E, F$  be Hausdorff polar quasi-complete spaces and let  $T : E' \rightarrow F$  be a linear map. If  $T$  is continuous with respect to the weak topologies  $\sigma(E', E)$  and  $\sigma(F, F')$ , then  $T \in E \epsilon F$  iff  $T$  maps equicontinuous subsets of  $E'$  into compactoid subsets of  $F$ .*

### 3 The topology $\tau_{\sigma}$

Let  $E$  be a Hausdorff polar space. We will denote by  $\tau_{\sigma}$  the finest locally convex topology on  $E'$  which agrees with  $\sigma(E', E)$  on equicontinuous sets. It is easy to see that  $\tau_{\sigma}$  is the locally convex topology which has as a base at zero all absolutely convex subsets  $W$  of  $E'$  with the following property: For every equicontinuous subset  $H$  of  $E'$  there exists a finite subset  $S$  of  $E$  such that  $S^0 \cap H \subseteq W$ , where  $S^0$  is the polar of  $S$  in  $E'$ . In case  $E$  is a normed space,  $\tau_{\sigma}$  coincides with the bounded weak star topology  $bw'$  (see [12] or [13]).

Since a linear functional  $f$  on  $E'$  is  $\tau_{\sigma}$ -continuous iff its restriction to every equicontinuous subset of  $E'$  is  $\sigma(E', E)$ -continuous we have the following

**Proposition 3.1** *If  $E$  is a Hausdorff polar space, then  $(E', \tau_{\sigma})' = \widehat{E}$ .*

Proof. See the proof of Theorem 2 in [5].

The following Proposition for normed spaces was proved by Schikhof in [12, Proposition 3.2].

**Proposition 3.2** *If  $E$  is a metrizable polar space, then  $(E', \tau_{\sigma})$  is of countable type.*

Proof. Let  $(V_n)$  be a decreasing sequence of convex neighborhoods of zero in  $E$  which is a base for the neighborhoods of zero. Then

$$E' = \bigcup_{n=1}^{\infty} V_n^0.$$

Let now  $q$  be a  $\tau_\sigma$ -continuous seminorm on  $E'$  and set

$$W_m = \{x' \in E' : q(x') \leq 1/m\}.$$

Each  $V_n^0$  is a  $\sigma(E', E)$ -compactoid and hence a  $\tau_\sigma$ -compactoid since  $V_n^0$  is absolutely convex and  $\tau_\sigma = \sigma(E', E)$  on  $V_n^0$ . Thus, for each  $m \in \mathbb{N}$ , there exists a finite  $S_{nm}$  of  $E'$  such that

$$V_n^0 \subseteq \text{co}(S_{nm}) + W_m.$$

Now, the set  $S = \bigcup_{m,n} S_{nm}$  is countable and the space  $[S]$  is  $q$ -dense in  $E'$ . This completes the proof.

Let now  $E$  be a Hausdorff polar space and let  $j_E : E \mapsto E''$  the canonical map. In the following Theorem, we will consider  $E$  as a vector subspace of  $E''$  identifying  $E$  with its image under the canonical map. For a subset  $A$  of  $E''$  we will denote by  $A^0$  and  $A^{00}$ , respectively, the polar and the bipolar of  $A$  with respect to the pair  $\langle E'', E' \rangle$ . If we consider on  $E''$  the topology of uniform convergence on the equicontinuous subsets of  $E'$ , then  $E$  will be a topological subspace of  $E''$ . In this case  $E''$  will have as a base at zero all sets  $V^{00}$  where  $V$  is a convex neighborhood of zero in  $E$ .

The proof of the next Proposition is an adaptation of the corresponding proof for normed spaces given by Schikhof in [12, Proposition 3.3].

**Proposition 3.3** *Let  $E$  be a Hausdorff polar space and consider on  $E''$  the topology of uniform convergence on the equicontinuous subsets of  $E'$ . If  $F$  is the dual space of  $(E', \tau_\sigma)$  then  $F \cap E''$  coincides with the closure of  $E$  in  $E''$ . Thus, if  $F \subseteq E''$  (e.g if  $\tau_\sigma$  is coarser than the topology of the strong dual of  $E$ ), then  $F = \overline{E}$ .*

Proof. Let  $x'' \in \overline{E}$  and consider the set

$$W = \{x' \in E' : |\langle x', x'' \rangle| \leq 1\}.$$

For each convex neighborhood  $V$  of zero in  $E$ , there exists  $x_\nu \in E$  such that  $x'' - x_\nu \in V^{00}$ . Indexing the convex neighborhoods of zero in  $E$  by inverse inclusion, we get a net  $(x_\nu)$  in  $E$ . Let now  $V_0$  be a convex neighborhood of

zero in  $E$  and let  $\mu \in \mathbf{K}$ ,  $\mu \neq 0$ . If  $V \subseteq \mu V_0$ , then  $x'' - x_\nu \in \mu V^{00}$  and so  $|\langle x'' - x_\nu, x' \rangle| \leq |\mu|$  for all  $x' \in V^0$ .

$$\langle x_\nu, x' \rangle \rightarrow \langle x'', x' \rangle$$

uniformly on  $V_0^0$ . Since each of the functions  $x' \mapsto \langle x_\nu, x' \rangle$  is  $\sigma(E', E)$ -continuous on  $V_0^0$ , it follows that the restriction of  $x''$  to  $V_0^0$  is  $\sigma(E', E)$ -continuous. This clearly proves that  $x''$  is  $\tau_\sigma$ -continuous.

On the other hand, let  $x'' \in F \cap E''$  and let  $V$  be a convex neighborhood of zero in  $E$ . Let  $|\lambda| > 1$  and set

$$D = \{x' \in E' : |\langle x', x'' \rangle| \leq 1\}.$$

There exists a finite subset  $S$  of  $E$  such that

$$S^0 \cap V^0 \subseteq \lambda^{-1}D.$$

The set  $A = co(S)$  is a complete metrizable compactoid in  $(E'', \sigma(E'', E'))$ . Since  $V^{00}$  is absolutely convex and  $\sigma(E'', E')$ -closed, it follows that  $(A + V^{00})^e$  is  $\sigma(E'', E')$ -closed by [11, Theorem 1.4]. Since

$$S^0 \cap V^0 = (A + V)^0,$$

we get that

$$\lambda D^0 \subseteq (A + V)^{00} = (A + V^{00})^{00} = \left( \overline{A + V^{00} \sigma(E'', E')} \right)^e = (A + V^{00})^e$$

and so  $D^0 \subseteq A + V^{00} \subseteq E + V^{00}$ . Since  $x'' \in D^0$ , it follows that  $x'' \in \overline{E}$ , which completes the proof.

As we will see in the next section, if  $E$  is metrizable, then  $\tau_\sigma$  is coarser than the strong topology on  $E'$  and so in this case  $(E', \tau_\sigma)' = \overline{E}$ , a result proved by Schikhof in [12] for normed spaces.

## 4 The Topology of Compactoid Convergence

For a locally convex space  $(E, \tau)$ , we will denote by  $\tau_{co}$  the topology of compactoid convergence, i.e the topology on  $E'$  of uniform convergence on the compactoid subsets of  $E$ . We will denote  $(E', \tau_{co})$  by  $E'_{co}$ . By [7, 3.3], every equicontinuous subset of  $E'$  is  $\tau_{co}$ -compactoid.

**Proposition 4.1** ([10, Lemma 10.6]) *If  $E$  is a Hausdorff polar space, then  $\tau_{co} = \sigma(E', E)$  on equicontinuous subsets of  $E'$ .*

**Proposition 4.2** *If every compactoid subset of  $E$  is metrizable, then  $\tau_{co}$  is the topology of uniform convergence on the null sequences in  $E$ .*

*Proof.* It follows from [10, Proposition 8.2], since for a metrizable compactoid  $A$ , there exists a null sequence  $(x_n)$  such that  $A \subseteq \overline{co}(X)$  where  $X = \{x_n : n \in \mathbf{N}\}$ .

**Corollary 4.3**  $\sigma(E', E) \leq \tau_{co} \leq \tau_\sigma$ .

**Example** If  $E = c_0$  with the usual norm topology, then  $E' = l_\infty$  and  $\tau_{co}$  is the topology generated by the seminorms  $p_z$ ,  $z = (z_n) \in c_0$  where  $p_z(x) = \max_n |z_n x_n|$  for  $x = (x_n) \in l_\infty$ . This follows from the fact that a subset  $A$  of  $c_0$  is compactoid iff

$$A \subseteq \hat{z} = \{x \in c_0 : |x_n| \leq |z_n| \ \forall n\}$$

for some  $z \in c_0$ .

**Notation** For a locally convex topology  $\gamma$  on  $E'$ , we will denote by  $\bar{\gamma}^\sigma$  the locally convex topology on  $E'$  which has as a base at zero all sets of the form  $\overline{W}^{\sigma(E', E)}$ , where  $W$  is a  $\gamma$ -neighborhood of zero.

**Theorem 4.4** *If  $(E, \tau)$  is a Hausdorff polar space, then  $\tau_{co} = \overline{\tau}_\sigma^\sigma$ .*

*Proof.* Since  $\tau_{co} \leq \tau_\sigma$ , we have that

$$\tau_{co} = \overline{\tau_{co}}^\sigma \leq \overline{\tau_\sigma}^\sigma.$$

On the other hand, let  $W$  be a convex  $\tau_\sigma$ -neighborhood of zero. If  $V$  is a polar neighborhood of zero in  $E$  and  $|\lambda| > 1$ , then there exists a finite subset  $S$  of  $E$  such that  $S^0 \cap V^0 \subseteq \lambda^{-1}W$ . Since  $S^0 \cap V^0 = (co(S) + V)^0$ , it follows that

$$\lambda W^0 \subseteq (co(S) + V)^{00} = (co(S) + V)^e \subseteq \lambda(co(S) + V)$$

(by [10, Corollary 5.8]). Thus

$$W^0 \subseteq co(S) + V,$$

which shows that  $W^0$  is a compactoid subset of  $E$ . Thus  $W^{00}$  is a  $\tau_{co}$ -neighborhood of zero. Since

$$W^{00} = \left(\overline{W}^{\sigma(E', E)}\right)^e \subseteq \lambda \overline{W}^{\sigma(E', E)},$$

and so  $\overline{W}^{\sigma(E', E)}$  is a  $\tau_{co}$ -neighborhood of zero. This completes the proof.

The following is a Banach-Dieudonné type Theorem for non-Archimedean spaces (see [3, Theorem 10.1]).

**Theorem 4.5** *If  $(E, \tau)$  is metrizable polar space, then  $\tau_{co} = \tau_\sigma$ .*

*Proof.* Let  $(V_n)$  be a decreasing sequence of convex neighborhoods of zero in  $E$  which is a base at zero and let  $D$  be a convex  $\tau_\sigma$ -neighborhood of zero in  $E'$ . Since  $\tau_\sigma$  is the finest locally convex topology on  $E'$  which agrees with  $\sigma(E', E)$  on the sets  $V_n^0$ ,  $n \in \mathbf{N}$ , we may assume that there exists (by [4, Theorem 5.2]) a sequence  $(S_n)_{n=0}^\infty$  of finite subsets of  $E$  such that for  $W_n = S_n^0$  we have

$$D = W_0 \cap \left( \bigcap_{n=1}^\infty (W_n + V_n^0) \right).$$

Since each  $W_n + V_n^0$  is  $\sigma(E', E)$ -closed and since  $W_0$  is also  $\sigma(E', E)$ -closed, it follows that  $D = \overline{D}^{\sigma(E', E)}$ . Now since  $\tau_{co} = \overline{\tau_\sigma}^\sigma$  it follows that  $\tau_\sigma \leq \tau_{co}$ . This clearly completes the proof.

**Corollary 4.6** *Let  $E$  be a Hausdorff polar space and consider on  $E''$  the topology of uniform convergence on the equicontinuous subsets of  $E'$ . Then:*

- a)  $\tau_\sigma$  is polar and coarser than the strong topology on  $E'$ .
- b)  $(E', \tau_\sigma)' = \widehat{E} = \overline{E}$ , where  $\overline{E}$  is the closure of  $E$  in  $E''$ .

**Open Problems .**

- 1) Is  $\tau_\sigma$  always a polar topology ?
- 2) Is it always true that  $\tau_\sigma = \tau_{co}$  ?
- 3) Is it always true that  $(E', \tau_\sigma)' \subseteq E''$  ?

The following Theorem gives a necessary and sufficient condition for the topology  $\tau_{co}$  to be compatible with the pair  $\langle E', E \rangle$ .

**Theorem 4.7** *For a Hausdorff polar space  $E$ , the following are equivalent:*

- (1)  $\tau_{co}$  is compatible with the pair  $\langle E', E \rangle$ , i.e.  $(E', \tau_{co})' = E$ .
- (2) Every closed (or equivalently weakly closed) compactoid subset of  $E$  is complete.
- (3) Every closed (or equivalently weakly closed) absolutely convex subset of  $E$  is weakly complete.

*Proof.* First of all we observe that a compactoid subset of  $E$  is closed iff it is weakly closed and that an absolutely convex compactoid is complete iff it is weakly complete (by [10, Theorem 5.13]).

(1)  $\Rightarrow$  (2). Let  $A$  be a closed compactoid subset of  $E$ . Since  $\tau_{co}$  is compatible with the pair  $\langle E', E \rangle$ , it is the topology of uniform convergence on some



special covering (by [12, Proposition 7.4]). Thus, there exists a weakly bounded, weakly complete edged subset  $B$  of  $E$  such that  $B^0 \subseteq A^0$ . Thus

$$A \subseteq A^{00} \subseteq B^{00} = B.$$

Since  $A^{00}$  is an absolutely convex weakly complete subset of  $E$ , it is complete and hence  $A$  is complete.

(2)  $\Rightarrow$  (3). It is trivial.

(3)  $\Rightarrow$  (1). The proof is included in the proof of [6, Proposition 4.2].

**Proposition 4.8** *Let  $E$  be a Hausdorff polar space and let  $G$  be the dual space of  $E'_{\infty}$ . Then*

$$(1) \quad G = \bigcup_A \overline{A}^{\sigma(E'', E')}$$

where  $A$  ranges over the family of all absolutely convex compactoid subsets of  $E$ .

(2) *If we consider on  $G$  the topology of uniform convergence on the equicontinuous subsets of  $E'$ , then  $E$  is a dense topological subspace of  $G$ .*

**Proof.** (1) Since the topology of  $E'_{\infty}$  is coarser than the strong topology on  $E'$ ,  $G$  is a vector subspace of  $E''$ . For a subset  $B$  of  $G$  we denote by  $B^0$  and  $B^{00}$ , respectively, the polar and the bipolar of  $B$  with respect to the pair  $\langle G, E' \rangle$ . Let now  $x'' \in G$ . There exists an absolutely convex compactoid subset  $A$  of  $E$  such that

$$A^0 \subseteq \{x' \in E : |\langle x', x'' \rangle| \leq 1\}.$$

If  $|\lambda| > 1$ , then

$$x'' \in A^{00} \subseteq \lambda \overline{A}^{\sigma(E'', E')}.$$

On the other hand, if  $x'' \in \overline{A}^{\sigma(E'', E')}$ , for some absolutely convex compactoid subset  $A$  of  $E$ , then  $x'' \in A^{00}$  and so  $|\langle x', x'' \rangle| \leq 1$  for  $x' \in A^0$ , which implies that  $x'' \in G$ .

(2) Since the topology of  $E'_{\infty}$  is finer than the topology  $\sigma(E', E)$  and since  $E$  is Hausdorff and polar, it follows that  $E$  is a topological subspace of  $G$ . It only remains to show that  $E$  is dense in  $G$ . So let  $x'' \in G$ . By (1),  $x'' \in \overline{A}^{\sigma(G, E')}$  for some absolutely convex compactoid subset  $A$  of  $E$ . Given a convex neighborhood  $V$  of zero in  $E$  and  $|\lambda| > 1$ , there exists a finite subset  $S$  of  $E$  such that

$$A \subseteq \text{co}(S) + \lambda^{-1}V \subseteq \text{co}(S) + \lambda^{-1}V^{00}.$$

Now

$$x'' \in A^{00} \subseteq (\text{co}(S) + \lambda^{-1}V^{00})^{00} = (\text{co}(S) + \lambda^{-1}V^{00})^e$$

and so

$$x'' \in \lambda co(S) + V^{00}.$$

This clearly completes the proof.

By [7, 3.1], every equicontinuous subset of  $E'$  is a compactoid set in  $E'_{co}$ . Also, by Proposition 4.1, the topology of  $E'_{co}$  coincides with the topology  $\sigma(E', E)$  on equicontinuous sets. We have the following

**Proposition 4.9** *Let  $(E, \tau)$  be a Hausdorff polar space and let  $\gamma$  be a polar locally convex topology on  $E'$  for which every equicontinuous subset of  $E'$  is a compactoid set. If  $\gamma$  is compatible with the pair  $\langle E', E \rangle$ , then  $\gamma$  is coarser than  $\tau_{co}$ .*

Proof. Since  $(E', \gamma)' = E$  and every equicontinuous subset  $H$  of  $E'$  is  $\gamma$ -compactoid, we have that  $\gamma = \sigma(E', E)$  on  $H$  and so  $\gamma \leq \tau_\sigma$ . Thus

$$\gamma = \overline{\gamma}^{\sigma(E', E)} \leq \overline{\tau_\sigma}^{\sigma(E', E)} = \tau_{co}.$$

**Proposition 4.10** *Let  $E, F$  be polar Hausdorff spaces and let  $T : E \mapsto F$  be a continuous linear map. Then:*

a)  *$T$  is compactifying iff the map*

$$T' : F'_{co} \mapsto E'_b$$

*is continuous, where  $E'_b$  is the strong dual of  $E$ .*

b) *If  $T$  is compactifying and each closed compactoid subset of  $F$  is complete, then  $T''(E'') \subseteq F$ .*

Proof. a) If  $T$  is compactifying and  $B$  is a bounded subset of  $E$ , then  $D = T(B)$  is a compactoid subset of  $F$  and  $T'(D^0) \subseteq B^0$ , which proves that  $T' : F'_{co} \mapsto E'_b$  is continuous. Conversely, let  $T' : F'_{co} \mapsto E'_b$  be continuous and let  $B$  be a bounded subset of  $E$ . There exists a compactoid subset  $D$  of  $F$  such that  $T'(D^0) \subseteq B^0$ . Now  $T(B) \subseteq D^{00}$  and so  $T(B)$  is compactoid since  $D^{00}$  is compactoid by [10, Theorem 5.3].

b) By [1], we have

$$E'' = \bigcup_B \overline{B}^{\sigma(E'', E')}$$

where  $B$  ranges over the family of all bounded subsets of  $E$ . Let now  $B$  be a bounded absolutely convex subset of  $E$ . Since  $T''$  is continuous with respect to the topologies  $\sigma(E'', E')$  and  $\sigma(F'', F')$ , we have

$$T'' \left( \overline{B}^{\sigma(E'', E')} \right) \subseteq \overline{T''(B)}^{\sigma(F'', F')} = \overline{T(B)}^{\sigma(F'', F')}.$$

Let  $A = \overline{T(B)}$  be the closure of  $T(B)$  in  $F$ . Since  $T$  is compactifying, the set  $A$  is compactoid in  $F$  and hence  $A$  is complete by our hypothesis. Since  $A$  is absolutely convex, it is  $\sigma(F, F')$ -complete and hence it is  $\sigma(F'', F')$ -complete. Thus  $A$  is  $\sigma(F'', F')$ -closed and so

$$\overline{T(B)}^{\sigma(F'', F')} \subseteq \overline{T(B)} \subseteq F.$$

This clearly completes the proof.

**Proposition 4.11** *Let  $T : E \rightarrow F$  be a linear operator, where  $E$  and  $F$  are Hausdorff polar spaces. Then: (1) If  $T$  is continuous, then the adjoint map*

$$T' : F'_{co} \rightarrow E'_{co}$$

*is continuous.*

(2) *If  $T$  is compactoid, then*

$$T' : F'_{co} \rightarrow E'_{co}$$

*is compactoid.*

**Proof.** (1) If  $A$  is a compactoid subset of  $E$ , then  $B = T(A)$  is compactoid in  $F$  and  $T'(B^0) \subseteq A^0$ .

(2) Assume that  $T$  is compactoid and let  $p \in cs(E)$  be such that the set  $A = T(V_p)$  is compactoid in  $F$  where

$$V_p = \{x \in E : p(x) \leq 1\}.$$

We will finish the proof by showing that  $T'(A^0)$  is a compactoid subset of  $E'_{co}$ . So, let  $B$  be a compactoid subset of  $E$ . Since  $E$  is polar, it has the approximation property (by [9, Theorem 5.4]). Thus there are  $g_1, \dots, g_n$  in  $E'$  and  $e_1, \dots, e_n$  in  $E$  such that

$$p\left(x - \sum_{\kappa=1}^n g_{\kappa}(x)e_{\kappa}\right) \leq 1$$

for all  $x \in B$ . Let  $\phi_{\kappa} \in (E'_{co})'$ ,  $\phi_{\kappa}(x') = x'(e_{\kappa})$ .

Claim: For all  $y' \in A^0$  we have

$$T'y' - \sum_{\kappa=1}^n \phi_{\kappa}(T'y')g_{\kappa} \in B^0.$$

Indeed, let  $y' \in A^0$  and  $x \in B$ . Then

$$x - \sum_{\kappa=1}^n g_{\kappa}(x)e_{\kappa} \in V_p$$

and so

$$Tx - \sum_{\kappa=1}^n g_{\kappa}(x)T(e_{\kappa}) \in A.$$

Thus,

$$\begin{aligned} \langle T'y' - \sum_{\kappa=1}^n \phi_{\kappa}(T'y')g_{\kappa}, x \rangle &= \langle y', Tx \rangle - \sum_{\kappa=1}^n (T'y')(e_{\kappa})g_{\kappa}(x) \\ &= \langle y', Tx \rangle - \sum_{\kappa=1}^n g_{\kappa}(x) \langle y', Te_{\kappa} \rangle = \langle y', Tx - \sum_{\kappa=1}^n g_{\kappa}(x)Te_{\kappa} \rangle \end{aligned}$$

which clearly proves our claim.

Now, there exists  $\mu \in \mathbf{K}$  such that  $e_{\kappa} \in \mu V_p$  for  $\kappa = 1, 2, \dots, n$ . If  $y' \in A^0$ , then

$$|\phi_{\kappa}(T'y')| = |\langle y', Te_{\kappa} \rangle| \leq |\mu|.$$

Replacing  $\phi_{\kappa}$  by  $\mu^{-1}\phi_{\kappa}$  and  $g_{\kappa}$  by  $\mu g_{\kappa}$ , we may assume that  $|\phi_{\kappa}(T'y')| \leq 1$  for all  $y' \in A^0$  and that

$$\sum_{\kappa=1}^n \phi_{\kappa}(T'y')g_{\kappa} \in \text{co}(g_1, \dots, g_n).$$

It follows that

$$T'(A^0) \subseteq \text{co}(g_1, \dots, g_n) + B^0$$

which completes the proof.

**Proposition 4.12** *If  $E, F$  are Hausdorff polar spaces and  $T : E \mapsto F$  a nuclear linear operator, then  $T' : F'_{\text{co}} \mapsto E'_{\text{co}}$  is nuclear.*

*Proof.* There exist a bounded sequence  $(y_n)$  in  $F$ , an equicontinuous sequence  $(f_n)$  in  $E'$  and a null sequence  $(\lambda_n)$  in  $\mathbf{K}$  such that

$$Tx = \sum_{n=1}^{\infty} \lambda_n f_n(x)y_n$$

for all  $x$  in  $E$ . For  $y' \in F'$  and  $x \in E$ , we have

$$\langle T'y', x \rangle = \langle y', Tx \rangle = \langle y', \sum_{n=1}^{\infty} \lambda_n f_n(x) y_n \rangle = \sum_{n=1}^{\infty} \lambda_n f_n(x) y'(y_n).$$

Let  $|\lambda| > 1$  and choose  $\mu_n \in \mathbf{K}$  with

$$|\mu_n| \leq \sqrt{|\lambda_n|} \leq |\lambda \mu_n|.$$

Let  $\gamma_n \in \mathbf{K}$ , where  $\gamma_n = 0$  if  $\lambda_n = 0$  and  $\gamma_n = \lambda_n \mu_n^{-1}$  otherwise. Let

$$\phi_n : F'_{co} \mapsto \mathbf{K}, \quad \phi_n(y') = \mu_n y'(y_n).$$

Since  $A = \{\mu_n y_n : n \in \mathbf{N}\}$  is a compactoid subset of  $F$ , it follows that the sequence  $(\phi_n)$  is equicontinuous in  $(F'_{co})'$ . Also,  $(f_n)$  is a bounded sequence in  $E'_{co}$ . Indeed, the set

$$V = \{x \in E : |f_n(x)| \leq 1 \ \forall n\}$$

is a neighborhood of zero in  $E$ . If  $A$  is a compactoid (and hence bounded) subset of  $E$ , then  $A \subseteq \mu V$  for some  $\mu$  in  $\mathbf{K}$ , and so  $f_n \in \mu A^0$ . Finally,

$$T'y' = \sum_{n=1}^{\infty} \gamma_n \phi_n(y') f_n$$

in  $E'_{co}$ . In fact, let  $p \in cs(E)$  be such that  $|f_n| \leq p$  for all  $n$ . Let  $|\mu| > \sup\{|\lambda_n y'(y_n)| : n \in \mathbf{N}\}$ . Set

$$s_n = \sum_{\kappa=1}^n \gamma_{\kappa} \phi_{\kappa}(y') f_{\kappa}.$$

If  $V = \{y \in E : p(y) \leq 1\}$ , then  $s_n \in \mu V^0$ . Moreover  $s_n(x) \rightarrow \langle T'y', x \rangle$  for all  $x \in E$ . Thus  $s_n \rightarrow T'y'$  in  $E'_{co}$  since the topology of  $E'_{co}$  coincides with  $\sigma(E', E)$  on  $\mu V^0$  by proposition 4.1. Thus

$$T'y' = \sum_{n=1}^{\infty} \gamma_n \phi_n(y') f_n$$

in  $E'_{co}$ . Since  $(\gamma_n)$  is a null sequence, the result follows.

## 5 On the $\epsilon$ -product

**Proposition 5.1** ([10, 5.1]) *If  $E, F$  are Hausdorff polar spaces, then  $F\epsilon E$  is a Hausdorff polar space.*

As it is shown in [7], the  $\epsilon$ -product of two polar complete spaces is complete. The following proposition shows that the same is true for quasi-complete spaces.

**Proposition 5.2** *Let  $E, F$  be Hausdorff polar spaces. If  $E$  and  $F$  are quasicomplete, then  $E\epsilon F$  is quasicomplete.*

**Proof.** Let  $(u_\alpha)$  be a bounded Cauchy net in  $E\epsilon F$ . For each  $f \in E'$ , the net  $((u_\alpha(f)))$  is bounded and Cauchy in  $F$  and thus the limit  $\lim u_\alpha(f)$  exists. Define

$$u_0 : E'_{co} \mapsto F, u_0(f) = \lim u_\alpha(f).$$

Since the map  $u \mapsto u'$  is a topological isomorphism between  $E\epsilon F$  and  $F\epsilon E$  (by [7, Theorem 3.3]), the net  $(u'_\alpha)$  is bounded in  $F\epsilon E$ . Define

$$v_0 : F'_{co} \mapsto E, v_0(g) = \lim u'_\alpha(g).$$

**Claim 1:**  $u_0$  is continuous with respect to the weak topologies  $\sigma(E', E)$  and  $\sigma(F, F')$ . Indeed, let  $S$  be a finite subset of  $F'$  and  $T = v_0(S)$ . For  $f \in E'$  and  $g \in F'$ , we have

$$\lim \langle u_\alpha(f), g \rangle = \lim \langle f, u'_\alpha(g) \rangle$$

and so

$$\langle u_0(f), g \rangle = \langle f, v_0(g) \rangle.$$

It follows from this that  $u_0(T^0) \subseteq S^0$ .

**Claim 2:** For each equicontinuous subset  $H$  of  $E'$ ,  $u_0(H)$  is a compactoid subset of  $F$ . In fact, let  $W$  be a convex neighborhood of zero in  $F$ . The set

$$D = \{u \in E\epsilon F : u(H) \subseteq W\}$$

is a zero neighborhood in  $E\epsilon F$ . Thus, there exists  $\alpha_0$  such that  $u_\alpha - u_\beta \in D$  for  $\alpha, \beta \succeq \alpha_0$ . Since  $W$  is closed in  $F$ , it follows that  $u_\alpha(f) - u_0(f) \in W$  for all  $f \in H$  and all  $\alpha \succeq \alpha_0$ . Since  $u_{\alpha_0}(H)$  is a compactoid subset of  $F$ , there exists a finite subset  $S$  of  $F$  such that

$$u_{\alpha_0}(H) \subseteq co(S) + W.$$

Thus

$$u_0(H) \subseteq \text{co}(S) + W.$$

Now by claims 1, 2 and Lemma 2.1, we have that  $u_0 \in E\epsilon F$ . Finally it is easy to see that  $u_\alpha \rightarrow u_0$  in  $E\epsilon F$ .

For a Hausdorff polar space  $F$ , we denote by  $\tilde{F}$  the dual space of  $F'_{\text{co}}$  equipped with the topology of uniform convergence on the equicontinuous subsets of  $F'$ . It is easy to see that if  $u \in F\epsilon E$ , then the adjoint  $u'$  belongs to  $E\epsilon\tilde{F}$ . We will consider  $F$  as a topological subspace of  $\tilde{F}$ .

**Proposition 5.3** *Let  $E, F$  be Hausdorff polar spaces. Then, the map  $u \mapsto u'$ , from  $F\epsilon E$  to  $E\epsilon\tilde{F}$ , is linear, continuous and one-to-one.*

*Proof.* For a convex neighborhood  $V$  of  $F$ , we will let  $V^{00}$  denote the bipolar of  $V$  with respect to the dual pair  $\langle \tilde{F}, F \rangle$ . Sets of the form  $V^{00}$  form a base at zero in  $\tilde{F}$ . Let now  $W$  and  $V$  be convex neighborhoods of zero in  $E$  and  $F$  respectively and let

$$D = \{v \in E\epsilon\tilde{F} : v(W^0) \subseteq V^{00}\}.$$

If  $u \in F\epsilon E$  is such that  $u(V^0) \subseteq W$ , then  $u' \in D$ . This proves that the map  $u \mapsto u'$  is continuous. The rest of the proof is trivial.

**Proposition 5.4** *Let  $E, F$  be Hausdorff polar spaces and let  $D$  be a compactoid subset of  $F\epsilon E$ . Then:*

(1) *For every equicontinuous subset  $H$  of  $F'$ , the set*

$$D(H) = \bigcup_{u \in D} u(H)$$

*is a compactoid subset of  $E$ .*

(2) *If every closed compactoid subset of  $F$  is complete, then  $D$  is an equicontinuous subset of  $L(F'_{\text{co}}, E)$ .*

(3) *If in both  $E$  and  $F$  the closed compactoid subsets are complete, then the closure  $\bar{D}$  of  $D$  in  $F\epsilon E$  is complete.*

*Proof.* (1) Let  $H$  be an equicontinuous subset of  $F'$ . For each  $u \in F\epsilon E$  the set  $u(H)$  is compactoid. Let now  $W$  be a convex neighborhood of zero in  $E$ . The set

$$U = \{u \in F\epsilon E : u(H) \subseteq W\}$$

is a neighborhood of zero in  $F\epsilon E$  and thus

$$D \subseteq \text{co}(S) + U$$

for some finite set  $S$ . If  $T = co(S)$ , then  $T(H)$  is a compactoid subset  $E$  and hence

$$T(H) \subseteq co(B) + W$$

for some finite subset  $B$  of  $E$ . Now

$$D(H) \subseteq co(B) + W.$$

(2) If every closed compactoid subset of  $F$  is complete, then  $\tilde{F} = F$  (by Theorem 4.7) and so the set  $D' = \{u' : u \in D\}$  is a compactoid subset of  $E \epsilon F$  by the preceding Proposition. Given a polar neighborhood  $W$  in  $E$ , the set  $W^0$  is an equicontinuous subset of  $E'$  and so  $A = D'(W^0)$  is a compactoid subset of  $F$  by the first part of the proof. Moreover, for  $u \in D$ , we have

$$u(A^0) \subseteq W^{00} = W$$

which completes the proof of (2).

(3) The set  $\bar{D}$  is a compactoid subset of  $F \in E$ . Let  $(u_\alpha)$  be a Cauchy net in  $\bar{D}$ . For each  $x' \in F'$ , the set  $\bar{D}(x')$  is compactoid in  $E$  and  $(u_\alpha(x'))$  is a Cauchy net. By our hypothesis, the limit  $\lim u_\alpha(x')$  exists in  $E$ . Define

$$u : F' \mapsto E, u(x') = \lim u_\alpha(x').$$

Claim:  $u \in F \epsilon E$ . Indeed,  $u$  is linear. Also, given a polar neighborhood  $W$  of zero in  $E$ , the set  $B = \bar{D}'(W^0)$  is compactoid in  $F$  and  $\bar{D}(B^0) \subseteq W$ . If  $x' \in B^0$ , there exists  $\alpha_0$  such that  $u(x') - u_\alpha(x') \in W$ , for  $\alpha \succeq \alpha_0$ , and so  $u(x') \in u_\alpha(x') + W \subseteq W$ , which proves that  $u \in F \epsilon E$ . If  $H$  is an equicontinuous subset of  $F'$ , then there exists  $\beta_0$  such that  $(u_\alpha - u_\beta)(H) \subset W$  for  $\alpha \succeq \beta \succeq \beta_0$ , and so  $(u_\alpha - u)(H) \subset W$  for  $\alpha \succeq \beta_0$ . This proves that  $u_\alpha \rightarrow u$  in  $F \epsilon E$  and the result follows.

**Theorem 5.5** Let  $E_1, E_2, F_1, F_2$  be Hausdorff polar spaces and let  $T_i : E_i \mapsto F_i, i = 1, 2$ , be continuous linear operators. Then: 1) The map

$$T = T_1 \epsilon T_2 : E_1 \epsilon E_2 \mapsto F_1 \epsilon F_2, Tu = T_2 u T_1'$$

is continuous.

2) If both  $T_1$  and  $T_2$  are nuclear, then  $T$  is nuclear.

Proof. First of all we notice that, since

$$T_1' : (F_1')_{co} \mapsto (E_1')_{co}$$



is continuous, we have that  $Tu \in F_1 \epsilon F_2$  for  $u \in E_1 \epsilon E_2$ . To show that  $T$  is continuous, let  $W_i$  be a convex neighborhood in  $F_i$ ,  $i = 1, 2$ , and let

$$U = \{w \in F_1 \epsilon F_2 : w(W_1^0) \subseteq W_2\}.$$

Let  $V_i = T_i^{-1}(W_i)$ ,  $i = 1, 2$ , and set

$$D = \{u \in E_1 \epsilon E_2 : u(V_1^0) \subseteq V_2\}.$$

Then  $D$  is a neighborhood of zero in  $E_1 \epsilon E_2$  and  $T(D) \subseteq U$ . This proves that  $T$  is continuous.

2) Assume that both  $T_1$  and  $T_2$  are nuclear. There are null sequences  $(\lambda_i)$ ,  $(\mu_i)$  in  $\mathbf{K}$ , bounded sequences  $(y_i)$  and  $(w_i)$  in  $F_1$ ,  $F_2$ , respectively, and equicontinuous sequences  $(f_i)$ ,  $(g_i)$  in  $E'_1$  and  $E'_2$  such that

$$T_1 x = \sum_i \lambda_i f_i(x) y_i, \quad T_2 z = \sum_j \mu_j g_j(z) w_j.$$

As it is shown in the proof of proposition 4.12, we have

$$T'_1 y' = \sum_i \lambda_i y'(y_i) f_i, \quad y' \in F'_1,$$

where the series converges in  $(E'_1)_{\infty}$ . Thus, for  $u \in E_1 \in E_2$  and  $y' \in F'_1$ , we have

$$\begin{aligned} \langle Tu, y' \rangle &= \langle T_2 u, \sum_i \lambda_i y'(y_i) f_i \rangle = \sum_i \lambda_i y'(y_i) T_2(u(f_i)) \\ &= \sum_i \lambda_i y'(y_i) \left( \sum_j \mu_j g_j(u(f_i)) w_j \right). \end{aligned}$$

Let  $v_{ij} \in F_1 \epsilon F_2$ ,  $v_{ij}(y') = y'(y_i) w_j$ . The double sequence  $(v_{ij})$  is bounded in  $F_1 \epsilon F_2$ . Indeed, let  $W$  and  $V$  be convex neighborhoods of zero in  $F_2$  and  $F_1$  respectively. Set

$$D = \{v \in F_1 \epsilon F_2 : v(V^0) \subset W\}.$$

Let  $\mu \in \mathbf{K}$  be such that  $y_i \in \mu V$  and  $w_j \in \mu W$  for all  $i, j$ . Now, for  $y' \in V^0$ , we have

$$v_{ij}(y') = y'(y_i) w_j \in \mu^2 W$$

which proves that  $v_{ij} \in \mu^2 D$ . Also, let

$$h_{ij} : E_1 \epsilon E_2 \mapsto \mathbf{K}, \quad h_{ij}(u) = g_j(u(f_i)).$$

The double sequence  $(h_{ij})$  is equicontinuous in  $(E_1 \epsilon E_2)'$ . Indeed, let  $V_1, W_1$  be convex neighborhoods of zero in  $E_1, E_2$ , respectively, such that  $f_i \in V_1^0$  and  $g_j \in W_1^0$  for all  $i, j$ . If

$$D_1 = \{u \in E_1 \epsilon E_2 : u(V_1^0) \subseteq W_1\},$$

then  $h_{ij} \in D_1^0$ .

Let now  $\sigma = \sigma_1 \times \sigma_2 : \mathbf{N} \mapsto \mathbf{N} \times \mathbf{N}$  be any bijection. Set

$$\gamma_n = \lambda_{\sigma_1(n)} \mu_{\sigma_2(n)}, g_n(u) = h_{\sigma_1(n)\sigma_2(n)}, \phi_n = v_{\sigma_1(n)\sigma_2(n)}.$$

We will show that

$$Tu = \sum_{n=1}^{\infty} \gamma_n g_n(u) \phi_n,$$

where the series converges in  $F_1 \epsilon F_2$ . To this end, we may assume that  $|\lambda_i|, |\mu_j| \leq 1$  for all  $i, j$ . Let  $V, W, V_1, W_1, D$  and  $\mu$  be as above. For  $y' \in V^0$ , we have  $|y'(y_i)| \leq |\mu|$  for all  $i$ . By Proposition 5.4, the set  $A = u(V_1^0)$  is compactoid and hence bounded in  $E_2$ . Since  $g_j \in W_1^0$  and  $f_i \in V_1^0$ , there exists  $\gamma \in K$  such that  $|g_j(u(f_i))| \leq |\gamma|$  for all  $i, j$ . It is now clear that there exists  $n_0$  such that if either  $i \geq n_0$  or  $j \geq n_0$ , then

$$\lambda_i y'(y_i) \mu_j g_j(u(f_i)) w_j \in W$$

for all  $y' \in V^0$ . Since  $W$  is closed, we get that  $\lambda_i y'(y_i) T_2(u(f_i)) \in W$ , for  $i > n_0$ , and so, for  $y' \in V^0$ , we have

$$\langle Tu, y' \rangle = \sum_{i=1}^{n_0} \lambda_i y'(y_i) T_2(u(f_i)) + v, v \in W.$$

For an analogous reason, we get that

$$\langle Tu, y' \rangle = \sum_{i=1}^{n_0} \sum_{j=1}^{n_0} \lambda_i y'(y_i) \mu_j g_j(u(f_i)) w_j + v_1$$

with  $v_1 \in W$ . Let now  $m_0$  be such that  $\sigma_1(n) > n_0$  or  $\sigma_2(n) > n_0$  if  $n \geq m_0$ . It is easy to see that for  $n \geq m_0$  we have

$$\sum_{\kappa=1}^n \gamma_{\kappa} g_{\kappa}(u) \phi_{\kappa}(y') - \sum_{i=1}^{n_0} \sum_{j=1}^{n_0} \lambda_i \mu_j h_{ij}(u) v_{ij}(y') \in W$$

and so

$$\langle Tu, y' \rangle - \sum_{\kappa=1}^n \gamma_{\kappa} g_{\kappa}(u) \phi_{\kappa}(y') \in W$$

for all  $y' \in V^0$ , i.e.

$$Tu - \sum_{\kappa=1}^n \gamma_{\kappa} g_{\kappa}(u) \phi_{\kappa} \in D$$

for  $n \geq m_0$ . This clearly completes the proof.

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