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## The electrostatic energy of a lattice of point charges

by

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**ABSTRACT.** — By a correct application of Poisson's formula, the Born-Landé expression (of the electrostatic lattice energy) is related to the Ewald energy. A general expression of the Ewald energy is given. The limit volumic energy is defined and related to the Born-Landé expression and to the Ewald energy. With the help of Plancherel's theorem and Poisson's formula, the results are given in two forms: in the usual space and in the dual space. Precise conditions about the existence of the energies and the validity of the results, are specified. Owing to the long-range  $\frac{1}{r}$  dependence of the electrostatic energy, the volumic electrostatic energy depends on the atomic configuration of the surface of the crystal (its minimum—for a suitable choice of the surface—being the Ewald energy).

**RÉSUMÉ.** — Par une application correcte de la formule de Poisson, l'expression de Born et Landé (pour l'énergie électrostatique de réseau) est reliée à l'énergie d'Ewald. Une expression générale de l'énergie d'Ewald est donnée. L'énergie volumique limite est définie et reliée à l'expression de Born et Landé et à l'énergie d'Ewald. A l'aide du théorème de Plancherel et de la formule de Poisson, les résultats sont exprimés sous deux formes : dans l'espace habituel et dans l'espace dual. Les conditions concernant l'existence des énergies et la validité des résultats, sont précisées. A cause de la décroissance lente en  $\frac{1}{r}$  de l'énergie électrostatique avec la distance, l'énergie électrostatique volumique dépend de la configuration atomique de la surface du cristal (son minimum — pour un choix convenable de la surface — étant l'énergie d'Ewald).

### 1. GENERAL INTRODUCTION

We consider a crystal lattice of point charges (these may represent the ions of an ionic crystal). There exists a finite set of point charges  $S$  (origin cell) and a basis  $\mathcal{B} = \{ \vec{a}_1, \vec{a}_2, \vec{a}_3 \}$  (cell basis) of the euclidean space  $E$  such that:

- the positions of all the point charges are represented by the vectors  $\vec{n} + \vec{s}$ , where  $\vec{n}$  belongs to the lattice  $L = \mathbb{Z}\vec{a}_1 + \mathbb{Z}\vec{a}_2 + \mathbb{Z}\vec{a}_3$  and  $\vec{s} \in S$ ; (1)
- the representation  $(\vec{n}, \vec{s})$  of each point charge is unique; (2)
- the electric charge at  $(\vec{n}, \vec{s})$  does not depend on the lattice vector  $\vec{n}$ , and is denoted by  $q_{\vec{s}}$ ; (3)
- $S$  is electrically neutral:

$$\sum_{\vec{s} \in S} q_{\vec{s}} = 0. \tag{4}$$

*Note that.* — *i)* for a given crystal, the cell  $S$  and the cell basis  $\mathcal{B}$  (defined as above) are not unique (see fig. 1); *ii)*  $S$  is not necessarily included in a cell parallelepiped  $C = [0, 1[\vec{a}_1 + [0, 1[\vec{a}_2 + [0, 1[\vec{a}_3$  (with arbitrary origin).

The electrostatic energy of the crystal may be written as

$$\begin{aligned} \mathcal{E} &= \sum_{\substack{\{\vec{n} + \vec{s}, \vec{p} + \vec{t}\} \\ \vec{n} + \vec{s} \neq \vec{p} + \vec{t}}} \frac{q_{\vec{s}} q_{\vec{t}}}{\| \vec{n} + \vec{s} - \vec{p} - \vec{t} \|} = \frac{1}{2} \sum_{\vec{n}} \sum_{\substack{\vec{p} \\ \vec{n} + \vec{s} \neq \vec{p} + \vec{t}}} \sum_{\vec{s}} \sum_{\vec{t}} \frac{q_{\vec{s}} q_{\vec{t}}}{\| \vec{n} + \vec{s} - \vec{p} - \vec{t} \|} \tag{5} \\ &= \frac{1}{2} \sum_{\vec{p}} \sum_{\substack{\vec{m} \\ \vec{m} + \vec{s} \neq \vec{t}}} \sum_{\vec{s}} \sum_{\vec{t}} \frac{q_{\vec{s}} q_{\vec{t}}}{\| \vec{m} + \vec{s} - \vec{t} \|}, \end{aligned}$$

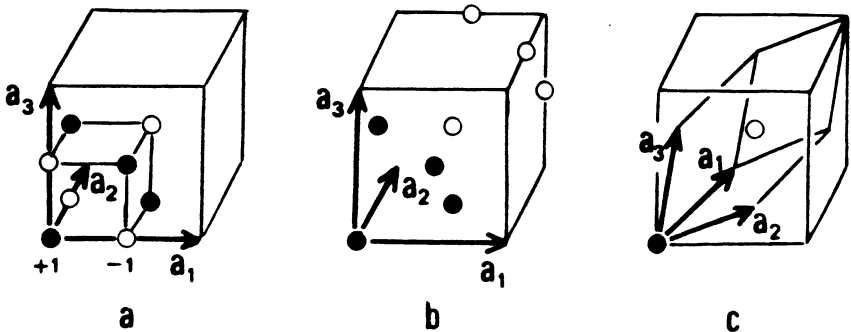


FIG. 1. — Three cells  $(S, \mathcal{B})$ —as defined by (1) to (4)—for NaCl. In each case (*a*, *b* or *c*), the ions of  $S$  are indicated by white and black circles, and  $\mathcal{B} = \{ \vec{a}_1, \vec{a}_2, \vec{a}_3 \}$ . Only the cell of case *a* has a dipole moment  $\vec{M}$  equal to  $\vec{O}$ .

where:  $\vec{n}, \vec{p}, \vec{m} \in L$  (lattice vectors);  $\vec{m} = \vec{n} - \vec{p}$ ;  $\vec{s}, \vec{t} \in S$ . If  $\vec{m}$  runs over the whole lattice  $L$ , we have

$$\mathcal{E} = \frac{1}{2} N \sum_{\substack{\vec{m} \\ \vec{m} + \vec{s} \neq \vec{t}}} \sum_{\vec{s}} \sum_{\vec{t}} \frac{q_{\vec{s}} q_{\vec{t}}}{\|\vec{m} + \vec{s} - \vec{t}\|}$$

where  $N$  is the number of cells. We are thus led to the following expression of the energy per cell:

$$E_0 = \frac{1}{2} \sum_{\vec{m} \in L} \sum_{\substack{\vec{s} \in S \\ \vec{m} + \vec{s} \neq \vec{t}}} \sum_{\vec{t} \in S} \frac{q_{\vec{s}} q_{\vec{t}}}{\|\vec{m} + \vec{s} - \vec{t}\|}, \tag{6}$$

which was first proposed by Born and Landé [1]. After the particular calculation of Madelung [2], the first general result is that of Ewald [3], which may be written in the energy form

$$E_0 = E_E, \tag{7}$$

the « Ewald energy »  $E_E$  being expressed in the form:  $E_E = E_1 - E_2 + E_3$ , where  $E_1$  is a sum in the dual lattice  $L^*$ ,  $E_2$  a finite sum, and  $E_3$  a sum in  $L$ . Bertaut [4] obtained the same result (7), but with a more general expression for  $E_E$ .

Nevertheless, there are two errors in the preceding considerations:

1) In the Born-Landé expression  $E_0$ , the sum on  $\vec{m}$  is generally not absolutely convergent: its value depends on the mode of summation on  $\vec{m}$ , which must be precised. Ewald's equality (7) is not correct and must be replaced by

$$E_0 = E_E + E'$$

where  $E'$  depends on the mode of summation on  $\vec{m}$  ([5]: general lattice and ellipsoidal mode of summation,  $E'$  deduced from the potential; [6]: cubic lattice and spherical mode of summation; [7] [9] [10] and the present paper: general expressions).

2) The Born-Landé sum  $E_0$  generally does not represent the energy per cell. We may note that the above considerations leading to (6) are contradictory: the crystal is supposed to be at the same time infinite ( $\vec{m}$  runs over the whole lattice) and finite ( $N$  cells). A correct definition is that of the « limit energy per cell »  $E$  [9]; it is the limit of the energy of a finite crystal (formed by a finite number of cells) divided by its number of cells, when this number tends towards  $+\infty$ :

$$E = \frac{1}{2} \lim_{k \rightarrow +\infty} \frac{1}{\text{card } A_k} \sum_{\substack{\vec{n} \in A_k \\ \vec{n} + \vec{s} \neq \vec{p} + \vec{t}}} \sum_{\vec{p} \in A_k} \sum_{\vec{s} \in S} \sum_{\vec{t} \in S} \frac{q_{\vec{s}} q_{\vec{t}}}{\|\vec{n} + \vec{s} - \vec{p} - \vec{t}\|} \tag{8}$$

(according to (5); each  $A_k + S$  represents a finite crystal,  $A_k$  being a finite subset of  $L$ ; expressions of  $E$  are given in [7 to 10] and the present paper).

This paper presents the proof of our results [9] [10]. Section 2 introduces the method. We apply Poisson's formula to a sequence of functions  $f_N$  (sections 3 to 5) and take the limit of this formula when  $N \rightarrow +\infty$  (sections 6 and 7). We obtain the relation between the Born-Landé expression  $E_0$  and a generalized form of the Ewald energy  $E_E$  (section 7). This result is written in an integral form in section 8. With the help of Plancherel's theorem and Poisson's formula relative to the Dirac measure, a dual form of the result is obtained (section 9). The limit energy per cell  $E$  is then related to the Born-Landé expression  $E_0$  (section 10; integral form in section 11). By application of Plancherel's theorem and Poisson's formula relative to the Dirac measure, a dual form of this result is given (sections 12 and 13). In section 14, these results are illustrated with the example of the spherical crystal. Section 15 summarizes the results.

## 2. INTRODUCTION TO THE METHOD USED

Our method consists of a correct application of Poisson's formula to a sequence of functions  $f_N$ , and then to take the limit when  $N \rightarrow +\infty$ . In order to define the functions  $f_N$ , we need to recall (very briefly) Bertaut's method [4]:

Bertaut considers the virtual charge density

$$\rho(\vec{x}) = \sum_{\vec{m} \in L} \sum_{\vec{s} \in S} q_{\vec{s}} \sigma(\vec{x} - \vec{m} - \vec{s})$$

and the corresponding « total energy »

$$\begin{aligned} E_t &= \frac{1}{2} \int_{\vec{x} \in C} \frac{\rho(\vec{x}) \rho(\vec{x} + \vec{u})}{\|\vec{u}\|} d\vec{x} d\vec{u} \\ &= \frac{1}{2} \int \frac{P(\vec{u})}{\|\vec{u}\|} d\vec{u} \end{aligned}$$

where

$$P(\vec{u}) = \int_C \rho(\vec{x}) \rho(\vec{x} + \vec{u}) d\vec{x}$$

and  $\sigma$  satisfies:

$$\begin{aligned} \sigma(\vec{x}) &\text{ depends only on } \|\vec{x}\|; \\ \sigma &\geq 0; \\ \sigma &\in \mathcal{L}^1(E) \quad \text{and} \quad \int \sigma(\vec{x}) d\vec{x} = 1. \end{aligned} \quad (9)$$

Then, he writes  $P(\vec{u})$  in two different forms, in the dual lattice  $L^*$  (with the help of its Fourier series)

$$P(\vec{u}) = \frac{1}{V} \sum_{\vec{h} \in L^*} |F(\vec{h})\varphi(\vec{h})|^2 e^{2\pi i \vec{h} \cdot \vec{u}},$$

and in the lattice  $L$

$$P(\vec{u}) = \sum_{\vec{s} \in S} q_{\vec{s}}^2 p(\vec{u}) + \sum_{\vec{m} \in L} \sum_{\substack{\vec{s} \in S \\ \vec{m} + \vec{s} \neq \vec{t}}} \sum_{\vec{t} \in S} q_{\vec{s}} q_{\vec{t}} p(\vec{u} - \vec{m} - \vec{s} + \vec{t}),$$

where:  $V$  is the volume of the cell parallelepiped

$$C = [0, 1[\vec{a}_1 + [0, 1[\vec{a}_2 + [0, 1[\vec{a}_3; L^* = \mathbb{Z}\vec{a}_1^* + \mathbb{Z}\vec{a}_2^* + \mathbb{Z}\vec{a}_3^*,$$

the dual basis  $\mathcal{B}^* = \{\vec{a}_1^*, \vec{a}_2^*, \vec{a}_3^*\}$  being defined by:  $\vec{a}_i \cdot \vec{a}_j^* = \delta_{ij}$  (Kronecker delta);

$$F(\vec{h}) = \sum_{\vec{s} \in S} q_{\vec{s}} e^{-2\pi i \vec{h} \cdot \vec{s}};$$

$$p = \sigma * \sigma \quad (\text{convolution product});$$

$$\varphi = \mathcal{F}\sigma \quad (\text{Fourier transform}). \tag{10}$$

Thus, he obtains two expressions for  $E_t$ , one in  $L^*$ :

$$E_t = E_1$$

where

$$E_1 = \frac{1}{2\pi V} \sum_{\vec{h} \in L^* \setminus \{\vec{0}\}} \frac{|F(\vec{h})\varphi(\vec{h})|^2}{h^2},$$

and the other in  $L$ :

$$E_t = E_2 + E_3$$

where

$$E_2 = \frac{1}{2} \sum_{\vec{s} \in S} q_{\vec{s}}^2 \int \frac{p(\vec{u})}{\|\vec{u}\|} d\vec{u}$$

$$= \frac{1}{2\pi} \sum_{\vec{s} \in S} q_{\vec{s}}^2 \int \frac{(\varphi(\vec{h}))^2}{h^2} d\vec{h},$$

$$E_3 = \frac{1}{2} \sum_{\vec{m} \in L} \sum_{\substack{\vec{s} \in S \\ \vec{m} + \vec{s} \neq \vec{t}}} \sum_{\vec{t} \in S} q_{\vec{s}} q_{\vec{t}} \int \frac{p(\vec{u})}{\|\vec{m} + \vec{s} - \vec{t} + \vec{u}\|} d\vec{u}$$

$$= \frac{1}{2\pi} \sum_{\vec{m} \in L} \sum_{\substack{\vec{s} \in S \\ \vec{m} + \vec{s} \neq \vec{t}}} \sum_{\vec{t} \in S} q_{\vec{s}} q_{\vec{t}} \int \frac{(\varphi(\vec{h}))^2}{h^2} e^{-2\pi i \vec{h} \cdot (\vec{m} + \vec{s} - \vec{t})} d\vec{h}.$$

Then

$$E_1 = E_2 + E'_3 \quad (11)$$

which leads to  $E_0 = E_E$ , with  $E_E = E_1 - E_2 + E_3$  and  $E_3 = E_0 - E'_3$ . Note that the preceding method is erroneous because  $E_t$  does not exist,

i. e.  $\frac{P(\vec{u})}{\|\vec{u}\|}$  is not integrable, according to

$$\int \frac{|P(\vec{u})|}{\|\vec{u}\|} d\vec{u} \geq \int_C |P(\vec{u})| d\vec{u} \cdot \sum_{\vec{m} \in L} \frac{1}{\|\vec{m}\| + \gamma} = +\infty$$

(if  $P \neq 0$ ;  $\gamma = \sup_{\vec{x} \in C} \|\vec{x}\|$ ). Nevertheless, this method shows that Ewald's equality  $E_0 = E_E$  is equivalent to the equality (11), in which we recognize Poisson's formula. Indeed

$$E_2 + E'_3 = \frac{1}{2\pi} \sum_{\vec{m} \in L} \int \frac{|F(\vec{h})\varphi(\vec{h})|^2}{\vec{h}^2} e^{-2\pi i \vec{h} \cdot \vec{m}} d\vec{h},$$

so that (11) represents Poisson's formula

$$\sum_{\vec{h} \in L^*} f(\vec{h}) = V \sum_{\vec{m} \in L} \mathcal{F} f(\vec{m}) \quad (12)$$

applied to the function  $f$ :

$$f(\vec{h}) = \frac{|F(\vec{h})\varphi(\vec{h})|^2}{\vec{h}^2}, \quad \vec{h} \neq \vec{0}$$

$$f(\vec{0}) = 0.$$

However, if the dipole moment of the cell

$$\vec{M} = \sum_{\vec{s} \in S} q_{\vec{s}} \vec{s}$$

is not equal to  $\vec{0}$ , the limit

$$\lim_{\zeta \rightarrow 0^+} \frac{F(\zeta \vec{h})}{\|\zeta \vec{h}\|} = 2\pi i \frac{\vec{M} \cdot \vec{h}}{\|\vec{h}\|}$$

(Taylor's formula applied to  $F$ ) shows that  $f$  is not continuous at  $\vec{h} = \vec{0}$ , so that Poisson's formula cannot be applied to  $f$  (and the result of section 7 shows that (11)-(12) is not correct).

Before introducing the sequence of functions  $f_N$ , let us generalize the

problem. We replace the conditions (9) and (10) by the more general conditions (without  $\sigma$  and without sign condition):

$$\begin{aligned} p &\text{ is an application: } E \rightarrow \mathbb{C}; \\ p &\in \mathcal{L}^1(E); \\ \int p(\vec{u})d\vec{u} &= 1; \\ p(\vec{u}) &\text{ depends only on } \|\vec{u}\|; \\ \psi &= \mathcal{F}p; \end{aligned} \tag{13}$$

$f$  is now defined by:

$$\begin{aligned} f(\vec{h}) &= \frac{|F(\vec{h})|^2 \psi(\vec{h})}{\vec{h}^2}, \quad \vec{h} \neq \vec{0} \\ f(\vec{0}) &= 0. \end{aligned}$$

Then, we introduce the functions  $f_N$

$$f_N(\vec{h}) = (1 - e^{-N\|\vec{h}\|})f(\vec{h})$$

which are continuous on  $E$  and satisfy, for any  $\vec{h}$

$$\lim_{N \rightarrow +\infty} f_N(\vec{h}) = f(\vec{h}).$$

In order to apply correctly Poisson's formula to  $f_N$ , we have to prove that the following conditions are satisfied:

- 1)  $f_N \in \mathcal{L}^1(E)$ ;
- 2) the restriction to  $L$  of the Fourier transform  $\mathcal{F}f_N$ , belongs to  $\mathcal{L}^1(L)$ ;
- 3) for all  $\vec{x} \in E$ , the function  $\vec{h} \rightarrow f_N(\vec{x} + \vec{h})$  on  $L^*$  belongs to  $\mathcal{L}^1(L^*)$ ;
- 4) the function  $\vec{x} \rightarrow \sum_{\vec{h} \in L^*} f_N(\vec{x} + \vec{h})$  is continuous on  $E$  (see [11], section 12).

### 3. THE CONDITIONS 1°, 3° AND 4° OF POISSON'S FORMULA

Since  $\frac{F(\vec{h})}{\|\vec{h}\|}$  is bounded (Taylor's formula applied to  $F$ ), the functions  $f$  and  $f_N$  belong to  $\mathcal{L}^1(E)$  if we suppose that

$$\psi \in \mathcal{L}^1(E). \tag{14}$$

According to the integrability of  $p$ , condition (14) is equivalent to:  $p$  is almost everywhere equal to a function of  $\mathcal{P}(E)$  (space of linear combi-



nations of continuous functions « of positive type »; see [11], sections 8 and 10). In the following, we identify  $p$  with that function of  $\mathcal{P}(E)$ :

$$p \in \mathcal{P}(E). \quad (15)$$

Let us suppose that

for all  $\vec{x}_0 \in E$ , there are  $r > 0$  and  $g \in \mathcal{L}^1(L^*)$ ,

such that, for all  $\vec{x} \in E$  and  $\vec{h} \in L^*$ ,

$$\|\vec{x} - \vec{x}_0\| \leq r \text{ implies } |\psi(\vec{x} + \vec{h})| \leq g(\vec{h}). \quad (16)$$

This implies that the function  $\vec{h} \rightarrow \psi(\vec{x}_0 + \vec{h})$  on  $L^*$  belongs to  $\mathcal{L}^1(L^*)$ , so that  $f$  and  $f_N$  satisfy the condition 3) of Poisson's formula. With the help of this condition, together with the continuity of  $f_N$  and (16), the application of Lebesgue's dominated convergence theorem shows that the condition 4) of Poisson's formula is satisfied by  $f_N$ .

Finally, under the conditions (13), (14) and (16), the functions  $f_N$  satisfy the three conditions 1), 3) and 4) of Poisson's formula.

A sufficient condition for (14) and (16) is:

$$\text{there is } \beta > 3 \text{ such that } \|\vec{h}\|^\beta \psi(\vec{h}) \text{ is bounded.} \quad (17)$$

Note that all these conditions are satisfied by Ewald's example [3]

$$\begin{aligned} p(\vec{u}) &= \frac{H^3}{\pi^{3/2}} e^{-H^2 \vec{u}^2} \\ \psi(\vec{h}) &= e^{-\frac{\pi^2 \vec{h}^2}{H^2}} \end{aligned} \quad (18)$$

and by Bertaut's example [4]

$$\begin{aligned} p(\vec{u}) &= \frac{3}{8\pi R^6} \left( 2R^3 - \frac{3R^2 \|\vec{u}\|}{2} + \frac{\|\vec{u}\|^3}{8} \right) \text{ if } \|\vec{u}\| \leq 2R \\ p(\vec{u}) &= 0 \text{ if } \|\vec{u}\| > 2R, \\ \psi(\vec{h}) &= \frac{9 (\sin \sigma - \sigma \cos \sigma)^2}{\sigma^6}, \quad \sigma = 2\pi R \|\vec{h}\|, \quad \vec{h} \neq \vec{0} \\ \psi(\vec{0}) &= 1 \end{aligned} \quad (19)$$

(used by Bertaut in the case  $2R \leq \inf_{\vec{m} + \vec{s} \neq \vec{t}} \|\vec{m} + \vec{s} - \vec{t}\|$ ).

#### 4. THE CONDITION 2° OF POISSON'S FORMULA

We define

$$g_N(\vec{h}) = e^{-N\|\vec{h}\|} f(\vec{h}),$$

so that

$$\begin{aligned} f_N &= f - g_N \\ \mathcal{F}f_N &= \mathcal{F}f - \mathcal{F}g_N. \end{aligned}$$

4.1. The term  $\mathcal{F}f(\vec{m})$ .

$$\mathcal{F}f(\vec{m}) = \sum_{\vec{s}} \sum_{\vec{t}} q_{\vec{s}}q_{\vec{t}} \int \frac{\psi(\vec{h})}{h^2} e^{-2\pi i \vec{h} \cdot (\vec{m} + \vec{s} - \vec{t})} d\vec{h},$$

because  $\frac{\psi(\vec{h})}{h^2}$  is integrable. Moreover,

$$\int \frac{\psi(\vec{h})}{h^2} e^{-2\pi i \vec{h} \cdot \vec{x}} d\vec{h} = \pi \int \frac{p(\vec{u})}{\|\vec{x} + \vec{u}\|} d\vec{u} = \begin{cases} \frac{\pi}{\|\vec{x}\|} \left(1 - \int_{\|\vec{x}\|}^{+\infty} 4\pi u(u - \|\vec{x}\|) p(u) du\right) & \text{if } \vec{x} \neq \vec{0}, \\ \pi \int_0^{+\infty} 4\pi u p(u) du & \text{if } \vec{x} = \vec{0} \end{cases} \quad (20)$$

(appendix 1;  $u = \|\vec{u}\|$  and  $p(u)$  is written for  $p(\vec{u})$ ). Then,

$$\mathcal{F}f(\vec{m}) = \begin{cases} \pi \sum_{\vec{s}} \sum_{\vec{t}} \frac{q_{\vec{s}}q_{\vec{t}}}{\|\vec{m} + \vec{s} - \vec{t}\|} \\ -\pi \sum_{\vec{s}} \sum_{\vec{t}} \frac{q_{\vec{s}}q_{\vec{t}}}{\|\vec{m} + \vec{s} - \vec{t}\|} \int_{\|\vec{m} + \vec{s} - \vec{t}\|}^{+\infty} 4\pi u(u - \|\vec{m} + \vec{s} - \vec{t}\|) p(u) du, \end{cases}$$

if  $\vec{m} \neq \vec{0}$ . Taylor's formula at the third order applied to the function  $\frac{1}{\|\vec{x}\|}$ , leads to:

$$\sum_{\vec{s}} \sum_{\vec{t}} \frac{q_{\vec{s}}q_{\vec{t}}}{\|\vec{m} + \vec{s} - \vec{t}\|} = \vec{M}^2 \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3} + A(\vec{m}) \quad (21)$$

for all  $\vec{m} \neq \vec{0}$ , with

$$A(\vec{m}) = \sum_{\vec{s}} \sum_{\vec{t}} q_{\vec{s}}q_{\vec{t}} \left\{ -\frac{5[\vec{x}' \cdot (\vec{s} - \vec{t})]^3}{2\|\vec{x}'\|^7} + \frac{3[\vec{x}' \cdot (\vec{s} - \vec{t})](\vec{s} - \vec{t})^2}{2\|\vec{x}'\|^5} \right\}$$

if  $\|\vec{m}\| > \delta = \sup_{\vec{s}, \vec{t}} \|\vec{s} - \vec{t}\|$ ;  $\theta_m$  is the angle  $(\vec{M}, \vec{m})$  and  $\vec{x}' = \vec{m} + \zeta(\vec{s} - \vec{t})$ ,

$0 < \zeta < 1$ . Since

$$|A(\vec{m})| \leq \sum_{\vec{s}} \sum_{\vec{t}} |q_{\vec{s}}q_{\vec{t}}| \|\vec{s} - \vec{t}\|^3 \frac{4}{(\|\vec{m}\| - \delta)^4}$$

for  $\|\vec{m}\| > \delta$ , the family  $(A(\vec{m}))$  is absolutely summable. But, if  $\vec{M} \neq \vec{0}$ , the family  $\left(\frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3}\right)$  is not absolutely summable (its sum depends

on the mode of summation; [9], section 4, « Calculation of  $E_0$  »: the values of  $E_5$  and  $E_5^0$  are different). With respect to the second term in  $\mathcal{F}f(\vec{m})$ , a sufficient condition for its absolute summability (on  $\vec{m}$ ) is:

$$\text{there is } \beta' > 3 \text{ such that } \|\vec{u}\|^{\beta'} p(\vec{u}) \text{ is bounded.} \quad (22)$$

(appendix 2). Note that this condition is satisfied by the examples (18)-(19) of Ewald and Bertaut.

In the particular case  $\vec{M} = \vec{0}$ , ( $\mathcal{F}f(\vec{m})$ ) is absolutely summable, and the proof is completed: indeed,  $f$  is continuous and satisfy the four conditions of Poisson's formula; then, this formula may directly be applied to  $f$ . In the following, we suppose  $\vec{M} \neq \vec{0}$ .

#### 4.2. The term $\mathcal{F}g_N(\vec{m})$ .

$$\begin{aligned} \mathcal{F}g_N(\vec{m}) &= \sum_{\vec{s}} \sum_{\vec{t}} q_{\vec{s}} q_{\vec{t}} \int e^{-N\|\vec{h}\|} \frac{\psi(\vec{h})}{h^2} e^{-2\pi i \vec{h} \cdot (\vec{m} + \vec{s} - \vec{t})} d\vec{h} \\ &= \sum_{\vec{s}} \sum_{\vec{t}} q_{\vec{s}} q_{\vec{t}} \int p(\vec{u}) d\vec{u} \int \frac{e^{-N\|\vec{h}\|}}{h^2} e^{-2\pi i \vec{h} \cdot (\vec{m} + \vec{s} - \vec{t} + \vec{u})} d\vec{h}, \end{aligned}$$

by the Lebesgue-Fubini theorem. The integral on  $\vec{h}$  may easily be calculated with  $\vec{x} = \vec{m} + \vec{s} - \vec{t} + \vec{u}$  as polar axis:

$$\int \frac{e^{-N\|\vec{h}\|}}{h^2} e^{-2\pi i \vec{h} \cdot \vec{x}} d\vec{h} = \frac{4\pi}{2\pi \|\vec{x}\|} \int_0^{+\infty} \exp\left(-\frac{N\xi}{2\pi \|\vec{x}\|}\right) \frac{\sin \xi}{\xi} d\xi.$$

With the help of

$$\int_0^{+\infty} e^{-\frac{\xi}{r}} \frac{\sin \xi}{\xi} d\xi = \text{Arctan } r \quad r > 0$$

(appendix 3), we obtain

$$\begin{aligned} \mathcal{F}g_N(\vec{m}) &= 4\pi \sum_{\vec{s}} \sum_{\vec{t}} q_{\vec{s}} q_{\vec{t}} \int p(\vec{u}) \frac{\text{Arctan}\left(\frac{2\pi}{N} \|\vec{m} + \vec{s} - \vec{t} + \vec{u}\|\right)}{2\pi \|\vec{m} + \vec{s} - \vec{t} + \vec{u}\|} d\vec{u} \quad (23) \\ &= \frac{4\pi}{N} \int p(\vec{u}) G_N(\vec{m}, \vec{u}) d\vec{u} \end{aligned}$$

where

$$G_N(\vec{m}, \vec{u}) = \sum_{\vec{s}} \sum_{\vec{t}} q_{\vec{s}} q_{\vec{t}} \frac{\text{Arctan}\left(\frac{2\pi}{N} \|\vec{m} + \vec{s} - \vec{t} + \vec{u}\|\right)}{\frac{2\pi}{N} \|\vec{m} + \vec{s} - \vec{t} + \vec{u}\|}.$$

With the help of the new assumption

$$p(\vec{u}) = 0 \quad \text{if} \quad \|\vec{u}\| \geq d, \tag{24}$$

we shall suppose that  $\|\vec{u}\| < d$ . Taylor's formula at the fourth order applied to the function  $\frac{\text{Arctan} \|\vec{x}\|}{\|\vec{x}\|}$ , leads to:

$$G_N(\vec{m}, \vec{u}) = G_N^{(2)}(\vec{m}) + G_N^{(3)}(\vec{m}) \cdot \vec{u} + G_N^{(4)}(\vec{m}, \vec{u})$$

for all  $\vec{m} \neq \vec{0}$ , with

$$G_N^{(2)}(\vec{m}) = -\left(\frac{2\pi}{N}\right)^2 \left[ \left( -\frac{3}{r^4(r^2+1)} - \frac{2}{r^2(r^2+1)^2} + \frac{3 \text{Arctan } r}{r^5} \right) \left(\frac{2\pi}{N}\right)^2 (\vec{M} \cdot \vec{m})^2 + \left( \frac{1}{r^2(r^2+1)} - \frac{\text{Arctan } r}{r^3} \right) \vec{M}^2 \right];$$

$$G_N^{(3)}(\vec{m}) \cdot \vec{u} = -\left(\frac{2\pi}{N}\right)^4$$

$$\left\{ \begin{aligned} & \left( \frac{15}{r^6(r^2+1)} + \frac{10}{r^4(r^2+1)^2} + \frac{8}{r^2(r^2+1)^3} - \frac{15 \text{Arctan } r}{r^7} \right) \left(\frac{2\pi}{N}\right)^2 (\vec{m} \cdot \vec{u})(\vec{M} \cdot \vec{m})^2 \\ & + \left( -\frac{3}{r^4(r^2+1)} - \frac{2}{r^2(r^2+1)^2} + \frac{3 \text{Arctan } r}{r^5} \right) [2(\vec{M} \cdot \vec{u})(\vec{M} \cdot \vec{m}) + \vec{M}^2(\vec{m} \cdot \vec{u})]; \end{aligned} \right\}$$

and, if  $\|\vec{m}\| > \delta + d$

$$G_N^{(4)}(\vec{m}, \vec{u}) = \frac{1}{4!} \sum_{\vec{s}} \sum_{\vec{t}} q_{\vec{s}} q_{\vec{t}}$$

$$\left\{ \begin{aligned} & \left( -\frac{105}{r'^8(r^2+1)} - \frac{70}{r'^6(r^2+1)^2} - \frac{56}{r'^4(r^2+1)^3} - \frac{48}{r'^2(r^2+1)^4} + \frac{105 \text{Arctan } r'}{r'^9} \right) (\vec{x}' \cdot \vec{k})^4 \\ & + \left( \frac{15}{r'^6(r^2+1)} + \frac{10}{r'^4(r^2+1)^2} + \frac{8}{r'^2(r^2+1)^3} - \frac{15 \text{Arctan } r'}{r'^7} \right) 6(\vec{x}' \cdot \vec{k})^2 \vec{k}^2 \\ & + \left( -\frac{3}{r'^4(r^2+1)} - \frac{2}{r'^2(r^2+1)^2} + \frac{3 \text{Arctan } r'}{r'^5} \right) 3 \|\vec{k}\|^4 \end{aligned} \right\}$$

notations:

$$\vec{x} = \frac{2\pi}{N} \vec{m}, r = \|\vec{x}\|, \vec{k} = \frac{2\pi}{N} (\vec{s} - \vec{t} + \vec{u}), \vec{x}' = \vec{x} + \zeta \vec{k}, \quad 0 < \zeta < 1, \quad r' = \|\vec{x}'\|.$$

Since

$$\int p(\vec{u})G_N^{(2)}(\vec{m})d\vec{u} = G_N^{(2)}(\vec{m})$$

$$\int p(\vec{u})G_N^{(3)}(\vec{m})\cdot\vec{u}d\vec{u} = 0,$$

the function  $\vec{u} \rightarrow p(\vec{u})G_N^{(4)}(\vec{m}, \vec{u})$  is integrable and

$$\mathcal{F}g_N(\vec{m}) = \mathcal{F}g_N^{(2)}(\vec{m}) + \mathcal{F}g_N^{(4)}(\vec{m})$$

for all  $\vec{m} \neq \vec{0}$ , where

$$\mathcal{F}g_N^{(2)}(\vec{m}) = \frac{4\pi}{N} G_N^{(2)}(\vec{m})$$

$$\mathcal{F}g_N^{(4)}(\vec{m}) = \frac{4\pi}{N} \int p(\vec{u})G_N^{(4)}(\vec{m}, \vec{u})d\vec{u}.$$

The inequalities

$$|G_N^{(4)}(\vec{m}, \vec{u})| \leq \frac{1}{4!} \sum_{\vec{s}} \sum_{\vec{t}} |q_{\vec{s}}q_{\vec{t}}| \left( \frac{204}{r'^4(r'^2+1)} + \frac{136}{r'^2(r'^2+1)^2} + \frac{104}{(r'^2+1)^3} \right. \\ \left. + \frac{48r'^2}{(r'^2+1)^4} + \frac{204 \operatorname{Arctan} r'}{r'^5} \right) \left( \frac{2\pi}{N} \right)^4 (\delta+d)^4 \quad (25)$$

$$\leq \frac{1}{4!} \sum_{\vec{s}} \sum_{\vec{t}} |q_{\vec{s}}q_{\vec{t}}| \left( \frac{492}{r'^6} + \frac{102\pi}{r'^5} \right) \left( \frac{2\pi}{N} \right)^4 (\delta+d)^4$$

$$\leq \frac{1}{4!} \sum_{\vec{s}} \sum_{\vec{t}} |q_{\vec{s}}q_{\vec{t}}| (\delta+d)^4 \left( \frac{492}{\left( \frac{2\pi}{N} \right)^2 (\|\vec{m}\| - \delta - d)^6} + \frac{102\pi}{\left( \frac{2\pi}{N} \right) (\|\vec{m}\| - \delta - d)^5} \right)$$

for  $\|\vec{m}\| > \delta + d$ , show that  $(\mathcal{F}g_N^{(4)}(\vec{m}))_{\vec{m}}$  is absolutely summable. The term  $\mathcal{F}g_N^{(2)}(\vec{m})$  may be written

$$\mathcal{F}g_N^{(2)}(\vec{m}) = 2 \left( \frac{2\pi}{N} \right)^3 \vec{M}^2 \left( -\frac{1-3\cos^2\theta_{\vec{m}}}{r^2(r^2+1)} + \frac{2\cos^2\theta_{\vec{m}}}{(r^2+1)^2} + \frac{(1-3\cos^2\theta_{\vec{m}})\operatorname{Arctan} r}{r^3} \right)$$

$$= \mathcal{F}g_N^{(2.1)}(\vec{m}) + \mathcal{F}g_N^{(2.2)}(\vec{m}) + \mathcal{F}g_N^{(2.3)}(\vec{m}) + \mathcal{F}g_N^{(2.4)}(\vec{m})$$

where

$$\mathcal{F}g_N^{(2.1)}(\vec{m}) = -2 \left( \frac{2\pi}{N} \right)^3 \vec{M}^2 \frac{1-3\cos^2\theta_{\vec{m}}}{r^2(r^2+1)}$$

$$\mathcal{F}g_N^{(2.2)}(\vec{m}) = 4 \left( \frac{2\pi}{N} \right)^3 \vec{M}^2 \frac{\cos^2\theta_{\vec{m}}}{(r^2+1)^2}$$

$$\mathcal{F}g_N^{(2.3)}(\vec{m}) = -2 \left( \frac{2\pi}{N} \right)^3 \vec{M}^2 \frac{\left( \frac{\pi}{2} - \operatorname{Arctan} r \right) (1-3\cos^2\theta_{\vec{m}})}{r^3}$$

$$\mathcal{F}g_N^{(2.4)}(\vec{m}) = \pi \vec{M}^2 \frac{1-3\cos^2\theta_{\vec{m}}}{\|\vec{m}\|^3}.$$

$(\mathcal{F}g_N^{(2,1)}(\vec{m}))_{\vec{m}}$  and  $(\mathcal{F}g_N^{(2,2)}(\vec{m}))_{\vec{m}}$  are absolutely summable. According to

$$\frac{\pi}{2} - \text{Arctan } r < \frac{1}{r} \tag{26}$$

(mean value theorem applied to  $\text{Arctan } \frac{1}{x}$ ),  $(\mathcal{F}g_N^{(2,3)}(\vec{m}))_{\vec{m}}$  is also absolutely summable. The last term  $\mathcal{F}g_N^{(2,4)}(\vec{m})$  is the same as that found in the expression of  $\mathcal{F}f(\vec{m})$ , and corresponds to a non absolutely summable family. Nevertheless, this term disappears in the difference  $\mathcal{F}f_N = \mathcal{F}f - \mathcal{F}g_N$ . We may then conclude:  $(\mathcal{F}f_N(\vec{m}))_{\vec{m}}$  is absolutely summable, i. e.  $f_N$  satisfies the condition 2) of Poisson's formula.

### 5. POISSON'S FORMULA

Let us consider a mode of summation for  $\left(\frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3}\right)$ , i. e. an increasing sequence  $(B_k)$  of finite subsets of L, such that  $\bigcup_k B_k = L$  and

$$\sum_{\vec{m} \in B_k \setminus \{\vec{0}\}} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3} \text{ has a finite limit when } k \rightarrow +\infty. \tag{27}$$

According to the preceding sections,  $(B_k)$  is also a mode of summation for  $E_0$ ,  $(\mathcal{F}f(\vec{m}))$  and  $(\mathcal{F}g_N(\vec{m}))$ . Poisson's formula applied to  $f_N$  may then be written:

$$\begin{aligned} \sum_{\vec{h} \in L^*} f_N(\vec{h}) &= V \sum_{\vec{m} \in L} \mathcal{F}f_N(\vec{m}) \\ &= V \lim_{k \rightarrow +\infty} \sum_{\vec{m} \in B_k} \mathcal{F}f(\vec{m}) - V \lim_{k \rightarrow +\infty} \sum_{\vec{m} \in B_k} \mathcal{F}g_N(\vec{m}) \\ &= \left\{ \begin{aligned} &V \lim_{k \rightarrow +\infty} \sum_{\vec{m} \in B_k} \mathcal{F}f(\vec{m}) - V \mathcal{F}g_N(\vec{0}) - V \sum_{\vec{m} \neq \vec{0}} \mathcal{F}g_N^{(2,1)}(\vec{m}) \\ &- V \sum_{\vec{m} \neq \vec{0}} \mathcal{F}g_N^{(2,2)}(\vec{m}) - V \sum_{\vec{m} \neq \vec{0}} \mathcal{F}g_N^{(2,3)}(\vec{m}) \\ &- \pi V M^2 \lim_{k \rightarrow +\infty} \sum_{\vec{m} \in B_k \setminus \{\vec{0}\}} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3} - V \sum_{\vec{m} \neq \vec{0}} \mathcal{F}g_N^{(4)}(\vec{m}). \end{aligned} \right. \end{aligned}$$

**6. LIMIT OF THE DIFFERENT TERMS OF POISSON'S FORMULA WHEN  $N \rightarrow +\infty$**

**6.1. Limit of  $\sum_{\vec{h}} f_N(\vec{h}), \mathcal{F}g_N, \mathcal{F}g_N^{(2)}$  and  $\mathcal{F}g_N^{(4)}$ .**

Since  $|f_N| \leq |f|$  and  $(f(\vec{h}))$  is absolutely summable on  $L^*$ , Lebesgue's dominated convergence theorem may be applied:

$$\lim_{N \rightarrow +\infty} \sum_{\vec{h}} f_N(\vec{h}) = \sum_{\vec{h}} f(\vec{h}).$$

Since  $\vec{u} \rightarrow \frac{|p(\vec{u})|}{\|\vec{m} + \vec{s} - \vec{t} + \vec{u}\|}$  is integrable (appendix 1), the same theorem may be applied to the integral in (23), and shows that

$$\lim_{N \rightarrow +\infty} \mathcal{F}g_N(\vec{m}) = 0 \quad \text{for all } \vec{m}.$$

Since

$$\lim_{N \rightarrow +\infty} \mathcal{F}g_N^{(2)}(\vec{m}) = 0 \quad \text{for all } \vec{m} \neq \vec{0},$$

we may conclude

$$\lim_{N \rightarrow +\infty} \mathcal{F}g_N^{(4)}(\vec{m}) = 0 \quad \text{for all } \vec{m} \neq \vec{0}.$$

**6.2. Limit of  $\sum_{\vec{m} \neq \vec{0}} \mathcal{F}g_N^{(4)}(\vec{m})$ .**

According to (25),

$$\begin{aligned} |G_N^{(4)}(\vec{m}, \vec{u})| &\leq \frac{1}{4!} \sum_{\vec{s}} \sum_{\vec{t}} |q_{\vec{s}} q_{\vec{t}}| \left(\frac{2\pi}{N}\right)^4 (\delta + d)^4 \left( \frac{204}{r'^4} + \frac{136}{r'^2(r'^2 + 1)} + \frac{104}{(r'^2 + 1)^2} \right. \\ &\quad \left. + \frac{48r'^2}{(r'^2 + 1)^3} + \frac{204}{r'^4} \right) \\ &\leq \frac{1}{4!} \sum_{\vec{s}} \sum_{\vec{t}} |q_{\vec{s}} q_{\vec{t}}| \left(\frac{2\pi}{N}\right)^4 (\delta + d)^4 \frac{696}{r'^4} \\ &\leq \frac{1}{4!} \sum_{\vec{s}} \sum_{\vec{t}} |q_{\vec{s}} q_{\vec{t}}| (\delta + d)^4 \frac{696}{(\|\vec{m}\| - \delta - d)^4} \end{aligned}$$

for  $\|\vec{m}\| > \delta + d$ . Then

$$\left| \sum_{\|\vec{m}\| > \delta + d} \mathcal{F} g_N^{(4)}(\vec{m}) \right| \leq \frac{4\pi}{N} \frac{1}{4!} \sum_{\vec{s}} \sum_{\vec{t}} |q_s q_t| (\delta + d)^4 696 \sum_{\|\vec{m}\| > \delta + d} \frac{1}{(\|\vec{m}\| - \delta - d)^4},$$

which shows that

$$\lim_{N \rightarrow +\infty} \sum_{\|\vec{m}\| > \delta + d} \mathcal{F} g_N^{(4)}(\vec{m}) = 0.$$

Then

$$\lim_{N \rightarrow +\infty} \sum_{\vec{m} \neq \vec{0}} \mathcal{F} g_N^{(4)}(\vec{m}) = 0.$$

### 6.3. Limit of $\sum_{\vec{m} \neq \vec{0}} \mathcal{F} g_N^{(2,1)}(\vec{m})$ .

NOTATIONS:  $\vec{\mu} = \frac{2\pi}{N} \vec{m}$ ;  $C_{\vec{\mu}} = \vec{\mu} + \frac{2\pi}{N} C$  ( $C = [0, 1[\vec{a}_1 + [0, 1[\vec{a}_2 + [0, 1[\vec{a}_3$ );

$\varphi_A$  is the characteristic function of a subset A of E. We may write

$$\begin{aligned} V \sum_{\vec{m} \neq \vec{0}} \mathcal{F} g_N^{(2,1)}(\vec{m}) &= -2\vec{M}^2 \sum_{\vec{\mu} \neq \vec{0}} \int \frac{1 - 3 \cos^2 \theta_{\vec{\mu}}}{\vec{\mu}^2 (\vec{\mu}^2 + 1)} \varphi_{C_{\vec{\mu}}}(\vec{x}) d\vec{x} \\ &= -2\vec{M}^2 \int d\vec{x} \sum_{\vec{\mu} \neq \vec{0}} \frac{1 - 3 \cos^2 \theta_{\vec{\mu}}}{\vec{\mu}^2 (\vec{\mu}^2 + 1)} \varphi_{C_{\vec{\mu}}}(\vec{x}) \end{aligned}$$

(Lebesgue's convergence theorem). In the appendix 4, we show that

$$\lim_{N \rightarrow +\infty} \int d\vec{x} \sum_{\vec{\mu} \neq \vec{0}} \frac{1 - 3 \cos^2 \theta_{\vec{\mu}}}{\vec{\mu}^2 (\vec{\mu}^2 + 1)} \varphi_{C_{\vec{\mu}}}(\vec{x}) = \int \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\vec{x}^2 (\vec{x}^2 + 1)} d\vec{x}.$$

This last integral (calculated with  $\vec{M}$  as polar axis) is equal to 0. Then

$$\lim_{N \rightarrow +\infty} \sum_{\vec{m} \neq \vec{0}} \mathcal{F} g_N^{(2,1)}(\vec{m}) = 0.$$



6.4. **Limit of**  $\sum_{\vec{m} \neq \vec{0}} \mathcal{F} g_N^{(2,2)}(\vec{m})$ .

As in the preceding section, we may apply Lebesgue's convergence theorem:

$$V \sum_{\vec{m} \neq \vec{0}} \mathcal{F} g_N^{(2,2)}(\vec{m}) = 4\vec{M}^2 \int h_N(\vec{x}) d\vec{x}$$

where

$$h_N(\vec{x}) = \sum_{\vec{\mu} \neq \vec{0}} \frac{\cos^2 \theta_{\vec{x}}}{(\vec{\mu}^2 + 1)^2} \varphi_{C_{\vec{\mu}}}(\vec{x}).$$

According to

$$\begin{aligned} \lim_{N \rightarrow +\infty} h_N(\vec{x}) &= \frac{\cos^2 \theta_{\vec{x}}}{(\vec{x}^2 + 1)^2} \quad \text{for } \vec{x} \neq \vec{0}, \\ h_N(\vec{x}) &\leq 1 \quad \text{for all } \vec{x}, \\ h_N(\vec{x}) &\leq \frac{1}{[(\|\vec{x}\| - 2\pi\gamma)^2 - 1]^2} \quad \text{for } \|\vec{x}\| \geq 2\pi\gamma, \end{aligned}$$

where  $\gamma = \sup_{\vec{x} \in C} \|\vec{x}\|$ , we may apply Lebesgue's dominated convergence theorem

$$\begin{aligned} V \lim_{N \rightarrow +\infty} \sum_{\vec{m} \neq \vec{0}} \mathcal{F} g_N^{(2,2)}(\vec{m}) &= 4\vec{M}^2 \int \frac{\cos^2 \theta_{\vec{x}}}{(\vec{x}^2 + 1)^2} d\vec{x} \\ &= \frac{4\pi^2 \vec{M}^2}{3} \end{aligned}$$

(the integral is calculated with  $\vec{M}$  as polar axis, and with the change of variables:  $\|\vec{x}\| = \tan \xi$ ).

6.5. **Limit of**  $\sum_{\vec{m} \neq \vec{0}} \mathcal{F} g_N^{(2,3)}(\vec{m})$ .

We write

$$V \mathcal{F} g_N^{(2,3)}(\vec{m}) = S_1 + S_2$$

where

$$\begin{aligned} S_1 &= V \sum_{0 < \|\vec{m}\| \leq N} \mathcal{F} g_N^{(2,3)}(\vec{m}) \\ S_2 &= V \sum_{\|\vec{m}\| > N} \mathcal{F} g_N^{(2,3)}(\vec{m}). \end{aligned}$$

As in the preceding sections, and according to (26), Lebesgue's convergence theorem may be applied to  $S_2$ :

$$S_2 = -2\vec{M}^2 \int h_N(\vec{x}) d\vec{x}$$

where

$$h_N(\vec{x}) = \sum_{\|\vec{\mu}\| > 2\pi} \frac{\left(\frac{\pi}{2} - \text{Arctan } \|\vec{\mu}\|\right)(1 - 3 \cos^2 \theta_{\vec{\mu}})}{\|\vec{\mu}\|^3} \varphi_{C_{\vec{\mu}}}(\vec{x}).$$

According to

$$\begin{aligned} \lim_{N \rightarrow +\infty} h_N(\vec{x}) &= \frac{\left(\frac{\pi}{2} - \text{Arctan } \|\vec{x}\|\right)(1 - 3 \cos^2 \theta_{\vec{x}})}{\|\vec{x}\|^3} \quad \text{for } \|\vec{x}\| > 2\pi, \\ \lim_{N \rightarrow +\infty} h_N(\vec{x}) &= 0 \quad \text{for } \|\vec{x}\| < 2\pi, \\ |h_N(\vec{x})| &\leq \frac{1}{4\pi^2} \quad \text{for all } \vec{x}, \\ |h_N(\vec{x})| &\leq \frac{4}{(\|\vec{x}\| - 2\pi\gamma)^4} \quad \text{for } \|\vec{x}\| > 2\pi\gamma, \end{aligned}$$

(with the help of (26)), Lebesgue's dominated convergence theorem may be applied:

$$\begin{aligned} \lim_{N \rightarrow +\infty} S_2 &= -2\vec{M}^2 \int_{\|\vec{x}\| > 2\pi} \frac{\left(\frac{\pi}{2} - \text{Arctan } \|\vec{x}\|\right)(1 - 3 \cos^2 \theta_{\vec{x}})}{\|\vec{x}\|^3} d\vec{x} \\ &= 0 \end{aligned}$$

(the integral is calculated with  $\vec{M}$  as polar axis).

The other sum  $S_1$  may be written

$$S_1 = -\pi V \vec{M}^2 \sum_{0 < \|\vec{m}\| \leq N} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3} + S'_1$$

with

$$\begin{aligned} S'_1 &= 2\vec{M}^2 \sum_{0 < \|\vec{\mu}\| \leq 2\pi} \int \frac{(\text{Arctan } \|\vec{\mu}\|)(1 - 3 \cos^2 \theta_{\vec{\mu}})}{\|\vec{\mu}\|^3} \varphi_{C_{\vec{\mu}}}(\vec{x}) d\vec{x} \\ &= 2\vec{M}^2 \int d\vec{x} \sum_{0 < \|\vec{\mu}\| \leq 2\pi} \frac{(\text{Arctan } \|\vec{\mu}\|)(1 - 3 \cos^2 \theta_{\vec{\mu}})}{\|\vec{\mu}\|^3} \varphi_{C_{\vec{\mu}}}(\vec{x}) \end{aligned}$$

(the sum is finite). In the appendix 5, we show that

$$\begin{aligned} \lim_{N \rightarrow +\infty} \int d\vec{x} \sum_{0 < \|\vec{\mu}\| \leq 2\pi} \frac{(\text{Arctan } \|\vec{\mu}\|)(1 - 3 \cos^2 \theta_{\vec{\mu}})}{\|\vec{\mu}\|^3} \varphi_{C_{\vec{\mu}}}(\vec{x}) \\ = \int_{\|\vec{x}\| \leq 2\pi} \frac{(\text{Arctan } \|\vec{x}\|)(1 - 3 \cos^2 \theta_{\vec{x}})}{\|\vec{x}\|^3} d\vec{x}. \end{aligned}$$

And this last integral (calculated with  $\vec{M}$  as polar axis) is equal to 0. In conclusion

$$V \lim_{N \rightarrow +\infty} \sum_{\vec{m} \neq \vec{0}} \mathcal{F} g_N^{(2,3)}(\vec{m}) = -\pi V \vec{M}^2 \lim_{N \rightarrow +\infty} \sum_{0 < \|\vec{m}\| \leq N} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3}.$$

The existence of this last limit is proved in the appendix 6.

### 7. LIMIT OF POISSON'S FORMULA: THE EWALD ENERGY $E_E$ AND THE BORN-LANDÉ EXPRESSION $E_0$

The results of the preceding sections show that the limit of Poisson's formula when  $N \rightarrow +\infty$ , is

$$\sum_{\vec{h}} f(\vec{h}) = \left\{ \begin{array}{l} V \lim_{k \rightarrow +\infty} \sum_{\vec{m} \in B_k} \mathcal{F} f(\vec{m}) \\ - \frac{4\pi^2 \vec{M}^2}{3} + \pi V \vec{M}^2 \lim_{N \rightarrow +\infty} \sum_{0 < \|\vec{m}\| \leq N} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3} \\ - \pi V \vec{M}^2 \lim_{k \rightarrow +\infty} \sum_{\vec{m} \in B_k \setminus \{\vec{0}\}} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3}, \end{array} \right.$$

or, after dividing by  $2\pi V$ :

$$E_1 = E_2 + E'_3 - E_4 + E_5^0 - E_5$$

with

$$\begin{aligned} E_1 &= \frac{1}{2\pi V} \sum_{\vec{h}} f(\vec{h}), \\ E_2 &= \frac{1}{2\pi} \sum_{\vec{s}} q_{\vec{s}}^2 \int \frac{\psi(\vec{h})}{\vec{h}^2} d\vec{h} = \frac{1}{2} \sum_{\vec{s}} q_{\vec{s}}^2 \int \frac{p(\vec{u})}{\|\vec{u}\|} d\vec{u}, \end{aligned}$$

$$\begin{aligned}
 E'_3 &= \frac{1}{2\pi} \lim_{k \rightarrow +\infty} \sum_{\substack{\vec{m} \in B_k \\ \vec{m} + \vec{s} \neq \vec{t}}} \sum_{\vec{s}} \sum_{\vec{t}} q_{\vec{s}} q_{\vec{t}} \int \frac{\psi(\vec{h})}{h^2} e^{-2\pi i \vec{h} \cdot (\vec{m} + \vec{s} - \vec{t})} d\vec{h} \\
 &= \frac{1}{2} \lim_{k \rightarrow +\infty} \sum_{\substack{\vec{m} \in B_k \\ \vec{m} + \vec{s} \neq \vec{t}}} \sum_{\vec{s}} \sum_{\vec{t}} q_{\vec{s}} q_{\vec{t}} \int \frac{p(\vec{u})}{\|\vec{m} + \vec{s} - \vec{t} + \vec{u}\|} d\vec{u}, \\
 E_4 &= \frac{2\pi \vec{M}^2}{3V}, \\
 E_5^0 &= \frac{\vec{M}^2}{2} \lim_{N \rightarrow +\infty} \sum_{0 < \|\vec{m}\| \leq N} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3}, \\
 E_5 &= \frac{\vec{M}^2}{2} \lim_{k \rightarrow +\infty} \sum_{\vec{m} \in B_k \setminus \{\vec{0}\}} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3}. \tag{28}
 \end{aligned}$$

According to (21) and (27), the Born-Landé expression

$$E_0 = \frac{1}{2} \lim_{k \rightarrow +\infty} \sum_{\substack{\vec{m} \in B_k \\ \vec{m} + \vec{s} \neq \vec{t}}} \sum_{\vec{s}} \sum_{\vec{t}} \frac{q_{\vec{s}} q_{\vec{t}}}{\|\vec{m} + \vec{s} - \vec{t}\|}$$

exists, and, with the help of (20),

$$E'_3 = E_0 - E_3 \tag{29}$$

where

$$E_3 = \frac{1}{2} \sum_{\substack{\vec{m} \\ \vec{m} + \vec{s} \neq \vec{t}}} \sum_{\vec{s}} \sum_{\vec{t}} \frac{q_{\vec{s}} q_{\vec{t}}}{\|\vec{m} + \vec{s} - \vec{t}\|} \int_{\|\vec{m} + \vec{s} - \vec{t}\|}^{+\infty} 4\pi u(u - \|\vec{m} + \vec{s} - \vec{t}\|) p(u) du$$

(absolutely summable on  $\vec{m}$ , according to (22)). Then

$$E_0 = E_E + E_4 + E_5 - E_5^0$$

with

$$E_E = E_1 - E_2 + E_3.$$

### 8. INTEGRAL FORM OF $E_5 - E_5^0$

NOTATIONS. —  $d(k) = \sup_{\vec{m} \in B_k} \|\vec{m}\|$ ;  $B(r) = \{ \vec{x} \in E / \|\vec{x}\| \leq r \}$ . We assume that there are  $(f(k)) > 0$  and  $(r_k) \geq 0$  such that:

$$b = \sup_k \frac{d(k)}{f(k)} \text{ is finite; }$$

for almost every  $\vec{x} \in E$ ,  $\frac{\varphi_{\mathbf{B}_k + \mathbf{C}}(\vec{x})}{f(k)}$  has a limit when  $k \rightarrow +\infty$ , which is denoted by  $\varphi_{\mathbf{B}}(\vec{x})$ ;

$$\mathbf{B}(r_k) \cap L \subset \mathbf{B}_k \quad \text{for all } k;$$

$$\lim_{k \rightarrow +\infty} \frac{r_k}{f(k)} = \varepsilon > 0. \quad (30)$$

Immediate consequences are:  $\lim_{k \rightarrow +\infty} f(k) = \lim_{k \rightarrow +\infty} r_k = +\infty$ ;  $\inf_k f(k) = \eta > 0$ ;

$$\frac{\mathbf{B}_k + \mathbf{C}}{f(k)} \subset \mathbf{B}\left(b + \frac{\gamma}{\eta}\right);$$

$$\mathbf{B} \subset \mathbf{B}\left(b + \frac{\gamma}{\eta}\right) \quad \text{almost everywhere.}$$

According to Lebesgue's dominated convergence theorem, note that  $\mathbf{B}$  is integrable. We may write

$$\begin{aligned} \mathbf{I}_k &= \mathbf{V} \sum_{\vec{m} \in \mathbf{B}_k \setminus \{\vec{0}\}} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3} - \mathbf{V} \sum_{\vec{m} \in \mathbf{B}(r_k) \setminus \{\vec{0}\}} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3} \\ &= \mathbf{V} \sum_{\vec{m} \in \mathbf{B}_k \setminus \mathbf{B}(r_k)} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3} = \int \Phi_k(\vec{x}) d\vec{x} \end{aligned}$$

where

$$\begin{aligned} \Phi_k(\vec{x}) &= \sum_{\vec{\mu} \in \frac{\mathbf{B}_k \setminus \mathbf{B}(r_k)}{f(k)}} \frac{1 - 3 \cos^2 \theta_{\vec{\mu}}}{\|\vec{\mu}\|^3} \varphi_{\mathbf{C}_{\vec{\mu}}}(\vec{x}) \\ &= \sum_{\vec{\mu} \neq \vec{0}} \frac{1 - 3 \cos^2 \theta_{\vec{\mu}}}{\|\vec{\mu}\|^3} \varphi_{\mathbf{C}_{\vec{\mu}}}(\vec{x}) \varphi_{\frac{\mathbf{B}_k \setminus \mathbf{B}(r_k) + \mathbf{C}}{f(k)}}(\vec{x}) \end{aligned}$$

with the notations:  $\vec{\mu} = \frac{\vec{m}}{f(k)}$ ,  $\mathbf{C}_{\vec{\mu}} = \vec{\mu} + \frac{\mathbf{C}}{f(k)}$ . Since

$$\lim_{k \rightarrow +\infty} \varphi_{\frac{\mathbf{B}_k \setminus \mathbf{B}(r_k) + \mathbf{C}}{f(k)}}(\vec{x}) = \varphi_{\mathbf{B}(\varepsilon)}(\vec{x})$$

almost everywhere (appendix 7), we have

$$\lim_{k \rightarrow +\infty} \Phi_k(\vec{x}) = \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} \varphi_{\mathbf{B}(\varepsilon)}(\vec{x})$$

for all  $\vec{x} \neq \vec{0}$ . Let us consider  $\vec{x} \in \frac{(\mathbf{B}_k \setminus \mathbf{B}(r_k)) + \mathbf{C}}{f(k)}$ ;  $\vec{x} \in \mathbf{C}_{\vec{\mu}}$ ,  $\vec{\mu} = \frac{\vec{m}}{f(k)}$ . Then

$$\begin{aligned} \|\vec{x}\| &\leq b + \frac{\gamma}{\eta} \\ \|\vec{\mu}\| &= \frac{\|\vec{m}\|}{f(k)} > \frac{r_k}{f(k)}. \end{aligned}$$

There is  $k_0$  such that, for all  $k \geq k_0$ ,

$$\frac{r_k}{f(k)} \geq \frac{\varepsilon}{2},$$

so that

$$|\Phi_k(\vec{x})| \leq \frac{4}{\|\vec{\mu}\|^3} < \frac{32}{\varepsilon^3}.$$

Then, for all  $k \geq k_0$ ,

$$\begin{aligned} |\Phi_k(\vec{x})| &< \frac{32}{\varepsilon^3} & \text{if } \|\vec{x}\| \leq b + \frac{\gamma}{\eta} \\ \Phi_k(\vec{x}) &= 0 & \text{if } \|\vec{x}\| > b + \frac{\gamma}{\eta}, \end{aligned}$$

and Lebesgue's dominated convergence theorem may be applied:

$$\lim_{k \rightarrow +\infty} \mathbf{I}_k = \int_{\mathbf{B} \setminus \mathbf{B}(\varepsilon)} \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} d\vec{x}.$$

According to the existence of  $E_5^0$  (appendix 6), we may conclude from the assumptions (30), that  $E_5$  exists and

$$E_5 - E_5^0 = \frac{\vec{M}^2}{2V} \int_{\mathbf{B} \setminus \mathbf{B}(\varepsilon)} \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} d\vec{x}.$$

Note that  $\overset{\circ}{\mathbf{B}}(\varepsilon) \subset \mathbf{B}$  (appendix 7), and that the above integral on  $\mathbf{B} \setminus \mathbf{B}(\varepsilon)$  does not depend on  $\varepsilon > 0$ , provided that  $\mathbf{B}(\varepsilon) \subset \mathbf{B}$  almost everywhere (take  $\vec{M}$  as polar axis).

### 9. DUAL FORM OF THE RESULT

In this section, we only need the two conditions:  $\mathbf{B}$  is integrable and  $\mathbf{B}(\varepsilon) \subset \mathbf{B}$  almost everywhere. Then, the integral

$$\mathbf{I} = \int_{\mathbf{B} \setminus \mathbf{B}(\varepsilon)} \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} d\vec{x}$$

exists and, according to Lebesgue's dominated convergence theorem,

$$I = \lim_{r \rightarrow +\infty} I(r)$$

where

$$I(r) = \int g(\vec{x}) \varphi_B(\vec{x}) d\vec{x}$$

$$g(\vec{x}) = \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} \varphi_{B(r) \setminus B(\varepsilon)}(\vec{x}).$$

Since  $g$  and  $\varphi_B$  belong to  $\mathcal{L}^2(E)$ , we may apply Plancherel's theorem:

$$I(r) = \int \mathcal{F}g(\vec{h}) \mathcal{F}\varphi_B(\vec{h}) d\vec{h}.$$

According to the expression of  $\mathcal{F}g$  (appendix 8), we may write

$$I(r) = I_1(r) - I_1(\varepsilon)$$

where

$$I_1(\zeta) = \int (1 - 3 \cos^2 \theta_{\vec{h}}) 4\pi \frac{\sin \sigma_\zeta - \sigma_\zeta \cos \sigma_\zeta}{\sigma_\zeta^3} \mathcal{F}\varphi_B(\vec{h}) d\vec{h}$$

$$= \int (1 - 3 \cos^2 \theta_{\vec{h}}) \frac{1}{\zeta^3} \mathcal{F}\varphi_{B(\zeta)}(\vec{h}) \mathcal{F}\varphi_B(\vec{h}) d\vec{h},$$

$$\sigma_\zeta = 2\pi\zeta \|\vec{h}\|$$

( $\mathcal{F}\varphi_{B(\zeta)} \mathcal{F}\varphi_B$  is integrable because  $\mathcal{F}\varphi_{B(\zeta)}$  and  $\mathcal{F}\varphi_B$  belong to  $\mathcal{L}^2(E)$ ).

We have

$$\lim_{r \rightarrow +\infty} \frac{\sin \sigma_r - \sigma_r \cos \sigma_r}{\sigma_r^3} = 0 \quad \text{for all } \vec{h} \neq \vec{0}.$$

The function  $\frac{\sin \sigma - \sigma \cos \sigma}{\sigma^3}$  is bounded (it has finite limits when  $\sigma \rightarrow 0$  and  $\sigma \rightarrow +\infty$ ):

$$\left| \frac{\sin \sigma - \sigma \cos \sigma}{\sigma^3} \right| \leq A.$$

We have also

$$\left| \frac{\sin \sigma_r - \sigma_r \cos \sigma_r}{\sigma_r^3} \right| \leq \frac{2}{\sigma_r^2}$$

$$\leq \frac{2}{4\pi^2 \vec{h}^2} \quad \text{if } r \geq 1.$$

If  $G$  denotes the function

$$G(\vec{h}) = \inf \left( A, \frac{2}{4\pi^2 \vec{h}^2} \right),$$

we have, for all  $\vec{h} \neq \vec{0}$  and  $r \geq 1$ ,

$$\left| \frac{\sin \sigma_r - \sigma_r \cos \sigma_r}{\sigma_r^3} \mathcal{F} \varphi_{\mathbf{B}}(\vec{h}) \right| \leq |G(\vec{h}) \mathcal{F} \varphi_{\mathbf{B}}(\vec{h})|,$$

and  $G \mathcal{F} \varphi_{\mathbf{B}}$  is integrable ( $G$  and  $\mathcal{F} \varphi_{\mathbf{B}}$  belong to  $\mathcal{L}^2(\mathbf{E})$ ). We may then apply Lebesgue's dominated convergence theorem:

$$\lim_{r \rightarrow +\infty} I_1(r) = 0.$$

The term  $I_1(\varepsilon)$  may be written

$$I_1(\varepsilon) = I_2 - I_3$$

where

$$I_2 = \int \frac{1}{\varepsilon^3} \mathcal{F} \varphi_{\mathbf{B}(\varepsilon)}(\vec{h}) \mathcal{F} \varphi_{\mathbf{B}}(\vec{h}) d\vec{h} = \int \frac{1}{\varepsilon^3} \mathcal{F}(\varphi_{\mathbf{B}} * \varphi_{\mathbf{B}(\varepsilon)})(\vec{h}) d\vec{h},$$

$$I_3 = \int 3 \cos^2 \theta_{\vec{h}} \frac{1}{\varepsilon^3} \mathcal{F} \varphi_{\mathbf{B}(\varepsilon)}(\vec{h}) \mathcal{F} \varphi_{\mathbf{B}}(\vec{h}) d\vec{h}.$$

Poisson's formula relative to the Dirac measure

$$\varphi(\vec{0}) = \int \mathcal{F} \varphi(\vec{h}) d\vec{h}$$

may be applied to the function  $\varphi$  if

- 1°  $\varphi$  is integrable;
- 2°  $\mathcal{F} \varphi$  is integrable;
- 3°  $\varphi$  is continuous.

(see [11], section 12). The function  $\varphi = \varphi_{\mathbf{B}} * \varphi_{\mathbf{B}(\varepsilon)}$  satisfies the condition 1°, and  $\mathcal{F} \varphi = \mathcal{F} \varphi_{\mathbf{B}} \mathcal{F} \varphi_{\mathbf{B}(\varepsilon)}$  is integrable (condition 2°) because  $\mathcal{F} \varphi_{\mathbf{B}}$  and  $\mathcal{F} \varphi_{\mathbf{B}(\varepsilon)}$  belong to  $\mathcal{L}^2(\mathbf{E})$ . The condition 3° is also satisfied because  $\varphi_{\mathbf{B}}$  and  $\varphi_{\mathbf{B}(\varepsilon)}$  belong to  $\mathcal{L}^2(\mathbf{E})$ . Then, Poisson's formula may be written:

$$I_2 = \frac{1}{\varepsilon^3} (\varphi_{\mathbf{B}} * \varphi_{\mathbf{B}(\varepsilon)})(\vec{0}) = \frac{1}{\varepsilon^3} \lambda(\mathbf{B} \cap \mathbf{B}(\varepsilon))$$

$$= \frac{1}{\varepsilon^3} \lambda(\mathbf{B}(\varepsilon)) = \frac{4\pi}{3} \quad (\lambda \text{ is the Lebesgue measure on } \mathbf{E}).$$

We may conclude

$$\int_{\mathbf{B} \setminus \mathbf{B}(\varepsilon)} \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} d\vec{x} = -\frac{4\pi}{3} + \int 3 \cos^2 \theta_{\vec{h}} \frac{1}{\varepsilon^3} \mathcal{F} \varphi_{\mathbf{B}(\varepsilon)}(\vec{h}) \mathcal{F} \varphi_{\mathbf{B}}(\vec{h}) d\vec{h}$$

$$= -\frac{4\pi}{3} + 4\pi \int \cos^2 \theta_{\vec{h}} \mathcal{F} \varphi_{\varepsilon}(\vec{h}) \mathcal{F} \varphi_{\mathbf{B}}(\vec{h}) d\vec{h},$$



where  $\varphi_\varepsilon = \frac{3}{4\pi\varepsilon^3} \varphi_{\mathbf{B}(\varepsilon)}$ . The result of sections 7-8 may thus be written in the dual form:

$$E_0 = E_E + \frac{2\pi\vec{M}^2}{V} \int \cos^2 \theta_{\vec{n}, \vec{\mathcal{F}}} \varphi_\varepsilon(\vec{h}) \mathcal{F} \varphi_{\mathbf{B}}(\vec{h}) d\vec{h}.$$

## 10. THE LIMIT ENERGY PER CELL E

Let  $(A_k)$  be any increasing sequence of finite subsets of  $L$  such that  $\bigcup_k A_k = L$ . Our purpose is now to determine the conditions of existence and the value of the limit energy per cell  $E$  defined by (8). Since

$$\text{card} \{ (\vec{n}, \vec{p}) \in A_k \times A_k / \vec{n} - \vec{p} = \vec{m} \} = \text{card} (A_k \cap \vec{m} + A_k),$$

we have

$$E = \lim_{k \rightarrow +\infty} E(k)$$

where

$$E(k) = \frac{1}{2} \sum_{\vec{m} \in B_k} c(A_k, \vec{m}) \sum_{\substack{\vec{s} \\ \vec{m} + \vec{s} \neq \vec{t}}} \sum_{\vec{t}} \frac{q_{\vec{s}} q_{\vec{t}}}{\|\vec{m} + \vec{s} - \vec{t}\|},$$

$$B_k = \{ \vec{n} - \vec{p} / \vec{n} \in A_k \text{ and } \vec{p} \in A_k \} = A_k - A_k,$$

$$c(A_k, \vec{m}) = \frac{\text{card} (A_k \cap \vec{m} + A_k)}{\text{card} A_k}.$$

We write

$$E(k) = E_0(k) - E_6(k)$$

with

$$E_0(k) = \frac{1}{2} \sum_{\substack{\vec{m} \in B_k \\ \vec{m} + \vec{s} \neq \vec{t}}} \sum_{\vec{s}} \sum_{\vec{t}} \frac{q_{\vec{s}} q_{\vec{t}}}{\|\vec{m} + \vec{s} - \vec{t}\|}$$

$$E_6(k) = \frac{1}{2} \sum_{\vec{m} \in B_k \setminus \{\vec{0}\}} (1 - c(A_k, \vec{m})) \sum_{\vec{s}} \sum_{\vec{t}} \frac{q_{\vec{s}} q_{\vec{t}}}{\|\vec{m} + \vec{s} - \vec{t}\|}.$$

The existence and the value of  $E_0 = \lim_{k \rightarrow +\infty} E_0(k)$  has been studied in the preceding sections. We now study the term  $E_6(k)$ .

Limit of  $E_6(k)$  when  $k \rightarrow +\infty$ .

NOTATIONS. —  $d'(k) = \sup_{\vec{n} \in A_k} \|\vec{n}\|$ ;  $d(k) = \sup_{\vec{m} \in B_k} \|\vec{m}\|$ . We assume that there are  $(f(k) > 0, a \geq 0$  and  $\alpha > 0$  such that:

$$b' = \sup_k \frac{d'(k)}{f(k)} \text{ is finite;} \tag{31}$$

$$1 - c(A_k, \vec{m}) \leq a \left( \frac{\|\vec{m}\|}{f(k)} \right)^\alpha \text{ for all } k \text{ and } \vec{m} \in B_k. \tag{32}$$

Note that the first assumption is equivalent to

$$b = \sup_k \frac{d(k)}{f(k)} \text{ is finite.}$$

According to (21), we may write

$$E_6(k) = E_{6.1}(k) + E_{6.2}(k),$$

where

$$E_{6.1}(k) = \frac{\vec{M}^2}{2} \sum_{\vec{m} \in B_k \setminus \{\vec{0}\}} (1 - c(A_k, \vec{m})) \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3},$$

$$E_{6.2}(k) = \frac{1}{2} \sum_{\vec{m} \in B_k \setminus \{\vec{0}\}} (1 - c(A_k, \vec{m})) A(\vec{m})$$

and

$$|A(\vec{m})| \leq \frac{A}{(\|\vec{m}\| - \delta)^4} \text{ if } \|\vec{m}\| > \delta.$$

We write

$$E_{6.2}(k) = E_{6.2.1}(k) + E_{6.2.2}(k)$$

with

$$E_{6.2.1}(k) = \frac{1}{2} \sum_{\substack{\vec{m} \in B_k \setminus \{\vec{0}\} \\ \|\vec{m}\| \leq \delta}} (1 - c(A_k, \vec{m})) A(\vec{m})$$

$$E_{6.2.2}(k) = \frac{1}{2} \sum_{\substack{\vec{m} \in B_k \setminus \{\vec{0}\} \\ \|\vec{m}\| > \delta}} (1 - c(A_k, \vec{m})) A(\vec{m}).$$

From the assumptions (31)-(32), we may deduce

$$\lim_{k \rightarrow +\infty} c(A_k, \vec{m}) = 1$$

so that

$$\lim_{k \rightarrow +\infty} E_{6.2.1}(k) = 0,$$

and

$$|E_{6.2.2}(k)| \leq \frac{aAS(k)}{2(f(k))^\alpha} \leq \frac{aAb^\alpha S(k)}{2(d(k))^\alpha}$$

where

$$S(k) = \sum_{\delta < \|\vec{m}\| \leq d(k)} \frac{\|\vec{m}\|^\alpha}{(\|\vec{m}\| - \delta)^4}.$$

Since

$$\lim_{k \rightarrow +\infty} \frac{S(k)}{(d(k))^\alpha} = 0$$

(appendix 9), we may conclude

$$\lim_{k \rightarrow +\infty} E_{6.2}(k) = 0.$$

Note first that the proof is completed if  $\vec{M} = \vec{0}$ , for  $E_6(k) = E_{6.2}(k)$  in this case. We suppose now  $\vec{M} \neq \vec{0}$  and we introduce the new assumptions: for almost every  $\vec{x} \in E$ ,  $\varphi_{\mathbf{B}_k + \mathbf{C}}(\vec{x})$  has a limit when  $k \rightarrow +\infty$ , which is

$$\text{denoted by } \varphi_{\mathbf{B}}(\vec{x}); \tag{33}$$

for almost every  $\vec{x} \in E$ ,  $c(\mathbf{A}_k, \vec{m}_k(\vec{x}))$  has a finite limit when  $k \rightarrow +\infty$ , which is denoted by  $c(\vec{x})$ ; notation:  $\vec{m}_k(\vec{x}) \in L$  is defined by  $\vec{x} \in \frac{\vec{m}_k(\vec{x}) + \mathbf{C}}{f(k)}$ .  $\tag{34}$

NOTATIONS. —  $\vec{\mu} = \frac{\vec{m}}{f(k)}$ ;  $\mathbf{C}_{\vec{\mu}} = \vec{\mu} + \frac{\mathbf{C}}{f(k)}$ . As in section 6.3, we write

$$E_{6.1}(k) = \frac{\vec{M}^2}{2V} \int d\vec{x} \sum_{\vec{\mu} \neq \vec{0}} (1 - c(\mathbf{A}_k, \vec{m})) \frac{1 - 3 \cos^2 \theta_{\vec{\mu}}}{\|\vec{\mu}\|^3} \varphi_{\mathbf{C}_{\vec{\mu}}}(\vec{x}) \varphi_{\mathbf{B}_k + \mathbf{C}}(\vec{x}),$$

and, in the appendix 10, we show that

$$\lim_{k \rightarrow +\infty} E_{6.1}(k) = \frac{\vec{M}^2}{2V} \int (1 - c(\vec{x})) \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} \varphi_{\mathbf{B}}(\vec{x}) d\vec{x}.$$

Finally,

$$\lim_{k \rightarrow +\infty} E_6(k) = E_6$$

with

$$E_6 = \frac{\vec{M}^2}{2V} \int_{\mathbf{B}} (1 - c(\vec{x})) \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} d\vec{x}.$$

In conclusion,

$$E = E_0 - E_6.$$

11. INTEGRAL FORM OF  $E_5 - E_5^0 - E_6$

According to section 8, we may write, with  $0 < \varepsilon' \leq \varepsilon$ :

$$\begin{aligned} E_5 - E_5^0 - E_6 &= \frac{\vec{M}^2}{2V} \int_{B \setminus B(\varepsilon')} \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} d\vec{x} - \frac{\vec{M}^2}{2V} \int_B (1 - c(\vec{x})) \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} d\vec{x} \\ &= \frac{\vec{M}^2}{2V} \int_{B \setminus B(\varepsilon')} c(\vec{x}) \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} d\vec{x} - \frac{\vec{M}^2}{2V} \int_{B(\varepsilon')} (1 - c(\vec{x})) \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} d\vec{x} \\ &= \frac{\vec{M}^2}{2V} \lim_{\varepsilon' \rightarrow 0^+} \int_{B \setminus B(\varepsilon')} c(\vec{x}) \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} d\vec{x} \end{aligned}$$

(the function  $(1 - c(\vec{x})) \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3}$  is integrable in  $B(\varepsilon)$ : end of the appendix 10).

12. DUAL FORM OF THE RESULT

New assumption:

$$c \in \mathcal{C}(E) \quad \text{and} \quad \mathcal{F}c \in \mathcal{L}^1(E) \tag{35}$$

( $\mathcal{C}(E)$  is the space of continuous functions). According to (33), for almost every  $\vec{x} \notin B$ , there is  $k_0$  such that, for all  $k \geq k_0$ ,  $\vec{x} \notin \frac{B_k + C}{f(k)}$  i. e.  $\vec{m}_k(\vec{x}) \notin B_k$ ; then,  $c(A_k, \vec{m}_k(\vec{x})) = 0$  and, at the limit  $k \rightarrow +\infty$ ,  $c(\vec{x}) = 0$ . Since  $B$  is bounded, we deduce

$$c \in \mathcal{K}(E) \tag{36}$$

( $\mathcal{K}(E)$  is the space of continuous functions with compact support), which implies  $c \in \mathcal{L}^1(E) \cap \mathcal{L}^2(E)$ . As for the function  $p$  in section 3, note that (35) is equivalent to

$$c \in \mathcal{P}(E).$$

According to (36), we may write

$$\begin{aligned} I &= \lim_{\varepsilon \rightarrow 0^+} \int_{B \setminus B(\varepsilon)} c(\vec{x}) \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} d\vec{x} \\ &= \lim_{\substack{\varepsilon \rightarrow 0^+ \\ r \rightarrow +\infty}} I(\varepsilon, r) \end{aligned}$$

where

$$I(\varepsilon, r) = \int_{B(r) \setminus B(\varepsilon)} c(\vec{x}) \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} d\vec{x}.$$

With the same notations as in section 9, we apply Plancherel's theorem:

$$I(\varepsilon, r) = \int \mathcal{F}g(\vec{h})\mathcal{F}c(\vec{h})d\vec{h}.$$

According to the expression of  $\mathcal{F}g$  (appendix 8), we have

$$\lim_{\substack{\varepsilon \rightarrow 0^+ \\ r \rightarrow +\infty}} \mathcal{F}g(\vec{h})\mathcal{F}c(\vec{h}) = -\frac{4\pi}{3}(1 - 3\cos^2\theta_{\vec{h}})\mathcal{F}c(\vec{h})$$

and

$$|\mathcal{F}g(\vec{h})\mathcal{F}c(\vec{h})| \leq 4\pi \times 4 \times 2A |\mathcal{F}c(\vec{h})|.$$

We may then apply Lebesgue's dominated convergence theorem:

$$\begin{aligned} I &= \int -\frac{4\pi}{3}(1 - 3\cos^2\theta_{\vec{h}})\mathcal{F}c(\vec{h})d\vec{h} \\ &= -\frac{4\pi}{3} \int \mathcal{F}c(\vec{h})d\vec{h} + 4\pi \int \cos^2\theta_{\vec{h}}\mathcal{F}c(\vec{h})d\vec{h}. \end{aligned}$$

According to (35) and (36), the conditions 1°, 2° and 3° of Poisson's formula relative to the Dirac measure (see section 9) are satisfied by  $c$ , so that:

$$\int \mathcal{F}c(\vec{h})d\vec{h} = c(\vec{0}).$$

For all  $k$ ,  $\vec{0} \in \frac{C}{f(k)}$  i. e.  $\vec{m}_k(\vec{0}) = \vec{0}$ , so that

$$c(\vec{0}) = \lim_{k \rightarrow +\infty} c(A_k, \vec{0}) = 1.$$

Then

$$I = -\frac{4\pi}{3} + 4\pi \int \cos^2\theta_{\vec{h}}\mathcal{F}c(\vec{h})d\vec{h},$$

which may also be written

$$E_4 + E_5 - E_5^0 - E_6 = \frac{2\pi\vec{M}^2}{V} \int \cos^2\theta_{\vec{h}}\mathcal{F}c(\vec{h})d\vec{h}.$$

Finally, the results of sections 7 and 10 lead to the expression:

$$E = E_E + \frac{2\pi\vec{M}^2}{V} \int \cos^2\theta_{\vec{h}}\mathcal{F}c(\vec{h})d\vec{h}.$$

### 13. EXPRESSION OF $c$

Notation:  $B(\vec{x}, r) = \{ \vec{y} \in E / \| \vec{y} - \vec{x} \| \leq r \}$ . In this section, we only consider the assumption (31) and the following one: there is  $A \subset E$  such that

for all  $\vec{x} \in \overset{\circ}{A}$ , there are  $r > 0$  and  $k_0$  such that  $B(\vec{x}, r) \subset \frac{A_k + C}{f(k)}$  for all  $k \geq k_0$ ;

for all  $\vec{x} \in E \setminus \bar{A}$ , there are  $r > 0$  and  $k_0$  such that  $B(\vec{x}, r) \subset E \setminus \frac{A_k + C}{f(k)}$  for all  $k \geq k_0$ ;

$\bar{A} \setminus \overset{\circ}{A}$  is negligible;

$$\overset{\circ}{A} \neq \phi. \tag{37}$$

Let us denote  $A'_k = \frac{A_k + C}{f(k)}$ . As a consequence of the preceding assumption (37), we have, for any  $\vec{x} \in E$  and  $(\vec{x}_k)$  such that  $\lim_{k \rightarrow +\infty} \vec{x}_k = \vec{x}$ ,

$$\lim_{k \rightarrow +\infty} \varphi_{\vec{x}_k + A'_k}(\vec{y}) = \varphi_{\vec{x} + A}(\vec{y}) \quad \text{for all } \vec{y} \in \vec{x} + (\overset{\circ}{A} \cup (E \setminus \bar{A})), \tag{38}$$

i. e. for almost every  $\vec{y} \in E$ . Indeed, if  $\vec{y} \in \vec{x} + \overset{\circ}{A}$ ,  $\vec{y} = \vec{x} + \vec{u}$  and there are  $r > 0$  and  $k_0$  such that

$$B(\vec{u}, r) \subset A'_k \quad \text{for all } k \geq k_0.$$

We may write  $\vec{y} = \vec{x}_k + \vec{u}_k$  with  $\vec{u}_k = \vec{u} + \vec{x} - \vec{x}_k$ , and there is  $k_1$  such that

$$\vec{u}_k \in B(\vec{u}, r) \quad \text{for all } k \geq k_1.$$

Then

$$\vec{y} \in \vec{x}_k + A'_k \quad \text{for all } k \geq \sup(k_0, k_1).$$

The proof is similar in the case  $\vec{y} \in \vec{x} + (E \setminus \bar{A})$ . Let us return to the function  $c$ :

$$\begin{aligned} c(A_k, \vec{m}_k(\vec{x})) &= \frac{\int \varphi_{A_k + C}(\vec{y}) \varphi_{\vec{m}_k(\vec{x}) + A_k + C}(\vec{y}) d\vec{y}}{\int \varphi_{A_k + C}(\vec{y}) d\vec{y}} \\ &= \frac{\int \varphi_{A'_k}(\vec{y}) \varphi_{\frac{\vec{m}_k(\vec{x})}{f(k)} + A'_k}(\vec{y}) d\vec{y}}{\int \varphi_{A'_k}(\vec{y}) d\vec{y}}. \end{aligned}$$

According to (38), we have

$$\lim_{k \rightarrow +\infty} \varphi_{A'_k} = \varphi_A \text{ almost everywhere,}$$

and, for all  $\vec{x} \in E$ ,

$$\lim_{k \rightarrow +\infty} \varphi_{\frac{\vec{m}_k(\vec{x})}{f(k)} + A'_k} = \varphi_{\vec{x} + A} \text{ almost everywhere.}$$

Since

$$\varphi_{A_k} \leq \varphi_{B(b' + \frac{\lambda}{n})},$$

we may apply Lebesgue's dominated convergence theorem: for all  $\vec{x} \in E$ ,  $c(\vec{x})$  exists and is equal to

$$\begin{aligned} c(\vec{x}) &= \frac{\lambda(A \cap \vec{x} + A)}{\lambda(A)} \\ &= \frac{1}{\lambda(A)} (\varphi_A * \varphi_{-A})(\vec{x}). \end{aligned}$$

Since  $\varphi_A \in \mathcal{L}^1(E)$ , we may write

$$\mathcal{F}c = \frac{1}{\lambda(A)} |\mathcal{F}\varphi_A|^2.$$

Since  $\varphi_A \in \mathcal{L}^2(E)$ , we have also

$$c \in \mathcal{C}(E) \quad \text{and} \quad \mathcal{F}c \in \mathcal{L}^1(E).$$

The assertions (34) and (35) are then consequences of (31) and (37), and the result of section 12 may also be written:

$$E = E_E + \frac{2\pi\vec{M}^2}{V\lambda(A)} \int \cos^2 \theta_{\vec{h}} |\mathcal{F}\varphi_A(\vec{h})|^2 d\vec{h}.$$

#### 14. AN EXAMPLE: THE SPHERICAL CRYSTAL

Let us order the set  $\{\|\vec{n}\|/\vec{n} \in L\}$  into an increasing sequence, denoted by  $R_k$ , and define

$$A_k = \{\vec{n} \in L/\|\vec{n}\| \leq R_k\}.$$

In order to apply the results of sections 7 and 10, we have to prove (27), (31), (32), (33) and (34). The proof of (27) and (33) requires a preliminary result, which will be proved in the following section.

##### 14.1. Properties concerning $A_k$ , $B_k$ and their convex hulls.

RESULT 1. — There is  $R > 0$  such that, for all  $k$ ,

$$B(R_k - R) \subset \text{conv } A_k.$$

*Proof.* — Since  $A_k$  is finite, we know that  $\text{conv } A_k$  is the intersection of a finite number of closed half-spaces  $H_i$ :

$$\text{conv } A_k = \bigcap_{i=1}^l H_i \tag{39}$$

(see [12]). Let  $P_i$  denote the plane which bounds  $H_i$ ,  $d_i = \inf_{\vec{x} \in P_i} \|\vec{x}\|$  and  $d_{i_0} = \inf_{i=1, \dots, l} d_i$ . Since  $\vec{0} \in A_k$ , and with the help of (39), we have

$$B(d_{i_0}) \subset \text{conv } A_k. \tag{40}$$

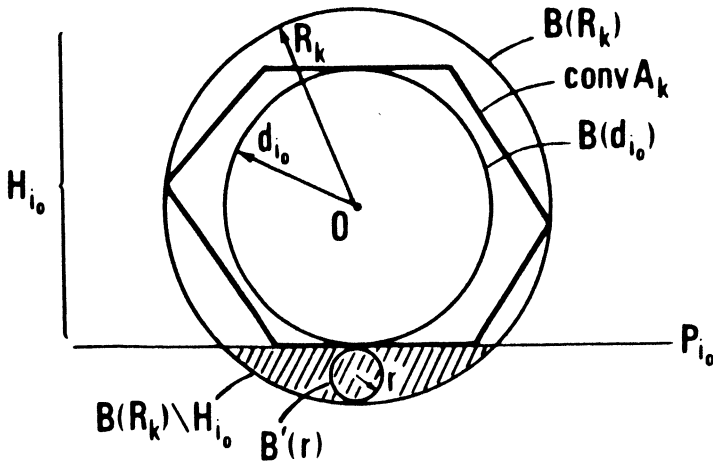


FIG. 2. — Spherical crystal: property concerning the convex hull of  $A_k$  (proof of the result 1 of section 14. 1).

From the definition of  $A_k$  and  $\text{conv } A_k \subset H_{i_0}$ , we may deduce that

$$L \cap (B(R_k) \setminus H_{i_0}) = \phi,$$

and then

$$L \cap B'(r) = \phi, \tag{41}$$

where  $B'(r)$  is the open ball of radius  $r = \frac{1}{2}(R_k - d_{i_0})$ , included in  $B(R_k) \setminus H_{i_0}$ , as shown in fig. 2. Denote by  $R$  the greatest diameter of an open ball which does not contain lattice points:

$$R = 2 \sup_{\vec{y} \in E} \inf_{\vec{n} \in L} \|\vec{y} - \vec{n}\|$$

( $R$  is finite, for  $\inf_{\vec{n} \in L} \|\vec{y} - \vec{n}\| \leq \|\vec{y} - \vec{n}(\vec{y})\| \leq \gamma$ ,  $\vec{n}(\vec{y})$  being defined by:  $\vec{y} \in \vec{n}(\vec{y}) + C$ ). Then, according to (41),

$$R_k - d_{i_0} = 2r \leq R$$

or

$$R_k - R \leq d_{i_0},$$

which leads to the result 1 (with the help of (40)).

RESULT 2.

$$\text{conv } B_k = 2 \text{ conv } A_k.$$

*Proof.* — We may write

$$2A_k \subset A_k + A_k = A_k - A_k = B_k,$$



and then

$$2 \operatorname{conv} A_k \subset \operatorname{conv} B_k.$$

On the other hand

$$B_k = A_k + A_k \subset \operatorname{conv} A_k + \operatorname{conv} A_k = 2 \operatorname{conv} A_k$$

( $A + A = 2A$  for any convex set  $A$ , since  $x + y \in A + A$  may be written as  $2 \frac{x + y}{2}$ ), and then

$$\operatorname{conv} B_k \subset 2 \operatorname{conv} A_k.$$

RESULT 3.

$$B_k = L \cap \operatorname{conv} B_k.$$

*Proof.* — We have to prove

$$L \cap \operatorname{conv} B_k \subset B_k. \tag{42}$$

Let  $\vec{m} \in L \cap \operatorname{conv} B_k$ . According to the result 2,  $\vec{m} = 2\vec{\mu}$  where

$$\vec{\mu} \in \operatorname{conv} A_k. \tag{43}$$

By the definition of  $A_k$ ,  $\operatorname{conv} A_k \subset B(R_k)$ , so that

$$\|\vec{\mu}\| \leq R_k. \tag{44}$$

If  $\vec{\mu} \in L$ , then (by (44))  $\vec{\mu} \in A_k$  and

$$\vec{m} = 2\vec{\mu} = \vec{\mu} + \vec{\mu} \in A_k + A_k = B_k,$$

which proves (42).

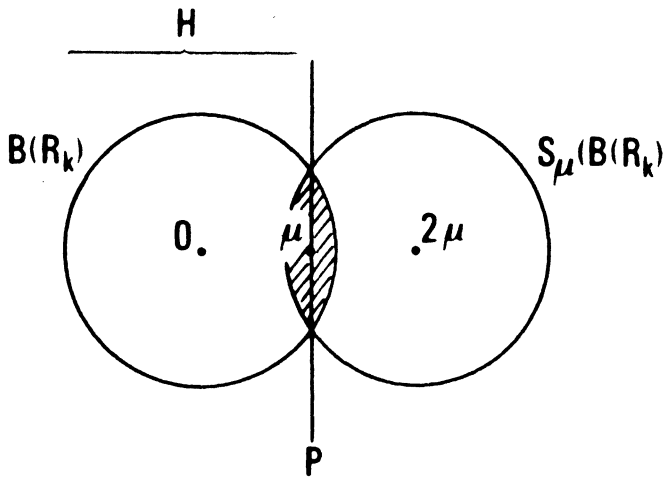


FIG. 3. — Spherical crystal: relation between  $B_k$  and its convex hull (proof of the result 3 of section 14.1).

Now, let us suppose that  $\vec{\mu} = \frac{\vec{m}}{2} \in \frac{1}{2}L$  is such that  $\vec{\mu} \notin L$ .

We have to prove that

$$\vec{m} = 2\vec{\mu} \in B_k = A_k + A_k,$$

i. e. that  $\vec{\mu}$  may be written as

$$\vec{\mu} = \frac{1}{2}(\vec{n} + \vec{p}), \quad \vec{n} \text{ and } \vec{p} \in A_k. \tag{45}$$

We argue by contradiction. Suppose that (45) is false, i. e. that

$$\text{for all } \vec{n} \in A_k, S_{\vec{\mu}}(\vec{n}) \notin A_k \tag{46}$$

where  $S_{\vec{\mu}}$  is the symmetry with center  $\vec{\mu}$ . Since  $\vec{\mu} \in \frac{1}{2}L$ ,  $S_{\vec{\mu}}(L) = L$  and we may deduce, from (46) and the definition of  $A_k$ :

$$L \cap B(R_k) \cap S_{\vec{\mu}}(B(R_k)) = \phi. \tag{47}$$

Let P denote the plane containing  $\vec{\mu}$  and perpendicular to the direction  $\vec{\mu}$ , and H the open half-space bounded by P, which contains  $\vec{0}$  (see fig. 3). (47) implies

$$A_k \subset H,$$

and hence

$$\text{conv } A_k \subset H. \tag{48}$$

The contradiction arises from (43) and (48), since  $\vec{\mu}$  does not belong to the open half-space H.

RESULT 4.

$$L \cap B(2R_k - 2R) \subset B_k. \tag{49}$$

*Proof.* — This result (which will be used in the following sections) is a consequence of the preceding results 1, 2 and 3.

### 14.2. Proof of (27)

Let us consider the difference

$$\begin{aligned} \Delta_k &= \left| \sum_{0 < \|\vec{m}\| \leq 2R_k} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3} - \sum_{\vec{m} \in B_k \setminus \{\vec{0}\}} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3} \right| \\ &= \left| \sum_{\substack{\|\vec{m}\| \leq 2R_k \\ \vec{m} \notin B_k}} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3} \right|. \end{aligned}$$

According to the result (49),  $\Delta_k$  may be majorized by

$$\begin{aligned} \Delta_k &\leq \left| \sum_{2R_k - 2R < \|\vec{m}\| \leq 2R_k} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3} \right| \\ &\leq 4 \sum_{2R_k - 2R < \|\vec{m}\| \leq 2R_k} \frac{1}{\|\vec{m}\|^3}. \end{aligned}$$

Let us denote

$$\begin{aligned} D_k &= \bigcup_{2R_k - 2R < \|\vec{m}\| \leq 2R_k} \vec{m} + C \\ \Phi(\vec{x}) &= \sum_{\vec{m} \neq \vec{0}} \frac{1}{\|\vec{m}\|^3} \varphi_{\vec{m} + C}(\vec{x}) \\ F(\vec{x}) &= \frac{1}{\|\vec{x}\|^3}. \end{aligned}$$

With a method similar to that of appendix 9, we obtain

$$\begin{aligned} \Delta_k &\leq \frac{4}{V} \int_{D_k} F(\vec{x}) d\vec{x} + \frac{4}{V} \int_{D_k} |\Phi(\vec{x}) - F(\vec{x})| d\vec{x} \\ &\leq \frac{16\pi}{V} \text{Log} \frac{2R_k + \gamma}{2R_k - 2R - \gamma} + \frac{48\pi\gamma}{V} \left[ -\frac{1}{\rho} - \frac{\gamma}{\rho^2} - \frac{\gamma^2}{3\rho^3} \right]_{\rho=2R_k-2R-2\gamma}^{2R_k} \end{aligned}$$

which shows that

$$\lim_{k \rightarrow +\infty} \Delta_k = 0.$$

According to the result of appendix 6, the two following limits exist and are equal:

$$\lim_{k \rightarrow +\infty} \sum_{\vec{m} \in B_k \setminus \{\vec{0}\}} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3} = \lim_{r \rightarrow +\infty} \sum_{0 < \|\vec{m}\| \leq r} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3}.$$

By application of the result of section 7, we may conclude:

$$\begin{aligned} E_0 &= \frac{1}{2} \lim_{k \rightarrow +\infty} \sum_{\vec{m} \in B_k} \sum_{\vec{s}} \sum_{\vec{t}} \frac{q_{\vec{s}} q_{\vec{t}}}{\|\vec{m} + \vec{s} - \vec{t}\|} \\ &= \frac{1}{2} \lim_{r \rightarrow +\infty} \sum_{\|\vec{m}\| \leq r} \sum_{\vec{s}} \sum_{\vec{t}} \frac{q_{\vec{s}} q_{\vec{t}}}{\|\vec{m} + \vec{s} - \vec{t}\|} \\ &= E_E + \frac{2\pi\vec{M}^2}{3V}. \end{aligned}$$

14.3. Proof of (34).

We take  $f(k) = R_k$ . The assertion (31) is obviously satisfied. With the help of

$$c(A_k, \vec{m}) = \frac{\int_{(A_k+C) \cap (\vec{m} + A_k+C)} d\vec{x}}{\int_{A_k+C} d\vec{x}}$$

and the inclusions

$$B(R_k - \gamma) \subset A_k + C \subset B(R_k + \gamma),$$

we obtain, for  $R_k > \gamma$ :

$$c(A_k, \vec{m}) \geq \frac{(R_k - \gamma)^3}{(R_k + \gamma)^3} - \frac{3 \|\vec{m}\| (R_k - \gamma)^2}{4(R_k + \gamma)^3} + \frac{\|\vec{m}\|^3}{16(R_k + \gamma)^3} \text{ if } \|\vec{m}\| < 2(R_k - \gamma); \quad (50)$$

$$c(A_k, \vec{m}) \leq \frac{(R_k + \gamma)^3}{(R_k - \gamma)^3} - \frac{3 \|\vec{m}\| (R_k + \gamma)^2}{4(R_k - \gamma)^3} + \frac{\|\vec{m}\|^3}{16(R_k - \gamma)^3} \text{ if } \|\vec{m}\| < 2(R_k + \gamma); \quad (51)$$

$$c(A_k, \vec{m}) = 0 \text{ if } \|\vec{m}\| \geq 2(R_k + \gamma). \quad (52)$$

The inequality

$$\|\vec{m}_k(\vec{x})\| \geq \|\vec{x}\| R_k - \gamma$$

shows that (52) is satisfied if  $\|\vec{x}\| > 2$  and  $k$  is large enough, so that

$$c(\vec{x}) = 0 \text{ if } \|\vec{x}\| > 2.$$

The inequality

$$\|\vec{m}_k(\vec{x})\| \leq \|\vec{x}\| R_k + \gamma$$

shows that (50) and (51) are satisfied if  $\|\vec{x}\| < 2$  and  $k$  is large enough. Since

$$\|\vec{m}_k(\vec{x})\|_{k \rightarrow \infty} \sim \|\vec{x}\| R_k,$$

we deduce that

$$c(\vec{x}) = 1 - \frac{3 \|\vec{x}\|}{4} + \frac{\|\vec{x}\|^3}{16} \text{ if } \|\vec{x}\| < 2.$$

14.4. Proof of (32).

With the help of (50), we may write

$$\begin{aligned} 1 - c(A_k, \vec{m}) &\leq 1 - \frac{(R_k - \gamma)^3}{(R_k + \gamma)^3} + \frac{3 \|\vec{m}\|}{4R_k} \\ &= \frac{6R_k^2\gamma + 2\gamma^3}{(R_k + \gamma)^3} + \frac{3 \|\vec{m}\|}{4R_k} \\ &\leq \frac{6\gamma}{R_k} + \frac{2\gamma}{R_k} + \frac{3 \|\vec{m}\|}{4R_k}. \end{aligned}$$

If  $\vec{m} \neq \vec{0}$ ,  $\|\vec{m}\| \geq |m| \varepsilon_0 \geq \varepsilon_0$  (see the notations in appendix 4) and we deduce

$$1 - c(A_k, \vec{m}) \leq \frac{\|\vec{m}\|}{R_k} \left( \frac{8\gamma}{\varepsilon_0} + \frac{3}{4} \right)$$

if  $R_k > \gamma$  and  $\|\vec{m}\| < 2(R_k - \gamma)$  (the inequality also holds for  $\vec{m} = \vec{0}$ ). In the case  $\|\vec{m}\| \geq 2(R_k - \gamma)$ , we have

$$1 - c(A_k, \vec{m}) \leq 1 \leq \frac{\|\vec{m}\|}{2(R_k - \gamma)} \leq \frac{\|\vec{m}\|}{R_k} \quad \text{if } R_k \geq 2\gamma.$$

In the last case  $R_k < 2\gamma$ , we have

$$1 - c(A_k, \vec{m}) \leq 1 < \frac{2\gamma}{R_k} \leq \frac{\|\vec{m}\|}{R_k} \frac{2\gamma}{\varepsilon_0}.$$

These inequalities show that (32) is satisfied with  $\alpha = 1$ .

#### 14.5. Proof of (33).

If  $\|\vec{x}\| > 2$  and  $k$  is large enough, we have  $\frac{\|\vec{m}_k(\vec{x})\|}{R_k} > 2$ , which implies  $\vec{m}_k(\vec{x}) \notin B_k$  i. e.

$$\varphi_{\frac{B_k + C}{R_k}}(\vec{x}) = 0.$$

The inequality

$$\|\vec{m}_k(\vec{x})\| \leq \|\vec{x}\| R_k + \gamma$$

implies

$$\|\vec{m}_k(\vec{x})\| \leq 2R_k - 2R$$

if  $\|\vec{x}\| < 2$  and  $k$  is large enough. The result (49) shows that  $\vec{m}_k(\vec{x}) \in B_k$  i. e.

$$\varphi_{\frac{B_k + C}{R_k}}(\vec{x}) = 1.$$

Finally,

$$B = \{ \vec{x} \in E / \|\vec{x}\| \leq 2 \} \quad (\text{almost everywhere}).$$

In conclusion, the result of section 10 may be applied:

$$E = E_0$$

( $E_0 = 0$  for  $B$  is a sphere and  $c(\vec{x})$  depends only on  $\|\vec{x}\|$ : take  $\vec{M}$  as polar axis) with the value of  $E_0$  given in section 14.2.

### 15. SUMMARY OF THE RESULTS

For clarity, we present first the notations and a classification of the assumptions.

NOTATIONS:

$$B(r) = \{ \vec{x} \in E / \|\vec{x}\| \leq r \};$$

$$B(\vec{x}, r) = \{ \vec{y} \in E / \|\vec{y} - \vec{x}\| \leq r \};$$

$\varphi_D$  is the characteristic function of a subset  $D$  of  $E$  ;

$\lambda$  or  $d\vec{x}$  represents the Lebesgue measure on  $E$  ;

$$\mathcal{F}f(\vec{h}) = \int f(\vec{x})e^{-2\pi i \vec{h} \cdot \vec{x}} d\vec{x} \text{ (Fourier transform of } f\text{);}$$

$$C = [0, 1[\vec{a}_1 + [0, 1[\vec{a}_2 + [0, 1[\vec{a}_3 \text{ (cell parallelepiped);}$$

$$V = |(\vec{a}_1, \vec{a}_2, \vec{a}_3)| = \lambda(C);$$

$$L^* = \mathbb{Z}\vec{a}_1^* + \mathbb{Z}\vec{a}_2^* + \mathbb{Z}\vec{a}_3^* \text{ (dual lattice) where } \vec{a}_i \cdot \vec{a}_j^* = \delta_{ij} \text{ (Kronecker delta);}$$

$$F(\vec{h}) = \sum_{\vec{s} \in S} q_{\vec{s}} e^{-2\pi i \vec{h} \cdot \vec{s}};$$

$$\vec{M} = \sum_{\vec{s} \in S} q_{\vec{s}} \vec{s} \text{ (dipole moment of the cell } S\text{);}$$

$\theta_{\vec{x}}$  is the angle  $(\vec{M}, \vec{x})$ .

*Classification of the assumptions:*

1)  $\sum_{\vec{m} \in \mathbf{B}_k \setminus \{\vec{0}\}} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3}$  has a finite limit when  $k \rightarrow +\infty$  ;

2) there is  $(f(k)) > 0$  such that

2.1)  $\left(\frac{d(k)}{f(k)}\right)$  is majorized; notation:  $d(k) = \sup_{\vec{m} \in \mathbf{B}_k} \|\vec{m}\|$  (or  $\sup_{\vec{n} \in \mathbf{A}_k} \|\vec{n}\|$ );

2.2) for almost every  $\vec{x} \in E$ ,  $\frac{\varphi_{\mathbf{B}_k + C}(\vec{x})}{f(k)}$  has a limit when  $k \rightarrow +\infty$ , which is denoted by  $\varphi_B(\vec{x})$ ;

2.3) there is an increasing sequence  $(r_k) \geq 0$  such that

2.3.1)  $\mathbf{B}(r_k) \cap L \subset \mathbf{B}_k$  for all  $k$  ;

2.3.2)  $\frac{r_k}{f(k)}$  has a limit  $\varepsilon > 0$ , when  $k \rightarrow +\infty$  ;

2.4) there are  $a \geq 0$  and  $\alpha > 0$  such that

$$1 - c(\mathbf{A}_k, \vec{m}) \leq a \left(\frac{\|\vec{m}\|}{f(k)}\right)^\alpha \text{ for all } k \text{ and } \vec{m} \in \mathbf{B}_k;$$

2.5) for almost every  $\vec{x} \in E$ ,  $c(\mathbf{A}_k, \vec{m}_k(\vec{x}))$  has a finite limit when  $k \rightarrow +\infty$ , which is denoted by  $c(\vec{x})$ ;  $\vec{m}_k(\vec{x}) \in L$  being defined by:  $\vec{x} \in \frac{\vec{m}_k(\vec{x}) + C}{f(k)}$  ;

2.6)  $c \in \mathcal{C}(E)$  and  $\mathcal{F}c \in \mathcal{L}^1(E)$  (the function  $c$  is continuous and its Fourier transform is integrable);

2.7) there is  $A \subset E$  such that

2.7.1) for all  $\vec{x} \in \overset{\circ}{A}$ , there are  $r > 0$  and  $k_0$  such that

$$B(\vec{x}, r) \subset \frac{A_k + C}{f(k)} \quad \text{for all } k \geq k_0;$$

2.7.2) for all  $\vec{x} \in E \setminus \overline{A}$ , there are  $r > 0$  and  $k_0$  such that

$$B(\vec{x}, r) \subset E \setminus \frac{A_k + C}{f(k)} \quad \text{for all } k \geq k_0;$$

2.7.3)  $\overline{A} \setminus \overset{\circ}{A}$  is negligible;

2.7.4)  $\overset{\circ}{A} \neq \phi$ .

### 15.1. General expression of the Ewald energy $E_E$ .

Let  $S$  and  $\mathcal{B}$  satisfy (1) to (4). We use the following expression of the Ewald energy

$$E_E = E_1 - E_2 + E_3$$

where

$$E_1 = \frac{1}{2\pi V} \sum_{\vec{h} \in L^* \setminus \{0\}} \frac{|F(\vec{h})|^2 \psi(\vec{h})}{h^2},$$

$$\begin{aligned} E_2 &= 2\pi \sum_{\vec{s} \in S} q_{\vec{s}}^2 \int_0^{+\infty} u p(u) du \\ &= 2 \sum_{\vec{s} \in S} q_{\vec{s}}^2 \int_0^{+\infty} \psi(h) dh, \end{aligned}$$

$$\begin{aligned} E_3 &= \frac{1}{2} \sum_{\vec{m} \in L} \sum_{\substack{\vec{s} \in S \\ \vec{m} + \vec{s} \neq \vec{t}}} \sum_{\vec{t} \in S} \frac{q_{\vec{s}} q_{\vec{t}}}{\|\vec{m} + \vec{s} - \vec{t}\|} \int_{\|\vec{m} + \vec{s} - \vec{t}\|}^{+\infty} 4\pi u (u - \|\vec{m} + \vec{s} - \vec{t}\|) p(u) du \\ &= \frac{1}{2} \sum_{\vec{m} \in L} \sum_{\substack{\vec{s} \in S \\ \vec{m} + \vec{s} \neq \vec{t}}} \sum_{\vec{t} \in S} \frac{q_{\vec{s}} q_{\vec{t}}}{\|\vec{m} + \vec{s} - \vec{t}\|} \left( 1 - \frac{2}{\pi} \int_0^{+\infty} \frac{\psi(h) \sin(2\pi \|\vec{m} + \vec{s} - \vec{t}\| h)}{h} dh \right) \end{aligned}$$

(the expressions of  $E_2$  and  $E_3$  with the integrals on  $E$ , may directly be written from (28)-(29)), which has the same form as that of Bertaut [4], but in which the functions  $p$  and  $\psi$  satisfy the more general conditions:

- $p \in \mathcal{L}^1_{\mathbb{C}}(E)$  (the values of  $p$  belong to  $\mathbb{C}$  and  $p$  is integrable);
- $\int p(\vec{u}) d\vec{u} = 1$ ;

- c)  $p(\vec{u})$  depends only on  $\|\vec{u}\|$ ;
- d) there is  $\beta > 3$  such that  $\|\vec{u}\|^\beta p(\vec{u})$  is bounded;
- e)  $\psi = \mathcal{F}p$ ;
- f)  $\psi \in \mathcal{L}_c^1(\mathbb{E})$ ;
- g) for all  $\vec{x}_0 \in \mathbb{E}$ , there is  $r > 0$  such that the family

$$\vec{h} \in L^* \rightarrow \sup_{\vec{x} \in B(\vec{x}_0, r)} |\psi(\vec{x} + \vec{h})|$$

is absolutely summable.

(In the above expressions of  $E_2$  and  $E_3$ ,  $p(u)$  and  $\psi(h)$  are written for  $p(\vec{u})$  and  $\psi(\vec{h})$ , where  $u = \|\vec{u}\|$  and  $h = \|\vec{h}\|$ ). In our proof, we have also used the condition (more restrictive than d)):

- d') there is  $d \geq 0$  such that  $\|\vec{u}\| \geq d$  implies  $p(\vec{u}) = 0$ .

Notes on the preceding expression.

A) According to a) and e), the condition f) is equivalent to:  $p$  is almost everywhere equal to a function of  $\mathcal{P}(\mathbb{E})$  (space of linear combinations of continuous functions « of positive type »; see [11], sections 8 and 10). We identify  $p$  with that function of  $\mathcal{P}(\mathbb{E})$ , so that f) is equivalent to:

$$f') \quad p \in \mathcal{P}(\mathbb{E})$$

(which implies that  $p$  is continuous). We have also:  $\psi \in \mathcal{P}(\mathbb{E})$ .

B) Note that a sufficient condition for f) and g) is:

- g') there is  $\beta' > 3$  such that  $\|\vec{h}\|^{\beta'} \psi(\vec{h})$  is bounded.

C) Note that a), b) and f) are satisfied if

$$p = \sigma * \tau,$$

$$\sigma \text{ and } \tau \in \mathcal{L}_c^1(\mathbb{E}) \cap \mathcal{L}_c^2(\mathbb{E}),$$

$$\int \sigma(\vec{x}) d\vec{x} = \int \tau(\vec{x}) d\vec{x} = 1$$

( $\psi = \mathcal{F}\sigma\mathcal{F}\tau$  is integrable because  $\mathcal{F}\sigma$  and  $\mathcal{F}\tau$  belong to  $\mathcal{L}_c^2(\mathbb{E})$ ).

D) The examples of Ewald (18) and Bertaut (19) satisfy the conditions a) to g) (and g')).

### 15.2. The Born-Landé expression $E_0$ .

Let  $S$  and  $\mathcal{B}$  satisfy (1) to (4).

If  $\vec{M}$  (dipole moment of the cell  $S$ ) =  $\vec{0}$  the Born-Landé expression

$$E_0 = \frac{1}{2} \sum_{\vec{m} \in L} \sum_{\substack{\vec{s} \in S \\ \vec{m} + \vec{s} \neq \vec{t}}} \sum_{\vec{t} \in S} \frac{q_{\vec{s}} q_{\vec{t}}}{\|\vec{m} + \vec{s} - \vec{t}\|} \tag{53}$$



exists and, for any functions  $p$  and  $\psi$  which satisfy  $a)$  to  $g)$ , we have

$$E_0 = E_E. \tag{54}$$

If  $\vec{M} \neq \vec{0}$ : Let  $(B_k)$  be any increasing sequence of finite subsets of  $L$  such that  $\bigcup_k B_k = L$ . Then

$$i) \quad E_0 = \frac{1}{2} \lim_{k \rightarrow +\infty} \sum_{\substack{\vec{m} \in B_k \\ \vec{m} + \vec{s} \neq \vec{t}}} \sum_{\vec{s} \in S} \sum_{\vec{t} \in S} \frac{q_{\vec{s}} q_{\vec{t}}}{\|\vec{m} + \vec{s} - \vec{t}\|} \tag{55}$$

exists if and only if assertion 1) is satisfied;

ii) if 1) is satisfied: for any functions  $p$  and  $\psi$  which satisfy  $a)$  to  $g)$  and  $d')$ , we have

$$E_0 = E_E + E_4 + E_5 - E_5^0 \tag{56}$$

where

$$\begin{aligned} E_4 &= \frac{2\pi\vec{M}^2}{3V} \\ E_5 &= \frac{\vec{M}^2}{2} \lim_{k \rightarrow +\infty} \sum_{\vec{m} \in B_k \setminus \{\vec{0}\}} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3} \\ E_5^0 &= \frac{\vec{M}^2}{2} \lim_{r \rightarrow +\infty} \sum_{\substack{\vec{m} \in L \setminus \{\vec{0}\} \\ \|\vec{m}\| \leq r}} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3} \end{aligned} \tag{57}$$

( $E_5^0$  always exists);

iii) if 2. 1), 2. 2) and 2. 3) are satisfied, then

iii. i) assertion 1) is satisfied and the preceding result ii) holds;

iii. ii)  $B$  is integrable and bounded (almost everywhere), and  $\overset{\circ}{B}(\varepsilon) \subset B$ ;

$$iii. iii) \quad E_0 = E_E + \frac{2\pi\vec{M}^2}{3V} + \frac{\vec{M}^2}{2V} \int_{B \setminus \overset{\circ}{B}(\varepsilon)} \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} d\vec{x} \tag{58}$$

(the integral on  $B \setminus \overset{\circ}{B}(\varepsilon)$  does not depend on  $\varepsilon > 0$ , provided that  $\overset{\circ}{B}(\varepsilon) \subset B$  almost everywhere);

$$\begin{aligned} iii. iv) \quad E_0 &= E_E + \frac{2\pi\vec{M}^2}{V} \int \cos^2 \theta_{\vec{h}} \mathcal{F}(\varphi_B * \varphi_\varepsilon)(\vec{h}) d\vec{h} \\ &= E_E + \frac{2\pi\vec{M}^2}{V} \int \cos^2 \theta_{\vec{h}} \mathcal{F} \varphi_B(\vec{h}) \mathcal{F} \varphi_\varepsilon(\vec{h}) d\vec{h} \end{aligned} \tag{59}$$

where

$$\varphi_\varepsilon = \frac{3}{4\pi\varepsilon^3} \varphi_{B(\varepsilon)}$$

so that  $\mathcal{F} \varphi_\varepsilon(\vec{h}) = \frac{3(\sin \sigma - \sigma \cos \sigma)}{\sigma^3}$  with  $\sigma = 2\pi\varepsilon \|\vec{h}\|$

(the integral in (59) does not depend on  $\varepsilon > 0$ , provided that  $B(\varepsilon) \subset B$  almost everywhere).

*Consequences and notes:*

A) Since  $E_0, E_4, E_5$  and  $E_5^0$  do not depend on  $p$  and  $\psi$ , the preceding result proves that  $E_E$  (defined in section 15. 1) does not depend on the functions  $p$  and  $\psi$  which satisfy a) to g) if  $\vec{M} = \vec{0}$ , or a) to g) and d') if  $\vec{M} \neq \vec{0}$ . If  $\vec{M} \neq \vec{0}$ , this result probably holds with functions  $p$  and  $\psi$  not restricted by the condition d') (as we empirically know from numerical calculations with the gaussian functions (18) of Ewald).

B) Consider the group  $G$  (of order 48) of all symmetries of the cube. With orthonormal coordinates, the sum of

$$1 - 3 \cos^2 \theta_{\vec{x}} = 1 - \frac{3}{\vec{M}^2 \vec{x}^2} (M_1 x_1 + M_2 x_2 + M_3 x_3)^2$$

on the eight points  $\vec{x}$  equivalent by the three reflexions in the planes  $x_1 = 0$ ,  $x_2 = 0$  and  $x_3 = 0$ , is equal to

$$8 - \frac{24}{\vec{M}^2 \vec{x}^2} (M_1^2 x_1^2 + M_2^2 x_2^2 + M_3^2 x_3^2);$$

and the sum of this last expression on the six points  $\vec{x}$  equivalent by the three reflexions in the planes  $x_1 = x_2$ ,  $x_2 = x_3$  and  $x_3 = x_1$ , is equal to

$$48 - \frac{48}{\vec{M}^2 \vec{x}^2} (M_1^2 + M_2^2 + M_3^2)(x_1^2 + x_2^2 + x_3^2) = 0.$$

Then, the sum of  $1 - 3 \cos^2 \theta_{\vec{x}}$  on the 48 points equivalent by  $G$ , is equal to 0. We may conclude that, if  $B$  has all the symmetries of the cube, then the integral on  $B \setminus B(\varepsilon)$  in (58) is equal to 0, and

$$E_0 = E_E + \frac{2\pi\vec{M}^2}{3V}. \tag{60}$$

Simple examples of such  $B$  are the sphere and the regular polyhedra with group  $G$ : the cube, the octahedron, the cuboctahedron, etc. ((60) was shown in the following cases: cubic lattice and  $B =$  sphere [6]; general lattice and  $B =$  sphere [9]; general lattice and  $B =$  cube [7]). The complete proof for the spherical case, is given in section 14.

C) Application of (58) to

$$B = B(1) \cup \left\{ \vec{x} \in E / 1 < \|\vec{x}\| \leq 1 + r \text{ and } |\cos \theta_{\vec{x}}| \geq \frac{1}{\sqrt{3}} \right\}$$

leads to

$$E_0 = E_E + \frac{2\pi\vec{M}^2}{3V} \left[ 1 - \frac{2}{\sqrt{3}} \text{Log}(1 + r) \right],$$

which shows that  $E_0 < E_E$  if  $r$  is large enough, and  $E_0 - E_E \rightarrow -\infty$  when  $r \rightarrow +\infty$ .

D) The equation of Smith ([7], (3.12) and (3.15))

$$E_0 = E_E + \frac{2\pi\vec{M}^2}{V} \int \cos^2 \theta_{\vec{h}} \mathcal{F}\varphi_B(\vec{h}) d\vec{h}$$

is incorrect because  $\mathcal{F}\varphi_B$  is not integrable. Indeed, the integrability of  $\mathcal{F}\varphi_B$  would imply the continuity of a function almost everywhere equal to  $\varphi_B$  (as for the function  $p$  in note A) of section 15.1), which obviously is false (as a concrete example, if  $B$  is a sphere,  $\mathcal{F}\varphi_B$ —which has the same form as  $\mathcal{F}\varphi_\varepsilon$  above— is not integrable). In the above equation (59),

$$\mathcal{F}(\varphi_B * \varphi_\varepsilon) = \mathcal{F}\varphi_B \mathcal{F}\varphi_\varepsilon \in \mathcal{L}^1(E)$$

because  $\mathcal{F}\varphi_B \in \mathcal{L}^2(E)$  and  $\mathcal{F}\varphi_\varepsilon \in \mathcal{L}^2(E)$ .

### 15.3. The limit energy per cell $E$ .

Let  $S$  and  $\mathcal{B}$  satisfy (1) to (4). Let  $(A_k)$  be any increasing sequence of finite subsets of  $L$  such that  $\bigcup_k A_k = L$ .

If  $\vec{M} = \vec{0}$ : If (2.4) is satisfied with  $f(k) = \sup_{n \in A_k} \|\vec{n}\|$ , then the limit energy per cell  $E$ —defined by (8)—exists and

$$E = E_0 = E_E \tag{61}$$

( $E_0$  given by (53)).

If  $\vec{M} \neq \vec{0}$ : Let define

$$B_k = \{ \vec{n} - \vec{p}/\vec{n} \in A_k \text{ and } \vec{p} \in A_k \} = A_k - A_k.$$

Then

i) if 2.1), 2.2), 2.4) and 2.5) are satisfied, then the following three assertions are equivalent:

- i. i)  $E$  (defined by (8)) exists;
- i. ii)  $E_0$  (defined by (55)) exists;
- i. iii) assertion 1) is satisfied;

ii) if 1), 2.1) 2.2), 2.4) and 2.5) are satisfied, then

- ii. i)  $B$  is integrable and bounded (almost everywhere);
- ii. ii)  $1 - c(\vec{x}) \leq a \|\vec{x}\|^\alpha$  for almost every  $\vec{x} \in B$ ;

$$ii. iii) \quad E = E_0 - E_6 = E_E + E_4 + E_5 - E_5^0 - E_6 \tag{62}$$

where  $E_4, E_5$  and  $E_5^0$  are given by (57), and

$$E_6 = \frac{\vec{M}^2}{2V} \int_B (1 - c(\vec{x})) \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} d\vec{x}; \tag{63}$$

iii) if 2.1), 2.2), 2.3), 2.4) and 2.5) are satisfied, then

iii.i) assertion 1) is satisfied and the preceding result (ii) holds;

iii.ii)  $\overset{\circ}{B}(\varepsilon) \subset B$ ;

$$\text{iii.iii) } E = E_E + \frac{2\pi\vec{M}^2}{3V} + \frac{\vec{M}^2}{2V} \lim_{\varepsilon' \rightarrow 0^+} \int_{B \setminus B(\varepsilon')} c(\vec{x}) \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} d\vec{x}; \quad (64)$$

iv) if 2.1), 2.2), 2.3), 2.4), 2.5) and 2.6) are satisfied, then

iv.i) the preceding results (ii) and (iii) hold;

$$\text{iv.ii) } E = E_E + \frac{2\pi\vec{M}^2}{V} \int \cos^2 \theta_{\vec{h}} \mathcal{F} c(\vec{h}) d\vec{h}; \quad (65)$$

v) if 2.1), 2.2), 2.3), 2.4) and 2.7) are satisfied, then

v.i) A is integrable and bounded (almost everywhere);

v.ii) assertion 2.5) is satisfied for all  $\vec{x} \in E$ , and

$$c(\vec{x}) = \frac{\lambda(A \cap \vec{x} + A)}{\lambda(A)} = \frac{1}{\lambda(A)} (\varphi_A * \varphi_{-A})(\vec{x}); \quad (66)$$

assertion 2.6) is satisfied and the preceding results (ii), (iii) and (iv) hold;

$$\text{v.iii) } E = E_E + \frac{2\pi\vec{M}^2}{V\lambda(A)} \int \cos^2 \theta_{\vec{h}} |\mathcal{F} \varphi_A(\vec{h})|^2 d\vec{h}. \quad (67)$$

*Consequences and notes.*

A) As in note A) of section 15.2, if A and B have all the symmetries of the cube, then the integrals in (63) and (64)—with  $c(\vec{x})$  given by (66)—are equal to 0, and

$$E = E_0 = E_E + \frac{2\pi\vec{M}^2}{3V} \quad (68)$$

(note that if  $B = A - A$ , the symmetry conditions on A imply those on B). Simple examples of such A and B are given in note A) of section 15.2. The complete proof for the spherical case, is given in section 14.

B) According to (67), we have always  $E \geq E_E$ .

C) The general relation between E and  $E_0$  given by Smith ([7], (4.24)):  $E = E_0$ , is not correct. The exact relation is (62) (the equality  $E = E_0$  only occurs in some particular cases, as indicated in the preceding note A)). The equality (67) is identical with that of Smith ([8], (1.7) and (2.4)).

**15.4. Conclusion.**

Born and Landé [1] thought that the expression  $E_0$  (given by (6)) represented the electrostatic energy per cell of an ionic crystal. Ewald [3] (and

Bertaut [4]) thought that the « Ewald energy »  $E_E$  was equal to  $E_0$  (and then, to the energy per cell). These two affirmations are generally not correct (if the dipole moment of the cell is not equal to  $\vec{0}$ ). The correct definition of the energy per cell is that of the « limit energy per cell »  $E$  (defined by (8)). We use general increasing sequences of finite crystals ( $(A_k)$  in the definition of  $E$ , and  $(B_k)$  for  $E_0$ ) and a general definition of a cell  $(S, \mathcal{B})$ , which may be modified for a given crystal (see section 1: (1) to (4) and fig. 1).

We obtain a general expression of the Ewald energy  $E_E$ , written with functions  $p$  and  $\psi$  with complex values (section 15.1), and we prove that the value of  $E_E$  is independent on the functions  $p$  and  $\psi$  (note A) of section 15.2).

All the results of sections 15.2 and 15.3 are given in two forms: in the usual space and in the dual space. The general relations between the Born-Landé expression  $E_0$  and the Ewald energy  $E_E$  are (56) and (58) in the usual space, and (59) in the dual space. The general relations between the limit energy per cell  $E$ , the Born-Landé expression  $E_0$  and the Ewald energy  $E_E$ , are (62) and (64) in the usual space, and (65) and (67) in the dual space. Note that some consequences may be deduced from the usual space-form of the results, whereas other consequences are obtained from the dual space-form (see the following). In the case of a crystal shape which has all the symmetries of the cube, we deduce the relations (60)-(68) (complete proof for the spherical case, in section 14). In the general case, the limit energy per cell  $E$ , and the limit volumic energy  $\frac{E}{V}$ , depend on the increasing sequence of finite crystals  $A_k + S$ . Since changes of  $A_k$  and  $S$  are equivalent to a modifica-

tion of the surface of the crystal, the volumic electrostatic energy  $\frac{E}{V}$  depends on the surface of the crystal (the same occurs for  $E_0$ ). This property is due to the long-range  $\frac{1}{r}$  interaction of the electrostatic potential energy. The

Born-Landé expression  $E_0$  has generally no physical meaning (it may be lower than  $E_E$ : see note C) of section 15.2). According to (67), the Ewald volumic energy  $\frac{E_E}{V}$  (which is characteristic of the crystal: it probably does

not depend on  $(S, \mathcal{B})$ ) appears as the minimum value of the volumic energy  $\frac{E}{V}$ , for a suitable choice of the surface of the crystal (choice of  $(A_k)$  or  $A$ , and choice of a cell  $(S, \mathcal{B})$ ). In particular, this minimum is obtained if the dipole moment  $\vec{M}$  of the cell  $S$  is equal to  $\vec{0}$ . If the crystal is such that there is a cell  $(S, \mathcal{B})$  with a dipole moment equal to  $\vec{0}$ , a large crystal will preferably have a surface which corresponds to a crystal built with such a cell  $(S, \mathcal{B})$  ([10], fig. 2). In the example of NaCl, the surface of a large finite

crystal will preferably be that corresponding to a crystal built with the cell of fig. 1 *a* (i. e. a cubic octopole with  $\{100\}$  microscopic faces. A recent experimental work on the equilibrium shape of NaCl [13] shows that, at a  $\sim 100 \text{ \AA}$  scale of observation, the only observed plane faces are  $\{100\}$ ; nevertheless, at such a scale of observation, the exact positions of the ions remain unknown).

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## APPENDIX 1

$$\begin{aligned}
\text{I} &= \int \frac{\psi(\vec{h})}{\vec{h}^2} e^{-2\pi i \vec{h} \cdot \vec{x}} d\vec{h} \\
&= \int \frac{e^{-2\pi i \vec{h} \cdot \vec{x}}}{\vec{h}^2} d\vec{h} \int p(\vec{u}) e^{-2\pi i \vec{h} \cdot \vec{u}} d\vec{u} \\
&= \lim_{\text{H} \rightarrow +\infty} \int_{\|\vec{h}\| \leq \text{H}} \frac{e^{-2\pi i \vec{h} \cdot \vec{x}}}{\vec{h}^2} d\vec{h} \int p(\vec{u}) e^{-2\pi i \vec{h} \cdot \vec{u}} d\vec{u} \\
&= \lim_{\text{H} \rightarrow +\infty} \int p(\vec{u}) d\vec{u} \int_{\|\vec{h}\| \leq \text{H}} \frac{e^{-2\pi i \vec{h} \cdot (\vec{x} + \vec{u})}}{\vec{h}^2} d\vec{h}
\end{aligned}$$

by the Lebesgue-Fubini theorem. After calculation of the integral on  $\vec{h}$  with  $\vec{x} + \vec{u}$  as polar axis, we obtain:

$$\text{I} = \lim_{\text{H} \rightarrow +\infty} \int g_{\text{H}}(\vec{u}) d\vec{u}$$

where

$$g_{\text{H}}(\vec{u}) = 2 \frac{p(\vec{u})}{\|\vec{x} + \vec{u}\|} \int_0^{2\pi \|\vec{x} + \vec{u}\| \text{H}} \frac{\sin \xi}{\xi} d\xi.$$

For all  $\vec{u} \neq -\vec{x}$ ,

$$\lim_{\text{H} \rightarrow +\infty} g_{\text{H}}(\vec{u}) = g(\vec{u})$$

where

$$g(\vec{u}) = \pi \frac{p(\vec{u})}{\|\vec{x} + \vec{u}\|}.$$

The integrability of  $|g|$  may be shown by taking  $\vec{x}$  as polar axis, and the same method leads to the value of the integral of  $g$  given in the last member of the equalities (20) (see [4]). Since

$$|g_{\text{H}}(\vec{u})| \leq \frac{2}{\pi} |g(\vec{u})| \sup_x \left| \int_0^x \frac{\sin \xi}{\xi} d\xi \right|,$$

Lebesgue's dominated convergence theorem may be applied:

$$\text{I} = \pi \int \frac{p(\vec{u})}{\|\vec{x} + \vec{u}\|} d\vec{u}.$$

APPENDIX 2

First, we observe that the function

$$H(\vec{x}) = \frac{1}{\|\vec{x}\|} \int_{\|\vec{x}\|}^{+\infty} u(u - \|\vec{x}\|)p(u)du$$

is of class  $C^2$  on  $E \setminus \{\vec{0}\}$ , as a consequence of the continuity of  $p$  (15). Taylor's formula at the second order applied to  $H$ , leads to

$$\begin{aligned} I(\vec{m}) &= \sum_{\vec{s}} \sum_{\vec{t}} q_{\vec{s}} q_{\vec{t}} H(\vec{m} + \vec{s} - \vec{t}) \\ &= \frac{1}{2} \sum_{\vec{s}} \sum_{\vec{t}} q_{\vec{s}} q_{\vec{t}} \left\{ \left( \frac{3}{\|\vec{x}'\|^3} \int_{\|\vec{x}'\|}^{+\infty} u^2 p(u) du + p(\vec{x}') \right) \frac{(\vec{x}' \cdot \vec{k})^2}{\|\vec{x}'\|^2} \right. \\ &\quad \left. - \frac{1}{\|\vec{x}'\|^3} \int_{\|\vec{x}'\|}^{+\infty} u^2 p(u) du \vec{k}^2 \right\} \end{aligned}$$

for  $\|\vec{m}\| > \delta$ ; notations:  $\vec{k} = \vec{s} - \vec{t}$ ,  $\vec{x}' = \vec{m} + \zeta \vec{k}$ ,  $0 < \zeta < 1$ . Then, the two inequalities

$$\begin{aligned} \left| \frac{1}{\|\vec{x}'\|^3} \int_{\|\vec{x}'\|}^{+\infty} u^2 p(u) du \right| &\leq \frac{p_0}{(\beta' - 3) \|\vec{x}'\|^{\beta'}} \\ &\leq \frac{p_0}{(\beta' - 3)(\|\vec{m}\| - \delta)^{\beta'}} \end{aligned}$$

and

$$|p(\vec{x}')| \leq \frac{p_0}{(\|\vec{m}\| - \delta)^{\beta'}}$$

( $p_0 = \sup_{\vec{u}} \|\vec{u}\|^{\beta'} |p(\vec{u})|$ ), show that  $(I(\vec{m}))$  is absolutely summable.



## APPENDIX 3

Consider the functions

$$g(\xi, a) = e^{-a\xi} \frac{\sin \xi}{\xi}, \quad \xi > 0, \quad a > 0,$$

$$f(a) = \int_0^{+\infty} g(\xi, a) d\xi.$$

The inequality

$$\left| \frac{\partial g}{\partial a}(\xi, a) \right| \leq e^{-\varepsilon\xi} \quad \text{if} \quad a > \varepsilon > 0,$$

shows (Lebesgue's dominated convergence theorem) that  $f$  is differentiable on  $\mathbb{R}_+^*$  ( $\varepsilon > 0$  is arbitrary) and

$$\begin{aligned} f'(a) &= \int_0^{+\infty} -e^{-a\xi} \sin \xi d\xi \\ &= \left[ \frac{ae^{-a\xi} \sin \xi + e^{-a\xi} \cos \xi}{a^2 + 1} \right]_{\xi=0}^{+\infty} \\ &= -\frac{1}{a^2 + 1}. \end{aligned}$$

The function  $F(r) = f\left(\frac{1}{r}\right)$  is then differentiable on  $\mathbb{R}_+^*$  and

$$F'(r) = \frac{1}{r^2 + 1}.$$

Then

$$F(r) = \text{Arctan } r + \text{constant}.$$

Since

$$\begin{aligned} \lim_{a \rightarrow +\infty} g(\xi, a) &= 0 \\ |g(\xi, a)| &\leq \sup_t \left| \frac{\sin t}{t} \right| e^{-\xi} \quad \text{for } a \geq 1, \end{aligned}$$

we may apply Lebesgue's dominated convergence theorem:

$$\lim_{r \rightarrow 0} F(r) = \lim_{a \rightarrow +\infty} f(a) = 0.$$

We may conclude:  $F(r) = \text{Arctan } r$ .

APPENDIX 4

We may write, with  $\vec{M}$  as polar axis,

$$I_N = \int d\vec{x} \sum_{\vec{\mu} \neq \vec{0}} \frac{1 - 3 \cos^2 \theta_{\vec{\mu}}}{\vec{\mu}^2(\vec{\mu}^2 + 1)} \varphi_{C_{\vec{\mu}}}(\vec{x})$$

$$= \int_0^{+\infty} k_N(\rho) d\rho$$

with

$$k_N(\rho) = \int_{\substack{0 \leq \theta \leq \pi \\ 0 \leq \varphi \leq 2\pi}} h_N(\rho, \theta, \varphi) d\theta d\varphi$$

$$h_N(\rho, \theta, \varphi) = \sum_{\vec{\mu} \neq \vec{0}} \frac{1 - 3 \cos^2 \theta_{\vec{\mu}}}{\vec{\mu}^2(\vec{\mu}^2 + 1)} \varphi_{C_{\vec{\mu}}}(\vec{x}) \rho^2 \sin \theta.$$

If  $\rho \neq 0$ ,

$$\lim_{N \rightarrow +\infty} h_N(\rho, \theta, \varphi) = \frac{1 - 3 \cos^2 \theta}{\rho^2(\rho^2 + 1)} \rho^2 \sin \theta.$$

Notations:

$$\vec{x} = x_1 \vec{a}_1 + x_2 \vec{a}_2 + x_3 \vec{a}_3$$

$$|\vec{x}| = \sup (|x_1|, |x_2|, |x_3|)$$

$$\gamma = \sup_{\vec{x} \in C} \|\vec{x}\|$$

$$\gamma' = \sup_{|\vec{x}| \leq 1} \|\vec{x}\|$$

$$\varepsilon_0 = \inf_{|\vec{x}|=1} \|\vec{x}\|.$$

If  $\vec{\mu} \neq \vec{0}$  and  $\vec{x} \in C_{\vec{\mu}}$ , we have

$$\frac{\rho}{\|\vec{\mu}\|} \leq \frac{\frac{2\pi}{N} |\vec{m}| \gamma' + \frac{2\pi}{N} \gamma}{\frac{2\pi}{N} |\vec{m}| \varepsilon_0} \leq \frac{\gamma' + \gamma}{\varepsilon_0}.$$

Then

$$|h_N(\rho, \theta, \varphi)| \leq \frac{4\rho^2}{\vec{\mu}^2(\vec{\mu}^2 + 1)}$$

$$\leq \frac{4(\gamma' + \gamma)^2}{\varepsilon_0^2}$$

(which holds for all  $\vec{x} \in E$ ), so that Lebesgue's dominated convergence theorem may be applied:

$$\lim_{N \rightarrow +\infty} k_N(\rho) = \int_{\substack{0 \leq \theta \leq \pi \\ 0 \leq \varphi \leq 2\pi}} \frac{1 - 3 \cos^2 \theta}{\rho^2(\rho^2 + 1)} \rho^2 \sin \theta d\theta d\varphi$$

if  $\rho \neq 0$ . According to

$$|k_N(\rho)| \leq 2\pi^2 \frac{4(\gamma' + \gamma)^2}{\varepsilon_0^2} \quad \text{for all } \rho,$$

$$|k_N(\rho)| \leq 2\pi^2 \frac{4\rho^2}{(\rho - 2\pi\gamma)^2 [(\rho - 2\pi\gamma)^2 + 1]} \quad \text{for } \rho > 2\pi\gamma,$$

we may apply Lebesgue's dominated convergence theorem:

$$\lim_{N \rightarrow +\infty} I_N = \int_0^{+\infty} d\rho \int_{\substack{0 \leq \theta \leq \pi \\ 0 \leq \varphi \leq 2\pi}} \frac{1 - 3 \cos^2 \theta}{\rho^2(\rho^2 + 1)} \rho^2 \sin \theta d\theta d\varphi.$$

Since the function

$$\vec{x} \rightarrow \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\vec{x}^2(\vec{x}^2 + 1)}$$

is integrable, we may conclude

$$\lim_{N \rightarrow +\infty} I_N = \int \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\vec{x}^2(\vec{x}^2 + 1)} d\vec{x}.$$

APPENDIX 5

We summarize the method, which is similar with that of appendix 4, and we use the same notations. We have

$$\lim_{N \rightarrow +\infty} h_N(\rho, \theta, \varphi) = \frac{(\text{Arctan } \rho)(1 - 3 \cos^2 \theta)}{\rho^3} \rho^2 \sin \theta \quad \text{if } 0 < \rho < 2\pi,$$

$$\lim_{N \rightarrow +\infty} h_N(\rho, \theta, \varphi) = 0 \quad \text{if } \rho > 2\pi,$$

$$|h_N(\rho, \theta, \varphi)| \leq \frac{4\rho^2}{\bar{\mu}^2} \leq \frac{4(\gamma' + \gamma)^2}{\varepsilon_0^2}$$

(which holds for all  $x \in E$ ). Then

$$\lim_{N \rightarrow +\infty} k_N(\rho) = \int_{\substack{0 \leq \theta \leq \pi \\ 0 \leq \varphi \leq 2\pi}} \frac{(\text{Arctan } \rho)(1 - 3 \cos^2 \theta)}{\rho^3} \rho^2 \sin \theta \quad \text{if } 0 < \rho < 2\pi,$$

$$\lim_{N \rightarrow +\infty} k_N(\rho) = 0 \quad \text{if } \rho > 2\pi.$$

If  $\|\vec{\mu}\| \leq 2\pi$  and  $\vec{x} \in C_{\vec{\mu}}$ ,

$$\rho \leq \|\vec{\mu}\| + \frac{2\pi}{N} \gamma \leq 2\pi + 2\pi\gamma.$$

Then,

$$h_N(\rho, \theta, \varphi) = 0 \quad \text{and} \quad k_N(\rho) = 0 \quad \text{if } \rho > 2\pi + 2\pi\gamma.$$

Moreover,

$$|k_N(\rho)| \leq 2\pi^2 \frac{4(\gamma' + \gamma)^2}{\varepsilon_0^2} \quad \text{for all } \rho.$$

We may conclude:

$$\begin{aligned} \lim_{N \rightarrow +\infty} I_N &= \int_0^{2\pi} d\rho \int_{\substack{0 \leq \theta \leq \pi \\ 0 \leq \varphi \leq 2\pi}} \frac{(\text{Arctan } \rho)(1 - 3 \cos^2 \theta)}{\rho^3} \rho^2 \sin \theta d\theta d\varphi \\ &= \int_{\|\vec{x}\| \leq 2\pi} \frac{(\text{Arctan } \|\vec{x}\|)(1 - 3 \cos^2 \theta_{\vec{x}})}{\|\vec{x}\|^3} d\vec{x}. \end{aligned}$$

## APPENDIX 6

Notations:

$$C' = \left[ -\frac{1}{2}, \frac{1}{2} \right] \vec{a}_1 + \left[ -\frac{1}{2}, \frac{1}{2} \right] \vec{a}_2 + \left[ -\frac{1}{2}, \frac{1}{2} \right] \vec{a}_3; \quad C'_m = \vec{m} + C'; \quad \gamma'' = \sup_{\vec{x} \in C'} \|\vec{x}\|.$$

We define

$$\begin{aligned} S &= V \sum_{0 < \|\vec{m}\| \leq r} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3} \\ &= \int_A \varphi(\vec{x}) d\vec{x} \\ S_1 &= \int_{A_1} \varphi(\vec{x}) d\vec{x} \\ S_2 &= \int_{A_1 \setminus C'} f(\vec{x}) d\vec{x}, \end{aligned}$$

where

$$\begin{aligned} \varphi(\vec{x}) &= \sum_{\vec{m} \neq \vec{0}} \frac{1 - 3 \cos^2 \theta_{\vec{m}}}{\|\vec{m}\|^3} \varphi_{\vec{c}_m}(\vec{x}), \\ f(\vec{x}) &= \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3}, \\ A &= \bigcup_{\|\vec{m}\| \leq r} C'_m, \\ A_1 &= \{ \vec{x} \in E / \|\vec{x}\| \leq r \}. \end{aligned}$$

According to

$$\begin{aligned} r < \|\vec{x}\| \leq r + \gamma'' & \quad \text{and} \quad r - \gamma'' < \|\vec{m}\|, & \text{if } \vec{x} \in A \setminus A_1 \text{ and } \vec{x} \in C'_m, \\ r - \gamma'' < \|\vec{x}\| \leq r & \quad \text{and} \quad r < \|\vec{m}\|, & \text{if } \vec{x} \in A_1 \setminus A \text{ and } \vec{x} \in C'_m, \end{aligned}$$

we may write

$$\begin{aligned} |S - S_1| &= \left| \int_{A \setminus A_1} \varphi(\vec{x}) d\vec{x} - \int_{A_1 \setminus A} \varphi(\vec{x}) d\vec{x} \right| \\ &\leq \int_{r - \gamma'' < \|\vec{x}\| \leq r + \gamma''} \frac{4}{(r - \gamma'')^3} d\vec{x} \\ &= \frac{16\pi(6r^2\gamma'' + 2\gamma''^3)}{3(r - \gamma'')^3}, \end{aligned}$$

which shows that

$$\lim_{r \rightarrow +\infty} (S - S_1) = 0.$$

The integral of  $f$  for  $\gamma'' \leq \|\vec{x}\| \leq r$  is equal to 0 (calculated with  $\vec{M}$  as polar axis). Then

$$S_2 = \int_{\{\vec{x} / \|\vec{x}\| \leq \gamma''\} \setminus C'} f(\vec{x}) d\vec{x}$$

does not depend on  $r$ .

With orthonormal coordinates and  $\vec{M}$  as  $x_3$  axis, Taylor's formula at the first order may be written

$$f(\vec{x}) - f(\vec{m}) = \frac{15x_3'^2}{\|\vec{x}'\|^7} (\vec{x}' \cdot \vec{k}) - \frac{3}{\|\vec{x}'\|^5} (\vec{x}' \cdot \vec{k}) - \frac{6x_3'k_3}{\|\vec{x}'\|^5}$$

where  $\vec{k} = \vec{x} - \vec{m}$ ,  $\vec{x}' = \vec{m} + \zeta \vec{k}$ ,  $0 < \zeta < 1$  (if  $\vec{0}$  does not belong to the segment joining  $\vec{m}$  to  $\vec{x}$ ). With  $\vec{m} \neq \vec{0}$  and  $\vec{x} \in C'_m$ , we deduce

$$|f(\vec{x}) - \varphi(\vec{x})| \leq \frac{24\gamma''}{(\|\vec{x}\| - \gamma'')^4}$$

for  $\|\vec{x}\| > \gamma''$ , which shows that  $f - \varphi$  is integrable on  $E \setminus C'$ . According to Lebesgue's dominated convergence theorem:

$$\lim_{r \rightarrow +\infty} (S_1 - S_2) = \int_{E \setminus C'} (\varphi(\vec{x}) - f(\vec{x})) d\vec{x}.$$

In conclusion,

$$\lim_{r \rightarrow +\infty} S = \lim_{r \rightarrow +\infty} (S - S_1) + \lim_{r \rightarrow +\infty} (S_1 - S_2) + S_2$$

exists and is finite.

## APPENDIX 7

$$\frac{\varphi_{(\mathbf{B}_k \setminus \mathbf{B}(r_k)) + \mathbf{C}}(\vec{x})}{f(k)} = \frac{\varphi_{\mathbf{B}_k + \mathbf{C}}(\vec{x})}{f(k)} (1 - \frac{\varphi_{(\mathbf{B}(r_k) \cap \mathbf{L}) + \mathbf{C}}(\vec{x})}{f(k)}).$$

We have to prove that

$$\lim_{k \rightarrow +\infty} \frac{\varphi_{(\mathbf{B}(r_k) \cap \mathbf{L}) + \mathbf{C}}(\vec{x})}{f(k)} = \varphi_{\mathbf{B}(\varepsilon)}(\vec{x}) \quad \text{almost everywhere.}$$

If  $\|\vec{x}\| > \varepsilon$ , there is  $k_0$  such that

$$\frac{r_k + \gamma}{f(k)} < \|\vec{x}\| \quad \text{for all } k \geq k_0.$$

This implies

$$\vec{x} \notin \frac{(\mathbf{B}(r_k) \cap \mathbf{L}) + \mathbf{C}}{f(k)} \quad \text{for all } k \geq k_0,$$

i. e.

$$\lim_{k \rightarrow +\infty} \frac{\varphi_{(\mathbf{B}(r_k) \cap \mathbf{L}) + \mathbf{C}}(\vec{x})}{f(k)} = 0 = \varphi_{\mathbf{B}(\varepsilon)}(\vec{x}).$$

If  $\|\vec{x}\| < \varepsilon$ ,  $\vec{x} \in \frac{\vec{m}_k + \mathbf{C}}{f(k)}$ , we have

$$\|\vec{m}_k\| \leq f(k) \|\vec{x}\| + \gamma = r_k \alpha_k$$

with

$$\alpha_k = \frac{f(k) \|\vec{x}\| + \gamma}{r_k}.$$

Since

$$\lim_{k \rightarrow +\infty} \alpha_k = \frac{\|\vec{x}\|}{\varepsilon} < 1,$$

there is  $k_0$  such that

$$\|\vec{m}_k\| \leq r_k \quad \text{for all } k \geq k_0,$$

i. e.

$$\vec{x} \in \frac{(\mathbf{B}(r_k) \cap \mathbf{L}) + \mathbf{C}}{f(k)} \quad \text{for all } k \geq k_0.$$

We deduce:

$$i) \quad \lim_{k \rightarrow +\infty} \frac{\varphi_{(\mathbf{B}(r_k) \cap \mathbf{L}) + \mathbf{C}}(\vec{x})}{f(k)} = 1 = \varphi_{\mathbf{B}(\varepsilon)}(\vec{x});$$

$$ii) \quad \vec{x} \in \frac{\mathbf{B}_k + \mathbf{C}}{f(k)} \quad \text{for all } k \geq k_0,$$

which implies  $\vec{x} \in \mathbf{B}$ . We may conclude:

$$\lim_{k \rightarrow +\infty} \frac{\varphi_{(\mathbf{B}(r_k) \cap \mathbf{L}) + \mathbf{C}}(\vec{x})}{f(k)} = \varphi_{\mathbf{B}(\varepsilon)}(\vec{x}) \quad \text{if } \|\vec{x}\| \neq \varepsilon,$$

$$\mathring{\mathbf{B}}(\varepsilon) \subset \mathbf{B}.$$

APPENDIX 8

$$\begin{aligned} \mathcal{F}g(\vec{h}) &= \int_{\mathbf{B}(r) \setminus \mathbf{B}(\epsilon)} \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} \cos(2\pi \vec{h} \cdot \vec{x}) d\vec{x} \\ &= I_1 - I_2 \end{aligned}$$

where

$$\begin{aligned} I_1 &= \int_{\mathbf{B}(r) \setminus \mathbf{B}(\epsilon)} \frac{\cos(2\pi \vec{h} \cdot \vec{x})}{\|\vec{x}\|^3} d\vec{x} \\ I_2 &= \int_{\mathbf{B}(r) \setminus \mathbf{B}(\epsilon)} \frac{3(\vec{M} \cdot \vec{x})^2}{\vec{M}^2 \|\vec{x}\|^5} \cos(2\pi \vec{h} \cdot \vec{x}) d\vec{x}. \end{aligned}$$

With  $\vec{h} \neq \vec{0}$  as polar axis, we obtain:

$$\begin{aligned} I_1 &= 4\pi \int_{\epsilon}^r \frac{\sin(2\pi \|\vec{h}\| \rho)}{2\pi \|\vec{h}\|} \frac{d\rho}{\rho^2} \\ &= 4\pi \left[ -\frac{\sin(2\pi \|\vec{h}\| \rho)}{2\pi \|\vec{h}\|} \right]_{\epsilon}^r - 4\pi \int_{\epsilon}^r -\frac{\cos(2\pi \|\vec{h}\| \rho)}{\rho} d\rho \\ &= 4\pi \left[ -\frac{\sin \sigma}{\sigma} \right]_{\sigma_{\epsilon}}^{\sigma_r} + 4\pi J \end{aligned}$$

where

$$\begin{aligned} J &= \int_{\sigma_{\epsilon}}^{\sigma_r} \frac{\cos \sigma}{\sigma} d\sigma \\ \sigma_{\zeta} &= 2\pi \|\vec{h}\| \zeta. \end{aligned}$$

The same polar coordinates, with  $\vec{h}$  along  $x_3$  and  $\vec{M}$  in the plane  $x_1Ox_3$ , are used for  $I_2$ :

$$I_2 = \frac{3}{\vec{M}^2} \int_{\substack{\epsilon \leq \rho \leq r \\ 0 \leq \theta \leq \pi \\ 0 \leq \varphi \leq 2\pi}} \frac{(\vec{M}_1 \sin \theta \cos \varphi + \vec{M}_3 \cos \theta)^2}{\rho} \cos(2\pi \|\vec{h}\| \rho \cos \theta) \sin \theta d\rho d\theta d\varphi.$$

After integration on  $\varphi$ , we obtain, with  $u = \cos \theta$  and  $\sigma = 2\pi \|\vec{h}\| \rho$ :

$$\begin{aligned} I_2 &= \frac{3\pi}{\vec{M}^2} \int_{\epsilon}^r \frac{d\rho}{\rho} \int_{-1}^1 \cos(\sigma u) [M_1^2 + (2M_3^2 - M_1^2)u^2] du \\ &= \frac{3\pi}{\vec{M}^2} \int_{\epsilon}^r \frac{d\rho}{\rho} \left[ M_1^2 \frac{\sin(\sigma u)}{\sigma} + (2M_3^2 - M_1^2) \left( \frac{u^2 \sin(\sigma u)}{\sigma} + \frac{2u \cos(\sigma u)}{\sigma^2} - \frac{2 \sin(\sigma u)}{\sigma^3} \right) \right]_{-1}^1 \\ &= \frac{12\pi}{\vec{M}^2} \int_{\sigma_{\epsilon}}^{\sigma_r} \left[ M_3^2 \frac{\sin \sigma}{\sigma^2} + (2M_3^2 - M_1^2) \left( \frac{\cos \sigma}{\sigma^3} - \frac{\sin \sigma}{\sigma^4} \right) \right] d\sigma. \end{aligned}$$

With the help of

$$\begin{aligned} J &= \left[ \frac{\sin \sigma}{\sigma} \right]_{\sigma_{\epsilon}}^{\sigma_r} + \int_{\sigma_{\epsilon}}^{\sigma_r} \frac{\sin \sigma}{\sigma^2} d\sigma \\ &= \left[ \frac{\sin \sigma}{\sigma} - \frac{\cos \sigma}{\sigma^2} \right]_{\sigma_{\epsilon}}^{\sigma_r} - 2 \int_{\sigma_{\epsilon}}^{\sigma_r} \frac{\cos \sigma}{\sigma^3} d\sigma \\ &= \left[ \frac{\sin \sigma}{\sigma} - \frac{\cos \sigma}{\sigma^2} - \frac{2 \sin \sigma}{\sigma^3} \right]_{\sigma_{\epsilon}}^{\sigma_r} - 6 \int_{\sigma_{\epsilon}}^{\sigma_r} \frac{\sin \sigma}{\sigma^4} d\sigma, \end{aligned}$$



we may write

$$\begin{aligned}
 I_2 &= \begin{cases} 12\pi \cos^2 \theta_{\vec{h}} \left( J - \left[ \frac{\sin \sigma}{\sigma} \right]_{\sigma_e}^{\sigma_r} \right) \\ + 12\pi(3 \cos^2 \theta_{\vec{h}} - 1) \left( -\frac{J}{2} + \frac{1}{2} \left[ \frac{\sin \sigma}{\sigma} - \frac{\cos \sigma}{\sigma^2} \right]_{\sigma_e}^{\sigma_r} \right) \\ + 12\pi(3 \cos^2 \theta_{\vec{h}} - 1) \left( \frac{J}{6} - \frac{1}{6} \left[ \frac{\sin \sigma}{\sigma} - \frac{\cos \sigma}{\sigma^2} - \frac{2 \sin \sigma}{\sigma^3} \right]_{\sigma_e}^{\sigma_r} \right) \end{cases} \\
 &= \begin{cases} 4\pi J - 4\pi \left[ \frac{\sin \sigma}{\sigma} \right]_{\sigma_e}^{\sigma_r} \\ - 4\pi(1 - 3 \cos^2 \theta_{\vec{h}}) \left[ \frac{\sin \sigma - \sigma \cos \sigma}{\sigma^3} \right]_{\sigma_e}^{\sigma_r} \end{cases} .
 \end{aligned}$$

Then

$$\mathcal{F}g(\vec{h}) = 4\pi(1 - 3 \cos^2 \theta_{\vec{h}}) \left[ \frac{\sin \sigma - \sigma \cos \sigma}{\sigma^3} \right]_{\sigma_e}^{\sigma_r} \quad \text{for all } \vec{h} \neq \vec{0} .$$

According to

$$\mathcal{F}\varphi_{B(\zeta)}(\vec{h}) = 4\pi\zeta^3 \frac{\sin \sigma_\zeta - \sigma_\zeta \cos \sigma_\zeta}{\sigma_\zeta^3}$$

(take  $\vec{h} \neq \vec{0}$  as polar axis), we may also write

$$\mathcal{F}g(\vec{h}) = (1 - 3 \cos^2 \theta_{\vec{h}}) \left[ \frac{1}{r^3} \mathcal{F}\varphi_{B(r)}(\vec{h}) - \frac{1}{\varepsilon^3} \mathcal{F}\varphi_{B(\varepsilon)}(\vec{h}) \right] .$$

APPENDIX 9

We define

$$\begin{aligned}
 D &= \{ \vec{m} \in L / \| \vec{m} \| > \delta \} \\
 D_k &= \{ \vec{m} \in L / \| \vec{m} \| \leq d(k) \} \\
 \Phi(\vec{x}) &= \sum_{\| \vec{m} \| > \delta} \frac{\| \vec{m} \|^{\alpha}}{(\| \vec{m} \| - \delta)^4} \varphi_{\vec{m} + C}(\vec{x}) \\
 F(\vec{x}) &= \frac{\| \vec{x} \|^{\alpha}}{(\| \vec{x} \| - \delta)^4}.
 \end{aligned}$$

If  $\vec{x} \in \vec{m} + C$  is such that  $\| \vec{x} \| > \delta + \gamma$  ( $\gamma = \sup_{\vec{x} \in C} \| \vec{x} \|$ ), we may apply Taylor's formula at the first order to F:

$$\begin{aligned}
 F(\vec{x}) - \Phi(\vec{x}) &= F(\vec{x}) - F(\vec{m}) \\
 &= \frac{r'^{\alpha-1} [(\alpha - 4)r' - \alpha\delta] \vec{x}' \cdot (\vec{x} - \vec{m})}{(r' - \delta)^5} \quad ;
 \end{aligned}$$

notations:  $\vec{x}' = \vec{x} + \zeta(\vec{m} - \vec{x})$ ,  $0 < \zeta < 1$ ,  $r = \| \vec{x} \|$ ,  $r' = \| \vec{x}' \|$ . In the case  $\alpha \leq 1$ , we may deduce

$$|F(\vec{x}) - \Phi(\vec{x})| \leq \frac{[(4 - \alpha)(r + \gamma) + \alpha\delta]\gamma}{(r - \gamma)^{1-\alpha}(r - \delta - \gamma)^5}.$$

Let  $\chi > 0$  be fixed. There is  $k_0$  such that, for all  $k \geq k_0$ ,

$$B(\delta + \gamma + \chi) \subset D_k + C.$$

Then

$$\begin{aligned}
 VS(k) &= \int_{(D \cap D_k) + C} \Phi(\vec{x}) d\vec{x} \\
 &= S_1 + I(k)
 \end{aligned}$$

where

$$S_1 = \int_{(D+C) \cap B(\delta+\gamma+\chi)} \Phi(\vec{x}) d\vec{x}$$

and

$$\begin{aligned}
 I(k) &= \int_{[(D \cap D_k) + C] \cap [E \setminus B(\delta + \gamma + \chi)]} \Phi(\vec{x}) d\vec{x} \\
 &\leq \int_{\delta + \gamma + \chi < \| \vec{x} \| \leq d(k) + \gamma} |F(\vec{x}) - \Phi(\vec{x})| d\vec{x} + \int_{\delta + \gamma + \chi < \| \vec{x} \| \leq d(k) + \gamma} F(\vec{x}) d\vec{x}.
 \end{aligned}$$

We have

$$\begin{aligned}
 \int_{\delta + \gamma + \chi < \| \vec{x} \| \leq d(k) + \gamma} |F(\vec{x}) - \Phi(\vec{x})| d\vec{x} &\leq \int_{\delta + \gamma + \chi}^{d(k) + \gamma} \frac{[(4 - \alpha)(r + \gamma) + \alpha\delta]\gamma}{(r - \delta - \gamma)^{6-\alpha}} 4\pi r^2 dr \\
 &= S_2 + S_3(k) \quad \text{with} \quad \lim_{k \rightarrow +\infty} S_3(k) = 0
 \end{aligned}$$

(by integration with  $\rho = r - \delta - \gamma$ ), and

$$\begin{aligned}
 \int_{\delta + \gamma + \chi < \| \vec{x} \| \leq d(k) + \gamma} F(\vec{x}) d\vec{x} &= \int_{\delta + \gamma + \chi}^{d(k) + \gamma} \frac{r^{\alpha}}{(r - \delta)^4} 4\pi r^2 dr \\
 &\leq \int_{\delta + \gamma + \chi}^{d(k) + \gamma} \frac{4\pi r^3}{(r - \delta)^4} dr \quad (\text{by choosing } \chi \geq 1 - \delta - \gamma) \\
 &= 4\pi \text{Log}(d(k) + \gamma - \delta) + S_4 + S_5(k)
 \end{aligned}$$

with  $\lim_{k \rightarrow +\infty} S_5(k) = 0$  (by integration with  $\rho = r - \delta$ ). We may conclude

$$\lim_{k \rightarrow +\infty} \frac{S(k)}{(d(k))^\alpha} = 0.$$

The case  $\alpha > 1$  reduces to the preceding case  $\alpha \leq 1$ , by the following assertion: there is  $\alpha' \geq 0$  such that for all  $\alpha' > 0$ ,  $\alpha' \leq \alpha$ ,

$$1 - c(A_k, \vec{m}) \leq a' \left( \frac{\|\vec{m}\|}{f(k)} \right)^{\alpha'} \quad \text{for all } k \quad \text{and} \quad \vec{m} \in B_k. \quad (9.1)$$

Indeed: if  $\|\vec{m}\| \leq f(k)$ , we have

$$1 - c(A_k, \vec{m}) \leq a \left( \frac{\|\vec{m}\|}{f(k)} \right)^\alpha \leq a \left( \frac{\|\vec{m}\|}{f(k)} \right)^{\alpha'};$$

and if  $\|\vec{m}\| > f(k)$ , we have

$$1 - c(A_k, \vec{m}) \leq 1 < \left( \frac{\|\vec{m}\|}{f(k)} \right)^{\alpha'}.$$

APPENDIX 10

We summarize the method which is similar with that of appendix 4.

$$E_{6.1}(k) = \frac{\vec{M}^2}{2V} \int_0^{+\infty} g_k(\rho) d\rho$$

with

$$g_k(\rho) = \int_{\substack{0 \leq \theta \leq \pi \\ 0 \leq \varphi \leq 2\pi}} h_k(\rho, \theta, \varphi) d\theta d\varphi,$$

$$h_k(\rho, \theta, \varphi) = \sum_{\vec{\mu} \neq \vec{0}} (1 - c(A_k, \vec{m})) \frac{1 - 3 \cos^2 \theta_{\vec{\mu}}}{\|\vec{\mu}\|^3} \varphi_{C_{\vec{\pi}}(\vec{x})} \varphi_{\frac{\mathbf{B}_k + \mathbf{C}}{f(k)}}(\vec{x}) \rho^2 \sin \theta.$$

According to

$$|h_k(\rho, \theta, \varphi)| \leq \frac{4\rho^2}{\|\vec{\mu}\|^3} \leq \frac{4}{\rho} \left( \frac{\gamma' + \gamma}{\varepsilon_0} \right)^3$$

(which holds for all  $\rho > 0$ ), Lebesgue's dominated convergence theorem may be applied:

$$\lim_{k \rightarrow +\infty} g_k(\rho) = \int_{\substack{0 \leq \theta \leq \pi \\ 0 \leq \varphi \leq 2\pi}} (1 - c(\vec{x})) \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} \varphi_{\mathbf{B}}(\vec{x}) \rho^2 \sin \theta d\theta d\varphi,$$

for almost every  $\rho > 0$ . With the help of (32), we have

$$|h_k(\rho, \theta, \varphi)| \leq a \|\vec{\mu}\|^\alpha \frac{4\rho^2}{\|\vec{\mu}\|^3} \leq 4a \left( \frac{\gamma' + \gamma}{\varepsilon_0} \right)^{3-\alpha} \frac{1}{\rho^{1-\alpha}}$$

(according to (9.1), we suppose  $\alpha < 1$ ), and  $\vec{x} \in \frac{\mathbf{B}_k + \mathbf{C}}{f(k)}$  implies

$$\rho \leq b + \frac{\gamma}{\eta}$$

( $\eta = \inf_k f(k) > 0$ ). Hence,

$$|g_k(\rho)| \leq 8\pi^2 a \left( \frac{\gamma' + \gamma}{\varepsilon_0} \right)^{3-\alpha} \frac{1}{\rho^{1-\alpha}} \quad \text{if} \quad 0 < \rho \leq b + \frac{\gamma}{\eta},$$

$$g_k(\rho) = 0 \quad \text{if} \quad \rho > b + \frac{\gamma}{\eta}.$$

Since  $\frac{1}{\rho^{1-\alpha}}$  is integrable on  $\left[0, b + \frac{\gamma}{\eta}\right]$ , we may apply Lebesgue's dominated convergence theorem:

$$\lim_{k \rightarrow +\infty} E_{6.1}(k) = \frac{\vec{M}^2}{2V} \int_0^{+\infty} d\rho \int_{\substack{0 \leq \theta \leq \pi \\ 0 \leq \varphi \leq 2\pi}} (1 - c(\vec{x})) \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} \varphi_{\mathbf{B}}(\vec{x}) \rho^2 \sin \theta d\theta d\varphi.$$

For almost every  $\vec{x} \in \mathbf{B}$ , there is  $k_0$  such that

$$\vec{x} \in \frac{\mathbf{B}_k + \mathbf{C}}{f(k)} \quad \text{for all} \quad k \geq k_0.$$

Then

$$\|\vec{x}\| \leq b + \frac{\gamma}{\eta},$$

and the inequality

$$1 - c(\mathbf{A}_k, \vec{m}_k(\vec{x})) \leq a \left( \frac{\|\vec{m}_k(\vec{x})\|}{f(k)} \right)^\alpha$$

gives, when  $k \rightarrow +\infty$ ,

$$1 - c(\vec{x}) \leq a \|\vec{x}\|^\alpha.$$

We may then write

$$\left| (1 - c(\vec{x})) \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} \right| \leq \frac{4a}{\|\vec{x}\|^{3-\alpha}}$$

for almost every  $\vec{x} \in B$ . According to the integrability of  $\frac{1}{\rho^{1-\alpha}}$  on  $\left[0, b + \frac{\gamma}{\eta}\right]$ , the function

$$(1 - c(\vec{x})) \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} \varphi_B(\vec{x})$$

is then integrable, and we may write

$$\lim_{k \rightarrow +\infty} E_{6.1}(k) = \frac{\vec{M}^2}{2V} \int (1 - c(\vec{x})) \frac{1 - 3 \cos^2 \theta_{\vec{x}}}{\|\vec{x}\|^3} \varphi_B(\vec{x}) d\vec{x}.$$

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