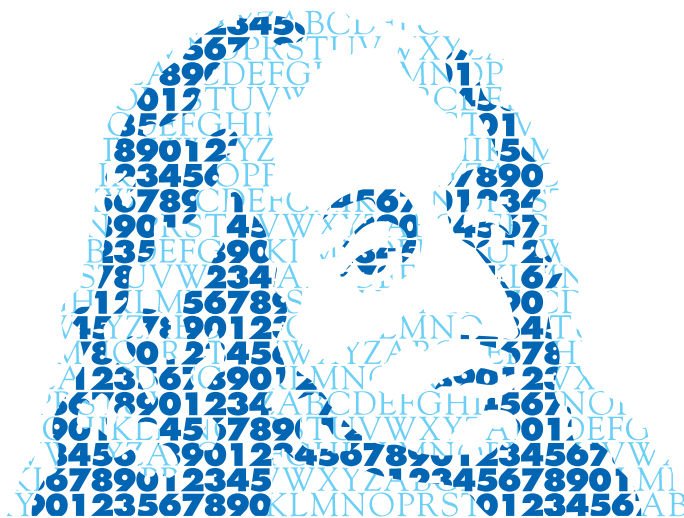


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# A maximal function on harmonic extensions of $H$ -type groups

MARIA VALLARINO

## Abstract

Let  $N$  be an  $H$ -type group and  $S \simeq N \times \mathbb{R}^+$  be its harmonic extension. We study a left invariant Hardy–Littlewood maximal operator  $M_\rho^{\mathcal{R}}$  on  $S$ , obtained by taking maximal averages with respect to the right Haar measure over left-translates of a family  $\mathcal{R}$  of neighbourhoods of the identity. We prove that the maximal operator  $M_\rho^{\mathcal{R}}$  is of weak type  $(1, 1)$ .

## 1. Introduction

Let  $\mathfrak{n}$  be a Heisenberg type Lie algebra (briefly, an  $H$ -type Lie algebra) with inner product  $\langle \cdot, \cdot \rangle$  and corresponding norm  $|\cdot|$ . We denote by  $N$  the connected and simply connected Lie group associated to  $\mathfrak{n}$ ;  $N$  is called an  $H$ -type group. Let  $S$  be the one-dimensional extension of  $N$  obtained by letting  $A = \mathbb{R}^+$  act on  $N$  by homogeneous dilations. Let  $H$  denote a vector in  $\mathfrak{a}$  acting on  $\mathfrak{n}$  with eigenvalues  $1/2$  and (possibly)  $1$ ; we extend the inner product on  $\mathfrak{n}$  to the algebra  $\mathfrak{s} = \mathfrak{n} \oplus \mathfrak{a}$  by requiring  $\mathfrak{n}$  and  $\mathfrak{a}$  to be orthogonal and  $H$  unitary. The algebra  $\mathfrak{s}$  is a solvable Lie algebra. It is natural to endow  $S$  with the *left invariant* Riemannian metric  $d$  which agrees with the inner product of  $\mathfrak{s}$  at the identity. The group  $S$  is a one-dimensional harmonic extension of the  $H$ -type group  $N$ . Let  $\rho$  denote a fixed right invariant measure on  $S$ . The metric measured space  $(S, d, \rho)$  is of exponential growth.

Harmonic extensions of  $H$ -type groups were introduced by Kaplan [15]. As Riemannian manifolds, these solvable Lie groups include properly all rank one symmetric spaces of the noncompact type. In fact, most of them are nonsymmetric harmonic manifolds, which provide counterexamples to

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Lichnerowicz conjecture. The geometry of these extensions has been studied by E. Damek and F. Ricci in [6, 5, 7, 8] and M. Cowling, A.H. Dooley, A. Korányi and Ricci in [2, 3].

In this paper we study the *left invariant* Hardy–Littlewood type maximal operator  $M_\rho^{\mathcal{F}}$ , defined by

$$M_\rho^{\mathcal{F}} f(x) = \sup_{F \in \mathcal{F}} \frac{1}{\rho(xF)} \int_{xF} |f| \, d\rho \quad \forall f \in L^1_{\text{loc}}(\rho),$$

where  $\mathcal{F}$  is a family of open subsets of  $S$  which contain the identity.

We shall focus on a particular family  $\mathcal{R}$ , which is described in detail at the beginning of Section 3. It may be worth observing that the family  $\mathcal{R}$  contains “small sets”, which are balls with respect to the left invariant Riemannian metric  $d$ , and “big sets”, which are “rectangles”. We shall see that sets in  $\mathcal{R}$  are a generalization of “admissible parallelopipeds” in [14] and “rectangles” in [13].

Our main result is that  $M_\rho^{\mathcal{R}}$  is bounded from  $L^1(\rho)$  to the Lorentz space  $L^{1,\infty}(\rho)$ . This extends previous results of S. Giulini and P. Sjögren [13] (see the discussion below).

The main motivation to prove that the maximal operator  $M_\rho^{\mathcal{R}}$  is of weak type  $(1, 1)$  is that this is a key step to prove that the metric measured space  $(S, d, \rho)$  is a Calderón–Zygmund space in the sense of [14, Definition 1.1]. More precisely, Hebisch and Steger [14] gave an axiomatic definition of Calderón–Zygmund space and proved that  $NA$  groups associated to real hyperbolic spaces, which fall in the class of groups we consider in this paper, are Calderón–Zygmund spaces. To prove this result they introduced a suitable family  $\mathcal{R}$  of sets and proved that the maximal operator  $M_\rho^{\mathcal{R}}$  is of weak type  $(1, 1)$ . In our paper we extend and generalize their family  $\mathcal{R}$  to the context of harmonic extensions of  $H$ -type groups. This will be a key to show that  $S$  is a Calderón–Zygmund space. The Calderón–Zygmund decomposition of integrable functions on  $S$  and its applications to the study of multipliers of a distinguished left invariant Laplacian on  $S$ , which will appear in a forthcoming paper [16], improve previous results in [1, 4, 12, 14].

The maximal operator  $M_\rho^{\mathcal{F}}$  is also related to a number of results in the literature concerning maximal operators on solvable Lie groups, in particular on the affine group of the real line, which we now briefly summarize.

## A MAXIMAL FUNCTION ON HARMONIC EXTENSIONS

Let  $\Gamma$  be the affine group of the real line, i.e.  $\Gamma = \mathbb{R} \times \mathbb{R}^+$ , endowed with the product

$$(b, a)(b', a') = (b + a^{1/2}b', a a') \quad \forall (b, a), (b', a') \in \mathbb{R} \times \mathbb{R}^+.$$

Note the factor  $a^{1/2}$  in the product rule above, instead of the more common factor  $a$  [10, 11, 13]. Our definition is consistent with that usually adopted for harmonic extensions of  $H$ -type groups (see [2]).

Let  $\rho$  and  $\lambda$  denote right and left Haar measures of  $\Gamma$ , respectively. Given a family  $\mathcal{F}$  of open subsets of  $\Gamma$  containing the identity, let  $\mathcal{F}^{-1}$  denote the family  $\{F^{-1} : F \in \mathcal{F}\}$ . The *right invariant* maximal operator  $M_\lambda^\mathcal{F}$  defined, for every  $f$  in  $L^1_{\text{loc}}(\lambda)$ , by

$$M_\lambda^\mathcal{F} f(x) = \sup_{F \in \mathcal{F}} \frac{1}{\lambda(Fx)} \int_{Fx} |f| d\lambda,$$

has been considered by several authors [10, 11, 13].

It is straightforward to check that for all  $f$  in  $L^1_{\text{loc}}(\rho)$

$$M_\rho^\mathcal{F} f = (M_\lambda^{\mathcal{F}^{-1}} f^\vee)^\vee,$$

where  $f^\vee(x) = f(x^{-1})$ . Thus  $M_\rho^\mathcal{F}$  is bounded on  $L^p(\rho)$  (respectively of weak type  $(1, 1)$  with respect to  $\rho$ ) if and only if  $M_\lambda^{\mathcal{F}^{-1}}$  is bounded on  $L^p(\lambda)$  (respectively of weak type  $(1, 1)$  with respect to  $\lambda$ ).

In the literature many authors studied the maximal operator  $M_\lambda^{\mathcal{F}^{-1}}$ . Since our result will be relevant to study  $L^p(\rho)$  multipliers of a left invariant Laplacian, we find it more natural to consider the maximal operator  $M_\rho^\mathcal{F}$ , which is left invariant and defined with respect to the measure  $\rho$ . Then we restate the results proved in the literature for the maximal operator  $M_\lambda^{\mathcal{F}^{-1}}$  in terms of  $M_\rho^\mathcal{F}$ , for a particular family of sets  $\mathcal{F}$  which we now introduce.

Let  $\beta \geq 1/2$ ,  $F_{r,\beta} = \{(b, a) \in \Gamma : |b| < r^\beta, a \in (1/r, r)\}$ , for all  $r > 1$ , and  $\mathcal{F} = \{F_{r,\beta} : r > 1\}$ . Note that we consider  $\beta \geq 1/2$ , instead of  $\beta \geq 1$  as in [10, 11, 13], because of the factor  $a^{1/2}$  instead of the factor  $a$  in the product rule on  $\Gamma$ .

If  $\beta = 1/2$ , then G. Gaudry, Giulini, A.M. Mantero [10] proved that  $M_\rho^{\mathcal{F}^{-1}}$  is not bounded on  $L^p(\rho)$ , for all  $p \in (1, \infty)$ . Moreover, Giulini [11] proved that  $M_\rho^\mathcal{F}$  is bounded on  $L^p(\rho)$ , for  $p \in (1, \infty)$ , but it is not of weak type  $(1, 1)$  with respect to  $\rho$  [13].

If  $\beta > 1/2$ , then Giulini and Sjögren [13] proved that  $M_\rho^{\mathcal{F}}$  is of weak type  $(1, 1)$  with respect to the measure  $\rho$ .

In this paper we generalize to the context of harmonic extensions of  $H$ -type groups the aforementioned result in [13] corresponding to the case  $\beta > 1/2$ .

Our paper is organized as follows: in Section 2 we recall the definition of an  $H$ -type group  $N$  and its harmonic extension  $S$ . In Section 3 we introduce a family  $\mathcal{R}$  of open subsets of  $S$  and the left invariant maximal operator  $M_\rho^{\mathcal{R}}$  associated to this family; we prove that  $M_\rho^{\mathcal{R}}$  is bounded from  $L^1(\rho)$  to  $L^{1,\infty}(\rho)$ .

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## 2. Harmonic extensions of $H$ -type groups

In this section we recall the definition of  $H$ -type groups and we describe their harmonic extensions. For details see [2] and [3].

Let  $\mathfrak{n}$  be a Lie algebra equipped with an inner product  $\langle \cdot, \cdot \rangle$  and denote by  $|\cdot|$  the corresponding norm. Let  $\mathfrak{v}$  and  $\mathfrak{z}$  be complementary orthogonal subspaces of  $\mathfrak{n}$  such that  $[\mathfrak{n}, \mathfrak{z}] = \{0\}$  and  $[\mathfrak{n}, \mathfrak{n}] \subseteq \mathfrak{z}$ . According to Kaplan [15] the algebra  $\mathfrak{n}$  is of  $H$ -type if for every unitary  $Z$  in  $\mathfrak{z}$  the map  $J_Z : \mathfrak{v} \rightarrow \mathfrak{v}$  defined by

$$\langle J_Z X, Y \rangle = \langle Z, [X, Y] \rangle \quad \forall X, Y \in \mathfrak{v}$$

is orthogonal. In this case the connected and simply connected Lie group  $N$  associated to  $\mathfrak{n}$  is called an  $H$ -type group. We identify  $N$  with its Lie algebra  $\mathfrak{n}$  by the exponential map

$$\begin{aligned} \mathfrak{v} \times \mathfrak{z} &\rightarrow N \\ (X, Z) &\mapsto \exp(X + Z). \end{aligned}$$

The product law in  $N$  is

$$(X, Z)(X', Z') = \left( X + X', Z + Z' + (1/2)[X, X'] \right) \quad \forall X, X' \in \mathfrak{v} \forall Z, Z' \in \mathfrak{z}.$$

The group  $N$  is a two-step nilpotent group, hence unimodular, with Haar measure  $dX dZ$ . We define on  $N$  the dilation

$$\delta_a(X, Z) = (a^{1/2}X, aZ) \quad \forall (X, Z) \in N \quad \forall a \in \mathbb{R}^+.$$

## A MAXIMAL FUNCTION ON HARMONIC EXTENSIONS

The group  $N$  is an homogeneous group with homogeneous norm

$$\mathcal{N}(X, Z) = \left( \frac{|X|^4}{16} + |Z|^2 \right)^{1/4} \quad \forall (X, Z) \in N.$$

Note that, for all  $a$  in  $\mathbb{R}^+$ ,  $\mathcal{N}(\delta_a(X, Z)) = a^{1/2} \mathcal{N}(X, Z)$ . We denote by  $d_N$  the corresponding homogeneous norm on  $N$  given by

$$d_N(n_0, n) = \mathcal{N}(n_0^{-1}n) \quad \forall n_0, n \in N.$$

The homogeneous dimension of  $N$  is  $Q = (m_{\mathfrak{v}} + 2m_{\mathfrak{z}})/2$ , where  $m_{\mathfrak{v}}$  and  $m_{\mathfrak{z}}$  denote the dimensions of  $\mathfrak{v}$  and  $\mathfrak{z}$  respectively. For all  $n_0$  in  $N$  and  $r > 0$  the homogeneous ball  $B_N(n_0, r)$  centred at  $n_0$  of radius  $r$  has measure  $r^{2Q} |B_N(0_N, 1)|$ .

Given an  $H$ -type group  $N$ , let  $S$  be the one-dimensional extension of  $N$  obtained by letting  $A = \mathbb{R}^+$  act on  $N$  by homogeneous dilations. Let  $H$  denote a vector in  $\mathfrak{a}$  acting on  $\mathfrak{n}$  with eigenvalues  $1/2$  and (possibly)  $1$ ; we extend the inner product on  $\mathfrak{n}$  to the algebra  $\mathfrak{s} = \mathfrak{n} \oplus \mathfrak{a}$  by requiring  $\mathfrak{n}$  and  $\mathfrak{a}$  to be orthogonal and  $H$  to be unitary. The algebra  $\mathfrak{s}$  is a solvable Lie algebra. The group  $S$  is called the harmonic extension of the  $H$ -type group  $N$ . The map

$$\begin{aligned} \mathfrak{v} \times \mathfrak{z} \times \mathbb{R}^+ &\rightarrow S \\ (X, Z, a) &\mapsto \exp(X + Z) \exp(\log a H) \end{aligned}$$

gives global coordinates on  $S$ . The product in  $S$  is given by the rule

$$(X, Z, a)(X', Z', a') = \left( X + a^{1/2}X', Z + aZ' + (1/2)a^{1/2}[X, X'], a a' \right)$$

for all  $(X, Z, a), (X', Z', a')$  in  $S$ . We shall denote by  $n = m_{\mathfrak{v}} + m_{\mathfrak{z}} + 1$  the dimension of  $S$ . The group  $S$  is nonunimodular: the right and left Haar measures on  $S$  are given by  $d\rho(X, Z, a) = a^{-1} dX dZ da$  and  $d\lambda(X, Z, a) = a^{-Q-1} dX dZ da$  respectively. We denote by  $L^1(\rho)$  the space of integrable functions with respect to the measure  $\rho$  and by  $L^{1,\infty}(\rho)$  the Lorentz space of all measurable functions  $f$  such that

$$\sup_{t>0} t \rho(\{x \in S : |f(x)| > t\}) < \infty.$$

We equip  $S$  with the left invariant Riemannian metric  $d$  which agrees with the inner product on  $\mathfrak{s}$  at the identity  $e$  and denote by  $B((n_0, a_0), r)$  the

ball in  $S$  centred at  $(n_0, a_0)$  of radius  $r$ . Note that

$$\rho(B(e, r)) \asymp \begin{cases} r^n & \text{if } r \in (0, 1) \\ e^{Qr} & \text{if } r \in [1, +\infty). \end{cases} \quad (2.1)$$

This shows that  $S$ , equipped with right Haar measure, is a group of exponential growth.

### 3. The maximal operator $M_\rho^{\mathcal{R}}$

In this section we introduce a family  $\mathcal{R}$  of sets in  $S$  and study the weak type  $(1, 1)$  boundedness of the associated left invariant maximal operator  $M_\rho^{\mathcal{R}}$ .

We first define a subsidiary family of sets centred at the identity as follows:

$$E_{r, \beta} = \begin{cases} B(e, \log r) & \text{if } 1 < r < e \\ B_N(0_N, r^\beta) \times (\frac{1}{r}, r) & \text{if } r \geq e, \end{cases} \quad (3.1)$$

where  $1/2 < b < \beta < B$ , and  $b, B$  are constants.

Note that “small sets”  $E_{r, \beta}$ ,  $1 < r < e$ , are balls with respect to the left invariant metric  $d$ , while “big sets”  $E_{r, \beta}$ ,  $r \geq e$ , are “rectangles”. We shall now give a motivation to definition (3.1).

In the particular case of  $NA$  groups associated to real hyperbolic spaces Hebisch and Steger [14] define a family of “admissible parallelopipeds”. They give a different definition of small parallelopipeds and big parallelopipeds and use these sets in the Calderón–Zygmund decomposition of integrable functions.

Our purpose is to replace “admissible parallelopipeds” with sets  $E_{r, \beta}$  in the Calderón–Zygmund decomposition of integrable functions on harmonic extensions of  $H$ -type groups. Then we give a definition of small and big sets  $E_{r, \beta}$  which generalize the “admissible parallelopipeds”. In particular, according to [14, Definition 1.1], it is easy to check that there exists a constant  $C$  independent on  $r$  such that

$$E_{r, \beta} \subseteq B(e, C \log r) \quad \forall r > 1. \quad (3.2)$$

Note that we consider  $A = \mathbb{R}^+$ , as in the notation usually adopted in harmonic extensions of  $H$ -type groups, while in [14]  $A = \mathbb{R}$ , so that definition (3.1) and condition (3.2) could appear different from [14] but are not (it suffices to use an exponential notation on  $\mathbb{R}^+$ ).

Another motivation to definition (3.1) is that we are interested in a generalization of the result obtained by Giulini and Sjögren in the particular case of the affine group of the real line [13]. More precisely, for  $r \geq e$  the sets  $E_{r,\beta}$  coincide with the rectangles  $F_{r,\beta}$  defined in [13], while for  $r < e$  they are different. We choose different sets because for  $r < e$  the rectangles  $F_{r,\beta}$  do not satisfy condition (3.2) which is necessary to involve the sets in the Calderón–Zygmund decomposition.

Let  $\gamma$  be a constant greater than  $\frac{4B+2b+1}{2b-1}$ . For each set  $E_{r,\beta}$ , we define its dilated set as

$$E_{r,\beta}^* = \begin{cases} B(e, \gamma \log r) & \text{if } 1 < r < e \\ B_N(0_N, \gamma r^\beta) \times (\frac{1}{r^\gamma}, r^\gamma) & \text{if } r \geq e. \end{cases}$$

Note that

$$\rho(E_{r,\beta}) \asymp \begin{cases} (\log r)^n & \text{if } 1 < r < e \\ r^{2\beta Q} \log r & \text{if } r \geq e. \end{cases} \quad (3.3)$$

The measures of  $E_{r,\beta}$  and  $E_{r,\beta}^*$  are comparable; more precisely, there exists a constant  $C^*$  such that  $\rho(E_{r,\beta}^*) \leq C^* \rho(E_{r,\beta})$ . For all  $x_0 = (n_0, a_0)$  in  $S$  the left-translate of the set  $E_{r,\beta}$  is

$$x_0 E_{r,\beta} = \begin{cases} B(x_0, \log r) & \text{if } 1 < r < e \\ B_N(n_0, a_0^{1/2} r^\beta) \times (\frac{a_0}{r}, a_0 r) & \text{if } r \geq e, \end{cases}$$

and put  $(x_0 E_{r,\beta})^* = x_0 E_{r,\beta}^*$ . We say that the set  $x_0 E_{r,\beta}$  is centred at  $x_0$ .

Now we consider the family of all left-translates of the sets  $E_{r,\beta}$  which contain the identity, i.e.

$$\mathcal{R} = \{x_0 E_{r,\beta} : x_0 \in S, r > 1, b < \beta < B, e \in x_0 E_{r,\beta}\}.$$

The associated maximal operator  $M_\rho^{\mathcal{R}}$  is a left invariant noncentred maximal operator. Our result is the following.

**Theorem 3.1.** *The maximal operator  $M_\rho^{\mathcal{R}}$  is bounded from  $L^1(\rho)$  to  $L^{1,\infty}(\rho)$ .*

In order to prove Theorem 3.1, it suffices to study separately the maximal operators associated to “small sets” and “big sets”. More precisely, define

$$\mathcal{R}^0 = \{x_0 E_{r,\beta} : x_0 \in S, 1 < r < e, e \in x_0 E_{r,\beta}\}$$

and

$$\mathcal{R}^\infty = \{x_0 E_{r,\beta} : x_0 \in S, r \geq e, b < \beta < B, e \in x_0 E_{r,\beta}\}.$$



If we can show that  $M_\rho^{\mathcal{R}^0}$  and  $M_\rho^{\mathcal{R}^\infty}$  are of weak type  $(1, 1)$ , then Theorem 3.1 follows.

### 3.1. The maximal operator $M_\rho^{\mathcal{R}^\infty}$

Given two sets  $R_i = x_i E_{r_i, \beta_i}$ ,  $i = 1, 2$ , with  $r_i \geq e$ , we say that  $R_2 \leq R_1$  if  $\rho(R_2) \leq \rho(R_1)$ .

**Lemma 3.2.** *Let  $R_i = x_i E_{r_i, \beta_i}$ ,  $i = 1, 2$ , with  $r_i \geq e$ . If  $R_2 \leq R_1$  and  $R_1 \cap R_2 \neq \emptyset$ , then  $R_2 \subseteq R_1^*$ .*

*Proof.* Let  $R_i = x_i E_{r_i, \beta_i}$ , where  $1/2 < b < \beta_i < B$ ,  $r_i \geq e$ , for  $i = 1, 2$ . Without loss of generalization we may suppose that  $R_2$  is centred at the identity. Indeed, if this does not hold, then sets  $x_2^{-1} R_i$  satisfy the hypothesis of the lemma and  $x_2^{-1} R_2$  is centred at the identity. If the conclusion is true for sets  $x_2^{-1} R_i$ , then it obviously follows for sets  $R_i$ .

Then we suppose that  $x_2 = e$  and  $x_1 = (n_1, a_1)$ . It is straightforward to check that the condition  $R_2 \leq R_1$  implies that

$$\frac{(a_1^{1/2} r_1^{\beta_1})^{2Q}}{r_2^{2\beta_2 Q}} \frac{\log r_1}{\log r_2} \geq 1. \quad (3.4)$$

The fact that  $R_1$  and  $R_2$  intersect implies that

$$\frac{1}{r_1 r_2} < a_1 < r_1 r_2 \quad \text{and} \quad d_N(n_1, 0_N) < a_1^{1/2} r_1^{\beta_1} + r_2^{\beta_2}. \quad (3.5)$$

Let  $(n, a)$  be a point of  $R_2$ ; we shall prove that it belongs to  $R_1^*$ .

From (3.5) we deduce that

$$\frac{1}{r_2^2 r_1} < \frac{a}{a_1} < r_2^2 r_1 \quad (3.6)$$

and

$$\begin{aligned} d_N(n, n_1) &\leq d_N(n, 0_N) + d_N(0_N, n_1) \\ &< 2r_2^{\beta_2} + a_1^{1/2} r_1^{\beta_1}. \end{aligned} \quad (3.7)$$

Now we examine separately two cases.

*Case  $r_2 \geq r_1$ .* In this case, from the inequality (3.4) we deduce that

$$\begin{aligned} r_2^{\beta_2} &\leq a_1^{1/2} r_1^{\beta_1} \left( \frac{\log r_1}{\log r_2} \right)^{1/2Q} \\ &\leq a_1^{1/2} r_1^{\beta_1}, \end{aligned}$$

and then from (3.7) we obtain that

$$\begin{aligned} d_N(n, n_1) &< 2r_2^{\beta_2} + a_1^{1/2}r_1^{\beta_1} \\ &\leq 3a_1^{1/2}r_1^{\beta_1}. \end{aligned}$$

Again from (3.4) and (3.5) it follows that

$$\begin{aligned} r_2^{\beta_2} &\leq a_1^{1/2}r_1^{\beta_1} \left( \frac{\log r_1}{\log r_2} \right)^{1/2Q} \\ &\leq r_2^{1/2}r_1^{\beta_1+1/2}, \end{aligned}$$

and then  $r_2 \leq r_1^{\frac{2\beta_1+1}{2\beta_2-1}} \leq r_1^{\frac{2B+1}{2b-1}}$ . Thus, from (3.6)

$$\left( \frac{1}{r_1} \right)^{\frac{4B+2b+1}{2b-1}} \leq \frac{1}{r_2^2 r_1} < \frac{a}{a_1} < r_2^2 r_1 \leq r_1^{\frac{4B+2b+1}{2b-1}}.$$

Since  $\gamma > \frac{4B+2b+1}{2b-1} > 3$  by assumption, we have that

$$\frac{1}{r_1^\gamma} < \frac{a}{a_1} < r_1^\gamma \quad \text{and} \quad d_N(n_1, 0_N) < \gamma a_1^{1/2} r_1^{\beta_1}.$$

Thus the point  $(n, a)$  is in  $R_1^*$  as required.

*Case  $r_2 < r_1$ .* In this case, using (3.6) we have that

$$\frac{1}{r_1^3} < \frac{1}{r_2^2 r_1} < \frac{a}{a_1} < r_2^2 r_1 < r_1^3.$$

It remains to verify that  $d_N(n, n_1) < \gamma a_1^{1/2} r_1^{\beta_1}$ . We examine two situations separately.

(i) If  $r_2 < r_1^{\frac{2\beta_1-1}{2\beta_2+1}}$ , then from (3.7) we obtain that

$$\begin{aligned} d_N(n, n_1) &< a_1^{1/2}r_1^{\beta_1} \left( 2 \frac{r_2^{\beta_2}}{a_1^{1/2}r_1^{\beta_1}} + 1 \right) \\ &< a_1^{1/2}r_1^{\beta_1} \left( 2 \frac{r_2^{\beta_2}}{r_1^{\beta_1}} r_1^{1/2} r_2^{1/2} + 1 \right) \\ &< 3a_1^{1/2}r_1^{\beta_1}. \end{aligned}$$

(ii) If  $r_1^{\frac{2\beta_1-1}{2\beta_2+1}} \leq r_2 < r_1$ , then from (3.4) we deduce that

$$\begin{aligned} r_2^{\beta_2} &\leq a_1^{1/2} r_1^{\beta_1} \left( \frac{\log r_1}{\log r_2} \right)^{1/2Q} \\ &\leq a_1^{1/2} r_1^{\beta_1} \left( \frac{2\beta_2+1}{2\beta_1-1} \right)^{1/2Q} \\ &\leq a_1^{1/2} r_1^{\beta_1} \left( \frac{2B+1}{2b-1} \right)^{1/2Q}. \end{aligned}$$

This implies that

$$\begin{aligned} d_N(n, n_1) &< 2r_2^{\beta_2} + a_1^{1/2} r_1^{\beta_1} \\ &\leq \left( 2 \left( \frac{2B+1}{2b-1} \right)^{1/2Q} + 1 \right) a_1^{1/2} r_1^{\beta_1}. \end{aligned}$$

Since  $\gamma > \frac{4B+2b+1}{2b-1} > 2 \left( \frac{2B+1}{2b-1} \right)^{1/2Q} + 1 > 3$  by assumption, we have proved that

$$\frac{1}{r_1^\gamma} < \frac{a}{a_1} < r_1^\gamma \quad \text{and} \quad d_N(n_1, 0_N) < \gamma a_1^{1/2} r_1^{\beta_1}.$$

Thus the point  $(n, a)$  is in  $R_1^*$  as required.  $\square$

From Lemma 3.2 above, we deduce a standard covering lemma.

**Lemma 3.3.** *Given a finite collection of sets  $\{R_i\}_i$  which are left-translates of sets  $E_{r_i, \beta_i}$ , with  $r_i \geq e$ , there exists a subcollection of mutually disjoint sets  $R_1, \dots, R_k$  such that*

$$\bigcup_i R_i \subseteq \bigcup_{j=1}^k R_j^*.$$

*Proof.* This follows from a standard argument: at each step one selects the  $\leq$ -greatest set which does not intersect the sets already selected.  $\square$

As a straightforward consequence, we obtain the weak type property for the maximal operator  $M_\rho^{\mathcal{R}^\infty}$ .

**Proposition 3.4.** *The maximal operator  $M_\rho^{\mathcal{R}^\infty}$  is bounded from  $L^1(\rho)$  to  $L^{1,\infty}(\rho)$ .*

*Proof.* Let  $f$  be in  $L^1(\rho)$  and  $t > 0$ . Let  $\Omega_t = \{x \in S : M_\rho^{\mathcal{R}\infty} f(x) > t\}$  and let  $F$  be any compact subset of  $\Omega_t$ . By the compactness of  $F$ , we can select a finite collection of sets  $\{R_i\}_i$  which cover  $F$  such that  $R_i = x_i E_{r_i, \beta_i}$  and

$$\frac{1}{\rho(R_i)} \int_{R_i} |f| d\rho > t.$$

By Lemma 3.3 we can select a disjoint subcollection  $R_1, \dots, R_k$  such that  $F \subseteq \bigcup_{j=1}^k R_j^*$ . Thus,

$$\rho(F) \leq \sum_{j=1}^k \rho(R_j^*) \leq C^* \sum_{j=1}^k \rho(R_j) \leq \frac{C^*}{t} \sum_{j=1}^k \int_{R_j} |f| d\rho \leq \frac{C^*}{t} \|f\|_{L^1(\rho)}.$$

If we take the supremum over all such  $F \subseteq \Omega_t$ , then the conclusion is proved.  $\square$

### 3.2. The maximal operator $M_\rho^{\mathcal{R}0}$

Given  $R_i = x_i E_{r_i, \beta_i}$ , with  $1 < r_i < e$ , for  $i = 1, 2$ , we say that  $R_2 \leq R_1$  if  $r_2 \leq r_1$ .

In this case an easy covering lemma holds. Indeed, if  $R_2 \leq R_1$  and  $R_1 \cap R_2 \neq \emptyset$ , then for each point  $x$  in  $R_2$ , we have that

$$d(x, x_1) \leq d(x, x_2) + d(x_2, x_1) < 2 \log r_2 + \log r_1 \leq 3 \log r_1.$$

Since  $\gamma > 3$ , the point  $x$  is in  $R_1^*$ .

In the same way as above one deduces a covering lemma and the weak type  $(1, 1)$  property for the maximal operator  $M_\rho^{\mathcal{R}0}$ .

As we have already remarked, since  $M_\rho^{\mathcal{R}\infty}$  and  $M_\rho^{\mathcal{R}0}$  are of weak type  $(1, 1)$ , Theorem 3.1 follows.

*Remark 3.5.* The hypothesis of Theorem 3.1 are that  $\beta$  is bounded away from both  $1/2$  and  $\infty$ . If this does not hold, then the operator  $M_\rho^{\mathcal{R}}$  is not of weak type  $(1, 1)$ , as the following argument shows.

Let  $\tilde{\mathcal{R}}$  be the family

$$\tilde{\mathcal{R}} = \{x_0 E_{r, \beta} : x_0 \in S, r \geq e, \beta > 1/2, e \in x_0 E_{r, \beta}\}.$$

The maximal operator  $M_\rho^{\tilde{\mathcal{R}}}$  is not of weak type  $(1, 1)$ . Indeed, if the weak type  $(1, 1)$  inequality holds, then it can automatically be extended from

$L^1(\rho)$  functions to finite measures. Let  $\delta_e$  be the unit point mass at the identity  $e$ . At a point  $x = (n, a)$  we have that

$$M_\rho^{\tilde{R}} \delta_e(x) \geq \sup_{r \geq e, \beta > 1/2} \frac{1}{\rho(xE_{r, \beta})} \delta_e(xE_{r, \beta}).$$

We have that  $\delta_e(xE_{r, \beta}) \neq 0$  if and only if

$$\frac{a}{r} < 1 < ar \quad \text{and} \quad d_N(n, 0_N) < a^{1/2} r^\beta.$$

Since  $\rho(xE_{r, \beta}) = a^Q r^{2\beta Q} \log r$ ,

$$M_\rho^{\tilde{R}} \delta_e(x) \geq \sup \left\{ \frac{1}{a^Q r^{2\beta Q} \log r} : \beta > 1/2, r \geq e, \frac{a}{r} < 1 < ar, a^{1/2} r^\beta > d_N(n, 0_N) \right\}.$$

Now let  $a > e$  and  $d_N(n, 0_N) > a$ . We may choose  $\beta = \log_a d - 1/2 > 1/2$  and  $r = a\left(1 + \frac{1}{\beta}\right)$ . Obviously

$$r > a \quad \text{and} \quad a^{1/2} r^\beta > a^{\beta+1/2} = d_N(n, 0_N).$$

Moreover,

$$\begin{aligned} a^Q r^{2\beta Q} \log r &= a^{2Q(\beta+1/2)} \left(1 + \frac{1}{\beta}\right)^{2Q} \log \left(a + \frac{a}{\beta}\right) \\ &\leq C [d_N(n, 0_N)]^{2Q} \log a. \end{aligned}$$

It follows that

$$M_\rho^{\tilde{R}} \delta_e(x) \geq \frac{1}{C [d_N(n, 0_N)]^{2Q} \log a}.$$

Estimating the level sets of the function  $\frac{1}{[d_N(n, 0_N)]^{2Q} \log a}$  in the region  $\{x = (n, a) \in S : a > e, d_N(n, 0_N) > a\}$ , we disprove the weak type inequality.

Indeed, let  $0 < t < e^{-2Q}$  and consider the set

$$\begin{aligned} \Omega_t &= \left\{ (n, a) \in S : a > e, d_N(n, 0_N) > a, \frac{1}{[d_N(n, 0_N)]^{2Q} \log a} > t \right\} \\ &= \left\{ (n, a) \in S : e < a < \alpha, a < d_N(n, 0_N) < \frac{1}{(t \log a)^{1/2Q}} \right\}, \end{aligned}$$

where  $\alpha^{2Q} \log \alpha = \frac{1}{t}$ . The right Haar measure of the set  $\Omega_t$  is equal to

$$\begin{aligned} \rho(\Omega_t) &= \int_e^\alpha \frac{da}{a} \int_{a^2}^{\frac{1}{(t \log a)^{1/Q}}} \sigma^{Q-1} d\sigma \\ &= \frac{1}{Q} \int_e^\alpha \left( \frac{1}{t \log a} - a^{2Q} \right) \frac{da}{a} \\ &= \frac{1}{Qt} \log \log \alpha - \frac{1}{2Q^2} \alpha^{2Q} + \frac{1}{2Q^2} e^{2Q} \\ &\geq \frac{1}{Qt} \log \log \alpha - \frac{1}{2Q^2} \frac{1}{t \log \alpha} \\ &\geq \frac{1}{Qt} \left( \log \log \alpha - \frac{1}{2Q} \right), \end{aligned}$$

where we have used the integration formula for radial functions on  $N$  ([9, Proposition 1.15]).

It is easy to check that  $\alpha > \frac{1}{t^{1/4Q}}$ , and then

$$\begin{aligned} \rho(\Omega_t) &\geq \frac{1}{Qt} \left( \log \log \left( \frac{1}{t^{1/4Q}} \right) - \frac{1}{2Q} \right) \\ &\geq \frac{1}{Qt} \left( \log \log \left( \frac{1}{t} \right) - \frac{1}{2Q} \right), \end{aligned}$$

which is not bounded above by  $\frac{C}{t}$ . Thus, the weak type inequality for the maximal operator  $M_\rho^{\tilde{K}}$  does not hold.

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A MAXIMAL FUNCTION ON HARMONIC EXTENSIONS

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