

# JOURNAL

de Théorie des Nombres  
de BORDEAUX

*anciennement Séminaire de Théorie des Nombres de Bordeaux*

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Tome 20, n° 2 (2008), p. 419-430.

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## Automatic realizations of Galois groups with cyclic quotient of order $p^n$

par JÁN MINÁČ, ANDREW SCHULTZ et JOHN SWALLOW

RÉSUMÉ. Nous établissons des réalisations automatiques de groupes de Galois parmi les groupes  $M \rtimes G$  où  $G$  est un groupe cyclique d'ordre  $p^n$ ,  $p$  premier, et  $M$  un groupe quotient de l'anneau  $\mathbb{F}_p[G]$ .

ABSTRACT. We establish automatic realizations of Galois groups among groups  $M \rtimes G$ , where  $G$  is a cyclic group of order  $p^n$  for a prime  $p$  and  $M$  is a quotient of the group ring  $\mathbb{F}_p[G]$ .

### 1. Introduction

The fundamental problem in inverse Galois theory is to determine, for a given field  $F$  and a given profinite group  $G$ , whether there exists a Galois extension  $K/F$  such that  $\text{Gal}(K/F)$  is isomorphic to  $G$ . A natural sort of reduction theorem for this problem takes the form of a pair  $(A, B)$  of profinite groups with the property that, for all fields  $F$ , the existence of  $A$  as a Galois group over  $F$  implies the existence of  $B$  as a Galois group over  $F$ . We call such a pair an automatic realization of Galois groups and denote it  $A \implies B$ . The trivial automatic realizations are those given by quotients of Galois groups; by Galois theory, if  $G$  is realizable over  $F$  then so is every quotient  $H$ . It is a nontrivial fact, however, that there exist nontrivial automatic realizations. (See [4, 5, 6] for a good overview of the theory of automatic realizations. Some interesting automatic realizations of groups of order 16 are obtained in [2], and these and other automatic realizations of finite 2-groups are collected in [3]. For comprehensive treatments of related Galois embedding problems, see [7] and [9].)

The usual techniques for obtaining automatic realizations of Galois groups involve an analysis of Galois embedding problems. In this paper we offer a new approach based on the structure of natural Galois modules: we use equivariant Kummer theory to reformulate realization problems in terms of Galois modules, and then we solve Galois module problems. We

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Manuscrit reçu le 25 avril 2008.

First author's research supported in part by NSERC grant R0370A01, and by a Distinguished Research Professorship at the University of Western Ontario.

Third author's research supported in part by National Security Agency grant MDA904-02-1-0061.

take this approach in proving Theorem 1.1, which establishes automatic realizations for a useful family of finite metacyclic  $p$ -groups. Our methods extend those of [10], [11] and [12]. It is interesting to observe that, although not visible here, the essential fact underpinning our results is Hilbert 90. Indeed, the structural results in [12] rely crucially on the repeated application of Hilbert 90, using combinatorial and Galois-theoretic arguments to draw out the consequences.

Let  $p$  be a prime,  $n \in \mathbb{N}$ , and  $G$  a cyclic group of order  $p^n$  with generator  $\sigma$ . For the group ring  $\mathbb{F}_p[G]$ , there exist precisely  $p^n$  nonzero ring quotients, namely  $M_j := \mathbb{F}_p[G]/\langle(\sigma - 1)^j\rangle$  for  $j = 1, 2, \dots, p^n$ . Multiplication in  $\mathbb{F}_p[G]$  induces an  $\mathbb{F}_p[G]$ -action on each  $M_j$ . In particular, each  $M_j$  is a  $G$ -module. Let  $M_j \rtimes G$  denote the semidirect product.

**Theorem 1.1.** *We have the following automatic realizations of Galois groups:*

$$M_{p^i+c} \rtimes G \implies M_{p^{i+1}} \rtimes G, \quad 0 \leq i < n, \quad 1 \leq c < p^{i+1} - p^i.$$

In Section 2 we recall some facts about the set of quotients  $M_j$  and the semidirect products  $M_j \rtimes G$ . In Sections 3 through 5 we consider the case  $\text{char } F \neq p$ . Following Waterhouse [15], we recall in Section 3 a generalized Kummer correspondence over  $K$ , where  $K$  is a cyclic extension of  $F$  of degree  $p^n$ , and in Section 4 we establish a proposition detecting when such extensions are Galois over  $F$ . In Section 5 we decompose  $J_\epsilon$ , the crucial Kummer submodule of the module  $K(\xi_p)^\times/K(\xi_p)^{\times p}$ , as an  $\mathbb{F}_p[\text{Gal}(K(\xi_p)/F(\xi_p))]$ -module, where  $\xi_p$  is a primitive  $p$ th root of unity. In Section 6 we prove Theorem 1.1, using Sections 3 through 5 in the case  $\text{char } F \neq p$  and Witt's Theorem in the case  $\text{char } F = p$ . The case  $i = 0$  was previously considered by two of the authors [11, Theorem 1(A)].

## 2. Groups and $\mathbb{F}_p[G]$ -modules

Let  $p$  be a prime and  $G = \langle \sigma \rangle$  an abstract group of order  $p^n$ . We recall some facts concerning  $R$ -modules, where  $R$  is the group ring  $\mathbb{F}_p[G]$ . Because we frequently view  $R$  as a module over  $R$ , to prevent confusion we write the module  $R$  as

$$R = \bigoplus_{j=0}^{p^n-1} \mathbb{F}_p \tau^j,$$

where  $\sigma$  acts by multiplication by  $\tau$ . For convenience we set  $\rho := \sigma - 1$ .

The set of nonzero cyclic  $R$ -modules is identical to the set of nonzero indecomposable  $R$ -modules, and these are precisely the  $p^n$  quotients  $M_j := R/\langle(\tau - 1)^j\rangle$ ,  $1 \leq j \leq p^n$ . Each  $M_j$  is a local ring, with unique maximal ideal  $\rho M_j$ , and is annihilated by  $\rho^j$  but not  $\rho^{j-1}$ .

Moreover, for each  $j$  there exists a  $G$ -equivariant isomorphism from  $M_j$  to its dual  $M_j^*$ , as follows. For each  $i \in \{1, \dots, p^n\}$  we choose the  $\mathbb{F}_p$ -basis

of  $M_j$  consisting of the images of  $\{1, (\tau - 1), \dots, (\tau - 1)^{j-1}\}$  and define an  $\mathbb{F}_p$ -linear map  $\lambda : M_j \rightarrow \mathbb{F}_p$  by

$$\lambda \left( f_0 + f_1 \overline{(\tau - 1)} + \dots + f_{j-1} \overline{(\tau - 1)^{j-1}} \right) = f_{j-1},$$

where  $f_k \in \mathbb{F}_p, k = 0, \dots, j - 1$ . Observe that  $\ker \lambda$  contains no nonzero ideal of  $M_j$ . Then

$$Q : M_j \times M_j \rightarrow \mathbb{F}_p, \quad Q(a, b) := \lambda(ab), \quad a, b \in M_j$$

is a nonsingular symmetric bilinear form. Thus  $M_j$  is a symmetric algebra. (See [8, page 442].) Moreover,  $Q$  induces a  $G$ -equivariant isomorphism  $\psi : M_j \rightarrow M_j^*$  given by  $(\psi(a))(b) = Q(a, b), a, b \in M_j$ .

**Remark.** In order for  $\psi$  to be  $G$ -equivariant, we must define the action on  $M_j^*$  by  $\sigma f(m) = f(\sigma m)$  for all  $m \in M_j$ , and since  $G$  is commutative, this action is well-defined. It is worthwhile to observe, however, that  $M_j^*$  is  $\mathbb{F}_p[G]$ -isomorphic to the module  $\tilde{M}_j^*$  on which the action of  $G$  is defined by  $\sigma f(m) = f(\sigma^{-1}m)$  for all  $m \in M_j$ . Indeed by the  $G$ -equivariant isomorphism between  $M_j$  and  $M_j^*$  it is sufficient to show that the  $\mathbb{F}_p[G]$ -module  $\tilde{M}_j$  obtained from  $M_j$  by twisting the action of  $G$  via the automorphism  $\sigma \rightarrow \sigma^{-1}$  is naturally isomorphic to  $M_j$ . But this follows readily by extending the automorphism  $\sigma \rightarrow \sigma^{-1}$  to the automorphism of the group ring  $\mathbb{F}_p[G]$  and then inducing the required  $\mathbb{F}_p[G]$ -isomorphism between  $M_j$  and  $M_j^*$ .

We also recall some facts about the semidirect products  $H_j := M_j \rtimes G, j = 1, \dots, p^n$ . For each  $j$ , the group  $H_j$  has order  $p^{j+n}$ ; exponent  $p^n$ , except when  $j = p^n$ , in which case the exponent is  $p^{n+1}$ ; nilpotent index  $j$ ; rank (the smallest number of generators) 2; and Frattini subgroup  $\Phi(H_j) = (\rho M_j) \rtimes G^p$ . Finally, for  $j < k, H_j$  is a quotient of  $H_k$  by the normal subgroup  $\rho^j M_k \rtimes 1$ .

### 3. Kummer theory with operators

For Sections 3 through 5 we adopt the following hypotheses. Suppose that  $G = \text{Gal}(K/F) = \langle \sigma \rangle$  for an extension  $K/F$  of degree  $p^n$  of fields of characteristic not  $p$ . For any element  $\tau \in G$  we denote the fixed subfield of  $\tau$  as  $\text{Fix}_K(\tau)$ . We let  $\xi_p$  be a primitive  $p$ th root of unity and set  $\hat{F} := F(\xi_p), \hat{K} := K(\xi_p),$  and  $J := \hat{K}^\times / \hat{K}^{\times p}$ , where  $\hat{K}^\times$  denotes the multiplicative group  $\hat{K} \setminus \{0\}$ . We write the elements of  $J$  as  $[\gamma], \gamma \in \hat{K}^\times,$  and we write the elements of  $\hat{F}^\times / \hat{F}^{\times p}$  as  $[\gamma]_{\hat{F}}, \gamma \in \hat{F}^\times.$  We moreover let  $\epsilon$  denote a generator of  $\text{Gal}(\hat{F}/F)$  and set  $s = [\hat{F} : F]$ . Since  $p$  and  $s$  are relatively prime,  $\text{Gal}(\hat{K}/F) \simeq \text{Gal}(\hat{F}/F) \times \text{Gal}(K/F)$ . Therefore we may naturally extend  $\epsilon$  and  $\sigma$  to  $\hat{K}$ , and the two automorphisms commute in  $\text{Gal}(\hat{K}/F)$ .

Using the extension of  $\sigma$  to  $\hat{K}$ , we write  $G$  for  $\text{Gal}(\hat{K}/\hat{F})$  as well. Then  $J$  is an  $\mathbb{F}_p[G]$ -module. Finally, we let  $t \in \mathbb{Z}$  such that  $\epsilon(\xi_p) = \xi_p^t$ . Then  $t$  is relatively prime to  $p$ , and we let  $J_\epsilon$  be the  $t$ -eigenspace of  $J$  under the action of  $\epsilon$ :  $J_\epsilon = \{[\gamma] : \epsilon[\gamma] = [\gamma]^t\}$ .

Observe that since  $\epsilon$  and  $\sigma$  commute,  $J_\epsilon$  is an  $\mathbb{F}_p[G]$ -subspace of  $J$ . By [15, §5, Proposition], we have a Kummer correspondence over  $K$  of finite subspaces  $M$  of the  $\mathbb{F}_p$ -vector space  $J_\epsilon$  and finite abelian exponent  $p$  extensions  $L$  of  $K$ :

$$M = ((\hat{K}L)^{\times p} \cap \hat{K}^{\times}) / \hat{K}^{\times p} \leftrightarrow L = L_M = \text{maximal } p\text{-extension of } K \text{ in } \hat{L}_M := \hat{K}(\sqrt[p]{\gamma} : [\gamma] \in M).$$

As Waterhouse shows, for  $M \subset J_\epsilon$ , the automorphism  $\epsilon \in \text{Gal}(\hat{K}/K)$  has a unique lift  $\tilde{\epsilon}$  to  $\text{Gal}(\hat{L}_M/K)$  of order  $s$ , and  $L_M$  is the fixed field of  $\tilde{\epsilon}$ .

In the next proposition we provide some information about the corresponding Galois modules when  $L_M/F$  is Galois. Recall that in the situation above, the Galois groups  $\text{Gal}(L_M/K)$  and  $\text{Gal}(\hat{L}_M/\hat{K})$  are naturally  $G$ -modules under the action induced by conjugations of lifts of the elements in  $G$  to  $\text{Gal}(L_M/F)$  and  $\text{Gal}(\hat{L}_M/\hat{F})$ . Furthermore, because the Galois groups  $\text{Gal}(L_M/K)$  and  $\text{Gal}(\hat{L}_M/\hat{K})$  have exponents dividing  $p$ , we see that  $\text{Gal}(L_M/K)$  and  $\text{Gal}(\hat{L}_M/\hat{K})$  are in fact  $\mathbb{F}_p[G]$ -modules.

**Proposition 3.1.** *Suppose that  $M$  is a finite  $\mathbb{F}_p$ -subspace of  $J_\epsilon$ . Then*

- (1)  $L_M$  is Galois over  $F$  if and only if  $M$  is an  $\mathbb{F}_p[G]$ -submodule of  $J_\epsilon$ .
- (2) If  $L_M/F$  is Galois, then base extension  $F \rightarrow \hat{F}$  induces a natural isomorphism  $\text{Gal}(L_M/F) \simeq \text{Gal}(\hat{L}_M/\hat{F})$  compatible with our isomorphism  $\text{Gal}(\hat{K}/\hat{F}) \xrightarrow{\sim} \text{Gal}(K/F) \simeq G$  under the restriction map.
- (3) If  $L_M/F$  is Galois, then as  $G$ -modules,

$$\text{Gal}(L_M/K) \simeq \text{Gal}(\hat{L}_M/\hat{K}) \simeq M.$$

*Proof.* (1). Suppose first that  $L_M/F$  is Galois. Then  $\hat{L}_M = L\hat{K}/\hat{F}$  is Galois as well. Every automorphism of  $\hat{K}$  extends to an automorphism of  $\hat{L}_M$ , and therefore  $M$  is an  $\mathbb{F}_p[G]$ -submodule of  $J$ . From [15, §5, Proposition] we see that  $M$  is an  $\mathbb{F}_p[G]$ -submodule of  $J_\epsilon$ .

Going the other way, suppose that  $M$  is a finite  $\mathbb{F}_p[G]$ -submodule of  $J_\epsilon$ . By the correspondence above,  $L_M/K$  is Galois. Then  $M$  is also an  $\mathbb{F}_p[\text{Gal}(\hat{K}/F)]$ -submodule of  $J_\epsilon$  and therefore  $\hat{L}_M/F$  is Galois. Now since  $K/F$  is Galois, every automorphism of  $\hat{L}_M$  sends  $K$  to  $K$ . Moreover, since  $L_M$  is the unique maximal  $p$ -extension of  $K$  in  $\hat{L}_M$ , every automorphism of  $\hat{L}_M$  sends  $L_M$  to  $L_M$ . Therefore  $L_M/F$  is Galois.

(2). Suppose  $L_M/F$  is Galois. Since  $\hat{F}/F$  and  $L_M/F$  are of relatively prime degrees, we have  $\text{Gal}(L_M\hat{F}/F) \simeq \text{Gal}(\hat{F}/F) \times \text{Gal}(L_M/F)$ . Therefore

we have a natural isomorphism  $G = \text{Gal}(K/F) \simeq \text{Gal}(\hat{K}/\hat{F})$ , which is compatible with the natural isomorphism  $\text{Gal}(\hat{L}_M/\hat{F}) \simeq \text{Gal}(L_M/F)$  under the usual restriction maps provided by Galois theory.

(3). Suppose  $L_M/F$  is Galois. By (2), it is enough to show that  $\text{Gal}(\hat{L}_M/\hat{K}) \simeq M$  as  $G$ -modules. Under the standard Kummer correspondence over  $\hat{K}$ , finite subspaces of the  $\mathbb{F}_p$ -vector space  $J$  correspond to finite abelian exponent  $p$  extensions  $\hat{L}_M$  of  $\hat{K}$ , and  $M$  and  $\text{Gal}(\hat{L}_M/\hat{K})$  are dual  $G$ -modules under a  $G$ -equivariant canonical duality  $\langle m, g \rangle = g(\sqrt[p]{m})/\sqrt[p]{m}$ . (See [15, pages 134 and 135] and [11, §2.3].) Because  $M$  is finite,  $M$  decomposes into a direct sum of indecomposable  $\mathbb{F}_p[G]$ -modules. From Section 2, all indecomposable  $\mathbb{F}_p[G]$ -modules are  $G$ -equivariant self-dual modules. Hence there is a  $G$ -equivariant isomorphism between  $M$  and its dual  $M^*$ , and  $\text{Gal}(\hat{L}_M/\hat{F}) \simeq M$  as  $G$ -modules.  $\square$

### 4. The index

We keep the same assumptions given at the beginning of Section 3. Set  $A := \text{ann}_J \rho^{p^n-1} = \{[\gamma] \in J : \rho^{p^n-1}[\gamma] = [1]\}$ . The following homomorphism appears in a somewhat different form in [15, Theorem 3]:

**Definition.** The *index*  $e(\gamma) \in \mathbb{F}_p$  for  $[\gamma] \in A$  is defined by

$$\xi_p^{e(\gamma)} = \left( \sqrt[p]{N_{\hat{K}/\hat{F}}(\gamma)} \right)^p.$$

The index is well-defined, as follows. First, since

$$1 + \sigma + \dots + \sigma^{p^n-1} = (\sigma - 1)^{p^n-1} = \rho^{p^n-1}$$

in  $\mathbb{F}_p[G]$ ,  $[N_{\hat{K}/\hat{F}}(\gamma)] = [\gamma]^{\rho^{p^n-1}}$ , which is the trivial class [1] by the assumption  $[\gamma] \in A$ . As a result,  $\sqrt[p]{N_{\hat{K}/\hat{F}}(\gamma)}$  lies in  $\hat{K}$  and is acted upon by  $\sigma$  and therefore  $\rho$ . Observe that  $e(\gamma)$  depends neither on the representative  $\gamma$  of  $[\gamma]$  nor on the particular  $p$ th root of  $N_{\hat{K}/\hat{F}}(\gamma)$ .

The index function  $e$  is a group homomorphism from  $A$  to  $\mathbb{F}_p$ . Therefore the restriction of  $e$  to any submodule of  $A$  is either trivial or surjective. Moreover, the index is trivial for any  $[\gamma]$  in the image of  $\rho$ :

$$\xi_p^{e(\gamma^\rho)} = \sqrt[p]{N_{\hat{K}/\hat{F}}(\gamma^\rho)}^p = \sqrt[p]{1}^p = 1,$$

or  $e(\gamma^\rho) = 0$ .

Following Waterhouse, we show how the index function permits the determination of  $\text{Gal}(\hat{L}_M/\hat{F})$  as a  $G$ -extension.

For  $1 \leq j \leq p^n$  and  $e \in \mathbb{F}_p$ , write  $H_{j,e}$  for the group extension of  $M_j$  by  $G$  with  $\tilde{\sigma}^{p^n} = e(\tau - 1)^{j-1}$ , where  $\tilde{\sigma}$  is a lift of  $\sigma$ . Observe that  $H_{j,0} = H_j = M_j \rtimes G$ .

Let  $N_\gamma$  denote the cyclic  $\mathbb{F}_p[G]$ -submodule of  $J$  generated by  $[\gamma]$ .

**Proposition 4.1.** (See [15, Theorem 2].) *Let  $[\gamma] \in J_\epsilon$  and  $M = N_\gamma$ .*

- (1) *If  $M \simeq M_j$  for  $1 \leq j < p^n$  and  $e = e(\gamma)$ , then  $\text{Gal}(L_M/F) \simeq H_{j,e}$  as  $G$ -extensions.*
- (2) *If  $M \simeq \mathbb{F}_p[G]$  then  $\text{Gal}(L_M/F) \simeq \mathbb{F}_p[G] \rtimes G$ .*

Before presenting the proof, we note that if  $M \simeq M_j$  for  $1 \leq j < p^n$  then we have

$$\rho^{p^n-1}[\gamma] = \rho^{p^n-1-j} \left( \rho^j[\gamma] \right) = \rho^{p^n-1-j}[1] = [1].$$

Hence  $[\gamma] \in A$ , and so  $e(\gamma)$  is defined. Furthermore, Waterhouse tells us in this case that if  $e \neq 0$ , then  $H_{j,e} \not\cong H_j$  (see [15, Theorem 2]). He also shows that if  $j = p^n$  then there is a  $G$ -extension isomorphism  $H_{p^n,e} \simeq H_{p^n}$  for every  $e$ . In particular, we may use Proposition 4.1 later to deduce that if  $M \simeq M_j$  for  $j < p^n$  and  $\text{Gal}(L_M/F) \simeq M_j \rtimes G$ , then  $e(\gamma) = 0$ .

*Proof.* Suppose  $M \simeq M_j$  for some  $1 \leq j \leq p^n$ . By Proposition 3.1(3),  $\text{Gal}(L_M/K) \simeq M_j$  as  $G$ -modules. Hence  $\text{Gal}(L_M/F) \simeq H_{j,e}$  for some  $e$ . If  $j = p^n$  then from the isomorphism  $H_{p^n,e} \simeq H_{p^n}$  above we have the second item. By Proposition 3.1(2), it remains only to show that if  $j < p^n$ ,  $\text{Gal}(\hat{L}_M/\hat{F}) \simeq H_{j,e(\gamma)}$ .

Let  $\tilde{\sigma}$  denote a pullback of  $\sigma \in G$  to  $\text{Gal}(\hat{L}_M/\hat{F})$ . Then  $\tilde{\sigma}^{p^n}$  lies in  $Z(\text{Gal}(\hat{L}_M/\hat{F})) \cap \text{Gal}(\hat{L}_M/\hat{K})$ , where  $Z(\text{Gal}(\hat{L}_M/\hat{F}))$  denotes the center of  $\text{Gal}(\hat{L}_M/\hat{F})$ . Using the  $G$ -equivariant Kummer pairing

$$\langle \cdot, \cdot \rangle : \text{Gal}(\hat{L}_M/\hat{K}) \times M \rightarrow \langle \xi_p \rangle \simeq \mathbb{F}_p$$

we see that  $Z(\text{Gal}(\hat{L}_M/\hat{K}))$  annihilates  $\rho M$ . Furthermore, since this pairing is nonsingular we deduce that  $Z(\text{Gal}(\hat{L}_M/\hat{K})) \simeq M/\rho M$  and we can choose a generator  $\eta$  of  $Z(\text{Gal}(\hat{L}_M/\hat{K}))$  such that

$$\langle \eta, [\gamma] \rangle = \eta(\sqrt[p]{\gamma})/\sqrt[p]{\gamma} = \xi_p.$$

In particular, if  $\tilde{\sigma}^{p^n} = \eta^e$  then

$$(\sqrt[p]{\gamma})^{(\tilde{\sigma}^{p^n}-1)} = \xi_p^e.$$

Therefore

$$\sqrt[p]{\gamma}^{(\tilde{\sigma}^{p^n}-1)} = \sqrt[p]{\gamma}^{(1+\tilde{\sigma}+\dots+\tilde{\sigma}^{p^n-1})(\tilde{\sigma}-1)} = \left( \sqrt[p]{N_{\hat{K}/\hat{F}}(\gamma)} \right)^p = \xi_p^{e(\gamma)}.$$

□

### 5. The $\mathbb{F}_p[G]$ -module $J_\epsilon$

Again we keep the same assumptions given at the beginning of Section 3. In this section we develop the crucial technical results needed for Theorem 1.1: a decomposition of the  $\mathbb{F}_p[G]$ -module  $J_\epsilon$  into cyclic direct summands, and a determination of the value of the index function  $e$  on certain of the summands.

We first show that  $J_\epsilon$  is indeed a summand of  $J$ . Then we combine a decomposition of  $J$  into indecomposables, taken from [12, Theorem 2], with uniqueness of decompositions into indecomposables, to achieve important restrictions on the possible summands of  $J_\epsilon$ . Much of the remainder of the proof is devoted to establishing that we have an “exceptional summand” of dimension  $p^r + 1$  on which the index function is nontrivial. In the argument we need [12, Proposition 7] in particular to derive a lower bound for the dimension of that summand.

**Theorem 5.1.** *Suppose that  $p > 2$  or  $n > 1$ . The  $\mathbb{F}_p[G]$ -module  $J_\epsilon$  decomposes into a direct sum  $J_\epsilon = U \oplus_{\alpha \in \mathcal{A}} V_\alpha$ , with  $\mathcal{A}$  possibly empty, with the following properties:*

- (1) *For each  $\alpha \in \mathcal{A}$  there exists  $i \in \{0, \dots, n\}$  such that  $V_\alpha \simeq M_{p^i}$ .*
- (2)  *$U \simeq M_{p^{r+1}}$  for some  $r \in \{-\infty, 0, 1, \dots, n-1\}$ .*
- (3)  *$e(U) = \mathbb{F}_p$ .*
- (4) *If  $V_\alpha \simeq M_{p^i}$  for  $0 \leq i \leq r$ , then  $e(V_\alpha) = \{0\}$ .*

Here we observe the convention that  $p^{-\infty} = 0$ .

*Proof.* We show first that  $J_\epsilon$  is a direct summand of  $J$  by adapting an approach to descent from [13, page 258]. Recall that  $[\hat{F} : F] = s$  and  $\epsilon(\xi_p) = \xi_p^t$ . Thus  $s$  and  $t$  are both relatively prime to  $p$ . Let  $z \in \mathbb{Z}$  satisfy  $zst^{s-1} \equiv 1 \pmod{p}$ , and set

$$T = z \cdot \sum_{i=1}^s t^{s-i} \epsilon^{i-1} \in \mathbb{Z}[\text{Gal}(\hat{K}/F)].$$

We calculate that  $(t - \epsilon)T \equiv 0 \pmod{p}$ , and hence the image of  $T$  on  $J$  lies in  $J_\epsilon$ . Moreover,  $\epsilon$  acts on  $J_\epsilon$  by multiplication by  $t$ , and therefore  $T$  acts as the identity on  $J_\epsilon$ . Finally, since  $\epsilon$  and  $\sigma$  commute,  $T$  and  $I - T$  commute with  $\sigma$ . Hence  $J$  decomposes into a direct sum  $J_\epsilon \oplus J_\nu$ , with associated projections  $T$  and  $I - T$ .

We claim that  $e((I - T)A) = \{0\}$ . Since  $\xi_p \in \hat{F}$ , the fixed field  $\text{Fix}_{\hat{K}}(\sigma^p)$  may be written  $\hat{F}(\sqrt[p]{a})$  for a suitable  $a \in \hat{F}^\times$ . By [15, §5, Proposition],  $\epsilon([a]_{\hat{F}}) = [a]_{\hat{F}}^t$ . Suppose  $\gamma \in \hat{K}^\times$  satisfies  $[\gamma] \in A$ . Then, since  $\epsilon$  and  $\sigma$  commute,

$$[N_{\hat{K}/\hat{F}}(\epsilon(\gamma))]_{\hat{F}} = [\epsilon(N_{\hat{K}/\hat{F}}(\gamma))]_{\hat{F}} = \epsilon([N_{\hat{K}/\hat{F}}(\gamma)]_{\hat{F}}) = [N_{\hat{K}/\hat{F}}(\gamma)]_{\hat{F}}^t.$$

Hence  $e(\epsilon([\gamma])) = t \cdot e([\gamma])$ , and we then calculate that  $e(T[\gamma]) = e([\gamma])$ . Therefore  $e((I - T)[\gamma]) = 0$ , as desired.

Now since  $\mathbb{F}_p[G]$  is an Artinian principal ideal ring, every  $\mathbb{F}_p[G]$ -module decomposes into a direct sum of cyclic  $\mathbb{F}_p[G]$ -modules [14, Theorem 6.7]. Since cyclic  $\mathbb{F}_p[G]$ -modules are indecomposable, we have a decomposition of  $J = J_\epsilon \oplus J_\nu$  as a direct sum of indecomposables. From Section 2 we know that each of these indecomposable modules are self-dual and local,



and therefore they have local endomorphism rings. By the Krull-Schmidt-Azumaya Theorem (see [1, Theorem 12.6]), all decompositions of  $J$  into indecomposables are equivalent. (In our special case one can check this fact directly.)

On the other hand, we know by [12] several properties of  $J$ , including its decomposition as a direct sum of indecomposable  $\mathbb{F}_p[G]$ -modules, as follows. By [12, Theorem 2],

$$J = X \oplus \bigoplus_{i=0}^n Y_i,$$

where each  $Y_i$  is a direct sum, possibly zero, of  $\mathbb{F}_p[G]$ -modules isomorphic to  $M_{p^i}$ , and  $X = N_\chi$  for some  $\chi \in \hat{K}^\times$  such that  $N_{\hat{K}/\hat{F}}(\chi) \in a^w \hat{F}^{\times p}$  for some  $w$  relatively prime to  $p$ . Moreover,  $X \simeq M_{p^r+1}$  for some  $r \in \{-\infty, 0, \dots, n-1\}$ . We deduce that  $e(\chi) \neq 0$  and that  $e$  is surjective on  $X$ . Furthermore, considering each  $Y_i$  as a direct sum of indecomposable modules  $M_{p^i}$ , we have a decomposition of  $J$  into a direct sum of indecomposable modules.

We deduce that every indecomposable  $\mathbb{F}_p[G]$ -submodule appearing as a direct summand in  $J_\epsilon$  is isomorphic to  $M_{p^i}$  for some  $i \in \{0, \dots, n\}$ , except possibly for one summand isomorphic to  $M_{p^r+1}$ . Moreover, we find that  $e$  is nontrivial on  $J_\epsilon$ , as follows. From the hypothesis that either  $p > 2$  or  $n > 1$  we deduce that  $p^r + 1 < p^n$ . Therefore since  $N_\chi \simeq M_{p^r+1}$  we have  $[\chi] \in A$ . Let  $\theta, \omega \in \hat{K}^\times$  satisfy  $[\theta] = T[\chi] \in J_\epsilon$  and  $[\omega] = (I - T)[\chi]$ . From  $e((I - T)A) = \{0\}$  we obtain  $e(\omega) = 0$ . Therefore  $e(\theta) \neq 0$ . Observe that  $\rho^{p^r+1}[\theta] = [1]$ .

We next claim that  $e$  is trivial on any  $\mathbb{F}_p[G]$ -submodule  $M$  of  $J_\epsilon$  such that  $M \simeq M_j$  for  $j < p^r + 1$ . Suppose not:  $M$  is an  $\mathbb{F}_p[G]$ -submodule of  $J_\epsilon$  isomorphic to  $M_j$  for some  $j < p^r + 1$  and  $e(M) \neq \{0\}$ . Then  $M = N_\gamma$  for some  $\gamma \in \hat{K}^\times$ . Since  $e$  is an  $\mathbb{F}_p[G]$ -homomorphism and  $M$  is generated by  $[\gamma]$ , we have  $e(\gamma) \neq 0$ . But [12, Proposition 7 and Theorem 2] tells us that  $c = p^r + 1$  is the minimal value of  $c$  such that  $\rho^c[\beta] = [1]$  for  $\beta \in \hat{K}$  with  $N_{\hat{K}/\hat{F}}(\beta) \notin \hat{F}^{\times p}$ . Hence we have a contradiction.

Because  $J_\epsilon$  decomposes into a direct sum of cyclic  $\mathbb{F}_p[G]$ -modules, we may write  $\theta$  as an  $\mathbb{F}_p[G]$ -linear combination of generators of such  $\mathbb{F}_p[G]$ -modules, and we will use this combination and the fact that  $e(\theta) \neq 0$  to prove that there exists a summand isomorphic to  $M_{p^r+1}$  on which  $e$  is nontrivial. Let  $M = N_\delta$  be an arbitrary summand of  $J_\epsilon$ . Then  $M \simeq M_j$  for some  $j$ . Let  $[\theta_\delta]$  be the projection of  $[\theta]$  on  $M$ . Since  $\rho^{p^r+1}[\theta] = [1]$ , we deduce that  $\rho^{p^r+1}[\theta_\delta] = [1]$ . Now if  $j > p^r + 1$  then  $[\theta_\delta]$  lies in a proper submodule of  $M$ . Because  $\rho M$  is the unique maximal ideal of  $M$  and  $e$  is an  $\mathbb{F}_p[G]$ -module homomorphism,  $e(\theta_\delta) = 0$ . On the other hand, if  $j < p^r + 1$  then we have already observed that  $e(M) = \{0\}$ . From  $e(\theta) \neq 0$  we deduce that there

must exist a summand isomorphic to  $M_{p^r+1}$  and on which  $e$  is nontrivial. Let  $U$  denote such a summand.

Now let  $\{V_\alpha\}$ ,  $\alpha \in \mathcal{A}$ , be the collection of summands of  $J_\epsilon$  apart from  $U$ . Hence  $J_\epsilon = U \oplus_{\alpha \in \mathcal{A}} V_\alpha$ . Since every summand of  $J_\epsilon$  is isomorphic to  $M_{p^i}$  where  $i \in \{0, 1, \dots, n\}$ , except possibly for one summand isomorphic to  $M_{p^r+1}$ , we have (1). From the last paragraph, we have (2) and (3). Finally, since  $e$  is trivial on  $\mathbb{F}_p[G]$ -submodules isomorphic to  $M_j$  with  $j < p^r + 1$ , we have (4).  $\square$

### 6. Proof of Theorem 1.1

*Proof.* We first consider the case  $\text{char } F \neq p$ .

Suppose that  $L/F$  is a Galois extension with group  $M_{p^{i+c}} \rtimes G$ , where  $0 \leq i < n$  and  $1 \leq c < p^{i+1} - p^i$ . Let  $K = \text{Fix}_L(M_{p^{i+c}})$  and identify  $G$  with  $\text{Gal}(K/F)$ . Define  $\hat{F}$ ,  $\hat{K}$ ,  $J$ ,  $J_\epsilon$ , and  $A$  as in Sections 3 through 5. By the Kummer correspondence of Section 3 and Proposition 3.1,  $L = L_M$  for some  $\mathbb{F}_p[G]$ -submodule  $M$  of  $J_\epsilon$  such that  $M \simeq \text{Gal}(L/K) \simeq M_{p^{i+c}}$  as  $\mathbb{F}_p[G]$ -modules. Let  $\gamma \in \hat{K}^\times$  be such that  $M = N_\gamma$ . Since  $p^i + c < p^n$ , we see that  $M \subset A$  and so  $e$  is defined on  $M$ . By Proposition 4.1 and the discussion following it, from  $\text{Gal}(L/F) \simeq M_{p^{i+c}} \rtimes G$  we deduce  $e(\gamma) = 0$ .

Observe that if  $p = 2$  then from  $p^i + c < p^{i+1}$  and  $1 \leq c$  we see that  $i > 0$  and hence  $n > 1$ . By Theorem 5.1,  $J_\epsilon$  has a decomposition into indecomposable  $\mathbb{F}_p[G]$ -modules

$$J_\epsilon = U \oplus \bigoplus_{\alpha \in \mathcal{A}} V_\alpha$$

such that each indecomposable  $V_\alpha$  is isomorphic to  $M_{p^j}$  for some  $j \in \{0, \dots, n\}$ ,  $U \simeq M_{p^r+1}$  for some  $r \in \{-\infty, 0, \dots, n-1\}$ ,  $e(U) = \mathbb{F}_p$ , and  $e(V_\alpha) = \{0\}$  for all  $V_\alpha \simeq M_{p^i}$  with  $0 \leq i \leq r$ . Let  $U = N_\chi$  for some  $\chi \in \hat{K}^\times$ . Then  $e(\chi) \neq 0$ .

Because  $\rho^{p^i+c-1}M \neq \{0\}$  we know that  $J_\epsilon$  is not annihilated by  $\rho^{p^i+c-1}$ . Therefore either  $\rho^{p^i+c-1}$  does not annihilate  $U \simeq M_{p^r+1}$ , whence  $p^r + 1 \geq p^i + c$ , or  $p^r + 1 < p^i + c$  and there exists an indecomposable summand isomorphic to  $M_{p^j}$  for some  $j > i$ .

Suppose first that  $p^r + 1 < p^i + c$  and  $J_\epsilon$  contains an indecomposable summand  $V$  isomorphic to  $M_{p^j}$  for some  $j > i$ . If  $j = n$  then by Proposition 3.1

there exists a Galois extension  $L_V/F$  such that  $\text{Gal}(L_V/K) \simeq M_{p^n} \simeq \mathbb{F}_p[G]$ . By Proposition 4.1(2), we have  $\text{Gal}(L_V/F) \simeq \mathbb{F}_p[G] \rtimes G$ . Since  $M_{p^{i+1}} \rtimes G$  is a quotient of  $\mathbb{F}_p[G] \rtimes G$ , we deduce that  $M_{p^{i+1}} \rtimes G$  is a Galois group over  $F$ .

If instead  $j < n$ , then let  $\gamma \in \hat{K}^\times$  such that  $V = N_\gamma$ . Because  $e$  is surjective on  $U$  we may find  $\beta \in \hat{K}^\times$  such that  $[\beta] \in U$  and  $e(\beta) = e(\gamma)$ . Now set  $\delta := \gamma/\beta$ . Then  $e(\delta) = 0$  and we consider  $N_\delta$ . From  $p^j > p^i + c > p^r + 1$  and  $\rho^{p^r+1}[\beta] = [1]$  we deduce that  $\rho^{p^j-1}[\beta] = [1]$ . Then  $\rho^{p^j}[\delta] = [1]$  while  $\rho^{p^j-1}[\delta] \neq [1]$ , so  $N_\delta \simeq M_{p^j}$ . Let  $W = N_\delta$ . By Propositions 3.1 and 4.1 we obtain a Galois field extension with  $\text{Gal}(L_W/F) \simeq M_{p^j} \rtimes G$ . Since  $M_{p^{i+1}} \rtimes G$  is a quotient of  $M_{p^j} \rtimes G$ , we deduce that  $M_{p^{i+1}} \rtimes G$  is a Galois group over  $F$ .

Suppose now that for every  $j > i$  there does not exist an indecomposable summand isomorphic to  $M_{p^j}$ . We claim that  $r > i$ . Suppose not. Then from  $p^r + 1 \geq p^i + c$  we obtain  $r = i$  and  $c = 1$ . Moreover,  $U$  is the only summand of  $J_\epsilon$  not annihilated by  $\rho^{p^i}$ . Let  $\theta \in \hat{K}^\times$  such that  $[\theta] = \text{proj}_U \gamma$ . If  $[\theta] \in \rho U$ , then  $\rho^{p^i}[\gamma] = [1]$ , whence  $\rho^{p^i}M = \{0\}$ , a contradiction. Since  $[\theta] \in U \setminus \rho U$  and  $\rho U$  is the unique maximal ideal of  $U$ , we obtain that  $U = N_\theta$ . Since  $e(U) = \mathbb{F}_p$ , we deduce that  $e(\theta) \neq 0$ . Now if  $V_\alpha \simeq M_{p^j}$  for  $j \leq r$  then  $e(V_\alpha) = \{0\}$ . Hence  $e(V_\alpha) = \{0\}$  for all  $\alpha \in \mathcal{A}$ . We deduce that  $e(\gamma) \neq 0$ , a contradiction. Therefore  $r \geq i + 1$ .

Let  $\omega = \rho\chi$  and consider  $N_\omega = \rho N_\chi = \rho U$ . We obtain that  $e(\omega) = 0$  and  $N_\omega \simeq M_{p^r}$ . By Propositions 3.1 and 4.1, we have that  $\text{Gal}(L_W/F) \simeq M_{p^r} \rtimes G$  for some suitable cyclic submodule  $W$  of  $J_\epsilon$ . Since  $M_{p^{i+1}} \rtimes G$  is a quotient of  $M_{p^r} \rtimes G$ , we deduce that  $M_{p^{i+1}} \rtimes G$  is a Galois group over  $F$ .

Finally we turn to the case  $\text{char } F = p$ . Recall that we denote  $M_j \rtimes G$ ,  $j = 1, \dots, p^n$ , by  $H_j$ . We have short exact sequences

$$1 \rightarrow \mathbb{F}_p \simeq \rho^{p^i+c+k} M_{p^{i+c+k+1}} \rtimes 1 \rightarrow H_{p^{i+c+k+1}} \rightarrow H_{p^{i+c+k}} \rightarrow 1$$

for all  $1 \leq i < n$ ,  $1 \leq c < p^{i+1} - p^i$ , and  $0 \leq k < p^{i+1} - p^i - c$ . For all of these, the kernels are central, and the groups  $H_{p^{i+c+k+1}}$  and  $H_{p^{i+c+k}}$  have the same rank, so the sequences are nonsplit. By Witt's Theorem, all central nonsplit Galois embedding problems with kernel  $\mathbb{F}_p$  are solvable. (See [7, Appendix A].) Hence if  $H_{p^{i+c}}$  is a Galois group over  $F$ , one may successively solve a chain of suitable central nonsplit embedding problems with kernel  $\mathbb{F}_p$  to obtain  $H_{p^{i+1}}$  as a Galois group over  $F$ .  $\square$

### 7. Acknowledgements

Andrew Schultz thanks Ravi Vakil for his encouragement and direction in this and all other projects. John Swallow thanks Université Bordeaux I for its hospitality during 2005–2006.

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