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Non-Archimedean normal families

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NON-ARCHIMEDEAN NORMAL FAMILIES

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ABSTRACT. — We present several results on the compactness of the space of morphisms between analytic spaces in the sense of Berkovich. We show that under certain conditions on the source, every sequence of analytic maps having an affinoid target has a subsequence that converges pointwise to a continuous map. We also study the class of continuous maps that arise in this way. Locally, they turn to be analytic after a certain base change. Our results naturally lead to a definition of normal families. We give some applications to the dynamics of an endomorphism of the projective space. We introduce two natural notions of Fatou set and generalize to the non-Archimedan setting a theorem of Ueda stating that every Fatou component is hyperbolically imbedded in the projective space.

RÉSUMÉ. — Nous présentons plusieurs résultats concernant la compacité de l'espace des morphismes entre espaces analytiques au sens de Berkovich. Nous montrons que sous certaines conditions sur l'espace source, toute suite d'applications analytiques à valeurs dans un espace affinoïde admet une sous-suite qui converge ponctuellement vers une application continue. Nous étudions aussi la classe des applications continues qui apparaissent comme de telles limites. Localement ces applications deviennent analytiques après changement de base. Nos résultats amènent naturellement à la notion de familles normales. Nous donnons quelques applications à la dynamique des endomorphismes de l'espace projectif. Nous introduisons deux notions naturelles d'ensemble de Fatou et généralisons dans le cadre non-Archimédien un théorème de Ueda qui stipule que toute composante de Fatou est hyperboliquement plongée dans l'espace projectif.

1. Introduction

The classical Montel's theorem asserts that any family of holomorphic functions on a domain in $\mathbb{C}^n$ with values in a ball is relatively compact for the topology of the local uniform convergence [26]. The proof uses Cauchy's estimates to obtain a uniform bound on the derivatives. By Ascoli–Arzelà's theorem the family is equicontinuous and the result follows.

This result has several applications in complex dynamics. It also plays an important role in the study of Kobayashi hyperbolic complex analytic spaces. For instance, it is closely related to Zalcman's reparametrization lemma [37], which is a key ingredient in the proof of Brody's Lemma [6], characterizing compact Kobayashi hyperbolic complex analytic spaces in terms of the non-existence of entire curves.

The aim of this paper is to study the compactness properties of the space of morphisms between analytic spaces defined over a non-Archimedean complete field, in analogy to the classical Montel's Theorem. We therefore fix a non-Archimedean complete valued field k that is nontrivially valued.

An approach to this problem using equicontinuity has already been treated in the literature. Hsia gave in [17] an equicontinuity criterion for families of meromorphic functions on a disk. In [21], the Fatou set of a morphism of the projective space is defined as the equicontinuity locus of the family of iterates with respect to the chordal metric. However, this approach is limited by the fact that one cannot apply Ascoli–Arzelà's theorem in this context.

We will work on analytic spaces as defined in [2, 3]. The main reason is that analytic spaces in the sense of Berkovich have good topological properties: they are locally compact and locally pathwise connected, what makes them a more adapted framework to arguments of analytic nature. The analytic spaces we shall be mostly interested in are Berkovich analytifications of projective varieties. Recall that the set of closed points of such a variety forms a dense subset of its analytification with empty interior if k is not trivially valued. We shall refer to these points as *rigid points*. The previously mentioned equicontinuity results concern only the set of rigid points.

More recently, Favre, Kiwi and Trucco proved an analogue of Montel's theorem on the Berkovich analytic projective line $\mathbb{P}_k^{1,\mathrm{an}}$, see [11]. They show that when k is algebraically closed and has residue characteristic 0, then every sequence of analytic maps from *any* open connected subset X of $\mathbb{P}_k^{1,\mathrm{an}}$ avoiding three points has a subsequence that is pointwise converging to a continuous map $X \to \mathbb{P}_k^{1,\mathrm{an}}$. They made extensive use of Berkovich's geometry and their strategy benefits from the tree structure of $\mathbb{P}_k^{1,\mathrm{an}}$.

We explore the higher dimensional case, and consequently use deeper facts from Berkovich theory. Of particular relevance for us is the theorem by Poineau stating that compact analytic spaces are sequentially compact, see [27]. This result is nontrivial, since Berkovich spaces are not metrizable in general. We show:

THEOREM 1.1. — *Let k be a non-Archimedean complete field that is nontrivially valued. Let X be a reduced, σ-compact, boundaryless k-analytic space. Let Y be a k-affinoid space.*

Then, every sequence of analytic maps $f_n \colon X \to Y$ admits a pointwise converging subsequence whose limit is continuous.

Notice that the source space X is a good analytic space, since it is a boundaryless space defined over a nontrivially valued field.

The seemingly complicated hypothesis on the source space X are not such in fact. We refer the reader to Section 2 for a detailed discussion on the technical assumptions on X. For the moment, let us indicate that two important classes of k-analytic spaces satisfy these properties: analytifications of reduced algebraic varieties and connected components of the analytic interior of any reduced k-affinoid space. The latter will be referred to as *basic tubes*. They have been thoroughly studied by Bosch and Poineau, see [4, 28].

Remark that the boundaryless assumption is crucial, as problems arise even in the affinoid case. Indeed, as pointed out in [11, Section 4.2], consider for instance the sequence of analytic maps from the closed unit disk $\bar{\mathbb{D}}$ into itself $f_n \colon z \mapsto z^{2^{n!}}$. For every $n \in \mathbb{N}$, the Gauss point is a fixed point for f_n. One can show that f_n is pointwise converging, but its limit map is zero on the whole open unit disk and hence not continuous.

In view of Theorem 1.1, we say that a family of analytic maps $\mathcal{F}$ from a boundaryless k-analytic space X into a compact space Y is normal at a point point $x \in X$ if there exists a neighbourhood $V \ni x$ such that every sequence $\{f_n\}$ in $\mathcal{F}$ admits a subsequence f_{n_j} that is pointwise converging on V to some continuous map $f \colon V \to Y$.

We now turn to the problem of describing the limits of pointwise converging analytic maps. As opposed to the complex setting, one cannot expect the limit maps from Theorem 1.1 to be analytic. Indeed, when k is algebraically closed and nontrivially valued, any constant map $f \colon X \to Y$, $f \equiv y \in Y$, can be realized as the limit of constant analytic maps. However, f is analytic if and only if y is rigid.

Inspite of not being analytic in general, the continuous limit maps obtained in Theorem 1.1 are of a very particular kind: they turn analytic after a suitable base change. In order to specify this phenomenon precisely, we rely again in a crucial way on the results of Poineau. Let X be a k-analytic space. For every complete extension K of k, we denote by $\pi_{K/k} \colon X_K \to X$ the usual base change morphism. Every k-point in X defines a K-point in X_K in a natural manner. When the base field k is algebraically closed,

Poineau [27] shows that this inclusion admits a unique *continuous* extension $\sigma_{K/k}\colon X \to X_K$, which by construction defines a section of $\pi_{K/k}$.

THEOREM 1.2. — *Let k be a non-Archimedean algebraically closed complete field that is nontrivially valued and X a reduced, boundaryless strictly k-analytic space. Let Y be a k-affinoid space. Let $f_n\colon X \to Y$ be a sequence of analytic maps converging pointwise to a continuous map f.*

Then, for any point $x \in X$ one can find an affinoid neighbourhood Z of x, a complete extension K/k and a K-analytic map $F\colon Z_K \to Y_K$ such that

$$f|_Z = \pi_{K/k} \circ F \circ \sigma_{K/k}.$$

It would be interesting to find a K-analytic map F such that the stronger condition $\pi_{K/k} \circ F = f \circ \pi_{K/k}$ holds, but our proof does not show this.

Let us explain the proof of Theorem 1.2 in the case where X is the open r-dimensional polydisk $\mathbb{D}^r$ and Y the closed s-dimensional polydisk $\overline{\mathbb{D}}^s$. The key idea is to view the set of all analytic maps from $\mathbb{D}^r$ to $\overline{\mathbb{D}}^s$ as the set of rigid points of an infinite dimensional polydisk $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$. This procedure can be easily illustrated in the polynomial case. Observe that a polynomial map sending $\mathbb{D}^r$ into $\overline{\mathbb{D}}^s$ is given by finitely many coefficients in the base field k with norm at most 1, and so defines a rigid point in an appropriate dimensional closed unit polydisk. This procedure can be done similarly for general analytic maps. In this case, the coefficients define a rigid point in an infinite dimensional polydisk denoted $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$.

Now take a sequence $f_n\colon \mathbb{D}^r \to \overline{\mathbb{D}}^s$ of analytic maps, associated to a sequence of rigid points $\{\alpha_n\}$ in $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$. It can be showed that the fact that f_n converges pointwise to some continuous map f amounts for α_n to converging to some point α in $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$. Observe that α is not rigid in general, but after a base change by $\mathcal{H}(\alpha)$, the complete residue field at α, the point α can be lifted to a rigid point in $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)_{\mathcal{H}(\alpha)}$. This point defines a $\mathcal{H}(\alpha)$-analytic map $F\colon \mathbb{D}^r_{\mathcal{H}(\alpha)} \to \overline{\mathbb{D}}^s_{\mathcal{H}(\alpha)}$ that satisfies the equality $f = \pi_{\mathcal{H}(\alpha)/k} \circ F \circ \sigma_{\mathcal{H}(\alpha)/k}$. Observe that F is not canonical, as it depends on the choice of the rigid point in $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)_{\mathcal{H}(\alpha)}$ lying over α.

We go beyond Theorem 1.2 and show that to any point α in $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ one can associate a continuous map from $\mathbb{D}^r$ to $\overline{\mathbb{D}}^s$ in a continuous way, in the sense that for any sequence of points α_n in $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ converging to $\alpha \in \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$, the corresponding sequence of continuous maps converges everywhere pointwise to the continuous map associated to α. In Section 4 we detail this correspondence.

This result suggests the following definition. We say that a continuous map f between analytic spaces is *weakly analytic* if it is locally of the

form $f = \pi_{K/k} \circ F \circ \sigma_{K/k}$ for some complete extension K of k and some K-analytic map F. In fact, weakly analytic maps can be characterized as being locally the pointwise limits of analytic maps. In Section 6 we shall prove that weakly analytic maps share many properties with analytic maps, such as an isolated zero principle on curves.

We give applications of Theorem 1.1 to the dynamics of an endomorphism f of the k-analytic projective space $\mathbb{P}_k^{N,\mathrm{an}}$ of degree at least 2. Kawaguchi and Silverman associated a non-Archimedean Green function G_f to f in [20, 21], generalizing the classical complex construction by Hubbard [18] and Fornaess and Sibony [15]. We attach to f two different notions of Fatou sets. We define the *normal Fatou set* $F_{\mathrm{norm}}(f)$ of f as the normality locus of the family of the iterates $\{f^n\}$. Next, we define the *harmonic Fatou set* $F_{\mathrm{harm}}(f)$ as the set where the non-Archimedean Green function G_f of f introduced by Kawaguchi–Silverman is strongly pluriharmonic in the sense of [7].

In Proposition 7.13 we show that the harmonic Fatou set of f can be characterized in terms of a sort of equicontinuity property for the iterates of f. Its proof follows its complex counterpart. It is now a consequence of Theorem 1.1 that $F_{\mathrm{harm}}(f)$ is contained in $F_{\mathrm{norm}}(f)$. We conjecture that for every endomorphism f of the projective space the two Fatou sets coincide.

There are two main results on the geometry of the Fatou set of an endomorphism of the complex projective space of degree at least 2, see [30] for a complete survey. Every Fatou component is a Stein space [15] and is hyperbolically imbedded in $\mathbb{P}_{\mathbb{C}}^N$ in the sense of Kobayashi [36].

Here we shall focus our attention on the hyperbolicity properties of the harmonic Fatou components in the non-Archimedean setting. To motivate our next result, recall that a subspace Ω of a complex analytic space Y is hyperbolically imbedded if the Kobayashi distance on Ω does not degenerate towards its boundary [23, 24]. If Ω is relatively compact in Y, then Ω is hyperbolically imbedded in Y if and only if the family $\mathrm{Hol}(\mathbb{D}, \Omega)$ of holomorphic maps from the open unit disc $\mathbb{D}$ to Ω is relatively locally compact in $\mathrm{Hol}(\mathbb{D}, Y)$, see [24, Section II, Theorem 1.2].

In our context, we prove:

THEOREM 1.3. — *Let $f\colon \mathbb{P}^{N,\mathrm{an}} \to \mathbb{P}^{N,\mathrm{an}}$ be an endomorphism of degree at least 2. Let Ω be a connected component of the harmonic Fatou set $F_{\mathrm{harm}}(f)$ of f, and let U be any connected open subset of $\mathbb{P}^{1,\mathrm{an}}$.*

Then, every sequence of analytic maps $g_n\colon U \to \Omega$ admits a subsequence g_{n_j} that is pointwise converging to a continuous map $U \to \mathbb{P}^{N,an}$.

Note that in the non-Archimedean setting checking the normality for *every* open subset U of $\mathbb{P}^{1,\mathrm{an}}$ is stronger than just for the open unit disk, as opposed to the complex case, see [23, Theorem 5.1.5]. For instance, every sequence of analytic maps $f_n \colon \mathbb{D} \to \mathbb{A}^{1,\mathrm{an}} \setminus \{0\}$ admits a subsequence converging to a continuous map, whereas this is not true if one replaces the source by the punctured open unit disk.

It remains open whether in Theorem 1.3 one can take U to be any basic tube.

We have the following Picard-type result:

THEOREM 1.4. — *Let Ω be a connected component of the harmonic Fatou set $F_{\mathrm{harm}}(f)$ of an endomorphism $f \colon \mathbb{P}^{N,\mathrm{an}} \to \mathbb{P}^{N,\mathrm{an}}$ of degree $d \geqslant 2$. Then every analytic map from $\mathbb{A}^{1,\mathrm{an}} \setminus \{0\}$ to Ω is constant.*

This paper is structured as follows. In Section 2 we review some basic facts about Berkovich spaces and summarize several results on universal points from [27] that will be needed in the sequel. In Section 3 we prove a version of Theorem 1.1 for polynomial maps of uniformly bounded degree. In Section 4 we describe the structure of the topological space that parametrizes the continuous maps that appear as pointwise limits of analytic maps between polydisks. Section 5 comprises the proofs of Theorem 1.1 and Theorem 1.2. The properties of continuous maps that are limits of analytic maps are studied in Section 6. Finally, in Section 7 we give applications to dynamics of the previous results and prove Theorem 1.3 and Theorem 1.4.

2. General facts on analytic spaces

Throughout this paper, k is a field endowed with a non-Archimedean complete norm $|\cdot|$. We will *always* assume that k is nontrivially valued. Except in Section 5.2, k will be algebraically closed.

We write $|k^\times| = \{|x| : x \in k^\times\} \subseteq \mathbb{R}_+$ for its value group and $k^\circ = \{x \in k : |x| \leqslant 1\}$ for its ring of integers. The latter is a local ring with maximal ideal $k^{\circ\circ} = \{x \in k : |x| < 1\}$. The *residue field of k* is $\widetilde{k} = k^\circ/k^{\circ\circ}$.

The basic reference for this section is Berkovich's original text [2]. See also [34] for a more recent survey.

2.1. Analytic spaces

Pick a positive integer N and an N-tuple of positive real numbers $r = (r_1, \ldots, r_N)$. Denote by $k\{r^{-1}T\}$ the set of power series $f = \sum_I a_I T^I$, $I = (i_1, \ldots, i_N)$, with coefficients $a_I \in k$ such that $|a_I| r^I \to 0$ as $|I| := i_1 + \cdots + i_N$ tends to infinity. The norm $\|\sum_I a_I T^I\| = \max_I |a_I| r^I$ makes $k\{r^{-1}T\}$ into a Banach k-algebra. When $r = (1, \ldots, 1)$, the previous algebra is called the Tate algebra and we denote it by $\mathcal{T}_n$.

Let $\varphi \colon \mathcal{B} \to \mathcal{A}$ be a morphism of Banach k-algebras. The residue norm on $\mathcal{B}/\operatorname{Ker}\varphi$ is defined by $|a| = \inf_{\varphi(b)=a} |b|$, and we say that φ is admissible if the residue norm is equivalent to the restriction to the image of φ of the norm on $\mathcal{A}$.

A Banach k-algebra $\mathcal{A}$ is called *k-affinoid* if there exists an admissible surjective morphism of k-algebras $k\{r^{-1}T\} \to \mathcal{A}$. If $r_i \in |k^\times|$ for all i, then $\mathcal{A}$ is said to be *strictly affinoid*.

For any Banach k-algebra $\mathcal{A}$, we denote by $X = \mathcal{M}(\mathcal{A})$ the set of all multiplicative seminorms on $\mathcal{A}$ that are bounded by the norm $\|\cdot\|$ on $\mathcal{A}$. Given $f \in \mathcal{A}$, its image under a seminorm $x \in \mathcal{M}(\mathcal{A})$ is denoted by $|f(x)| \in \mathbb{R}_+$. The set $\mathcal{M}(\mathcal{A})$ is called the *analytic spectrum* of $\mathcal{A}$ and is endowed with the weakest topology such that all the functions of the form $x \mapsto |f(x)|$ with $f \in \mathcal{A}$ are continuous. The resulting topological space X is nonempty if $\mathcal{A}$ is nonzero, compact Hausdorff [2, Theorem 1.2.1]. If moreover $\mathcal{A}$ is k-affinoid, then X naturally carries a sheaf of analytic functions $\mathcal{O}_X$ such that $\mathcal{O}_X(X) = \mathcal{A}$, see [2, Section 2.3]. The locally ringed space $(X, \mathcal{O}_X)$ is called a *k-affinoid space*.

Given a point x in a k-affinoid space $X = \mathcal{M}(\mathcal{A})$, the fraction field of $\mathcal{A}/\operatorname{Ker}(x)$ naturally inherits from x an absolute value extending the one on k. Its completion is the *complete residue field at x* and denoted by $\mathcal{H}(x)$. When $\mathcal{H}(x)$ is a finite extension of k (or equivalently when $\mathcal{H}(x) = k$, since k is supposed to be algebraically closed), we say that x is *rigid*. The set $X(k)$ of rigid points of X is dense in X.

A character on $\mathcal{A}$ is a bounded homomorphism $\mathcal{A} \to K$, where K is any complete valued extension of k. Two characters $\chi_1 \colon \mathcal{A} \to K_1$ and

$\chi_2 \colon \mathcal{A} \to K_2$ are equivalent if there exists a character $\chi \colon \mathcal{A} \to L$ and isometric inclusions $i_1 \colon L \to K_1$ and $i_2 \colon L \to K_2$ such that $i_1 \circ \chi = \chi_1$ and $i_2 \circ \chi = \chi_2$.

Composing the character $\mathcal{A} \to K$ with the absolute value on K gives rise to a multiplicative seminorm on $\mathcal{A}$ that is bounded, and thus corresponds to a point $x \in \mathcal{M}(\mathcal{A})$. Equivalent characters give rise to the same point. Conversely, every point $x \in \mathcal{M}(\mathcal{A})$ induces a character $\chi_x \colon \mathcal{A} \to \mathcal{H}(x)$ in a natural way. Any other character $\mathcal{A} \to K$ giving rise to x can be decomposed as $\mathcal{A} \to \mathcal{H}(x) \hookrightarrow K$.

The closed polydisk of dimension N and polyradius $r = (r_1, \ldots, r_N) \in (\mathbb{R}_*^+)^N$ is defined to be $\overline{\mathbb{D}}^N(r) := \mathcal{M}(k\{r^{-1}T\})$. When $r = (1, \ldots, 1)$ we just write $\overline{\mathbb{D}}^N$, and when $N = 1$ we denote it by $\overline{\mathbb{D}}$. The Gauss point $x_g \in \overline{\mathbb{D}}^N$ is the point associated to the norm

$$\left| \left(\sum a_I T^I \right)(x_g) \right| := \max |a_I|.$$

General analytic spaces are ringed spaces $(X, \mathcal{O}_X)$ obtained by gluing together affinoid spaces. Difficulties arise in the gluing construction as affinoid spaces are compact, and we refer to [2, 3] for a precise definition. Analytic spaces are locally compact and locally path-connected [2, Theorem 3.2.1]. Given an analytic space X, we denote by $|X|$ its underlying topological space.

Recall that a topological space X is Fréchet–Urysohn if every subset $A \subseteq X$ is sequential, that is for every point x in the closure of A there exists a sequence of points $a_n \in A$ converging to x.

The following topological result, due to Poineau, will be systematically used throughout the paper:

THEOREM 2.1 ([27]). — *Every k-analytic space X is a Fréchet–Urysohn space. In particular, every compact subset of X is sequentially compact.*

In the following, we will always deal with the subcategory of *good* analytic spaces, which are locally ringed spaces such that any point admits a neighbourhood isomorphic to an affinoid space.

For any point x in a k-analytic space X, the stalk $\mathcal{O}_{X,x}$ is a local k-algebra with maximal ideal $\mathfrak{m}_x$. It inherits an absolute value extending the one on k, and the completion of $\mathcal{O}_{X,x}/\mathfrak{m}_x$ is again called the *completed residue field* of x and denoted by $\mathcal{H}(x)$. When X is an affinoid space, this definition coincides with the previous one.

The open polydisk of dimension N and polyradius $r = (r_1, \ldots, r_N) \in (\mathbb{R}_*^+)^N$ is the set

$$\mathbb{D}_k^N(r) = \left\{ x \in \overline{\mathbb{D}}_k^N(r) : |T_i(x)| < r_i, i = 1, \ldots, N \right\}.$$

It can be naturally endowed with a structure of good analytic space by writing it as the increasing union of N-dimensional closed polydisks $\overline{\mathbb{D}}_k^N(\rho)$ whose radii $\rho = (\rho_1, \ldots, \rho_N) \in (\mathbb{R}_*^+)^N$ satisfy $\rho_i < r_i$ for all $i = 1, \ldots, N$.

2.2. Analytic maps

A morphism between the analytic spectra of two k-Banach algebras $\varphi^\sharp \colon \mathcal{M}(\mathcal{A}) \to \mathcal{M}(\mathcal{B})$ is by definition one induced by a bounded morphism of Banach k-algebras $\varphi \colon \mathcal{B} \to \mathcal{A}$. The morphism $\varphi^\sharp$ is continuous.

Let $\mathcal{M}(\mathcal{A})$ and $\mathcal{M}(\mathcal{B})$ be k-affinoid spaces. The fibre of $\varphi^\sharp \colon \mathcal{M}(\mathcal{A}) \to \mathcal{M}(\mathcal{B})$ over a point $y \in \mathcal{M}(\mathcal{B})$ is isomorphic to $\mathcal{M}(\mathcal{A} \widehat{\otimes}_{\mathcal{B}} \mathcal{H}(y))$, see Section 2.6 for the notion of complete tensor product. Indeed, let $y \in \mathcal{M}(\mathcal{B})$ and let $\chi_y \colon \mathcal{B} \to \mathcal{H}(y)$ be the associated character. By definition, a point $x \in \mathcal{M}(\mathcal{A})$ is mapped to y if and only if the composition $\mathcal{B} \xrightarrow{\varphi} \mathcal{A} \to \mathcal{H}(x)$ factors through $\mathcal{H}(y)$, which is equivalent to the character χ_x factorizing through the $\mathcal{B}$-algebra morphism $\mathcal{A} \widehat{\otimes}_{\mathcal{B}} \mathcal{H}(y) \to \mathcal{H}(x)$. Pick $x \in \mathcal{M}(\mathcal{A} \widehat{\otimes}_{\mathcal{B}} \mathcal{H}(y))$ and let $\mathcal{A} \widehat{\otimes}_{\mathcal{B}} \mathcal{H}(y) \to \mathcal{H}(x)$ be the associated character. The latter is equivalent to the data of morphisms $\mathcal{H}(y) \to \mathcal{H}(x)$ and $\mathcal{A} \to \mathcal{H}(x)$ such that the composition $\mathcal{B} \xrightarrow{\varphi} \mathcal{A} \to \mathcal{H}(x)$ equals $\mathcal{B} \to \mathcal{H}(y) \to \mathcal{H}(x)$. In other words, the image of x in $\mathcal{M}(\mathcal{A})$ is mapped to y by φ.

A morphism $\mathcal{M}(\mathcal{A}) \to \mathcal{M}(\mathcal{B})$ is a *closed immersion* when φ is surjective and admissible.

A surjective morphism $\varphi \colon \mathcal{T}_N \to \mathcal{A}$ is called *distinguished* if the quotient norm $|\cdot|_\varphi$ induced by φ agrees with the supremum norm on $\mathcal{A}$, see [5, Section 6.4.3]. We say that $\mathcal{A}$ is distinguished if such an epimorphism exists.

It can be shown that over an algebraically closed field k, every reduced strictly k-affinoid algebra (i.e. without nontrivial nilpotents) is distinguished [5, Theorem 6.4.3/1]. The key property of distinguished epimorphisms is that the reduction $\widetilde{\mathcal{A}}$ is isomorphic to the quotient $\widetilde{\mathcal{T}_N/\ker(\varphi)}$.

From the definition one obtains the following useful result:

PROPOSITION 2.2. — *Let X be a k-affinoid space and let $X \to \overline{\mathbb{D}}^N$ be a closed immersion induced by a distinguished morphism of Banach algebras. Then, every analytic map on X with values in a polydisk $\overline{\mathbb{D}}^M$ extends to an analytic map $\overline{\mathbb{D}}^N \to \overline{\mathbb{D}}^M$.*

Proof. — Let $\mathcal{A}$ be the underlying k-affinoid algebra of X. Pick an analytic map $f \colon X \to \overline{\mathbb{D}}^M$, which by definition is given by elements $f_1, \ldots, f_M \in \mathcal{A}$ with $|f_i|_{\sup} \leqslant 1$. Fix a distinguished epimorphism $\mathcal{T}_N \to \mathcal{A}$. For $l = 1, \ldots, M$, we may lift f_l to an element g_l in $\mathcal{T}_N$ having the same norm. The

resulting analytic map $g = (g_1, \ldots, g_M) \colon \overline{\mathbb{D}}^N \to \overline{\mathbb{D}}^M$ agrees with f on the affinoid space X. □

Given any two k-analytic spaces X and Y, we let $\mathrm{Mor}_k(X, Y)$ be the set of all analytic maps from X to Y.

2.3. Analytification of algebraic varieties

To every algebraic variety X over k one can associate a k-analytic space X^{an} in a functorial way; see [2, Section 3.4] for a detailed construction.

Let us describe the underlying topological space. In the case of an affine variety $X = \mathrm{Spec}(A)$, where A is a finitely generated k-algebra, then the set X^{an} consists of all the multiplicative seminorms on A whose restriction to k coincides with the norm on k. This set is endowed with the weakest topology such that all the maps of the form $x \in X^{\mathrm{an}} \mapsto |f(x)|$ with $f \in A$ are continuous.

Observe that any k-point $x \in X$ corresponds to a morphism of k-algebras $A \to k$ and its composition with the norm on k defines a rigid point in X^{an}. Since k is algebraically closed, one obtains in this way an identification of the set of closed points in X with the set of rigid points in X^{an}.

Let X be a general algebraic variety and fix an affine open cover. The analytification of a general algebraic variety X is obtained by glueing together the analytification of its affine charts in a natural way. Analytifications of algebraic varieties are good analytic spaces, and closed points are in natural bijection with rigid points as in the affine case.

2.4. Boundary and interior

Any k-analytic space X comes with natural notions of boundary and interior. We shall restrict our attention to good k-analytic spaces.

A point x in an affinoid space X lies in the *interior* of X if there exists a closed immersion $\varphi \colon X \to \overline{\mathbb{D}}^N(r)$ for some polyradius r and some integer N such that $\varphi(x)$ lies in the open polydisk $\mathbb{D}^N(r)$.

If X is a good analytic space, a point x belongs to its interior if it admits an affinoid neighbourhood U such that x belongs to the interior of U. We let $\mathrm{Int}(X)$ be the open set consisting of all the interior points in X. Its complement $\partial(X)$ is called the boundary of X. It is a closed subset of X.

The analytification of any algebraic variety is boundaryless.

In the remaining of this section, we explain how to compute the interior of a strictly k-affinoid space $X = \mathcal{M}(\mathcal{A})$. Recall that the spectral radius of $f \in \mathcal{A}$ is defined by

$$\rho(f) = \lim_{n \to \infty} \|f^n\|^{1/n},$$

where $\| \cdot \|$ is the Banach norm on $\mathcal{A}$. The supremum seminorm on $\mathcal{A}$ is defined by $|f|_{\sup} := \sup\{|f(x)| : x \in \mathcal{M}(\mathcal{A})\}$ for $f \in \mathcal{A}$. The spectral radius and the supremum seminorm agree [2, Theorem 1.3.1].

When $\mathcal{A}$ is reduced, ρ is a norm equivalent to $\| \cdot \|$. The set $\mathcal{A}^{\circ} = \{f \in \mathcal{A} : \rho(f) \leqslant 1\}$ is a subring of $\mathcal{A}$ and $\mathcal{A}^{\circ\circ} = \{f \in \mathcal{A} : \rho(f) < 1\}$ is an ideal. The reduction of $\mathcal{A}$ is then defined as $\widetilde{\mathcal{A}} := \mathcal{A}^{\circ}/\mathcal{A}^{\circ\circ}$, and the reduction of X is $\widetilde{X} = \operatorname{Spec}(\widetilde{A})$.
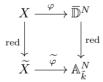
Observe that Noether's normalization Lemma [5, Corollary 6.1.2/2] implies that for any strictly k-affinoid algebra $\mathcal{A}$, the reduction $\widetilde{\mathcal{A}}$ is a finitely generated $\widetilde{k}$-algebra, and thus $\widetilde{X}$ is an affine variety over the residue field $\widetilde{k}$. The reduction of the closed polydisk $\overline{\mathbb{D}}_k^N$ is the affine space $\mathbb{A}_{\widetilde{k}}^N$.

The reduction map $\operatorname{red} : X \to \widetilde{X}$ is defined as follows. Every bounded morphism of Banach k-algebras $\mathcal{A} \to \mathcal{B}$ induces a morphism between their reductions $\widetilde{\mathcal{A}} \to \widetilde{\mathcal{B}}$. In particular, from the character $\chi_x : \mathcal{A} \to \mathcal{H}(x)$ associated to a point $x \in X$ we obtain a $\widetilde{k}$-algebra morphism $\widetilde{\chi}_x : \widetilde{\mathcal{A}} \to \widetilde{\mathcal{H}(x)}$. We set $\operatorname{red}(x) := \operatorname{Ker}(\widetilde{\chi}_x)$. This map is anticontinuous for the Zariski topology, meaning that the inverse image of a closed set is an open set.

LEMMA 2.3. — *Let X be a strictly k-affinoid space. Then,*

$$\operatorname{Int}(X) = \{x \in X : \operatorname{red}(x) \text{ is a closed point}\}.$$

Proof. — Let $\varphi : X \to \overline{\mathbb{D}}^N$ be a closed immersion. The following diagram is commutative by construction:

$$
\begin{array}{ccc}
X & \xrightarrow{\varphi} & \overline{\mathbb{D}}^N \\
{\scriptstyle \operatorname{red}} \downarrow & & \downarrow {\scriptstyle \operatorname{red}} \\
\widetilde{X} & \xrightarrow{\widetilde{\varphi}} & \mathbb{A}_{\widetilde{k}}^N
\end{array}
$$

Let $\mathcal{A}$ be the underlying k-affinoid algebra of X and pick any $x \in X$. If its reduction $\widetilde{x} = \operatorname{red}(x)$ is a closed point then so is $\widetilde{\varphi}(\widetilde{x})$. The inverse image of $\widetilde{\varphi}(\widetilde{x})$ is isomorphic to an open polydisk. Up to composing φ with an automorphism of $\overline{\mathbb{D}}^N$, we may assume that $\operatorname{red}^{-1}(\widetilde{\varphi}(\widetilde{x}))$ is isomorphic to $\mathbb{D}^N$. The commutativity of the diagram implies that $\varphi(x)$ lies in $\mathbb{D}^N$.

Pick a point $x \in \operatorname{Int}(X)$. By [2, Proposition 2.5.2], the image of the morphism of $\widetilde{k}$-algebras $\widetilde{\chi}_x : \widetilde{\mathcal{A}} \to \widetilde{\mathcal{H}}(x)$ induced by χ_x is integral over $\widetilde{k}$.
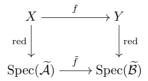
This implies that $\widetilde{\chi}_x(\widetilde{\mathcal{A}}) \simeq \widetilde{\mathcal{A}}/\operatorname{Ker}(\widetilde{\chi}_x)$ is a field. Thus, $\widetilde{x}$ is a closed point of $\widetilde{X}$. □

PROPOSITION 2.4. — *Let* $X = \mathcal{M}(\mathcal{A})$ *and* $Y = \mathcal{M}(\mathcal{B})$ *be* k-*affinoid spaces, and let* $f \colon X \to Y$ *be a finite morphism. Then,* $\operatorname{Int}(X) = f^{-1}(\operatorname{Int}(Y))$.

This result is a consequence from [2, Proposition 2.5.8] and [2, Corollary 2.5.13]. Here we give a proof in the strictly k-affinoid case.

Proof. — We prove the result only in the strictly affinoid case. In order to adapt this proof to the general one, one needs to use Temkin's graded reduction of affinoid algebras ([32, 33]).

The morphism $f \colon X \to Y$ induces the following commutative diagram:

$$
\begin{array}{ccc}
X & \xrightarrow{\ f\ } & Y \\
{\scriptstyle \mathrm{red}}\Big\downarrow & & \Big\downarrow{\scriptstyle \mathrm{red}} \\
\operatorname{Spec}(\widetilde{\mathcal{A}}) & \xrightarrow{\ \widetilde{f}\ } & \operatorname{Spec}(\widetilde{\mathcal{B}})
\end{array}
$$

Let x be a point in $\operatorname{Int}(X)$. By Lemma 2.3, its image $f(x)$ belongs to $\operatorname{Int}(Y)$.

Let now $x \in X$ be such that $f(x) = y$ lies in $\operatorname{Int}(Y)$. By the previous lemma, we have to show that $\operatorname{red}(x)$ is a closed point of $\widetilde{X}$. Consider the ring homomorphism $\varphi \colon \widetilde{\mathcal{B}} \to \widetilde{\mathcal{A}}$ inducing $\widetilde{f}$. It induces a morphism $\varphi' \colon \widetilde{\mathcal{B}}/\ker(\widetilde{\chi}_y) \to \widetilde{\mathcal{A}}/\ker(\widetilde{\chi}_x)$, as the diagram above is commutative. Observe that φ is integral, since it is finite ([5, Theorem 6.3.5/1]), and thus φ' is also integral. As $y \in \operatorname{Int}(Y)$, by Lemma 2.3 the quotient $\widetilde{\mathcal{B}}/\ker(\widetilde{\chi}_y)$ is a field. This implies that $\widetilde{\mathcal{A}}/\ker(\chi_x)$ is a field and thus that $\operatorname{red}(x)$ is a closed point. □

2.5. Basic tubes

We introduce the following terminology.

DEFINITION 2.5. — *A* k-*analytic space* X *is called a basic tube if there exists an equidimensional strictly* k-*affinoid space* $\widehat{X}$ *and a closed point* $\widetilde{x}$ *in its reduction such that* X *is isomorphic to* $\operatorname{red}^{-1}(\widetilde{x})$.

It follows from the definition that basic tubes are boundaryless.

THEOREM 2.6. — *A basic tube is connected.*

The fact that any basic tube over an algebraically closed field is connected is a deep theorem due to [4], which was generalized to arbitrary base fields in [28].

Example 2.7. — Let $a_1, \ldots, a_m$ be type II points in $\mathbb{P}^{1,\mathrm{an}}$ as defined in Berkovich classification of points in $\mathbb{P}^{1,\mathrm{an}}$, see [2, Section 1.4.4]. Then every connected component of $\mathbb{P}^{1,\mathrm{an}} \setminus \{a_1, \ldots, a_m\}$ is a basic tube.

PROPOSITION 2.8. — *A k-analytic space X is a basic tube if and only if it is isomorphic to a connected component of the interior of some equidimensional strictly k-affinoid space.*

Remark 2.9. — Every equidimensional boundaryless k-analytic space has a basis of open neighbourhoods that are basic tubes.

Proof. — Let V be any connected component of the interior of an equidimensional strictly k-affinoid space $\widehat{X}$. By Lemma 2.3, $\mathrm{red}(V)$ is contained in the set of closed points of the reduction of $\widehat{X}$. For every point $\widetilde{x} \in \mathrm{red}(V)$, its fibre $\mathrm{red}^{-1}(\widetilde{x})$ is an open set whose intersection with V is nonempty. Hence by connectednes, $\mathrm{red}(V)$ is a singleton.

Let conversely $X = \mathrm{red}^{-1}(\widetilde{x})$ be a basic tube, where $\widetilde{x}$ is a closed point in the reduction of an equidimensional strictly k-affinoid space $\widehat{X}$. Clearly, X is contained in some connected component V of $\mathrm{Int}(\widehat{X})$. The previous argument shows that $\mathrm{red}(V) = \{\widetilde{x}\}$. □

Recall that a topological space is σ-compact if it is the union of countably many compact subspaces. For instance, open Berkovich polydisks or the analytification of an algebraic variety are σ-compact spaces. Observe that there exist simple examples of k-analytic spaces which are not σ-compact, e.g. the closed unit disk of dimension $N \geqslant 2$ with the Gauss point removed over a base field k with uncountable reduction $\widetilde{k}$.

PROPOSITION 2.10. — *For every basic tube X there exist a strictly k-affinoid space $\widehat{X}$ and a distinguished closed immersion into some closed polydisk $\widehat{X} \to \overline{\mathbb{D}}^N$ such that X is isomorphic to $\widehat{X} \cap \mathbb{D}^N$. In particular, X is boundaryless.*

Proof. — Let $\widehat{X} = \mathcal{M}(\mathcal{A})$ be an equidimensional reduced k-affinoid space and let $\widetilde{x}$ be a closed point in its reduction such that $\mathrm{red}^{-1}(\widetilde{x})$ is isomorphic to X. As k is algebraically closed and $\mathcal{A}$ is reduced, there exists a distinguished closed immersion $\varphi \colon \widehat{X} \to \overline{\mathbb{D}}^N$, see [5, Theorem 6.4.3/1]. Hence, $\widetilde{\mathcal{A}}$ is isomorphic to $\widetilde{k}[T_1, \ldots, T_N]/\widetilde{\ker(\varphi)}$ by [5, Corollary 6.4.3/5].

The induced morphism $\mathrm{Spec}(\widetilde{\mathcal{A}}) \to \mathbb{A}^N_{\widetilde{k}}$ is a closed immersion by [5, Proposition 6.4.3/3], since φ is distinguished. We may assume that $\widetilde{x}$ is mapped to 0. We conclude that x is mapped to a point in $\mathrm{red}^{-1}(0)$, which is isomorphic to $\mathbb{D}^N$. □

2.6. Universal points and base changes

Let $\mathcal{A}$ and $\mathcal{B}$ be two Banach k-algebras and denote by $|\cdot|_{\mathcal{A}}$ and $|\cdot|_{\mathcal{B}}$ their respective Banach norms. On the tensor product $\mathcal{A} \otimes_k \mathcal{B}$ we have the seminorm that associates to every $f \in \mathcal{A} \otimes_k \mathcal{B}$ the quantity

$$\|f\| = \inf \max_i |a_i|_{\mathcal{A}} \cdot |b_i|_{\mathcal{B}},$$

where the infimum is taken over all the possible expressions of f of the form $f = \sum_i a_i \otimes b_i$ with $a_i \in \mathcal{A}$ and $b_i \in \mathcal{B}$. The separated completion of $\mathcal{A} \otimes_k \mathcal{B}$ is a Banach algebra satisfying a suitable natural universal property. This algebra is called the *complete tensor product* of $\mathcal{A}$ and $\mathcal{B}$ and we denote it by $\mathcal{A} \widehat{\otimes}_k \mathcal{B}$, and the norm induced by $\|\cdot\|$ is called the *tensor norm*, see [5, Section 2.1.7]).

Given a k-affinoid algebra $\mathcal{A}$ and a complete extension K of k, the K-algebra $\mathcal{A} \widehat{\otimes}_k K$ is in fact K-affinoid. One defines the scalar extension of the k-affinoid space $X = \mathcal{M}(\mathcal{A})$ by K as the K-affinoid space $X_K := \mathcal{M}(\mathcal{A} \widehat{\otimes}_k K)$. The natural morphism $\mathcal{A} \to \mathcal{A} \widehat{\otimes}_k K$ induces a base change morphism $\pi_{K/k} \colon X_K \to X$ which is continuous and surjective. This construction can be done similarly for general k-analytic spaces.

Recall the following definition from [2, 27]:

DEFINITION 2.11. — *Let X be a k-analytic space. A point x in X is universal if for every complete extension K of k the tensor norm on $\mathcal{H}(x) \widehat{\otimes}_k K$ is multiplicative.*

The key feature of universal points is that they can be canonically lifted to any scalar extension. To explain this fact we may suppose that X is an affinoid space with underlying algebra $\mathcal{A}$. Pick any universal point $x \in X$ and fix any complete extension K of k. The k-algebra morphism $\mathcal{A} \to \mathcal{H}(x)$ corresponding to the point x induces a K-algebra morphism $\mathcal{A} \widehat{\otimes}_k K \to \mathcal{H}(x) \widehat{\otimes}_k K$.

Since x is universal, the tensor norm on $\mathcal{H}(x) \widehat{\otimes}_k K$ is multiplicative, and so the composition of $\mathcal{A} \widehat{\otimes}_k K \to \mathcal{H}(x) \widehat{\otimes}_k K$ with the tensor norm defines a point in X_K. The point in X_K obtained by these means is denoted by $\sigma_{K/k}(x)$.

Observe that if $x \in X$ is rigid, then so is $\sigma_{K/k}(x)$, and that $\sigma_{K/k}$ is a section of $\pi_{K/k}$ on the set of universal points of X.

THEOREM 2.12 ([27]). — *Let k be an algebraically closed complete field and X a k-analytic space. Then, every point $x \in X$ is universal, and the map $\sigma_{K/k} \colon X \to X_K$ is continuous.*

We conclude this section by recalling the following construction.

LEMMA 2.13. — *Let X be a k-analytic space and x a point in X. Then for every complete extension K of $\mathcal{H}(x)$, the fibre $\pi_{K/k}^{-1}(x)$ contains a rigid point.*

Proof. — Pick a point $x \in X$. We may suppose $K = \mathcal{H}(x)$. Since the statement is local at x, we may replace X by any affinoid domain of X containing x. Denote by $\mathcal{A}$ the underlying k-affinoid algebra. Consider the character $\chi_x \colon \mathcal{A} \to \mathcal{H}(x)$. The morphism $\mathcal{A} \widehat{\otimes}_k \mathcal{H}(x) \to \mathcal{H}(x)$ sending $f \otimes a$ to $\chi_x(f) \cdot a$ is by definition a rigid point in $X_{\mathcal{H}(x)}$ lying over x. $\square$

We shall denote by $\tau(x) \in X_{\mathcal{H}(x)}$ the rigid point lying over $x \in X$ obtained in the previous proof. This point $\tau(x)$ is not to be confused with $\sigma_{K/k}(x)$.

3. Polynomial maps of bounded degree

As a first step in proving Theorem 1.1, we deal with the case of sequences of polynomial maps of bounded degree.

Throughout this section, we fix integers $r, s, \delta > 0$ and assume that the base field k is algebraically closed.

The result we aim to show is the following:

PROPOSITION 3.1. — *Let k be an algebraically closed non-Archimedean complete field. Let $f_n \colon \mathbb{A}^{r,\mathrm{an}} \to \mathbb{A}^{s,\mathrm{an}}$ be a sequence of polynomial maps of uniformly bounded degree satisfying $f_n(\mathbb{D}^r) \subset \bar{\mathbb{D}}^s$. Then, there exists a subsequence that is converging pointwise to a continuous map $f \colon \mathbb{A}^{r,\mathrm{an}} \to \mathbb{A}^{s,\mathrm{an}}$.*

3.1. Parametrization of polynomial maps of uniformly bounded degree

In order to prove this theorem, we reinterpret polynomial maps between analytic affine spaces as rigid points in a closed polydisk.

Given a multi-index $I = (i_1, \ldots, i_r)$, denote by $|I| = \max_j i_j$.

Every polynomial map $f \colon \mathbb{A}^{r,\mathrm{an}} \to \mathbb{A}^{s,\mathrm{an}}$ of degree at most δ^r where $\delta \in \mathbb{N}^*$ satisfying $f(\mathbb{D}^r) \subseteq \bar{\mathbb{D}}^s$ is of the form

$$f = (f_1, \ldots, f_s) = \left(\sum_{|I| \leqslant \delta} a_{1,I} T^I, \ldots, \sum_{|I| \leqslant \delta} a_{s,I} T^I \right),$$

with $|a_{l,I}| \leqslant 1$. Thus, the point

$$(3.1) \qquad \alpha = \alpha(f) := \big((a_{1,I})_{|I| \leqslant \delta}, \dots, (a_{s,I})_{|I| \leqslant \delta}\big)$$

can be realized as rigid point in the (Berkovich) analytic space $\overline{\mathbb{D}}^{s(\delta+1)^r}$.

Additionally, to every non-necessarily rigid point α in $\overline{\mathbb{D}}^{s(\delta+1)^r}$ we shall associate a continuous map

$$P_\alpha = P_\alpha^{r,s} \colon \mathbb{A}^{r,\mathrm{an}} \longrightarrow \mathbb{A}^{s,\mathrm{an}}$$

as follows. We denote by $k\{(a_{1,I})_{|I| \leqslant \delta}, \dots, (a_{s,I})_{|I| \leqslant \delta}\}$ the Tate algebra underlying $\overline{\mathbb{D}}^{s(\delta+1)^r}$. The product $\overline{\mathbb{D}}^{s(\delta+1)^r} \times \mathbb{A}^{r,\mathrm{an}}$ is thus the analytic spectrum of $k\{(a_{1,I})_{|I| \leqslant \delta}, \dots, (a_{s,I})_{|I| \leqslant \delta}\}[T_1, \dots, T_r]$. Consider first the analytic map $\Phi \colon \overline{\mathbb{D}}^{s(\delta+1)^r} \times \mathbb{A}^{r,\mathrm{an}} \to \mathbb{A}^{s,\mathrm{an}}$, given by the k-algebra morphism

$$k[T_1, \dots, T_s] \longrightarrow k\{(a_{1,I})_{|I| \leqslant \delta}, \dots, (a_{s,I})_{|I| \leqslant \delta}\}[T_1, \dots, T_r]$$
$$T_l \longmapsto \sum_{|I| \leqslant \delta} a_{l,I} T^I.$$

Next, consider the projection $\pi_1 \colon \overline{\mathbb{D}}^{s(\delta+1)^r} \times \mathbb{A}^{r,\mathrm{an}} \to \overline{\mathbb{D}}^{s(\delta+1)^r}$. The fibre over the point $\alpha \in \overline{\mathbb{D}}^{s(\delta+1)^r}$ is isomorphic to $\mathbb{A}^{r,\mathrm{an}}_{\mathcal{H}(\alpha)}$ (cf. Section 2.2). Recall from Section 2 that the point $\alpha \in \overline{\mathbb{D}}^{s(\delta+1)^r}$ is associated to the character $\chi_\alpha \colon k\{(a_{1,I})_{|I| \leqslant \delta}, \dots, (a_{s,I})_{|I| \leqslant \delta}\} \to \mathcal{H}(\alpha)$. Set $K := \mathcal{H}(\alpha)$. The inclusion $\iota_K \colon \mathbb{A}^{r,\mathrm{an}}_K \to \overline{\mathbb{D}}^{s(\delta+1)^r}_k \times \mathbb{A}^{r,\mathrm{an}}_k$ is given by

$$k\{(a_{1,I})_{|I| \leqslant \delta}, \dots, (a_{s,I})_{|I| \leqslant \delta}\}[T_1, \dots, T_r] \longrightarrow K[T_1, \dots, T_r]$$
$$T_i \longmapsto T_i$$
$$a_{l,I} \longmapsto \chi_\alpha(a_{l,I}).$$

Finally, for every $z \in \mathbb{A}^{r,\mathrm{an}}$ we set:

$$(3.2) \qquad P_\alpha(z) = \Phi \circ \iota_K \circ \sigma_{K/k}(z),$$

where $\sigma_{K/k} \colon \mathbb{A}^{r,\mathrm{an}}_k \to \mathbb{A}^{r,\mathrm{an}}_K$ is the canonical lift discussed in Section 2.6. The map $P_\alpha \colon \mathbb{A}^{r,\mathrm{an}} \to \mathbb{A}^{s,\mathrm{an}}$ is clearly continuous. Explicitly, given a polynomial $g = \sum_J g_J T^J \in k[T_1, \dots, T_s]$ and a point $z \in \mathbb{A}^{r,\mathrm{an}}$, we have

$$(3.3) \qquad |g(P_\alpha(z))| = \left| \left(\sum_{J \in \mathbb{N}^s} g_J \prod_{l=1}^{s} \left(\sum_{|I| \leqslant \delta} \chi_\alpha(a_{l,I}) T^I \right)^{j_l} \right) \sigma_{K/k}(z) \right|.$$

To emphasize the fact that $\overline{\mathbb{D}}^{s(\delta+1)^r}$ parametrizes polynomial maps of degree δ, we shall denote it from now on by $\mathrm{Mor}_\delta^{r,s}$. For r, s and $\delta \in \mathbb{N}$ fixed,

we have thus constructed a map

$$\mathrm{Ev}\colon \mathrm{Mor}_\delta^{r,s} \longrightarrow \mathcal{C}^0(\mathbb{A}^{r,\mathrm{an}}, \mathbb{A}^{s,\mathrm{an}})$$
$$\alpha \longmapsto \mathrm{Ev}(\alpha) := P_\alpha.$$

3.2. Remarks on the map Ev

1. — The assignment

$$(\alpha, z) \longmapsto \mathrm{Ev}(\alpha)(z)$$

does not define a continuous map on $|\mathrm{Mor}_\delta^{r,s}| \times |\mathbb{A}^{r,\mathrm{an}}|$. This phenomenon already appears when $r = s = \delta = 1$. Note that the space $\mathrm{Mor}_1^{1,1}$ is naturally isomorphic to the polydisk $\overline{\mathbb{D}}^2$.

Indeed, suppose by contradiction that there exists a continuous map $\varphi\colon |\overline{\mathbb{D}}^2| \times |\mathbb{A}^{1,\mathrm{an}}| \to |\mathbb{A}^{1,\mathrm{an}}|$ such that $\varphi((\alpha_0, \alpha_1), z) = \alpha_0 + \alpha_1 z$ for any $\alpha_0, \alpha_1, z \in k$ and $|z| \leqslant 1$. Pick any sequence of points $\zeta_n \in k$ such that $|\zeta_n| = 1$ and $|\zeta_n - \zeta_m| = 1$ for $n \neq m$. Both the sequences $\{\zeta_n\}$ and $\{-\zeta_n\}$ converge to the Gauss point x_g. We compute:

$$\lim_n \varphi\left((\zeta_n, 1), \zeta_n\right) = \lim_n \varphi\left((\zeta_n, 1), -\zeta_n\right) = \varphi\left((x_g, 1), x_g\right) = x_g.$$

However, we have that $\varphi((\zeta_n, 1), -\zeta_n) = 0$ for all n, contradicting the continuity of φ.

2. — In general, the map

$$\mathrm{Ev}\colon \mathrm{Mor}_\delta^{r,s} \longrightarrow \mathcal{C}^0(\mathbb{A}^{r,\mathrm{an}}, \mathbb{A}^{s,\mathrm{an}})$$
$$\alpha \longmapsto \mathrm{Ev}(\alpha)$$

is not injective. This already occurs in the case $r = s = 1$ for affine maps.

Indeed, let $r = s = \delta = 1$. Denote by p_0 and p_1 the first and second projections $\mathrm{Mor}_1^{1,1} \to \mathrm{Mor}_0^{1,1}$. Pick two points $\alpha, \alpha' \in \mathrm{Mor}_1^{1,1}$ such that $p_0(\alpha) = p_0(\alpha') = x_g \in \overline{\mathbb{D}}$. As seen in Section 2.2, the fibre $p_0^{-1}(x_g)$ is naturally homeomorphic to $\overline{\mathbb{D}}_{\mathcal{H}(x_g)}$, and so the points α and α' correspond to points $\alpha_1, \alpha_1' \in \overline{\mathbb{D}}_{\mathcal{H}(x_g)}$ respectively. Write $K = \mathcal{H}(x_g)$ for simplicity, and recall that K is a nontrivial extension of k that contains the field of rational functions in one variable $k(S)$ as a dense subset. Assume that both α_1 and α_1' are the rigid points in $\overline{\mathbb{D}}_K$ given by $\alpha_1 = Q(S) = q_0 + q_1 S + q_2 S^2$ and $\alpha_1' = Q'(S) = q_0 + q_1 S + q_2' S^2$, with $q_2 \neq q_2'$ and $|q_2| = |q_2'|$.

We claim that $\mathrm{Ev}(\alpha) = \mathrm{Ev}(\alpha')$. It suffices to check that they agree on the set of rigid points. Indeed, pick any $z \in \mathbb{A}^{1,\mathrm{an}}(k)$. Following Berkovich's classification of the points in the disk [2, Section 1.4.4], the point $\mathrm{Ev}(\alpha)(z)$ corresponds to the closed ball in k centered at zq_0 and of radius $\max\{|1 + q_1 z|, |q_2 z|\}$. Since $|q_2| = |q_2'|$, we conclude that $\mathrm{Ev}(\alpha)(z) = \mathrm{Ev}(\alpha')(z)$.

3.3. Proof of Proposition 3.1

Consider a sequence of polynomial maps $f_n \colon \mathbb{A}^{r,\mathrm{an}} \to \mathbb{A}^{s,\mathrm{an}}$ of degree at most $\delta \in \mathbb{N}$ satisfying $f_n(\mathbb{D}^r) \subset \overline{\mathbb{D}}^s$.

For every $n \in \mathbb{N}$, let α_n be the rigid point in the polydisk $\overline{\mathbb{D}}^{s(\delta+1)^r}$ corresponding to the mapping f_n, as constructed above. The polydisk $\overline{\mathbb{D}}^{s(\delta+1)^r}$ is sequentially compact by Theorem 2.1, therefore we may find a subsequence $\{\alpha_{n_j}\}_{n_j}$ converging to some point $\alpha \in \overline{\mathbb{D}}^{s(\delta+1)^r}$. Recall that this limit point defines a continuous map $\mathrm{Ev}(\alpha) \colon \mathbb{A}^{r,\mathrm{an}} \to \mathbb{A}^{s,\mathrm{an}}$.

It remains to verify that $\mathrm{Ev}(\alpha)$ is the pointwise limit of the subsequence $\{f_{n_j}\}$. Observe that this is equivalent to checking that for every $z \in \mathbb{A}^{r,\mathrm{an}}$ and every $g \in k[T_1, \ldots, T_s]$, the sequence of real numbers $\{|g(f_{n_j}(z))|\}_{n \in \mathbb{N}}$ converges to $|g(\mathrm{Ev}(\alpha)(z))|$.

If z is a non-rigid point in $\mathbb{A}^{r,\mathrm{an}}$, we make a base change by $\mathcal{H}(z)$ and take a rigid point $x \in \mathbb{D}^r_{\mathcal{H}(z)}$ lying over z (see Lemma 2.13). The maps f_{n_j} induce analytic maps $\mathbb{A}^{r,\mathrm{an}}_{\mathcal{H}(z)} \to \mathbb{A}^{s,\mathrm{an}}_{\mathcal{H}(z)}$ and g defines an analytic function on $\mathbb{A}^{s,\mathrm{an}}_{\mathcal{H}(z)}$. By definition,

$$|g(f_{n_j}(z))| = |g(f_{n_j}(\pi_{\mathcal{H}(z)/k}(x)))| = |g(f_{n_j}(x))|,$$

so that $|g(f_{n_j}(z))|$ converges if and only if $|g(f_{n_j}(x))|$ converges.

We consider the following composition of continuous maps. Recall from (3.2) that $\mathrm{Ev}(\alpha) = \Phi \circ \iota_{\mathcal{H}(\alpha)} \circ \sigma_{\mathcal{H}(\alpha)/k}$. As Φ is k-analytic, it induces a $\mathcal{H}(z)$-analytic map $\overline{\mathbb{D}}^{s(\delta+1)^r}_{\mathcal{H}(z)} \times \mathbb{A}^{r,\mathrm{an}}_{\mathcal{H}(z)} \to \mathbb{A}^{s,\mathrm{an}}_{\mathcal{H}(z)}$ that we shall also denote by Φ.

Denote by L the complete residue field $\mathcal{H}(\sigma_{\mathcal{H}(z)/k}(\alpha))$, which is a complete extension of $\mathcal{H}(z)$. Moreover, it is also a complete extension of $\mathcal{H}(\alpha)$.

Consider next the inclusion $\iota_L \colon \mathbb{A}^{r,\mathrm{an}}_L \to \overline{\mathbb{D}}^{s(\delta+1)^r}_{\mathcal{H}(z)} \times \mathbb{A}^{r,\mathrm{an}}_{\mathcal{H}(z)}$ given by the inclusion of the fibre of the first projection over the point $\sigma_{\mathcal{H}(z)/k}(\alpha)$. We obtain the continuous map $\Phi \circ \iota_L \circ \sigma_{L/\mathcal{H}(z)}$. By construction, we see that

$$|g(\mathrm{Ev}(\alpha)(z))| = |g(\mathrm{Ev}(\alpha)(\pi_{\mathcal{H}(z)/k}(x)))| = |g(\Phi \circ \iota_L \circ \sigma_{L/\mathcal{H}(z)}(x))|.$$

We may thus assume that z is rigid. Let $g = \sum_{J \in \mathbb{N}^s} g_J T^J$ be a polynomial of degree d. Denoting $f_{n_j} = (f_1^{(n_j)}, \dots, f_s^{(n_j)})$, we have:

$$
\left| g(f_{n_j}(z)) \right| = \left| \sum_{|J| \leqslant d} g_J \prod_{l=1}^{s} \left(f_l^{(n_j)}(z) \right)^{j_l} \right|
$$

$$
= \left| \sum_{|J| \leqslant d} g_J \prod_{l=1}^{s} \left(\sum_{|I| \leqslant \delta} a_{l,I}^{(n_j)} z^I \right)^{j_l} \right| = (*).
$$

Taking the polynomial in $s(\delta + 1)^r$-variables

$$
(3.4) \qquad R := \sum_{|J| \leqslant d} g_J \prod_{l=1}^{s} \left(\sum_{|I| \leqslant \delta} S_{l,I} z^I \right)^{j_l} \in k \left[\{ S_{l,I} \}_{1 \leqslant l \leqslant s, |I| \leqslant \delta} \right],
$$

one sees that $(*) = |R(\alpha_{n_j})|$, and so $|R(\alpha_{n_j})| \to |R(\alpha)|$ as n tends to infinity since $\alpha_{n_j} \to \alpha$. Moreover, it is clear from (3.3) that $R(\alpha) = g(\mathrm{Ev}(\alpha)(z))$, and so the sequence $\left| g(f_{n_j}(z)) \right|$ converges to $|g(\mathrm{Ev}(\alpha)(z))|$, concluding the proof. $\qquad \square$

4. Parametrization of the space of analytic maps

We interpret analytic maps between an open and a closed polydisk as rigid points of the spectrum of a suitable Banach k-algebra. Our aim is to build an infinite dimensional analytic space $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ that parametrizes in a suitable sense the set of all analytic maps from $\mathbb{D}^r$ to $\overline{\mathbb{D}}^s$. This construction shall be used in the next section to prove Theorem 1.1.

We shall assume throughout this section that k is algebraically closed. We fix two integers $r, s > 0$.

4.1. Construction of the Banach k-algebra $\mathcal{T}_\infty^{r,s}$

Pick some integer $\delta \in \mathbb{N}^*$. Recall from Section 3 that the set of all polynomial maps $P \colon \mathbb{A}^{r,\mathrm{an}} \to \mathbb{A}^{s,\mathrm{an}}$ of degree at most δ such that $P(\mathbb{D}^r) \subset \overline{\mathbb{D}}^s$ can be endowed with a natural structure of affinoid space whose k-affinoid algebra is the Tate algebra $k\{a_{1,I}, \dots, a_{s,I}\}_{|I| \leqslant \delta} = k\{a_{l,I}\}_{|I| \leqslant \delta, 1 \leqslant l \leqslant s}$. We denote this space by $\mathrm{Mor}_\delta^{r,s}$. It is isomorphic as a k-analytic space to the unit polydisk $\overline{\mathbb{D}}^{s(\delta+1)^r}$.

Observe that for any given $\delta \in \mathbb{N}^*$ there exists a natural truncation map $\mathrm{pr}_\delta \colon \mathrm{Mor}_{\delta+1}^{r,s} \to \mathrm{Mor}_\delta^{r,s}$, which is a surjective analytic map dual to the inclusion of Tate algebras $k\{a_{l,I}\}_{|I| \leqslant \delta, 1 \leqslant l \leqslant s} \subset k\{a_{l,I}\}_{|I| \leqslant \delta+1, 1 \leqslant l \leqslant s}$. These inclusions are isometric and we may so consider the inductive limit of this directed system. It is a normed k-algebra that we denote by $\mathcal{T}^{r,s}$.

In order to describe the elements of $\mathcal{T}^{r,s}$ and its norm, we introduce the set $\mathcal{S}$ of all maps $\mathrm{M} \colon \{1, \ldots, s\} \times \mathbb{N}^r \to \mathbb{N}$ having finite support and set $|\mathrm{M}| = \sum_{l,I} \mathrm{M}(l, I)$ for every $\mathrm{M} \in \mathcal{S}$. We define $\mathcal{S}_\delta$ as the subset of $\mathcal{S}$ consisting of all $\mathrm{M} \in \mathcal{S}$ such that $\mathrm{M}(l, I) = 0$ for all $|I| \geqslant \delta + 1$. Given $a = \big((a_{1,I})_{|I| \leqslant \delta}, \ldots, (a_{s,I})_{|I| \leqslant \delta}\big)$ and $\mathrm{M} \in \mathcal{S}$, we write

$$a^{\mathrm{M}} = \prod_{1 \leqslant l \leqslant s, I \in \mathbb{N}^r} a_{l,I}^{\mathrm{M}(l,I)}.$$

The k-algebra $\mathcal{T}^{r,s}$ consists of all power series that are of the form

$$\sum_{\mathrm{M} \in \mathcal{S}_\delta} g_{\mathrm{M}} \cdot a^{\mathrm{M}},$$

for some $\delta \in \mathbb{N}$ and whose coefficients $g_{\mathrm{M}} \in k$ are such that $|g_{\mathrm{M}}| \to 0$ as $|\mathrm{M}| \to \infty$.

Let us describe the norm on $\mathcal{T}^{r,s}$. Observe that by the definition of $\mathcal{S}_\delta$, every element $\sum_{\mathrm{M} \in \mathcal{S}_\delta} g_{\mathrm{M}} \cdot a^{\mathrm{M}} \in \mathcal{T}^{r,s}$ belongs to the Tate algebra $k\{a_{l,I}\}_{|I| \leqslant \delta, 1 \leqslant l \leqslant s}$, and we may associate to $\sum_{\mathrm{M} \in \mathcal{S}_\delta} g_{\mathrm{M}} \cdot a^{\mathrm{M}}$ the norm on $k\{a_{l,I}\}_{|I| \leqslant \delta, 1 \leqslant l \leqslant s}$. Since the inclusions

$$k\{a_{l,I}\}_{|I| \leqslant \delta, 1 \leqslant l \leqslant s} \subset k\{a_{l,I}\}_{|I| \leqslant \delta+1, 1 \leqslant l \leqslant s}$$

are isometric, this norm is well-defined.

Remark 4.1. — The k-algebra $\mathcal{T}^{r,s}$ is not complete. Take for instance $r = s = 1$ and consider the sequence $f_n = \sum_{i=1}^n g_i \cdot a_i \in \mathcal{T}^{1,1}$. This is a Cauchy sequence as soon as the coefficients $g_i \in k$ are such that $|g_i| \to 0$ when $i \to \infty$, but it does not have any limit in $\mathcal{T}^{1,1}$.

The completion $\mathcal{T}_\infty^{r,s}$ of $\mathcal{T}^{r,s}$ is the Banach k-algebra consisting of all power series

$$\sum_{\mathrm{M} \in \mathcal{S}} g_{\mathrm{M}} \cdot a^{\mathrm{M}}$$

such that $|g_{\mathrm{M}}|$ tends to zero with respect to the filter of cofinite subsets, i.e. such that for all $\epsilon > 0$ the set of $\mathrm{M} \in \mathcal{S}$ such that $|g_{\mathrm{M}}| > \epsilon$ is finite. The norm on $\mathcal{T}_\infty^{r,s}$ is the Gauss norm given by $\max_{\mathrm{M}} |g_{\mathrm{M}}|$.

Recall that a Banach k-algebra is a function algebra when its sup norm is equivalent to its given norm, see [5, 3.8.3].

LEMMA 4.2. — *The algebra $\mathcal{T}_\infty^{r;s}$ is a Banach function k-algebra.*

Proof. — We shall prove that the sup norm on $\mathcal{T}_\infty^{r;s}$ is actually equal to the Gauss norm. To see this, pick a nonzero element $f = \sum_{\mathrm{M}\in\mathcal{S}} g_\mathrm{M} \cdot a^\mathrm{M} \in \mathcal{T}_\infty^{r;s}$. The set $\mathcal{G}$ of indices M such that $|g_\mathrm{M}| > \frac{1}{2}|f|$ is finite, so that $\sum_{\mathrm{M}\in\mathcal{G}} g_\mathrm{M} \cdot a^\mathrm{M}$ is a polynomial in finitely many variables, hence attains its maximum at a point in the unit polydisk by [5, 5.1.4]. The lemma follows from [5, Collorary 3.8.2/2]. □

DEFINITION 4.3. — *The space $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ is the analytic spectrum of the Banach algebra $\mathcal{T}_\infty^{r;s}$.*

In particular, $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ is compact, because it is the analytic spectrum of the k-Banach algebra $\mathcal{T}_\infty^{r;s}$.

For every $\delta \in \mathbb{N}$, the isometric inclusion $k\{a_{l,I}\}_{|I|\leqslant\delta, 1\leqslant l\leqslant s} \subset \mathcal{T}_\infty^{r;s}$ defines a natural surjective continuous map $\mathrm{Pr}_\delta^\infty\colon \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) \to \mathrm{Mor}_\delta^{r;s}$. We may as well consider the inverse limit of all the spaces $\mathrm{Mor}_\delta^{r;s}$, induced by the truncation maps $\mathrm{pr}_\delta\colon \mathrm{Mor}_{\delta+1}^{r;s} \to \mathrm{Mor}_\delta^{r;s}$. These maps verify the equality $\mathrm{pr}_\delta \circ \mathrm{Pr}_{\delta+1}^\infty = \mathrm{Pr}_\delta^\infty$ and induce a continuous map $\varphi\colon \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) \to \varprojlim_\delta \mathrm{Mor}_\delta^{r;s}$.

We shall consider the inclusions $i_\delta\colon \mathrm{Mor}_\delta^{r;s} \to \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ given by the bounded morphism $\mathcal{T}_\infty^{r;s} \to k\{a_{l,I}\}_{|I|\leqslant\delta, 1\leqslant l\leqslant s}$, sending $a_{l,I}$ to itself if $|I| \leqslant \delta$ and to 0 otherwise. These are closed immersions.

PROPOSITION 4.4. — *The map $\varphi\colon \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) \to \varprojlim_\delta \mathrm{Mor}_\delta^{r;s}$ is a homeomorphism.*

Proof. — The inverse limit $\varprojlim_\delta \mathrm{Mor}_\delta^{r;s}$ is compact by Tychonoff.

Let us show that $\varphi\colon \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) \to \varprojlim_\delta \mathrm{Mor}_\delta^{r;s}$ is bijective. Fix $\delta \geqslant 0$. Let $\pi_\delta\colon \varprojlim_\delta \mathrm{Mor}_\delta^{r;s} \to \mathrm{Mor}_\delta$ be the natural map and $\mathrm{pr}_\delta\colon \mathrm{Mor}_{\delta+1}^{r;s} \to \mathrm{Mor}_\delta^{r;s}$ the truncation map. We know that $\mathrm{Pr}_\delta^\infty = \pi_\delta \circ \varphi\colon \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) \to \mathrm{Mor}_\delta^{r;s}$. Pick a point $y \in \varprojlim_\delta \mathrm{Mor}_\delta^{r;s}$ and consider $\pi_\delta(y) \in \mathrm{Mor}_\delta^{r;s}$. Consider the set K_δ consisting of all the points $\alpha \in \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ such that $\mathrm{Pr}_\delta^\infty(\alpha) = \pi_\delta(y)$. The closed immersion $i_\delta\colon \mathrm{Mor}_\delta^{r;s} \to \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ constructed above is a section of $\mathrm{Pr}_\delta^\infty$. Thus, the map $\mathrm{Pr}_\delta^\infty$ is surjective and the subset K_δ is nonempty. Clearly, we have that $K_{\delta+1} \subseteq K_\delta$. Every K_δ is compact and so the intersection $\cap_{\delta\geqslant 0}K_\delta$ is nonempty. This shows that φ is surjective.

For the injectivity, let α, α' be two points in $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ having the same image in $\varprojlim_\delta \mathrm{Mor}_\delta^{r;s}$. We have to check that $|g(\alpha)| = |g(\alpha')|$ for every $g \in \mathcal{T}_\infty^{r;s}$. By density, this reduces to the case where $g \in \mathcal{T}^{r,s}$. We know that $\mathrm{Pr}_\delta^\infty(\alpha) = \mathrm{Pr}_\delta^\infty(\alpha') \in \mathrm{Mor}_\delta^{r;s}$ for all δ. Given $g \in \mathcal{T}^{r,s}$ observe that it

lies in $k\{a_{l,I}\}_{|I|\leqslant\delta,1\leqslant l\leqslant s}$ for some $\delta\geqslant 0$. Thus,

$$|g(\alpha)| = |g(\mathrm{Pr}_\delta^\infty(\alpha))| = |g(\mathrm{Pr}_\delta^\infty(\alpha'))| = |g(\alpha')|,$$

concluding the proof.   □

Recall from Section 2 the definition of the complete residue field $\mathcal{H}(\alpha)$ of a point $\alpha \in \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$. We say that α is rigid when $\mathcal{H}(\alpha) = k$. To simplify notation, we write $\alpha_\delta = \mathrm{Pr}_\delta^\infty(\alpha)$.

PROPOSITION 4.5. — *Let α be a point in $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$. For every $\delta \in \mathbb{N}$, the inclusion of Banach k-algebras $k\{a_{l,I}\}_{1\leqslant l\leqslant s,|I|\leqslant\delta} \subset \mathcal{T}_\infty^{r,s}$ induces an extension of valued fields $\mathcal{H}(\alpha)/\mathcal{H}(\alpha_\delta)$.*

The complete residue field $\mathcal{H}(\alpha)$ is isomorphic to the completion of the inductive limit of valued fields $\varinjlim_\delta \mathcal{H}(\alpha_\delta)$.

Proof. — A point $\alpha \in \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ corresponds to a seminorm on the k-algebra $\mathcal{T}_\infty^{r,s}$, whose restriction to $k\{a_{l,I}\}_{|I|\leqslant\delta,1\leqslant l\leqslant s}$ is the seminorm α_δ. The kernel of α_δ is the intersection of $k\{a_{l,I}\}_{|I|\leqslant\delta,1\leqslant l\leqslant s}$ with $\ker(\alpha)$. This induces inclusions

$$(4.1) \qquad k\{a_{l,I}\}_{|I|\leqslant\delta,1\leqslant l\leqslant s}/\ker(\alpha_\delta) \subset \mathcal{T}_\infty^{r,s}/\ker(\alpha).$$

It follows that there are inclusions $\mathcal{H}(\alpha_\delta) \subset \mathcal{H}(\alpha)$, and thus the direct limit of the $\mathcal{H}(\alpha_\delta)$ is naturally contained in $\mathcal{H}(\alpha)$. In order to show that $\mathcal{H}(\alpha)$ is isometrically isomorphic to the completion of $\varinjlim_\delta \mathcal{H}(\alpha_\delta)$, it suffices to show that $\varinjlim_\delta \mathcal{H}(\alpha_\delta)$ is dense in $\mathcal{H}(\alpha)$.

Consider the field $K := \varinjlim_\delta \mathrm{Frac}\left(k\{a_{l,I}\}_{|I|\leqslant\delta,1\leqslant l\leqslant s}/\ker(\alpha_\delta)\right)$. It is clear that K is contained in $\varinjlim_\delta \mathcal{H}(\alpha_\delta)$. By (4.1) and by the definition of $\mathcal{T}_\infty^{r,s}$, we also know that K is dense in $\mathrm{Frac}(\mathcal{T}_\infty^{r,s}/\ker(\alpha))$. The latter is by definition dense in $\mathcal{H}(\alpha)$, which proves that $\varinjlim_\delta \mathcal{H}(\alpha_\delta)$ is dense in $\mathcal{H}(\alpha)$.   □

PROPOSITION 4.6. — *The set of rigid points in $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ is dense.*

Proof. — Pick any point $\alpha \in \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ and fix an open neighbourhood U of α. It is a finite intersection of open sets of the form $\{\beta \in \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) : ||g(\beta)| - |g(\alpha)|| < R\}$ for some $R \leqslant 1$ and some $g \in \mathcal{T}_\infty^{r,s}$. Since $\mathcal{T}^{r,s}$ is dense in $\mathcal{T}_\infty^{r,s}$, we may assume that $g \in \mathcal{T}^{r,s}$. Thus, for sufficiently large δ one has that $|g(\alpha_\delta)| = |g(\alpha)|$. Fix any positive $\epsilon < R$. We may pick a rigid point $\gamma \in \mathrm{Mor}_\delta^{r,s}$ such that $||g(\gamma)| - |g(\alpha_\delta)|| < \epsilon$, since rigid points are dense in $\mathrm{Mor}_\delta^{r,s}$. By construction, the point γ belongs to U.   □

4.2. Universal property of the space $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$

Let us specify in which sense $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ parametrizes the space of analytic maps from $\mathbb{D}_k^r$ to $\overline{\mathbb{D}}_k^s$. Recall from Section 2.2 that a morphism between the spectra of two Banach k-algebras (e.g. from a Banach function k-algebra) is by definition a continuous map induced by a bounded morphism between the underlying algebras. In the same fashion, an analytic map from a good k-analytic space W into $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ is given by an affinoid covering $\{W_i\}$ of W and analytic maps $W_i \to \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$, which are induced by bounded morphisms of Banach k-algebras and are compatible with the restrictions.

THEOREM 4.7. — *There exists an analytic map* $\Phi \colon \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) \times \mathbb{D}^r \to \overline{\mathbb{D}}^s$ *satisfying the following universal property. Let W be the analytic spectrum of a Banach function k-algebra or any reduced k-analytic space. Then, for any morphism $F \colon W \times \mathbb{D}^r \to \overline{\mathbb{D}}^s$ there exists a unique morphism $G \colon W \to \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ such that $F(x, z) = \Phi(G(x), z)$ for all $x \in W(k)$ and $z \in \mathbb{D}^r(k)$.*

A morphism $F \colon W \times \mathbb{D}^r \to \overline{\mathbb{D}}^s$ is by definition a family of analytic maps $F_\rho \colon W \times \overline{\mathbb{D}}^r(\rho) \to \overline{\mathbb{D}}^s$ for any $\rho < 1$ whose restrictions agree on their common domain of definition.

Notice that this property uniquely characterizes the space $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$, as it is the analytic spectrum of a Banach function algebra.

Proof. — Let us first construct the analytic map $\Phi \colon \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) \times \mathbb{D}^r \to \overline{\mathbb{D}}^s$. The assignment

$$(S_1, \ldots, S_s) \longmapsto \left(\sum_{I \in \mathbb{N}^r} a_{1,I} T^I, \ldots, \sum_{I \in \mathbb{N}^r} a_{s,I} T^I \right)$$

defines a bounded morphism of Banach k-algebras $\psi \colon k\{S_1, \ldots, S_s\} \to \mathcal{T}_\infty^{r,s}\{\rho^{-1}T_1, \ldots, \rho^{-1}T_r\}$ for every positive $\rho < 1$, and thus an analytic map $\Phi \colon \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) \times \mathbb{D}^r \to \overline{\mathbb{D}}^s$.

We now prove the universal property. Suppose first that W is the analytic spectrum of a Banach function k-algebra $\mathcal{A}$. In particular, we may assume that $\mathcal{A}$ is endowed with the sup norm. Recall that the norm on the complete tensor product $\mathcal{A} \widehat{\otimes}_k \mathcal{T}_r$ agrees with the Gauss norm $\|\sum_I b_I T^I\| = \sup_I |b_I|$. Let $F \colon W \times \mathbb{D}^r \to \overline{\mathbb{D}}^s$ be an analytic map, induced by some bounded homomorphism of Banach k-algebras

$$(S_1, \ldots, S_s) \longmapsto \left(\sum_{I \in \mathbb{N}^r} b_{1,I} T^I, \ldots, \sum_{I \in \mathbb{N}^r} b_{s,I} T^I \right),$$

where $b_{l,I} \in \mathcal{A}$ are such that $\sup_{l,I} |b_{l,I}(x)| \leqslant 1$ for all $x \in W$ by Lemma 4.2.

Consider the analytic map $G \colon W \to \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ given by $a_{l,I} \mapsto b_{l,I}$ for all $I \in \mathbb{N}^r$ and all $1 \leqslant l \leqslant s$. A rigid point $x \in W$ together with a rigid point $z \in \mathbb{D}^r$ defines a rigid point in the product $W \times \mathbb{D}^r$, and by construction we have $F(x,z) = \Phi(G(x),z)$.

Conversely, let $H \colon W \to \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ be an analytic map sending $a_{l,I}$ to some $c_{l,I} \in \mathcal{A}$ and satisfying $F(x,z) = \Phi(H(x),z)$ for all $x \in W(k)$ and all $z \in \mathbb{D}^r(k)$. For every fixed $x \in W(k)$, consider the analytic map $z \in \mathbb{D}^r \mapsto \Phi(H(x),z)$. By hypothesis, it agrees with the map $z \in \mathbb{D}^r \mapsto \Phi(G(x),z)$, and so $b_{l,I}(x) = c_{l,I}(x)$ for every $I \in \mathbb{N}^r$ and $1 \leqslant l \leqslant s$. As the equalities hold for every rigid $x \in W$, we conclude that $H = G$.

Let now W be an arbitrary k-analytic space. Let $F \colon W \times \mathbb{D}^r \to \overline{\mathbb{D}}^s$ be an analytic map and let $\{W_i\}$ be an affinoid covering of W such that the restriction of F to each $W_i \times \overline{\mathbb{D}}^r(\rho)$, with $\rho < 1$, is a morphism of k-affinoid spaces, i.e. is induced by a bounded morphism between the underlying k-affinoid algebras. By the previous case, for every affinoid domain W_i of W there exists a unique analytic map $G_i \colon W_i \to \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$, induced by a bounded morphism of Banach algebras, such that $F(x,z) = \Phi(G_i(x),z)$ for all $x \in W_i(k)$ and $z \in \mathbb{D}^r(k)$. By construction, the maps G_i agree on the intersections $W_i \cap W_j$ and are compatible with the restrictions. $\qquad\square$

4.3. Points of $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ as continuous maps $\mathbb{D}^r \to \overline{\mathbb{D}}^s$

The following theorem specifies in which sense the points of the space $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ correspond to continuous maps from $\mathbb{D}^r$ to $\overline{\mathbb{D}}^s$. We generalize the map Ev from Section 3.1 to analytic maps.

THEOREM 4.8. — *There exists a map* Ev *from* $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ *to the space of continuous functions* $\mathcal{C}^0(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ *such that the following holds:*

(i) *The map* $\mathrm{Ev}(\alpha)$ *is analytic if and only if* $\alpha \in \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ *is rigid. In that case, the map* $\mathrm{Ev}(\alpha)$ *is precisely* $\Phi(\alpha, \cdot)$.

(ii) *For any fixed* $z \in \mathbb{D}^r$, *the assignment* $\alpha \in \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) \mapsto \mathrm{Ev}(\alpha)(z)$ *is a continuous map.*

Proof. — The map $\mathrm{Ev} \colon \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) \to \mathcal{C}^0(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ is given as follows. Fix a point $\alpha \in \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ and consider the first projection

$$\pi_1 \colon \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) \times \mathbb{D}^r \longrightarrow \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s).$$

The fibre $\pi_1^{-1}(\alpha)$ is canonically isomorphic to $\mathbb{D}^r_{\mathcal{H}(\alpha)}$ (cf. Section 2.2). We can thus consider the inclusion map $\iota_{\mathcal{H}(\alpha)} \colon \mathbb{D}^r_{\mathcal{H}(\alpha)} \to \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) \times \mathbb{D}^r$,

given by

$$\mathcal{T}_\infty^{r,Y}\{\rho^{-1}T_1,\ldots,\rho^{-1}T_r\} \longrightarrow \mathcal{H}(\alpha)\{\rho^{-1}T_1,\ldots,\rho^{-1}T_r\}$$

(4.2)
$$T_i \longmapsto T_i$$

$$a \in \mathcal{T}_\infty^{r,s} \longmapsto \chi_\alpha(a)$$

for $\rho < 1$, where $\chi_\alpha\colon \mathcal{T}_\infty^{r,s} \to \mathcal{H}(\alpha)$ denotes the character associated to the point α. Let $\sigma_{\mathcal{H}(\alpha)/k}\colon \mathbb{D}^r \to \mathbb{D}^r_{\mathcal{H}(\alpha)}$ be the continuous map discussed in Section 2.6. Let $\Phi\colon \mathrm{Mor}(\mathbb{D}^r,\bar{\mathbb{D}}^s) \times \mathbb{D}^r \to \bar{\mathbb{D}}^s$ be the analytic map from Theorem 4.7. We set:

$$\mathrm{Ev}(\alpha) = \Phi \circ \iota_{\mathcal{H}(\alpha)} \circ \sigma_{\mathcal{H}(\alpha)/k}.$$

Clearly, $\mathrm{Ev}(\alpha)$ is a continuous map from $\mathbb{D}^r$ to $\bar{\mathbb{D}}^s$. Specifically, for any $z \in \mathbb{D}^r$ and for any $g = \sum_{J\in\mathbb{N}^s} g_J S^J$ in $k\{S_1,\ldots,S_s\}$, we have

(4.3) $$|g(\mathrm{Ev}(\alpha)(z))| = \left| \sum_J g_J \prod_{l=1}^s \left(\sum_I \chi_\alpha(a_{l,I}) \cdot T^I \right)^{j_l} (\sigma_{\mathcal{H}(\alpha)/k}(z)) \right|.$$

Pick a rigid point $\alpha \in \mathrm{Mor}(\mathbb{D}^r,\bar{\mathbb{D}}^s)$, i.e. such that $\mathcal{H}(\alpha) = k$. In this situation, the fibre $\pi_1^{-1}(\alpha)$ is homeomorphic to $\mathbb{D}^r$, and so $\iota_{\mathcal{H}(\alpha)}$ is in fact an analytic map between k-analytic spaces, and the map $\sigma_{\mathcal{H}(\alpha)/k}$ is the identity on $\mathbb{D}^r$. Then, for every $z \in \mathbb{D}^r$ the pair (α,z) defines a point in $\mathrm{Mor}(\mathbb{D}^r,\bar{\mathbb{D}}^s) \times \mathbb{D}^r$, and so $\iota_k(z) = (\alpha,z)$. Thus, $\mathrm{Ev}(\alpha) = \Phi(\alpha,\cdot)$ is analytic.

Suppose conversely that $\mathrm{Ev}(\alpha)$ is analytic. It follows from (4.3) that the map $\mathrm{Ev}(\alpha)$ can be decomposed as $\mathrm{Ev}(\alpha) = \pi_{\mathcal{H}(\alpha)/k} \circ F \circ \sigma_{\mathcal{H}(\alpha)/k}$, where $F\colon \mathbb{D}^r \to \bar{\mathbb{D}}^s$ is the $\mathcal{H}(\alpha)$-analytic map

$$F(z) = \left(\sum_{I\in\mathbb{N}^r} \chi_\alpha(a_{1,I}) \cdot z^I, \ldots, \sum_{I\in\mathbb{N}^r} \chi_\alpha(a_{s,I}) \cdot z^I \right).$$

It suffices to treat the case $s = 1$. Since $\mathrm{Ev}(\alpha)$ is analytic, we may find coefficients $b_I \in k$ bounded by 1 such that $\mathrm{Ev}(\alpha)(z) = \sum_{I\in\mathbb{N}^r} b_I z^I$ for every $z \in \mathbb{D}^r(k)$. Notice that the equality $\pi_{\mathcal{H}(\alpha)/k}\left(\sum_{I\in\mathbb{N}^r} \chi_\alpha(a_I) \cdot z^I\right) = \sum_{I\in\mathbb{N}^r} b_I z^I \in k$ implies that $\sum_{I\in\mathbb{N}^r} \chi_\alpha(a_I) \cdot z^I \in k$, as k is algebraically closed.

Suppose by contradiction that α is not a rigid point and consider the equation

(4.4) $$\sum_{I\in\mathbb{N}^r} b_I z^I = \sum_{I\in\mathbb{N}^r} \chi_\alpha(a_I) \cdot z^I,$$

where we may assume that every $\chi_\alpha(a_I)$ is either 0 or does not belong to k. Since α is not rigid, not all of them are zero. We may consider the

nonempty set $M \subseteq \mathbb{N}^r$ consisting of all the multi-indices $I \in \mathbb{N}^r$ such that $\chi_\alpha(a_I) \notin k$. Let P be the Newton polytope of M, i.e. the convex hull of the union of all upper-quadrants $I + \mathbb{R}_+^r$ with $I \in M$. It is a non-compact polytope in $\mathbb{R}_+^r$ whose extremal points all belong to M.

Pick any extremal point p of P, and take any hyperplane in $\mathbb{R}^r$ with integer coefficients $H = \{\beta_1 x_1 + \cdots + \beta_r x_r = \beta_0\}$ intersecting the polytope P exactly at the point p. In other words, we have

$$(4.5) \qquad\qquad\qquad i_1\beta_1 + \cdots + i_r\beta_r > \beta_0$$

for every $I \in \mathbb{N}^r$ distinct from p intervening in (4.4). Fix any $\lambda \in k$ with $|\lambda| < 1$ and consider the rigid point $z = (\lambda^{\beta_1}, \ldots, \lambda^{\beta_r}) \in \mathbb{D}^r$. Then,

$$\sum_{I \in \mathbb{N}^r} b_I z^I = \sum_{I \in \mathbb{N}^r} b_I(\lambda^{\beta_1}, \ldots, \lambda^{\beta_r})^I = \sum_{I \in \mathbb{N}^r} b_I \lambda^{i_1\beta_1 + \cdots + i_r\beta_r}$$

$$= b_p \lambda^{\beta_0} + \sum_{I \in \mathbb{N}^r, I \neq p} b_I \lambda^{i_1\beta_1 + \cdots + i_r\beta_r} = b_p \lambda^{\beta_0} + O(\lambda^{\beta_0}),$$

where the last equality follows from (4.5). It follows that $b_p \lambda^{\beta_0} + O(\lambda^{\beta_0}) = \chi_\alpha(a_p)\lambda^{\beta_0} + O(\lambda^{\beta_0})$, and hence $\chi_\alpha(a_p) = b_p \in k$. As the extremal points of the polytope P lie in M, we conclude that $\chi_\alpha(a_I) \in k$ for every $I \in$ P, contradicting the fact that α is not rigid.

Let us now prove the continuity statement. Fix a point $z \in \mathbb{D}^r$. It suffices to check that for any net of points $\{\alpha_n\} \subset \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ converging to some $\alpha \in \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$, we have $\mathrm{Ev}(\alpha_n)(z) \to \mathrm{Ev}(\alpha)(z)$.

Consider the second projection $\pi_2 \colon \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) \times \mathbb{D}^r \to \mathbb{D}^r$. The fibre $\pi_2^{-1}(z)$ is isomorphic to $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)_{\mathcal{H}(z)}$. The inclusion map

$$\iota_{\mathcal{H}(z)} \colon \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)_{\mathcal{H}(z)} \longrightarrow \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) \times \mathbb{D}^r$$

is induced by the morphism

$$\mathcal{T}_\infty^{r,s}\{\rho^{-1}T_1, \ldots, \rho^{-1}T_r\} \longrightarrow \mathcal{T}_\infty^{r,s} \widehat{\otimes}_k \mathcal{H}(z)$$

$$T_i \longmapsto \chi_z(T_i)$$

$$a_{l,I} \longmapsto a_{l,I}$$

for $\rho < 1$, where $\chi_z \colon k\{\rho^{-1}T_1, \ldots, \rho^{-1}T_r\} \to \mathcal{H}(z)$ denotes the character associated to the point z. Pick some converging power series $g = \sum_{I \in \mathbb{N}^r} g_I T^I$

in $\mathcal{T}_\infty^{r,s}\{\rho^{-1}T_1, \ldots, \rho^{-1}T_r\}$ and compute:

$$(4.6) \quad \left|g(\iota_{\mathcal{H}(z)} \circ \sigma_{\mathcal{H}(z)/k}(\alpha))\right| = \left|\left(\sum_{I \in \mathbb{N}^r} g_I \cdot \chi_z(T)^I\right)(\sigma_{\mathcal{H}(z)/k}(\alpha))\right|$$

$$= \max_{I \in \mathbb{N}^r} |g_I(\alpha)| \cdot |\chi_z(T)^I|_{\mathcal{H}(z)} = \max_{I \in \mathbb{N}^r} |\chi_\alpha(g_I)|_{\mathcal{H}(\alpha)} \cdot |T^I(z)|$$

$$= \left|\left(\sum_{I \in \mathbb{N}^r} \chi_\alpha(g_I) \cdot T^I\right)(\sigma_{\mathcal{H}(\alpha)/k}(z))\right| = \left|g(\iota_{\mathcal{H}(\alpha)} \circ \sigma_{\mathcal{H}(\alpha)/k}(z))\right|.$$

That is, for all fixed $z \in \mathbb{D}^r$ and $\alpha \in \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}})$, we have

$$\iota_{\mathcal{H}(\alpha)} \circ \sigma_{\mathcal{H}(\alpha)/k}(z) = \iota_{\mathcal{H}(z)} \circ \sigma_{\mathcal{H}(z)/k}(\alpha).$$

Consider the continuous map $\Psi(z) \colon \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) \to \overline{\mathbb{D}}^s$, defined as the composition $\Psi(z) = \Phi \circ \iota_{\mathcal{H}(z)} \circ \sigma_{\mathcal{H}(z)/k}$. For every fixed $\alpha \in \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ and every fixed $z \in \mathbb{D}^r$, we have

$$\Psi(z)(\alpha) = \mathrm{Ev}(\alpha)(z).$$

If α_n is a net of points in $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ converging to α, then the continuity of $\Psi(z)$ implies that $\Psi(z)(\alpha_n)$ converges to $\Psi(z)(\alpha)$ as n goes to infinity, and so

$$\mathrm{Ev}(\alpha^{(n)})(z) \overset{n\to\infty}{\Longrightarrow} \mathrm{Ev}(\alpha)(z),$$

concluding the proof. $\qquad\square$

4.4. The space $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ is Fréchet–Urysohn.

We prove a technical result that is a key step in the proof of Theorem 1.1.

THEOREM 4.9. — The space $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ is Fréchet–Urysohn.

We follow Poineau's proof of the fact that analytic spaces are Fréchet–Urysohn [27, Proposition 5.2], which in turn relies on [27, Théorème 4.22].

Recall that a subset Γ of the analytic spectrum of a k-Banach algebra $(\mathcal{A}, \|\cdot\|)$ is a boundary if for every $g \in \mathcal{A}$ there exists some $x \in \Gamma$ such that $|g(x)| = \|g\|$. A closed boundary is called the *Shilov boundary* if it is the smallest closed subset Γ of $\mathcal{M}(\mathcal{A})$ satisfying this property. Since we have excluded the trivially valued case and the norm on $\mathcal{T}_\infty^{r,s}$ is multiplicative, there exists a Shilov boundary in $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ by [10, Theorem C].

In the following we deal with subfields l of k that are of *countable type* over the prime subfield k_p of k, i.e. such that l has a dense k_p-vector subspace of countable dimension.

For any complete extension K/k, we shall denote by $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)_K$ the scalar extension of $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ by K, which is defined as the spectrum of the completed tensor product of $\mathcal{T}_\infty^{r,s}$ and K over k. In fact, a similar construction of $\mathcal{T}_\infty^{r,s}$ as the one detailed in Section 4.1 over K yields a Banach algebra that is naturally isomorphic to $\mathcal{T}_\infty^{r,s} \widehat{\otimes}_k K$.

The following proposition is an infinite dimensional analogue of [27, Théorème 4.22].

PROPOSITION 4.10. — *For every point α in $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ there exists a subfield l of k that is of countable type over the prime subfield k_p of k and satisfying the following property. Let l' be any subfield of k with $l \subset l' \subset k$ and let $\pi_{k/l'}^\infty \colon \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) \to \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)_{l'}$ be the base change morphism. Then α is the unique point in the Shilov boundary of the fibre $(\pi_{k/l'}^\infty)^{-1}(\pi_{k/l'}^\infty(\alpha))$.*

Proof. — The space $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ is the projective limit of $\mathrm{Mor}_\delta^{r,s}$ with the morphisms $\mathrm{Pr}_{\delta,k}^\infty \colon \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s) \to \mathrm{Mor}_\delta^{r,s}$ for $\delta \in \mathbb{N}^*$ (cf. Proposition 4.4). A point α in $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ is thus determined by a sequence $(\alpha_\delta)_{\delta \geqslant 0}$, where each α_δ lies in $\mathrm{Mor}_\delta^{r,s}$ and satisfies $\mathrm{pr}_{\delta+1}(\alpha_{\delta+1}) = \alpha_\delta$ for the projections $\mathrm{pr}_{\delta+1} \colon \mathrm{Mor}_{\delta+1}^{r,s} \to \mathrm{Mor}_\delta^{r,s}$.

To every α_δ we apply [27, Théorème 4.22]. We obtain a field $l^\delta \subset k$ that is of countable type over the prime subfield k_p of k and such that for any subfield $l^\delta \subset l' \subset k$ the point α_δ is the only point in the Shilov boundary of $(\pi_{k/l'}^\delta)^{-1}(\pi_{k/l'}^\delta(\alpha_\delta))$, where $\pi_{k/l'}^\delta \colon \mathrm{Mor}_\delta^{r,s} \to \mathrm{Mor}_{\delta,l'}^{r,s}$ denotes the base change morphism.

Let l be the subfield of k generated by all the l^δ. By construction, l is of countable type over k_p. We may assume in addition that l is algebraically closed.

The equality $\pi_{k/l'}^\delta \circ \mathrm{Pr}_{\delta,k}^\infty = \mathrm{Pr}_{\delta,l'}^\infty \circ \pi_{k/l'}^\infty$ implies that $\mathrm{Pr}_{\delta,k}^\infty$ maps the fibre $(\pi_{k/l'}^\infty)^{-1}(\pi_{k/l'}^\infty(\alpha))$ to the fibre $(\pi_{k/l'}^\delta)^{-1}(\pi_{k/l'}^\delta(\alpha_\delta))$. We show that α belongs to the Shilov boundary of $(\pi_{k/l'}^\infty)^{-1}(\pi_{k/l'}^\infty(\alpha))$. Pick an element $g \in \mathcal{T}_\infty^{r,s}$. As $\mathcal{T}^{r,s}$ is dense in $\mathcal{T}_\infty^{r,s}$, we may assume that g lies in $k\{a_{l,I}\}_{|I| \leqslant \delta, 1 \leqslant l \leqslant s}$ for some $\delta \geqslant 0$. Thus, $|g(\alpha)| = |g(\alpha_\delta)|$, which is the maximum value of g, since α_δ belongs to the Shilov boundary of $(\pi_{k/l'}^\delta)^{-1}(\pi_{k/l'}^\delta(\alpha_\delta))$.

Pick a point $\beta \in (\pi_{k/l'}^\infty)^{-1}(\pi_{k/l'}^\infty(\alpha))$ different from α, i.e. such that $\beta_\delta \neq \alpha_\delta$ for some $\delta \geqslant 0$. As α_δ is the unique point in the Shilov boundary of $(\pi_{k/l'}^\delta)^{-1}(\pi_{k/l'}^\delta(\alpha_\delta))$, we may find some $g \in k\{a_{l,I}\}_{|I| \leqslant \delta}$ such that

$$|g(\beta)| = |g(\beta_\delta)| < |g(\alpha_\delta)| = |g(\alpha)|,$$

showing that α is the unique point in the Shilov boundary of the space $(\pi_{k/l'}^\infty)^{-1}(\pi_{k/l'}^\infty(\alpha))$. □

Proof of Theorem 4.9. — Let A be any subset of $\mathrm{Mor}(\mathbb{D}^r, \overline{\overline{\mathbb{D}}}^s)$ and let α be a point in the closure of A. Let l be the subfield of k associated to α from Proposition 4.10. Let $l \subset l' \subset k$ be any subfield of k that is of countable type over l. Every polydisk $\mathrm{Mor}_{\delta,l'}^{r,s}$ is first countable, and as a consequence so is the countable product of all the $\mathrm{Mor}_{\delta,l'}^{r,s}$. The space $\mathrm{Mor}(\mathbb{D}^r, \overline{\overline{\mathbb{D}}}^s)_{l'}$ is a subspace of the product $\prod_\delta \mathrm{Mor}_{\delta,l'}^{r,s}$ by Proposition 4.4, and thus is first countable.

Copying Poineau's proof of [27, Proposition 5.2] and using Proposition 4.10, we may find a sequence of points α_n in A converging to α. □

5. Montel's theorem

This section is devoted to the proof of Theorem 1.1. We first apply the results and constructions from the previous sections to prove the case where the base field k is algebraically closed and next we generalize this argument to an arbitrary non-Archimedean complete field.

5.1. Proof of Theorem 1.1 in the algebraically closed case

Let k be an algebraically closed complete non-Archimedean field that is nontrivially valued.

Let X be a reduced, σ-compact k-analytic space without boundary and Y a k-affinoid space. Pick a sequence of analytic maps $f_n \colon X \to Y$. We claim that there exists a subsequence that is pointwise converging to a continuous map.

Any k-affinoid space Y admits a closed immersion into some closed polydisk, which in turn can be embedded in some closed unit polydisk. Thus, we may readily assume that $Y = \overline{\overline{\mathbb{D}}}^s$ for some integer s.

Assume first that $X = \mathbb{D}^r$. In this case, each analytic map f_n corresponds to a rigid point α_n in $\mathrm{Mor}(\mathbb{D}^r, \overline{\overline{\mathbb{D}}}^s)$ by Theorem 4.7. Since the space $\mathrm{Mor}(\mathbb{D}^r, \overline{\overline{\mathbb{D}}}^s)$ is compact and Fréchet–Urysohn by Theorem 4.9, we may find a converging subsequence α_{n_j} converging to some point $\alpha \in \mathrm{Mor}(\mathbb{D}^r, \overline{\overline{\mathbb{D}}}^s)$. The continuous map $\mathrm{Ev}(\alpha) \colon \mathbb{D}^r \to \overline{\overline{\mathbb{D}}}^s$ is the limit map of the subsequence f_{n_j} by Theorem 4.8.

Suppose now that X is a reduced basic tube in the sense of Section 2.5. Let $f_n\colon X \to \bar{\mathbb{D}}^s$ be a sequence of analytic maps. We fix a closed embedding of X into some open polydisk $\mathbb{D}^r$. Fix $0 < \rho < 1$, $\rho \in |k^\times|$. Since X is reduced, the affinoid space $\bar{X}(\rho) := X \cap \bar{\mathbb{D}}^r(\rho)$ is also reduced. By [5, Theorem 6.2.4/1], the sup-norm and the residue norm are equivalent on the affinoid algebra associated to $\bar{X}(\rho)$, so that we may find $\tau > 0$ such that any analytic map $F\colon \bar{X}(\rho) \to \bar{\mathbb{D}}^s$ admits an extension $G\colon \bar{\mathbb{D}}^r(\rho) \to \bar{\mathbb{D}}^s(\tau)$. It follows that for each n we may find an analytic map $g_n\colon \bar{\mathbb{D}}^r(\rho) \to \bar{\mathbb{D}}^s(\tau)$ whose restriction to X is equal to f_n. By the previous case, we may extract a subsequence g_{n_j} pointwise converging on $\mathbb{D}^r(\rho)$ to a continuous map $g_\rho\colon \mathbb{D}^r(\rho) \to \bar{\mathbb{D}}^s(\tau)$. Choosing $\rho_m = 1 - \frac{1}{m}$, letting $m \to \infty$ and using a diagonal extraction argument, we infer the existence of a subsequence f_{n_j} which converges pointwise to a continuous map $f\colon X \to \mathbb{A}^s$. Observe that $f_n(X) \subset \bar{\mathbb{D}}^s$ for all n, so that we have $f(X) \subset \bar{\mathbb{D}}^s$ as required.

Consider now X as in the theorem. Being σ-compact, X is the union of countably many compact sets K_n. Since it is a boundaryless analytic space, each compact set K_n is included in a finite union of open sets. Each K_n is a finite union of irreducible analytic spaces, which in turn are each isomorphic to a basic tube. It follows that X is a countable union of basic tubes U_m. By the previous case, on every open set U_m there exists a subsequence converging pointwise, and extracting diagonally we may find a subsequence $\{f_{n_j}\}$ converging pointwise on the whole X. The limit is continuous on every U_m and hence on X since they are open. $\qquad\square$

5.2. Proof of Theorem 1.1 over an arbitrary base field

Let K be a completed nontrivially valued algebraic closure of k, and X_K, Y_K be the scalar extensions of X and Y respectively, see Section 2.6.

Pick a sequence $f_n\colon X \to Y$ of analytic maps and consider the analytic maps $F_n\colon X_K \to Y_K$ induced by the base change. The following diagram commutes:

$$
\begin{array}{ccc}
X_K & \xrightarrow{\;F_n\;} & Y_K \\
{\scriptstyle \pi_{K/k}}\big\downarrow & & \big\downarrow{\scriptstyle \pi_{K/k}} \\
X & \xrightarrow{\;f_n\;} & Y
\end{array}
$$

Observe that the analytic space X_K is good and σ-compact, since the preimage $\pi_{K/k}^{-1}(U)$ of an affinoid domain U of X is an affinoid domain in X_K. It follows directly from the definition of the interior that X_K is boundaryless ([2, Proposition 3.1.3]). Thus, by the algebraically closed

case of Theorem 1.1 proved above, we may assume that F_n is pointwise converging to a continuous map $F: X_K \to Y_K$. Pick a point $z \in X$. As $\pi_{K/k}$ is surjective, we may choose a point $z' \in \pi_{K/k}^{-1}(z)$. It follows that $f_n(z) = f_n(\pi_{K/k}(z')) = \pi_{K/k} \circ F_n(z')$, which tends to $\pi_{K/k} \circ F(z') =: f(z)$ as n goes to infinity. The limit map f is well-defined. Indeed, if z', z'' are two points in $\pi_{K/k}^{-1}(z)$, then

$$\lim_n \pi_{K/k} \circ F_n(z') = \lim_n f_n(\pi_{K/k}(z')) = \lim_n f_n(\pi_{K/k}(z'')) = \lim_n \pi_{K/k} \circ F_n(z'').$$

It remains to check that f is continuous. Let A be any closed (hence compact) subset of Y. By continuity, the set $F^{-1}(\pi_{K/k}^{-1}(A))$ is closed. Recall that the map $\pi_{K/k}: X_K \to X$ is proper. Since X is locally compact, $\pi_{K/k}$ is closed. As a consequence, $f^{-1}(A) = \pi_{K/k}(F^{-1} \circ \pi_{K/k}^{-1}(A))$ is closed. $\square$

5.3. Fields with countable residue field

We observe in this section that part of the assertion of Theorem 1.1 extends to maps between any k-affinoid spaces when the residue field of k is countable. Specifically, we do not exclude source spaces with boundary and show that one may always extract an everywhere converging subsequence. This section will not be used in the rest of the paper, since the limits we obtain this way are not necessarily continuous.

Recall that the boundary of an affinoid space can be written as a finite union of affinoid spaces defined over some extension of k, see [9, Lemma 3.1]. Here we shall only use the following observation. Consider the closed N-dimensional polydisk $\overline{\mathbb{D}}^N$, and denote by $p_i: \overline{\mathbb{D}}^N \to \overline{\mathbb{D}}$ the projection to the i-th coordinate. Recall that the projections $\mathbb{A}^{an,N} \to \mathbb{A}^{an,1}$ are open. Recall that the boundary of $\overline{\mathbb{D}}$ consists only of the Gauss point. It follows from Lemma 2.3 that $p_i^{-1}(x_g)$ is contained in the boundary of $\overline{\mathbb{D}}^N$ for every $i = 1, \ldots, N$, thus $p_1^{-1}(x_g) \cup \cdots \cup p_N^{-1}(x_g) \subseteq \partial \overline{\mathbb{D}}^N$.

Let now x be a point in $\partial \overline{\mathbb{D}}^N$ and consider the commutative diagram:

$$
\begin{array}{ccc}
\overline{\mathbb{D}}^N & \xrightarrow{p_i} & \overline{\mathbb{D}} \\
\text{red} \downarrow & & \downarrow \text{red} \\
\mathbb{A}_{\tilde{k}}^N & \xrightarrow{\tilde{p}_i} & \mathbb{A}_{\tilde{k}}^1
\end{array}
$$

Suppose that $p_i(x) \neq x_g$ for all i. By Lemma 2.3, the point $\tilde{p}_i(x)$ is closed in $\mathbb{A}_{\tilde{k}}^1$ corresponding to some maximal ideal $\langle T_i - \zeta_i \rangle \subset \tilde{k}[T_i]$ for every $i = 1, \ldots, N$. The commutativity of the diagram implies that the maximal

ideal $\langle T_1 - \zeta_1, \ldots, T_N - \zeta_N \rangle$ of $\widetilde{k}[T_1, \ldots, T_N]$ is contained in the prime
ideal corresponding to $\mathrm{red}(x)$. As a consequence, $\mathrm{red}(x) \in \mathbb{A}_{\widetilde{k}}^N$ is closed,
contradicting the fact that x belongs to $\partial \overline{\mathbb{D}}^N$.

The boundary of $\overline{\mathbb{D}}^N$ is thus equal to the union $p_1^{-1}(x_g) \cup \cdots \cup p_N^{-1}(x_g)$.
Observe that each fibre $p_i^{-1}(x_g)$ is isomorphic to $\overline{\mathbb{D}}_{\mathcal{H}(x_g)}^{N-1}$.

PROPOSITION 5.1. — *Suppose k is a non-Archimedean complete valued
field such that $\widetilde{k}$ is countable. Let X and Y be k-affinoid spaces and assume
that X is distinguished. Then, every sequence of analytic maps $f_n \colon X \to Y$
has an everywhere pointwise converging subsequence.*

Proof. — Assume first that k is algebraically closed. The space X is
reduced, since it is assumed to be distinguished. We may assume $X = \overline{\mathbb{D}}^r$,
$Y = \overline{\mathbb{D}}^s$ as in the proof of Theorem 1.1. The set of connected components
of the interior of $\overline{\mathbb{D}}^r$ is in bijection with the set of $\widetilde{k}$-points on its reduction
$\mathbb{A}_{\widetilde{k}}^r$ and hence is countable.

We now argue inductively on r. When $r = 1$, then the boundary of $\overline{\mathbb{D}}$
consists of a single point, namely the Gauss point. We may therefore apply
Theorem 1.1 to each of the (countably many) components of the interior
of $\overline{\mathbb{D}}$ and apply a diagonal extraction argument to conclude.

Assume now that the statement holds for the polydisk of dimension $r-1$
defined over *any* complete valued field with countable residue field, and pick
a sequence of analytic maps $f_n \colon \overline{\mathbb{D}}^r \to \overline{\mathbb{D}}^s$. As before, we apply Theorem 1.1
to each of the (countably many) components of the interior of $\overline{\mathbb{D}}^r$ so that
we may suppose that f_n converges pointwise on the interior of $\overline{\mathbb{D}}^r$.

The boundary of $\overline{\mathbb{D}}^r$ is the union of r unit polydisks of dimension $r - 1$
defined over the field $\mathcal{H}(x_g)$ by our previous discussion.

However, $\mathcal{H}(x_g)$ is not algebraically closed. We therefore fix a completed
algebraic closure K of $\mathcal{H}(x_g)$. Notice that its residue field $\widetilde{K}$ is isomorphic to
the algebraic closure of $\widetilde{\mathcal{H}(x_g)}$, hence is countable, too. We may now apply
the induction hypothesis to the restriction of f_n to each of the polydisks
$\overline{\mathbb{D}}_K^{r-1}$. We argue as in the proof of Theorem 1.1 (cf. Section 5.2) to conclude
that the restriction of f_n to $\overline{\mathbb{D}}_{\mathcal{H}(x_g)}^{r-1}$ has an everywhere pointwise converging
subsequence. This concludes the proof in the case where k is algebraically
closed.

If k is not algebraically closed, we fix a completed algebraic closure of k
and apply the same argument as above (cf. Section 5.2).   □

5.4. Analytic properties of pointwise limits of analytic maps

Continuous maps of the form $\mathrm{Ev}(\alpha)\colon \mathbb{D}^r \to \bar{\bar{\mathbb{D}}}^s$ are very special, as they exhibit properties that are distinctive of analytic maps. We shall prove that they lift to analytic maps after a suitable base change and that the graph of $\mathrm{Ev}(\alpha)$ is well-defined in the analytic product $\mathbb{D}^r \times \bar{\bar{\mathbb{D}}}^s$ and not just in the topological product $|\mathbb{D}^r| \times |\bar{\bar{\mathbb{D}}}^s|$.

Recall from Section 2.6 the definition of the continuous map $\sigma_{K/k}\colon X \to X_K$.

THEOREM 5.2. — Let k be a complete non-Archimedean field that is algebraically closed.

Let α be a point in $\mathrm{Mor}(\mathbb{D}^r, \bar{\bar{\mathbb{D}}}^s)$. Then there exists a closed subset Γ_α of $\mathbb{D}^r \times \bar{\bar{\mathbb{D}}}^s$ such that the first projection $\pi_1\colon \Gamma_\alpha \to \mathbb{D}^r$ is a homeomorphism and such that for every $z \in \mathbb{D}^r$ the image of $\Gamma_\alpha \cap \pi_1^{-1}(z)$ under the second projection is the point $\mathrm{Ev}(\alpha)(z) \in \bar{\bar{\mathbb{D}}}^s$.

Moreover, there exist a complete extension K of k and a K-analytic map $F_\alpha\colon \mathbb{D}_K^r \to \bar{\bar{\mathbb{D}}}_K^s$ such that $\mathrm{Ev}(\alpha) = \pi_{K/k} \circ F_\alpha \circ \sigma_{K/k}$.

Proof. — Fix a point $\alpha \in \mathrm{Mor}(\mathbb{D}^r, \bar{\bar{\mathbb{D}}}^s)$ and denote by $\mathcal{H}(\alpha)$ its complete residue field. We define Γ_α as the image of a continuous map $\psi\colon \mathbb{D}^r \to \mathbb{D}^r \times \bar{\bar{\mathbb{D}}}^s$, that we construct as follows.

Let $\iota_{\mathcal{H}(\alpha)}\colon \mathbb{D}_{\mathcal{H}(\alpha)}^r \to \mathrm{Mor}(\mathbb{D}^r, \bar{\bar{\mathbb{D}}}^s) \times \mathbb{D}^r$ be the inclusion map defined in (4.2). Let $\Upsilon\colon \mathrm{Mor}(\mathbb{D}^r, \bar{\bar{\mathbb{D}}}^s) \times \mathbb{D}^r \to \mathbb{D}^r \times \bar{\bar{\mathbb{D}}}^s$ be the analytic map induced by

$$k\{\rho^{-1}T_1, \ldots, \rho^{-1}T_r\}\{S_1, \ldots, S_s\} \longrightarrow \mathcal{T}_\infty^{r,s}\{\rho^{-1}T_1, \ldots, \rho^{-1}T_r\}$$
$$T_i \longmapsto T_i$$
$$S_l \longmapsto \sum_I a_{l,I} T^I.$$

Let $\sigma_{\mathcal{H}(\alpha)/k}\colon \mathbb{D}^r \to \mathbb{D}_{\mathcal{H}(\alpha)}^r$. We set $\psi = \Upsilon \circ \iota_{\mathcal{H}(\alpha)} \circ \sigma_{\mathcal{H}(\alpha)}$. Explicitly, ψ is induced by the analytic map $\Psi\colon \mathbb{D}^r \to \mathbb{D}^r \times \bar{\bar{\mathbb{D}}}^s$ that maps any $z \in \mathbb{D}^r$ to the seminorm sending every $g \in \mathcal{T}_s\{\rho^{-1}T_1, \ldots, \rho^{-1}T_r\}$, which is of the form $g = \sum_{J \in \mathbb{N}^s} g_J S^J$ with $g_J \in k\{\rho^{-1}T_1, \ldots, \rho^{-1}T_r\}$ are such that $|g_J| \to 0$ as $|J| \to 0$, to the following real number:

$$(5.1) \qquad |g(\Psi(z))| = \left| \sum_J g_J \prod_{l=1}^s \left(\sum_I \chi_\alpha(a_{l,I}) \cdot T^I \right)^{j_l} (\sigma_{\mathcal{H}(\alpha)/k}(z)) \right|.$$

Consider the projections π_1 and π_2 on $\mathbb{D}^r \times \bar{\bar{\mathbb{D}}}^s$ to the first and second factor respectively. It is an immediate consequence of the previous computation and (4.3) that

$$\pi_2(\psi(z)) = \mathrm{Ev}(\alpha)(z).$$

If no variables S_l appear in the expression of $g \in \mathcal{T}_s\{\rho^{-1}T_1, \ldots, \rho^{-1}T_r\}$, then g lies in the algebra $k\{\rho^{-1}T_1, \ldots, \rho^{-1}T_r\}$. Thus, by (5.1) we see that $|g(\Psi(z))| = |g(z)|$, and so

$$\pi_1(\psi(z)) = z.$$

It remains to check that the image Γ_α of ψ is a closed subset of $\mathbb{D}^r \times \overline{\mathbb{D}}^s$. Let z_n be a sequence of points in $\mathbb{D}^r$ such that $\psi(z_n)$ converges to some point x in $\mathbb{D}^r \times \overline{\mathbb{D}}^s$. As $\pi_1(\psi(z_n)) = z_n$, we see that z_n converges to $\pi_1(x) \in \mathbb{D}^r$, and by continuity of ψ we have that $x = \psi(\pi_1(x))$ lies in Γ_α. The set Γ_α is so sequentially closed, and hence closed.

Consider now the continuous map $\mathrm{Ev}(\alpha) \colon \mathbb{D}^r \to \overline{\mathbb{D}}^s$. Let K be the complete residue field $\mathcal{H}(\alpha)$. Consider the $\mathcal{H}(\alpha)$-analytic map

$$F_\alpha = \left(\sum_{I \in \mathbb{N}^r} \chi_\alpha(a_{1,I}) \cdot T^I, \ldots, \sum_{I \in \mathbb{N}^r} \chi_\alpha(a_{s,I}) \cdot T^I \right).$$

A direct computation together with (4.3) shows that $\mathrm{Ev}(\alpha) = \pi_{\mathcal{H}(\alpha)/k} \circ F_\alpha \circ \sigma_{\mathcal{H}(\alpha)/k}$. $\qquad\square$

5.5. Proof of Theorem 1.2

Let Y be any k-affinoid space. We may fix a closed immersion of Y into some polydisk $\overline{\mathbb{D}}^s$ and assume $Y = \overline{\mathbb{D}}^s$.

Suppose first that $X = \mathbb{D}^r$. Each analytic map f_n is of the form $f_n = \mathrm{Ev}(\alpha_n)$ for some rigid point $\alpha_n \in \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ by Theorem 4.8. It was shown in Proposition 4.4 that the space $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ is Fréchet–Urysohn so that we may assume that α_n converges to some point $\alpha \in \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$. The limit map f is precisely $\mathrm{Ev}(\alpha)$ (cf. Theorem 4.8) and we conclude by Theorem 5.2.

Let now X be any boundaryless, reduced k-analytic space. Pick a point $x \in X$ and an affinoid neigbourhood W of x containing x in its interior. Fix a distinguished closed immersion of W into some closed unit polydisk $\overline{\mathbb{D}}^r$. For every n we may find an analytic map $\widehat{f}_n \colon \overline{\mathbb{D}}^r \to \overline{\mathbb{D}}^s$ such that $\widehat{f}_n|_W = f_n$ by Proposition 2.2. We now apply the previous case to the restriction of $\widehat{f}_n$ to $\mathbb{D}^r$, concluding the proof. $\qquad\square$

6. Weakly analytic maps

In this section we look more precisely at the properties of continuous limits of analytic functions, as obtained in Theorem 1.2.

As before, k is any complete nontrivially valued non-Archimedean field which is algebraically closed.

6.1. Definition and first properties

We begin with a definition.

DEFINITION 6.1. — *Let X and Y be any two k-analytic spaces, and let $f\colon X \to Y$ be a continuous map.*

We say that f is weakly analytic if for every point $x \in X$ there exist an affinoid neighbourhood U of x, a complete field extension K/k and an analytic map $F\colon U_K \to Y_K$ such that $f_{|_U} = \pi_{K/k} \circ F \circ \sigma_{K/k}$.

It will be convenient to denote by $\mathrm{WA}(X,Y)$ the set of all weakly analytic maps from X to Y.

Clearly, the set $\mathrm{Mor}_k(X,Y)$ of analytic maps from X to Y is a subset of $\mathrm{WA}(X,Y)$. It is also a strict subset if Y has dimension at least 1, since any constant map is weakly analytic, but it is analytic only if the constant is a rigid point.

PROPOSITION 6.2. — *Let X be a reduced basic tube and Y be a k-affinoid space. Let $f\colon X \to Y$ be a continuous map. The following two conditions are equivalent.*

(i) *For any point $x \in X$ there exist an affinoid neighbourhood Z of x and a sequence of analytic maps $f_n\colon Z \to Y$ pointwise converging to $f|_Z$.*

(ii) *For any point $x \in X$ there exist an affinoid neighbourhood Z of x, a complete extension K of k and an analytic map $F\colon Z_K \to Y_K$ such that $f|_Z = \pi_{K/k} \circ F \circ \sigma_{K/k}$.*

A consequence of the previous result is the following. For any boundary-less equidimensional analytic space X a continuous map $f\colon X \to Y$ which is the pointwise limit of a sequence of analytic functions is weakly analytic, since any such X admits a basis of neighborhoods which are basic tubes.

Proof. — The implication (i) $\Rightarrow$ (ii) follows by applying Theorem 1.2 to the restriction of the sequence $f_n\colon Z \to Y$ to the connected component of the interior of Z containing the point x.

Suppose that (ii) is satisfied. Choosing a closed immersion $Y \to \overline{\mathbb{D}}^s$, we may assume $Y = \overline{\mathbb{D}}^s$. Pick a point $x \in X$ and a strictly k-affinoid neighbourhood W of x such that there exists a complete extension K/k and a

K-analytic map $F\colon W_K \to \overline{\mathbb{D}}_K^s$ such that $f|_W = \pi_{K/k} \circ F \circ \sigma_{K/k}$. Since X is reduced and k is algebraically closed, we may assume that W is distinguished [5, Theorem 6.4.3/1]. By Proposition 2.2, we may find an analytic map $\widehat{F}\colon \mathbb{D}_K^r \to \overline{\mathbb{D}}_K^s$ that agrees with F on $W_K \cap \mathbb{D}_K^r$. Let us now approximate $\pi_{K/k} \circ \widehat{F} \circ \sigma_{K/k}$ by a sequence of k-analytic maps. By Theorem 4.8, there exists a rigid point $\beta \in \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)_K$ such that $\widehat{F} = \Phi(\beta, \cdot)$. The point $\alpha = \pi_{K/k}^\infty(\beta)$ in $\mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)$ is not rigid in general, but we may find points $\alpha_n \in \mathrm{Mor}(\mathbb{D}^r, \overline{\mathbb{D}}^s)(k)$ converging to α by Proposition 4.6, since k is assumed to be nontrivially valued. The analytic maps $\mathrm{Ev}(\alpha_n)$ converge pointwise to $\mathrm{Ev}(\alpha)\colon \mathbb{D}_k^r \to \overline{\mathbb{D}}_k^s$ by Theorem 4.8, and by construction we have $\mathrm{Ev}(\alpha) = \pi_{K/k} \circ \widehat{F} \circ \sigma_{K/k}$, see Theorem 5.2. We may now pick an affinoid neighbourhood $Z \subset W$ of x that is contained in $\mathbb{D}^r$ and set $f_n := \mathrm{Ev}(\alpha_n)|_Z$. $\square$

6.2. Rigidity of weakly analytic maps

We prove here the following statement:

PROPOSITION 6.3. — *Suppose $f\colon X \to Y$ is a weakly analytic map, where Y is a reduced curve. If x is a smooth rigid point that is mapped to a non-rigid point by f, then f is locally constant near x.*

Proof. — Let $x \in X$ be a smooth rigid point such that $y = f(x)$ is not rigid. Since this is a local statement, we may replace X and Y by affinoid neighbourhoods of x and y respectively. The curve Y is reduced and y is not rigid, hence Y is smooth at y. We may thus replace Y by an affinoid neighbourhood of y that embeds into a strictly affinoid curve. Since x is a smooth rigid point, it admits a basis of neighborhoods analytically isomorphic to the closed polydisk (see [3, Theorems 3.4.1, 3.5.1]) so we may assume that $X = \overline{\mathbb{D}}^r$ and that f takes values in the affinoid curve Y. Thus, f is bounded and we may assume that $x = 0$.

After maybe further reducing X, there exists an extension K of k and a K-analytic map $F\colon X_K \to Y_K$ such that $f = \pi_{K/k} \circ F \circ \sigma_{K/k}$. Observe that $F(x)$ is a rigid point of Y_K.

Suppose first that $Y = \overline{\mathbb{D}}$. The fact that y is not rigid means that y has positive diameter, i.e.

$$\inf_{a \in k^\circ} |(T - a)(y)| = r > 0.$$

By continuity, we can find a polyradius $\epsilon > 0$ such that every rigid point z in $\mathbb{D}_K^r(0; \epsilon)$ satisfies $|F(z) - F(0)|_K < r$, where $|\cdot|_K$ denotes the absolute

value on K. Pick a point $a \in k^\circ$. For every rigid point $z \in \mathbb{D}^r_K(0; \epsilon)$, we get

$$\begin{aligned}
|(T-a)(y)| &= \max\left\{|F(z) - F(0)|_K, |(T-a)(y)|\right\} \\
&= \max\left\{|F(z) - F(0)|_K, |(T-a)(\pi_{K/k} \circ F(0))|\right\} \\
&= \max\left\{|F(z) - F(0)|_K, |F(0) - a|_K\right\} \\
&= |F(z) - a|_K \\
&= |(T-a)(\pi_{K/k} \circ F(z))|.
\end{aligned}$$

Thus, F maps the polydisk $\mathbb{D}^r_K(0; \epsilon)$ into the fibre $\pi^{-1}_{K/k}(y)$. As

$$\sigma_{K/k}(\mathbb{D}^r_k(0; \epsilon)) \subseteq \mathbb{D}^r_K(0; \epsilon),$$

we conclude that f is locally constant near 0.

For Y any strictly affinoid space of dimension 1 there exists a finite morphism $\varphi \colon Y \to \overline{\mathbb{D}}$ by Noether's Lemma. By what precedes, the composition $\varphi \circ f$ is locally constant near 0, and by finiteness so is f. $\qquad\square$

Example 6.4. — The previous result does not hold if Y has dimension greater than 2. Consider for instance the weakly analytic map $f \colon \overline{\mathbb{D}} \to \overline{\mathbb{D}}^2$ given by $f = \pi_{K/k} \circ F \circ \sigma_{K/k}$, where $K = \mathcal{H}(x_g)$ and $F(z) = (x_g, z)$. No rigid point in $\overline{\mathbb{D}}$ has rigid image under f, but f is not locally constant at these points.

6.3. Weakly analytic maps from curves

PROPOSITION 6.5. — *Let $f \colon X \to Y$ be a weakly analytic map, where X is a curve. If there exists a converging sequence of rigid points of X whose images under f are rigid points, then f is analytic.*

Remark 6.6. — Let X be a k-affinoid space. Let $f \colon X \to \overline{\mathbb{D}}^s$ be a continuous map such that there exists a complete extension K/k such that $f = \pi_{K/k} \circ F \circ \sigma_{K/k}$ for some K-analytic map F. We may assume that the extension K/k is of countable type [5, Section 2.7].

Indeed, let $\mathcal{A}$ be the underlying k-affinoid algebra of X and fix an epimorphism $k\{r^{-1}T\} \to \mathcal{A}$ such that $\mathcal{A}$ is isomorphic as a Banach algebra to $k\{r^{-1}T\}/I$ for some closed ideal $I \subset k\{r^{-1}T\}$. Extending scalars, we see that $\mathcal{A}_K$ is isomorphic as a K-affinoid algebra to the quotient of $K\{r^{-1}T\}$ by the ideal generated by I. The map F is then determined by elements $F_1, \ldots, F_s \in \mathcal{A}_K$ with $|F_l|_{\sup} \leqslant 1$, and hence the expression of F contains at most countably many elements of K.

Proof. — Pick any sequence $x_n \in X(k)$ such that $f(x_n)$ are also rigid, and assume that $\lim_n x_n = x$. Here x may be non-rigid. We may replace X by some affinoid neighbourhood of x and assume that $f = \pi_{K/k} \circ F \circ \sigma_{K/k}$ for some complete extension K/k and some K-analytic map F. Observe that $f(x_n) = F(x_n) \in Y(k)$. We may as well replace Y by an affinoid neighbourhood of $f(x)$ and embed it in some polydisk $\overline{\mathbb{D}}^s$.

Let $\mathcal{A}$ be the underlying k-affinoid algebra of X. The map F is then determined by elements $F_1, \ldots, F_s$ in the K-affinoid algebra $\mathcal{A}_K$ with $|F_l|_{\sup} \leqslant 1$. Pick any real number $\alpha > 1$. By [5, Proposition 2.7.2/3] there is an α-cartesian Schauder basis $\{e_j\}_{j \in \mathbb{N}}$ of K, and we may choose $e_0 = 1$ by [5, Proposition 2.6.2./3].

Fix an epimorphism $\mathcal{T}_M \to \mathcal{A}_K$ and lift every F_l to an element G_l in $\mathcal{T}_M$. Then for every $l = 1, \ldots, s$ we can develop $G_l = \sum_I a_I^l T^I$ with $a_I^l \in K$ and such that $|a_I^l|_K \to 0$ as $|I|$ goes to infinity. Using the Schauder basis we may find elements $a_{I,j}^l \in k$ such that $a_I^l = \sum_j a_{I,j}^l e_j$ and satisfying

$$|a_{I,j}^l|_k \leqslant \max_j |a_{I,j}^l|_k \leqslant \alpha |a_I^l|_K.$$

Since $\alpha |a_I^l|_K \to 0$ as $|I|$ goes to infinity, the series $A_l^j = \sum_I a_{I,j}^l T^I$ defines an element in $\mathcal{T}_M$. Thus, we obtain a converging power series $G_l = \sum_j \left(\sum_I a_{I,j}^l T^I \right) e_j$. Recall that $F_l(x_n) \in k$ for all n, and so $G_l(x_n) \in k$. We infer that for $j \geqslant 1$ and for all n, $A_l^j(x_n) = 0$. Each of these A_l^j defines in turn an analytic map on X that vanishes at every x_n, and hence is constant equal to zero on X by the principle of isolated zeros. It follows that $F_{l|X} = A_l^0$ for every $1 \leqslant i \leqslant s$, thus they are defined over k.   □

We observe that the previous result does not hold in higher dimension.

Example 6.7. — Let $\zeta_n \in k$, $|\zeta_n| = 1$, $|\zeta_n - \zeta_m| = 1$ for $n \neq m$. Let f be the weakly analytic map obtained as the limit of the sequence $f_n \colon \mathbb{D}^2 \to \overline{\mathbb{D}}^1$, given on the rigid points by $f_n(z_1, z_2) = \zeta_n z_1 + z_2$. The map f is not analytic, since the rigid point $(\lambda, 0) \in \mathbb{D}^2$, $0 < |\lambda| < 1$, is mapped to the point in $\overline{\mathbb{D}}$ corresponding to the closed ball $\overline{B}(0; |\lambda|)$. However, the set $\{0\} \times \mathbb{D}^1(k)$ is mapped to the set of rigid points.

A consequence of the previous result is the following statement that can be viewed as the principle of isolated zeroes for weakly analytic maps.

PROPOSITION 6.8. — *Let $f \colon X \to Y$ be a non constant weakly analytic map where X is a curve without boundary. Then the fibre of any rigid point in Y contains no accumulation point.*

Proof. — Let $y \in Y(k)$ and suppose there exist points $x_n \in X$ converging to a point x and such that $f(x_n) = y$ for all n. In this situation, we

may assume $Y = \bar{\mathbb{D}}^s$, $y = (0, \ldots, 0)$ and replace X with some affinoid neighbourhood of x such that f lifts to a K-analytic map F over some complete extension K/k. This map F is given by some elements $F_1, \ldots, F_s$ in the underlying K-affinoid algebra of X_K of norm at most 1.

The point y is rigid and so it has only one preimage under $\pi_{K/k}$. Thus,

$$(0, \ldots, 0) = f(x_n) = F \circ \sigma_{K/k}(x_n) \in \bar{\mathbb{D}}^s_K$$

for all n. Since X is a curve and F is non-constant (otherwise f would be so), $F^{-1}(0)$ is included in the set of rigid points of X. It follows that every $\sigma_{K/k}(x_n)$ is rigid. Each component F_l of F defines an analytic map between the curves X_K and $\bar{\mathbb{D}}_K$ and admits a sequence of zeros with an accumulation point $\sigma_{K/k}(x)$. It follows that every F_l is identically zero, hence so is f. □

6.4. A conjecture on weakly analytic maps

On basic tubes, we conjecture that weakly analytic maps can be globally lifted to analytic maps.

CONJECTURE 6.9. — *Let Y be a k-affinoid space and X a basic tube. Let $f \colon X \to Y$ be a weakly analytic map. Then, there exist a complete extension K/k and $F \colon X_K \to Y_K$ analytic such $f = \pi_{K/k} \circ F \circ \sigma_{K/k}$.*

Notice that a weakly analytic map can be locally lifted to an analytic map over some complete extension of k. Conjecture 6.9 means that this can be done globally.

Remark 6.10. — In the case when X and Y are polydisks, Conjecture 6.9 amounts to saying that the map Ev is surjective onto the set $\mathrm{WA}(X, Y)$.

The map Ev becomes closed by Theorem 4.8 for the topology of the pointwise convergence, and so $\mathrm{WA}(X, Y)$ becomes Fréchet–Urysohn for this topology.

Observe that if Conjecture 6.9 holds, then using Theorem 4.9 we have:

THEOREM 6.11. — *Suppose that Conjecture 6.9 holds.*
Let X be a boundaryless σ-compact k-analytic space and Y a k-affinoid space. Then, every sequence of weakly analytic maps $f_n \colon X \to Y$ admits a subsequence that is pointwise converging to a weakly analytic map $f \colon X \to Y$.

As a consequence, we have:

COROLLARY 6.12. — *Suppose that Conjecture 6.9 holds. Let X be a boundaryless σ-compact k-analytic space and Y a k-affinoid space. Let $\{f_n\} \subset \mathrm{WA}(X, Y)$ be a sequence converging to some continuous map f. Then, f is weakly analytic.*

7. Applications to dynamics

In this section, we attach two different notions of Fatou sets to an endomorphism f of the projective space $\mathbb{P}^{N,\mathrm{an}}$ of degree at least 2 and study their geometry, which exhibit similar properties to the complex case.

We will assume that the base field k is a complete non-Archimedean field that is algebraically closed and nontrivially valued.

7.1. Strongly pluriharmonic functions

We recall the definition from [7]:

DEFINITION 7.1. — *Let X be any boundaryless k-analytic space. A continuous function $u\colon X \to \mathbb{R}$ is strongly pluriharmonic if for every $x \in X$ there exist an open neighbourhood U of x, a sequence of invertible analytic functions h_n on U and real numbers b_n such that*

$$u = \lim_{n \to +\infty} b_n \cdot \log |h_n|$$

locally uniformly on U.

Harmonic functions have been widely studied in dimension 1. Baker–Rumely [1] and Favre–Rivera Letelier [14], and Thuillier [35] have defined non-Archimedean analogues of the Laplacian operator, on $\mathbb{P}^{1,\mathrm{an}}$ and on general analytic curves respectively.

If X is an analytic curve, strongly harmonic functions are harmonic in the sense of Thuillier. It is not known yet whether the converse holds, see [7, Remark 2.4.6]. However, if X is a connected open subset of $\mathbb{P}^{1,\mathrm{an}}$, then all definitions agree by [1, Corollary 7.32].

Observe that over $\mathbb{C}$, pluriharmonic functions are in fact locally the logarithm of the norm of an invertible function, whereas this is not true in the non-Archimedean setting. Counterexamples appear already for curves, see [7, Section 2.3].

Remark 7.2. — Let X be any boundaryless k-analytic space. The set of all strongly pluriharmonic functions on X forms a $\mathbb{R}$-vector space.

7.2. Harmonic functions on open subsets of $\mathbb{P}^{1,\mathrm{an}}$

Recall from [2, Section 4.2] that the analytic projective line $\mathbb{P}^{1,\mathrm{an}}$ is the one-point compactification of $\mathbb{A}^{1,\mathrm{an}}$, which consists of all the multiplicative seminorms on $k[T]$ whose restriction to k coincides with the norm on k. The analytic affine line $\mathbb{A}^{1,\mathrm{an}}$ is endowed with the weakest topology such that all the maps of the form $x \in \mathbb{A}^{1,\mathrm{an}} \mapsto |f(x)|$ with $f \in k[T]$ are continuous. The points in $\mathbb{A}^{1,\mathrm{an}}$ can be explicitly described as follows [2, Section 1.4.4].

Pick $a \in k$ and $r \in \mathbb{R}_+$ and denote by $\bar{B}(a; r)$ the closed ball in k centered at a and of radius r. To $\bar{B}(a; r)$ we can associate a point $\eta_{a,r} \in \mathbb{A}^{1,\mathrm{an}}$ by setting $|P(\eta_{a,r})| := \sup_{|y-a| \leqslant r} |P(y)|$ for every polynomial $P \in k[T]$. Points of the form $\eta_{a,0}$ are called type I points, and these are precisely the rigid points of $\mathbb{A}^{1,\mathrm{an}}$. Consider the point $\eta_{a,r}$ with $r > 0$. If $r \in |k^\times|$ we say that $\eta_{a,r}$ is of type II and if $r \notin |k^\times|$ of type III. A decreasing sequence of closed balls $\bar{B}(a_i; r_i)$ in k with empty intersection defines a sequence of points $\eta_{a_i,r_i} \in \mathbb{A}^{1,\mathrm{an}}$. The latter sequence converges in $\mathbb{A}^{1,\mathrm{an}}$ and its limit point is called a type IV point. Any point in $\mathbb{A}^{1,\mathrm{an}}$ is of one of these four types.

It is a fundamental fact that the Berkovich projective line carries a tree structure. Roughly speaking, it is obtained by patching together one-dimensional line segments in such a way that it contains no loop. We refer to [19, Section 2] for a precise definition. Suffice it to say that for any two points $x, y \in \mathbb{P}^{1,\mathrm{an}}$ there exists a closed subset $[x, y] \subset \mathbb{P}^{1,\mathrm{an}}$ containing x and y that can be endowed with a partial order making it isomorphic to the real closed unit interval $[0, 1]$ or to $\{0\}$. These ordered sets are required to satisfy a suitable set of axioms. For instance, for any triple x, y, z there exists a unique point w such that $[z, x] \cap [y, x] = [w, x]$ and $[z, y] \cap [x, y] = [w, y]$. Any subset of the form $[x, y]$ is called a segment.

As a consequence, $\mathbb{P}^{1,\mathrm{an}}$ is uniquely path-connected, meaning that given any two distinct points $x, y \in \mathbb{P}^{1,\mathrm{an}}$ the image of every injective continuous map γ from the real unit interval $[0, 1]$ into $\mathbb{P}^{1,\mathrm{an}}$ with $\gamma(0) = x$ and $\gamma(1) = y$ is isomorphic to the segment $[x, y]$.

A nonempty closed subset $\Gamma \subseteq \mathbb{P}^{1,\mathrm{an}}$ is called a subtree if it is connected. An endpoint of Γ is a point $x \in \Gamma$ such that $\Gamma \backslash \{x\}$ either remains connected or is empty. For every subtree Γ of $\mathbb{P}^{1,\mathrm{an}}$ there is a canonical retraction $r_\Gamma \colon \mathbb{P}^{1,\mathrm{an}} \to \Gamma$, which sends a point $x \in \mathbb{P}^{1,\mathrm{an}}$ to the unique point in Γ such that the intersection of the segment $[x, r_\Gamma(x)]$ with Γ consists only of the point $r_\Gamma(x)$.

A strict finite subtree Γ of $\mathbb{P}^{1,\mathrm{an}}$ is the convex hull of finitely many type II points $x_1, \ldots, x_n$. As a set, it is the union of all the paths $[x_i, x_j]$, $i, j = 1, \ldots, n$.

Recall that a disk in $\mathbb{P}^{1,\mathrm{an}}$ is by definition either a disk in $\mathbb{A}^{1,\mathrm{an}}$ or the complement of a disk in $\mathbb{A}^{1,\mathrm{an}}$. Basic tubes in $\mathbb{P}^{1,\mathrm{an}}$ are *strict simple domains* in the terminology of [1]. They are either $\mathbb{P}^{1,\mathrm{an}}$ or strict open disks in $\mathbb{P}^{1,\mathrm{an}}$ with a finite number of strict closed disks of $\mathbb{P}^{1,\mathrm{an}}$ removed. In particular, basic tubes different from $\mathbb{P}^{1,\mathrm{an}}$ and strict open disks can be obtained as an inverse image $r_\Gamma^{-1}(\Gamma^0)$, where Γ is a strict finite subtree of $\mathbb{P}^{1,\mathrm{an}}$ and Γ^0 the open subset of Γ consisting of Γ with its endpoints removed.

Similarly, every connected affinoid domain of $\mathbb{P}^{1,\mathrm{an}}$ is either a closed disk or a closed disk in $\mathbb{P}^{1,\mathrm{an}}$ with a finite number of open disks of $\mathbb{P}^{1,\mathrm{an}}$ removed. In particular, an affinoid subset of the form $\overline{\overline{\mathbb{D}}}(a;r)\backslash\bigcup_{i=1}^n \mathbb{D}(a_i;r_i)$ is homeomorphic to the Laurent domain of underlying k-affinoid algebra

$$k\{r^{-1}(T-a), r_1 S_1, \ldots, r_n S_n\}/(S_1(T-a_1)-1, \ldots, S_n(T-a_n)-1).$$

Given a subset $W \subset \mathbb{P}^{1,\mathrm{an}}$, denote by $\overline{W}$ its closure and by $\partial_{\mathrm{top}}W$ its topological boundary. If W is a basic tube strictly contained in $\mathbb{P}^{1,\mathrm{an}}$, then $\partial_{\mathrm{top}}W$ consists of a finite set of type II points.

PROPOSITION 7.3. — *Let U be a proper connected open subset of $\mathbb{P}^{1,\mathrm{an}}$. Then there exist an increasing sequence W_m of basic tubes of $\mathbb{P}^{1,\mathrm{an}}$ exhausting U and a sequence of strictly affinoid subspaces X_m of $\mathbb{P}^{1,\mathrm{an}}$ satisfying*

$$\overline{W}_m \subset X_m \subset W_{m+1} \subset U$$

for every $m \in \mathbb{N}^*$.

The proof makes extensive use of the tree structure of $\mathbb{P}^{1,\mathrm{an}}$. Recall from [1, Appendix B] that the tangent space at a point $x \in \mathbb{P}^{1,\mathrm{an}}$ is defined as the set $T_x\mathbb{P}^{1,\mathrm{an}}$ of paths leaving from x modulo the relation having a common initial segment. The space $T_x\mathbb{P}^{1,\mathrm{an}}$ is in bijection with the connected components of $\mathbb{P}^{1,\mathrm{an}} \setminus \{x\}$. Given any tangent direction $\vec{v} \in T_x\mathbb{P}^{1,\mathrm{an}}$, we denote by $U(\vec{v})$ the corresponding connected component of $\mathbb{P}^{1,\mathrm{an}} \setminus \{x\}$.

Proof. — By [1, Corollary 7.11] there exists a sequence of basic tubes W_m exhausting U and such that $\overline{W}_m \subset W_{m+1} \subset U$ for every $m \in \mathbb{N}^*$.

Fix a positive integer $m > 0$. As we have assumed that U is strictly contained in $\mathbb{P}^{1,\mathrm{an}}$, the topological boundary of W_m is a non-empty finite set of type II points of $\mathbb{P}^{1,\mathrm{an}}$. The convex hull Γ_m of $\partial_{\mathrm{top}}W_m$ is thus a subgraph of $\mathbb{P}^{1,\mathrm{an}}$ with finitely many endpoints.

If W_m is an open disk, we set X_m to be the closed disk of same centre and same radius as W_m. Otherwise, consider the following strict finite subtree Γ of $\mathbb{P}^{1,\mathrm{an}}$. Let Γ_m^0 be the open subset of Γ_m consisting of Γ_m with its endpoints removed. Pick a point x in $\Gamma_m \setminus \Gamma_m^0$. There are at most finitely many tangent directions at x containing points of the complement in U and

not contained in Γ_m. For every such tangent direction, attach a segment to Γ_m in that direction and in such a way that it is contained in W_{m+1} and such that its endpoint is a type II point. If no such tangent direction exists, lengthen that edge ending at x such that the new endpoint is again of type II and belongs to W_{m+1}. Denote by Γ the strict finite subtree obtained this way. Observe that all the boundary points of Γ_m are contained in Γ^0.

Let $r_\Gamma \colon \mathbb{P}^{1,\mathrm{an}} \to \Gamma$ be the natural retraction map. The basic tube W_m is precisely $r_\Gamma^{-1}(\Gamma_m^0)$. Setting $X_m = r_\Gamma^{-1}(\Gamma_m)$, clearly one has $\overline{W}_m \subset X_m \subset W_{m+1}$. Let $x_{i_1}, \ldots, x_{i_m}$ be the endpoints of Γ_m, where $x_{i_j} = \eta_{a_{i_j}, r_{i_j}}$ are of type II. The set X_m is homeomorphic to $\mathbb{P}^{1,\mathrm{an}}$ minus the strict open disks $\mathbb{D}(a_{i_j}; r_{i_j})$, $j = 1, \ldots, m$, and is thus strictly affinoid. $\qquad\square$

The following proposition will be essential for the proof of Theorem 1.3.

PROPOSITION 7.4. — Let U be a basic tube in $\mathbb{P}^{1,\mathrm{an}}$. There exists a positive constant C depending only on U such that for every harmonic function $g \colon U \to \mathbb{R}$ there exists an analytic function $h \colon U \to \mathbb{A}^{1,\mathrm{an}} \setminus \{0\}$ such that
$$\sup_U |g - \log|h|| \leqslant C.$$

Proof. — If U is either $\mathbb{P}^{1,\mathrm{an}}$ or $\mathbb{D}$, the assertion is trivial, because every harmonic function on $\mathbb{D}$ or on $\mathbb{P}^{1,\mathrm{an}}$ is constant by [1, Proposition 7.12]. We may thus assume that U is of the form $\mathbb{D} \setminus \cup_{i=1}^m \overline{\mathbb{D}}(a_i, r_i)$ with $r_i \in |k^\times|$, $0 < r_i < 1$ and $|a_i| < 1$ for $i = 1, \ldots, m$. The topological boundary of U consists of $m + 1$ type II points.

By the Poisson formula [1, Proposition 7.23], we may find real numbers $c_0, \ldots, c_m$ with $\sum_{i=1}^m c_i = 0$ such that for all $z \in U$
$$g(z) = c_0 + \sum_{i=1}^m c_i \cdot \log|(T - a_i)(z)|.$$

Pick non-zero integers $n_1, \ldots, n_m$ such that $|c_i - n_i| < 1$ and $b \in k$ such that $|\log|b| - c_0| < 1$. Consider the map $h \colon U \to \mathbb{A}^{1,\mathrm{an}} \setminus \{0\}$,
$$h(z) = b \prod_{i=1}^m (T - a_i)^{n_i}(z).$$

Since $a_i \notin U$, the function $\log|h|$ is harmonic on U and we have
$$\sup_U |g - \log|h|| \leqslant |c_0 - \log|b|| + \sum_{i=1}^m |c_i - n_i| \cdot \sup_U \log|(T - a_i)(z)|.$$

The functions $\log|(T - a_i)(z)|$ are bounded on U and it follows that the right-hand side of the inequality is bounded. $\qquad\square$

7.3. Green functions after Kawaguchi–Silverman

Consider an endomorphism of the N-dimensional projective analytic space $f\colon \mathbb{P}^{N,\mathrm{an}} \to \mathbb{P}^{N,\mathrm{an}}$ of degree $d \geqslant 2$. Denote by f^n its n-th iterate. Fixing homogeneous coordinates, such a map can be written as $f = [F_0 : \cdots : F_N]$, with F_i homogeneous polynomials of degree d without nontrivial common zeros.

Denote by $\rho\colon \mathbb{A}^{N+1,\mathrm{an}} \setminus \{0\} \to \mathbb{P}^{N,\mathrm{an}}$ the natural projection map. An endomorphism f of $\mathbb{P}^{N,\mathrm{an}}$ can be lifted to a map $F\colon \mathbb{A}^{N+1,\mathrm{an}} \to \mathbb{A}^{N+1,\mathrm{an}}$ such that $\rho \circ F = f \circ \rho$. One can take for instance $F = (F_0, \ldots, F_N)$. In the sequel, we will always choose lifts of f such that all the coefficients of the F_i's lie in k° and at least one of them has norm 1.

Given $T_0, \ldots, T_N$ affine coordinates of $\mathbb{A}^{N+1,\mathrm{an}}$ and a point $z \in \mathbb{A}^{N+1,\mathrm{an}}$, we define its norm as $|z| = \max_{0 \leqslant i \leqslant N} |T_i(z)|$. Analogously, we set $|F(z)| = \max_{0 \leqslant i \leqslant N} |F_i(z)|$. With these norms in hand, we may now define the Green function associated to f following Kawaguchi and Silverman [20, 21], see [30] for the complex case.

PROPOSITION 7.5. — *The sequence of functions*

$$G_n(z) = \frac{1}{d^n} \log |F^n(z)|$$

converges uniformly on $\mathbb{A}^{N+1,\mathrm{an}}$.

One defines the dynamical Green function associated to f as $G_f(z) = \lim_{n \to \infty} G_n$.

Proof. — Let us show that the limit $\lim_n G_n$ exists. The upper bound $|F(z)| \leqslant |z|^d$ is clear. Since the polynomials F_i have no common zeros other than the origin, by the homogeneous Nullstellensatz we may find a positive integer s such that the homogeneous polynomial $T_i^s \in k[T_0, \ldots, T_N]$ belongs to the ideal generated by $F_0, \ldots, F_N$ for every $i = 0, \ldots, N$. That is, for every i there are homogeneous polynomials $\lambda_j^i \in k[T_0, \ldots, T_N]$ such that $T_i^s = \sum_{j=0}^N \lambda_j^i F_j$. For any $z \in \mathbb{A}^{N+1,\mathrm{an}}$, we have:

$$|z|^s = \max_{0 \leqslant i \leqslant N} |z_i|^s \leqslant \max_{0 \leqslant i,j \leqslant N} |\lambda_j^i(z) F_j(z)| \leqslant \max_{0 \leqslant j \leqslant N} C |z|^{s-d} \cdot \max_{0 \leqslant j \leqslant N} |F_j(z)|$$

for some positive constant C depending only on the polynomials λ_j^i. Hence, for all z we have that

(7.1) $$\frac{1}{C} \cdot |z|^d \leqslant |F(z)| \leqslant |z|^d,$$

and so

$$\frac{1}{C} \cdot |F^n(z)|^d \leqslant |F^{n+1}(z)| \leqslant |F^n(z)|^d.$$

Set $C_1 = |\log \frac{1}{C}|$. Taking logarithms, one obtains

(7.2)
$$|G_{n+1}(z) - G_n(z)| \leqslant \frac{C_1}{d^n}$$

for every z. By the ultrametric inequality, $|G_{n+j}(z) - G_n(z)| \leqslant \frac{C_1}{d^n}$ for all $j \geqslant 0$ and for all n, and so the limit $G_f = \lim_{n \to \infty} G_n$ exists. □

Remark 7.6. — Letting j go to infinity in (7.2), one obtains the inequality

(7.3)
$$|G_f - G_n| \leqslant \frac{C_1}{d^n}.$$

THEOREM 7.7 ([20]).
  (i) The function G_f is continuous.
  (ii) For every $\lambda \in k^*$ and for every $z \in \mathbb{A}^{N+1,\mathrm{an}}$, we have
  $$G_f(\lambda \cdot z) = G_f(z) + \log |\lambda|.$$
  (iii) There exists a positive constant C such that
  $$\sup_{z \in \mathbb{A}^{N+1,\mathrm{an}}} |G_f(z) - \log |z|| \leqslant C.$$

7.4. Fatou and Julia sets

Let us first discuss the one-dimensional situation, both in the complex and in the non-Archimedean setting.

Recall that there are several characterizations of the Fatou and Julia sets of an endomorphism f of $\mathbb{P}^1_{\mathbb{C}}$. The Fatou set $F(f)$ can be defined as the normality locus of the family of the iterates of f, and the Julia set $J(f)$ as its complement. Equivalently, one can set $J(f)$ to be the support of the unique measure of maximal entropy, also referred to as the equilibrium measure, see [30], or as the closure of the repelling periodic points.

Some of these equivalences have a non-Archimedean counterpart. There is a well-defined notion of the canonical measure of an endomorphism f of $\mathbb{P}^{1,\mathrm{an}}$ (see [12, 13] and [1, Section 10.1]), and so one sets $J(f)$ to be its support and $F(f)$ its complement. Using a similar definition of normality as ours, it can be shown that the Fatou set agrees with the normality locus of the family of the iterates of f [11, Theorem 5.4].

One may as well consider the Fatou and Julia sets in restriction to the set of rigid points of $\mathbb{P}^{1,\mathrm{an}}$, see [31] for a survey on the topic. However, notice that if f is a map with good reduction, i.e. if the reduction $\tilde{f}$ of f is a selfmap of $\mathbb{P}^1_{\tilde{k}}$ of the same degree as f, then its Julia set contains no rigid points [31, Theorem 2.17].

We mention the following two characterizations of the intersections of $J(f)$ and $F(f)$ with $\mathbb{P}^{1,\mathrm{an}}(k)$. It was shown in [11, Theorem C] that the intersection of the Fatou set $F(f)$ with the set of rigid points in $\mathbb{P}^{1,\mathrm{an}}$ agrees with the set of rigid points where the sequence of the iterates f^n is equicontinuous with respect to the chordal metric on $\mathbb{P}^{1,\mathrm{an}}(k)$.

The Fatou set of a non-invertible complex endomorphism f of $\mathbb{P}^N_{\mathbb{C}}$ for $N \geqslant 2$ is defined as the normality locus of the family of the iterates. Its complement is the support of the Green current, which is the unique positive closed $(1,1)$-current that is forward invariant by f, see [30, Théorème 1.6.5] for a proof. There are several possible definitions for the Julia set of f, see [30, Définition 3.31]. We define the Julia set of f as the complement of the Fatou set.

We now explore the non-Archimedean higher dimensional case. Recall that a family $\mathcal{F}$ of analytic selfmaps of $\mathbb{P}^{N,\mathrm{an}}$ is normal at a point $x \in \mathbb{P}^{N,\mathrm{an}}$ if there exists a neighbourhood $V \ni x$ such that every sequence $\{f_n\}$ in $\mathcal{F}$ admits a subsequence f_{n_j} that is pointwise converging on V to some continuous map $f \colon V \to \mathbb{P}^{N,\mathrm{an}}$. We consider two different Fatou sets of f:

DEFINITION 7.8. — *The normal Fatou set $F_{\mathrm{norm}}(f)$ of an endomorphism $f \colon \mathbb{P}^{N,\mathrm{an}} \to \mathbb{P}^{N,\mathrm{an}}$ of degree at least 2 is the set of all points $z \in \mathbb{P}^{N,\mathrm{an}}$ where the family $\{f^n\}$ is normal.*

The normal Julia set $J_{\mathrm{norm}}(f)$ is the complement of $F_{\mathrm{norm}}(f)$.

DEFINITION 7.9. — *Let $\rho \colon \mathbb{A}^{N+1,\mathrm{an}} \to \mathbb{P}^{N,\mathrm{an}}$ be the usual map. We define the harmonic Fatou set $F_{\mathrm{harm}}(f)$ of f as the set of points $z \in \mathbb{P}^{N,\mathrm{an}}$ having a neighbourhood U such that the Green function G_f is strongly pluriharmonic on $\rho^{-1}(U)$.*

The harmonic Julia set $J_{\mathrm{harm}}(f)$ is the complement of $F_{\mathrm{harm}}(f)$.

It follows directly from the definitions that both Fatou sets $F_{\mathrm{norm}}(f)$ and $F_{\mathrm{harm}}(f)$ are open and totally invariant.

The set $J_{\mathrm{harm}}(f)$ is always nonempty. Indeed, Chambert-Loir has constructed a natural invariant probability measure μ_f on $\mathbb{P}^{N,\mathrm{an}}$ and shown that its support is contained in the complement of the locus where G_f is strongly pluriharmonic, see [7, Proposition 2.4.4]. In other words, the support of μ_f is included in the harmonic Julia set of f.

We do not know whether the Fatou set is always non-empty.

Example 7.10. — Let $z \in \mathbb{P}^{N,\mathrm{an}}$ be any rigid fixed point for f such that the eigenvalues of its differential $Df(z)$ are all of norm at most 1. Then, we may find an arbitrarily small open neighbourhood U of z which is f-invariant, i.e. such that $f(U) \subseteq U$. After maybe reducing U, we may assume

that $U \subset \{z_0 = 1, |z_i| < 2, i = 1, \ldots, N\}$. We thus have:

$$
\begin{aligned}
G_n &= \frac{1}{d^n} \log |(F_0^n, \ldots, F_N^n)| \\
&= \frac{1}{d^n} \log |F_0^n| + \frac{1}{d^n} \log \max_{1 \leqslant i \leqslant N} \left| \frac{F_i^n}{F_0^n} \right|.
\end{aligned}
$$

The second term converges uniformly to 0. On the open set $\rho^{-1}(U)$, the function G_f is thus the uniform limit of the sequence $\frac{1}{d^n} \log |F_0^n|$, hence strongly pluriharmonic. Hence z belongs to the harmonic Fatou set.

In dimension 1, it follows from the Woods Hole formula that any rational map admits at least one indifferent fixed point p, i.e. such that $|f'(p)| = 1$. We observe that the same result holds for any polynomial map $f \colon \mathbb{A}^{2,\mathrm{an}} \to \mathbb{A}^{2,\mathrm{an}}$ that extends to an endomorphism of $\mathbb{P}^{2,\mathrm{an}}$ so that $F_{\mathrm{harm}}(f) \neq \emptyset$ in this case.

Remark 7.11. — In [21], the authors define the Fatou set of an endomophism of the N-th projective space $\mathbb{P}_k^N$ as the equicontinuity locus of the family of iterates, which they prove to be the same as the locus where it is locally uniformly Lipschitz. However, the definition of the Fatou set in terms of equicontinuity presents some difficulties already in dimension one. Indeed, let k be a field of characteristic $p > 0$ and consider the polynomial $f(z) = pz^2 + cz$, with $|c| = 1$. Then, the family of the iterates f^n is normal at the Gauss point, but it is not equicontinuous at x_g, see [1, Example 10.53].

7.5. Comparison between F_{norm} and F_{harm}

We expect our two notions of Fatou sets to coincide.

CONJECTURE 7.12. — *For every non-invertible endomorphism f of the projective space, we have that $F_{\mathrm{norm}}(f) = F_{\mathrm{harm}}(f)$.*

In dimension 1, the equality follows from [11, Theorem 5.4], and we are able to prove one inclusion in general. Our argument relies on the following result which gives a characterization of $F_{\mathrm{harm}}(f)$ in terms of a sort of equicontinuity property for the iterates of f. Its proof follows its complex counterpart.

PROPOSITION 7.13. — *Let $f \colon \mathbb{P}^{N,\mathrm{an}} \to \mathbb{P}^{N,\mathrm{an}}$ be an endomorphism of degree $d \geqslant 2$ and U an open subset of $\mathbb{P}^{N,\mathrm{an}}$.*

The Green function G_f is strongly pluriharmonic on the open set $\rho^{-1}(U) \subset \mathbb{A}^{N+1,\mathrm{an}} \setminus \{0\}$ if and only if there exists a positive constant C_1 such that for every $n \in \mathbb{N}$ there exists a lift F_n of f^n on U and such that $e^{-C_1} \leqslant |F_n| \leqslant e^{C_1}$ on $\rho^{-1}(U)$ for all $n \in \mathbb{N}$.

This result together with Theorem 1.1 implies the following:

COROLLARY 7.14. — *The harmonic Fatou set $F_{\mathrm{harm}}(f)$ is contained in $F_{\mathrm{normal}}(f)$.*

Proof of Proposition 7.13. — Pick any lift $F = (F_0, \ldots, F_N)$ of f, where $F_i \in k[T_0, \ldots, T_N]$ are homogeneous polynomials of degree d without nontrivial common zeros. We may assume that $\sup_{\mathbb{D}} |F(z)| = 1$. Recall from (7.3) that there exists a positive constant C_1 such that $|G_f - G_n| \leqslant \frac{C_1}{d^n}$ for all $n \in \mathbb{N}$.

Let $U \subseteq \mathbb{P}^{N,\mathrm{an}}$ be a an open subset on which G_f is strongly pluriharmonic. Let $h_n \in \mathcal{O}_{\mathbb{A}^{N+1}}^{\times}(U)$ and let b_n be non-zero real numbers such that G_f is the uniform limit of the sequence $b_n \cdot \log |h_n|$. After maybe extracting a subsequence and renumbering it, we may assume that

$$|G_f - b_n \cdot \log |h_n|| \leqslant \frac{C_1}{d^n} \quad \forall\, n \gg 0$$

on U. Thus, we have

$$\left| \frac{1}{d^n} \log |F^n| - b_n \cdot \log |h_n| \right| = \left| \frac{1}{d^n} \log \left(\frac{|F^n|}{|h_n|^{b_n \cdot d^n}} \right) \right|$$
$$\leqslant \max \left\{ |G_f - b_n \cdot \log |h_n||, |G_f - G_n| \right\}$$
$$\leqslant \frac{C_1}{d^n}.$$

So we see that for $n \gg 0$

$$(7.4) \qquad\qquad e^{-C_1} \leqslant \frac{|F^n|}{|h_n|^{b_n \cdot d^n}} \leqslant e^{C_1}.$$

Since the functions h_n have no zeros on U, each $F_n := \frac{F^n}{h_n^{b_n \cdot d^n}}$ is a lift of f^n.

Assume conversely that on U, for every $n \in \mathbb{N}$ there exists a lift F_n of f^n such that $e^{-C_1} \leqslant |F_n| \leqslant e^{C_1}$ for some positive constant C_1. Then, for every $n \in \mathbb{N}$ we may choose a non-vanishing function h_n on U such that $F^n = h_n \cdot F_n$. It follows that

$$G_n = \frac{1}{d^n} \log |F^n| = \frac{1}{d^n} \log |h_n| + \frac{1}{d^n} \log |F_n|.$$

The second term converges uniformly to 0. On the open set $\rho^{-1}(U)$, the function G_f is thus the uniform limit of the sequence $\frac{1}{d^n} \log |h_n|$, hence strongly pluriharmonic. $\qquad\square$

7.6. Hyperbolicity of the Fatou components

Recall that $\mathrm{Mor}_k(X, Y)$ denotes the set of analytic maps from X to Y.

DEFINITION 7.15. — *Let Ω be a relatively compact subset of an analytic space Y and U a basic tube.*

The family $\mathrm{Mor}_k(U, \Omega)$ is said to be normal *if for every sequence of analytic maps $\{f_n\} \subset \mathrm{Mor}_k(U, \Omega)$ there exists a subsequence f_{n_j} that is pointwise converging to a continuous map $f \colon U \to Y$.*

Remark 7.16. — In the complex setting, the previous definition corresponds to the family $\mathrm{Hol}(U, \Omega)$ being relatively compact in $\mathrm{Hol}(U, Y)$. The complex definition of normality for a non-compact target is slightly different, since it allows for a sequence to be compactly divergent [23, Section I.3].

Let $f \colon \mathbb{P}^{N,\mathrm{an}} \to \mathbb{P}^{N,\mathrm{an}}$ be an endomorphism of degree at least 2. Theorem 1.3 thus states that for every connected component Ω of the harmonic Fatou set $F_{\mathrm{harm}}(f)$ and for every connected open subset U of $\mathbb{P}^{1,\mathrm{an}}$, the family $\mathrm{Mor}_k(U, \Omega)$ is normal.

Proof of Theorem 1.3. — Let Ω be a connected component of $F_{\mathrm{harm}}(f)$ of an endomorphism $f \colon \mathbb{P}^{N,\mathrm{an}} \to \mathbb{P}^{N,\mathrm{an}}$ of degree at least 2. Let U be any connected open subset of $\mathbb{P}^{1,\mathrm{an}}$. Our aim is to show that the family $\mathrm{Mor}_k(U, \Omega)$ is normal.

The projective space $\mathbb{P}^{N,\mathrm{an}}$ can be covered by $N + 1$ charts $V_0, \dots, V_N$ analytically isomorphic to $\bar{\mathbb{D}}^N$. For every $i = 0, \dots, N$, let $s_i \colon \{z \in \mathbb{P}^{N,\mathrm{an}} : z_i \neq 0\} \to \mathbb{A}^{N+1,\mathrm{an}}$ be the analytic local section of ρ sending the point $z = [z_0 : \cdots : z_N]$ to $(\frac{z_0}{z_i}, \dots, \frac{z_{i-1}}{z_i}, 1, \frac{z_{i+1}}{z_i}, \dots, \frac{z_N}{z_i})$. Let $g \colon U \to \Omega$ be an analytic map. We claim that for any compact subset $\mathrm{K} \subset U$ the map $g_{|\mathrm{K}}$ admits a lift to $\rho^{-1}(\Omega)$.

Suppose first that U is not the whole $\mathbb{P}^{1,\mathrm{an}}$. By Proposition 7.3, there exists a sequence of basic tubes W_m exhausting U and a sequence of affinoid subspaces X_m satisfying

$$\overline{W}_m \subset X_m \subset U.$$

Pick any compact subset $\mathrm{K} \subset U$. For m sufficiently large, K is contained in some X_m. Fix $m \in \mathbb{N}^*$. Cover X_m by sets $U_i^{(m)} = g^{-1}(V_i) \cap X_m$ with $0 \leqslant i \leqslant N$. On every $U_{ij}^{(m)} = g^{-1}(V_i) \cap g^{-1}(V_j) \cap X_m$, we know that $\rho \circ s_i \circ g = \rho \circ s_j \circ g$, and thus $s_i \circ g = \varphi_{ij}^{(m)} \cdot (s_j \circ g)$ for some $\varphi_{ij}^{(m)} \in \mathcal{O}^\times(U_{ij}^{(m)})$. Since X_m is an affinoid subspace of $\mathbb{P}^{1,\mathrm{an}}$ we have that $H^1(X_m, \mathcal{O}^\times) = 0$ by [29]. We may thus find $\varphi_i \in \mathcal{O}^\times(U_i^{(m)})$ and $\varphi_j \in \mathcal{O}^\times(U_j^{(m)})$ such that

$\varphi_{ij}^{(m)} = \frac{\varphi_i^{(m)}}{\varphi_j^{(m)}}$. On X_m, consider the following local lifts of g:

$$\widehat{g}_i^{\,m} \colon U_i^{(m)} \longrightarrow \rho^{-1}(\Omega), \quad \widehat{g}_i^{\,m} = \frac{s_i \circ g}{\varphi_i^{(m)}}.$$

It follows that $\widehat{g}_i^{\,m} = \widehat{g}_j^{\,m}$ on $U_{ij}^{(m)}$, and hence we have a lift $\widehat{g}^m \colon X_m \to \rho^{-1}(\Omega)$ of g as required.

By definition of the harmonic Fatou set, the Green function G_f of f is strongly pluriharmonic on $\rho^{-1}(\Omega)$, and thus $G_f \circ \widehat{g}^m$ is harmonic on X_m.

Let $g_n \colon U \to \Omega$ be a sequence of analytic maps. For every X_m consider the lifts $\widehat{g_n}^{\,m} \colon X_m \to \rho^{-1}(\Omega)$ of the restriction of g_n to X_m constructed above.

Fix a sufficiently large real number $C > 0$ and consider the set $\mathrm{M} = \{z \in \mathbb{A}^{N+1,\mathrm{an}} \setminus \{0\} : \frac{1}{C} \leqslant |G_f(z)| \leqslant C\}$. By Theorem 7.7, the set M is compact. By Proposition 7.4, for every n and every m there exists an analytic map $h_n^m \colon W_m \to \mathbb{A}^{1,\mathrm{an}} \setminus \{0\}$ such that

$$\sup_{W_m} \left| G_f \circ \widehat{g_n}^{\,m} - \log |h_n^m| \right| \leqslant C.$$

We set $\widetilde{g_n}^{\,m} = \frac{\widehat{g_n}^{\,m}}{h_n^m}$. Each $\widetilde{g_n}^{\,m} \colon W_m \to \rho^{-1}(\Omega)$ is a lift of g_n and its image lies in the compact M. By Theorem 1.1, there exists a subsequence of $\widetilde{g_n}^{\,m}$ converging pointwise to a continuous map. By a diagonal extraction argument, we conclude that the family $\mathrm{Mor}_k(U, \Omega)$ is normal.

The case $U = \mathbb{P}^{1,\mathrm{an}}$ follows by writing $\mathbb{P}^{1,\mathrm{an}}$ as a finite union open disks.

$\square$

7.7. Curves in Fatou sets

The aim of this section is to prove Theorem 1.4, i.e. to show that harmonic Fatou components contain no nontrivial image of $\mathbb{A}^{1,\mathrm{an}} \setminus \{0\}$.

We briefly observe the following fact that follows almost directly from the work of Chambert-Loir.

PROPOSITION 7.17. — *Suppose that C is an algebraic curve in $\mathbb{P}^{N,\mathrm{an}}$, and let $f \colon \mathbb{P}^{N,\mathrm{an}} \to \mathbb{P}^{N,\mathrm{an}}$ be any endomorphism of degree at least 2. Then the harmonic Fatou set of f cannot contain a Zariski open subset of C.*

In particular, a Fatou component contains no complete algebraic curve. This supports the conjectural fact that any Fatou component should be Stein (in the sense of [22]). Over the complex numbers, this result is proved in [15, 25, 36], but the proof relies on pluripotential techniques which are not available at the moment over a non-Archimedean field.

Proof of Proposition 7.17. — Since the result is not central to our studies, we shall only give a sketch of proof, which relies on special metrizations of line bundles. We refer to [7, Section 2] for a detailed exposition of these notions. Choose a homogeneous lift $F = (F_0, \ldots, F_N)$ of f to $\mathbb{A}_k^{N+1,\mathrm{an}} \setminus \{0\}$, and consider the associated Green function $G_f = \lim_n \frac{1}{d^n} \log |F^n|$. The function G_f induces a continuous and semi-positive metrization $|\cdot|_F$ in the sense of Zhang on the tautological line-bundle $\mathcal{O}(1)$ on $\mathbb{P}^{N,\mathrm{an}}$, see [7, Section 2.1].

Pick any algebraic curve C in $\mathbb{P}^{N,\mathrm{an}}$. The restriction of the metrized line bundle $(\mathcal{O}(1), |\cdot|_F)$ to C is again continuous and semi-positive. We may thus consider its curvature, see [35, Proposition 4.2.3]. It is a positive measure μ_C on the Berkovich analytification of C of mass $\deg_C(\mathcal{O}(1))$ which does not charge any rigid point, see [35, Section 4.2.1]. The support of μ_C is contained in $J_{\mathrm{harm}}(f)$, which implies the result. □

We shall use the following proposition:

PROPOSITION 7.18. — *Let Ω be an open subset of $\mathbb{P}^{N,\mathrm{an}}$.*
If the family of analytic maps $\mathrm{Mor}_k(\mathbb{A}^{1,\mathrm{an}} \setminus \{0\}, \Omega)$ is normal, then every analytic map $\mathbb{A}^{1,\mathrm{an}} \setminus \{0\} \to \Omega$ is constant.

As a direct application, we obtain:

Proof of Theorem 1.4. — It follows from Theorem 1.3 and Proposition 7.18. □

As a first step in proving Proposition 7.18, we deal with a simpler particular case, that of entire curves.

Proof of the particular case of entire curves. — Let Ω be any open subset of $\mathbb{P}^{N,\mathrm{an}}$ and assume that the family $\mathrm{Mor}_k(\mathbb{A}^{1,\mathrm{an}}, \Omega)$ is normal. Suppose that there exists a non-constant analytic map $g\colon \mathbb{A}^{1,\mathrm{an}} \to \Omega$. Consider the sequence of analytic maps from $\mathbb{A}^{1,\mathrm{an}}$ into Ω given by $f_n(z) = g(z^n)$. By normality there is a subsequence $\{f_{n_j}\}$ that is pointwise converging to a continuous map $f\colon \mathbb{A}^{1,\mathrm{an}} \to \mathbb{P}^{N,\mathrm{an}}$.

The Gauss point x_g is fixed by all the maps $z \mapsto z^n$, and so $f(x_g) = g(x_g)$. For every integer $m > 0$ let $z_m = \eta_{0,1-\frac{1}{m}} \in \mathbb{A}^{1,\mathrm{an}}$. Since every z_m lies in the open unit disk $\mathbb{D}$, we have

$$f(z_m) = \lim_{n_j \to \infty} f_{n_j}(z_m) = \lim_{n_j \to \infty} g\left((z_m)^{n_j}\right) = g(0)$$

for all m. The continuity of f implies that the sequence $(f(z_m))_m$ tend to $f(x_g)$ as m goes to infinity. It follows that $g(x_g) = g(0)$ is a rigid point of Ω. As the source $\mathbb{A}^{1,\mathrm{an}}$ is one-dimensional, g must be constant. □

In order to prove Proposition 7.18, we need to recall some basic topological facts. Recall from Section 7.2 that given a point $x \in \mathbb{P}^{1,\mathrm{an}}$, we denote by $U(\vec{v})$ the connected component of $\mathbb{P}^{1,\mathrm{an}} \setminus \{x\}$ corresponding to the tangent direction $\vec{v} \in T_x\mathbb{P}^{1,\mathrm{an}}$.

Let V be an open subset of $\mathbb{P}^{1,\mathrm{an}}$ and $g \colon V \to \mathbb{P}^{1,\mathrm{an}}$ a non-constant analytic map. For every point $x \in V$, the map g induces a tangent map $\mathrm{d}g(x)$ between T_xV and $T_{g(x)}\mathbb{P}^{1,\mathrm{an}}$. Let $\vec{v}$ be a tangent direction at x that is mapped to $\vec{v}' \in T_{g(x)}\mathbb{P}^{1,\mathrm{an}}$ by $\mathrm{d}g(x)$. Then either $g(U(\vec{v})) = U(\vec{v}')$ or $g(U(\vec{v})) = \mathbb{P}^{1,\mathrm{an}}$. This follows from the fact that the map g is open [1, Corollary 9.10].

Of special interest for us is the case where x is a type II point. Assume for simplicity that both x and $g(x)$ are the Gauss point. The space $T_{x_g}\mathbb{P}^{1,\mathrm{an}}$ is isomorphic to $\mathbb{P}^1_{\tilde{k}}$, and the tangent map $\mathrm{d}g(x) \colon \mathbb{P}^1_{\tilde{k}} \to \mathbb{P}^1_{\tilde{k}}$ and can be described as follows. In homogeneous coordinates g can be written as $g = [G_0 : G_1]$ with $G_0, G_1 \in \mathcal{O}(\mathbb{A}^{1,\mathrm{an}})$ without common zeros by [16, Theorem 2.7.6], where all the coefficients of G_0 and G_1 are of norm less or equal than one and at least one has norm one. Thus, we may consider the reduction map of g, which is a non-constant rational map from $\mathbb{P}^1_{\tilde{k}}$ to itself, and hence surjective. One can show that $\mathrm{d}g(x)$ is given by the reduction of g [1, Corollary 9.25].

Proof of Proposition 7.18. — Suppose that $\mathrm{Mor}_k(\mathbb{A}^{1,\mathrm{an}} \setminus \{0\}, \Omega)$ is normal. We first deal with the case where Ω is contained in $\mathbb{P}^{1,\mathrm{an}}$. Let $g \colon \mathbb{A}^{1,\mathrm{an}} \setminus \{0\} \to \mathbb{P}^{1,\mathrm{an}}$ be a non-constant analytic map. We may assume that it is of the form $g = [G_0 : G_1]$ with $G_i \colon \mathbb{A}^{1,\mathrm{an}} \setminus \{0\} \to \mathbb{A}^{1,\mathrm{an}}$ analytic without common zeros by [16, Theorem 2.7.6]. Our goal is to construct a sequence of analytic maps from $\mathbb{A}^{1,\mathrm{an}} \setminus \{0\}$ to itself such that the composition with g gives a sequence $g_n \colon \mathbb{A}^{1,\mathrm{an}} \setminus \{0\} \to \Omega$ that admits no converging subsequence with continuous limit.

Suppose first that there exists a type II point in $\mathbb{P}^{1,\mathrm{an}}$ having infinitely many preimages in the segment $T = \{\eta_{0,r} \in \mathbb{A}^{1,\mathrm{an}} : 0 < r < \infty\}$. Composing with an automorphism of $\mathbb{P}^{1,\mathrm{an}}$, we may assume that this point is the Gauss point. Let thus $\{\eta_{0,r_n}\}$ be a sequence of preimages of x_g.

Denote by V_n the compact set containing η_{0,r_n} consisting of $\mathbb{A}^{1,\mathrm{an}} \setminus \{0\}$ minus the open sets $U(\vec{v}_0)$ and $U(\vec{v}_\infty)$, where $\vec{v}_0$ and $\vec{v}_\infty$ are the tangent directions at η_{0,r_n} pointing at 0 and at infinity respectively. As $\mathrm{d}g(\eta_{0,r_n})$ is surjective, we deduce that $g(V_n)$ avoids at most two tangent directions at x_g. After maybe extracting a subsequence, we may find a connected component B of $\mathbb{P}^{1,\mathrm{an}} \setminus \{x_g\}$ that is contained in $g(V_n)$ for all $n \gg 0$. As a

consequence, we may pick a rigid point a_0 in B and rigid points $x_n \in V_n$ such that $g(x_n) = a_0$ for every $n \in \mathbb{N}$.

Consider the sequence in $\mathrm{Mor}_k(\mathbb{A}^{1,\mathrm{an}} \setminus \{0\}, \mathbb{P}^{1,\mathrm{an}})$ defined by $g_n(z) = g(x_n! z^{n!})$. By normality, we may assume that g_n converges to a continuous map g_∞. The Gauss point x_g is fixed by g_∞, as $g_n(x_g) = x_g$ for all $n \in \mathbb{N}$. For every fixed $n \in \mathbb{N}$ and every $m \leqslant n$, the map g_n sends the set of all the m-th roots of unity R_m to a_0, and so g_∞ maps every R_m to a_0. For every $m \in \mathbb{N}$ pick a point $\zeta_m \in R_m$ such that $\zeta_m \to x_g$ as m tends to infinity. We have

$$g_\infty(x_g) = \lim_{m \to \infty} g_\infty(\zeta_m) = a_0,$$

contradicting the continuity.

Suppose next that every type II point in $\mathbb{P}^{1,\mathrm{an}}$ has at most finitely many preimages in the segment T. Pick a sequence of type II points $\{\eta_{0,r_n}\}$ with $r_n \to +\infty$ as n goes to infinity. By compactness, we may assume that the points $g(\eta_{0,r_n})$ converge to some point $y_\infty \in \mathbb{P}^{1,\mathrm{an}}$. We claim that the points $g(\eta_{0,r})$ converge to a point y_∞ as r tends to infinity. To see this, fix a basic tube V containing y_∞. Recall that $\partial_{\mathrm{top}} V$ is a finite set of type II points. By assumption, $g(\eta_{0,r})$ does not belong to $\partial_{\mathrm{top}} V$ for sufficiently large r. For $n \gg 0$ we have that $g(\eta_{0,r_n})$ lies in V. Thus, $g(\eta_{0,r})$ must belong to V for $r \gg 0$.

Pick any $r \in \mathbb{R}_+$ and consider the tangent direction $\vec{v}$ at $\eta_{0,r}$ pointing towards infinity. We may assume that $g(U(\vec{v}))$ avoids at most one rigid point in $\mathbb{P}^{1,\mathrm{an}}$, as otherwise Picard's Big theorem [8] asserts that g admits an analytic extension at infinity and we conclude by the case of entire curves. After maybe varying the r_n, we may find a rigid point $a_0 \in \mathbb{P}^{1,\mathrm{an}}$ and rigid points x_n with $|x_n| = r_n$ such that $g(x_n) = a_0$ for all n.

Consider the sequence $g_n(z) = g(x_n! z^{n!})$ and assume that it admits a continuous limit g_∞. Our previous argument shows that g_∞ maps every set R_m to a_0. The points $g_n(x_g)$ converge to y_∞ by our claim, and hence g_∞ is not continuous.

Assume now that Ω is an open subset of $\mathbb{P}^{N,\mathrm{an}}$. Let $g \colon \mathbb{A}^{1,\mathrm{an}} \setminus \{0\} \to \Omega$ be a non-constant analytic map. This map can be written in homogeneous coordinates as $g = [G_0 : \cdots : G_N]$, with $G_i \in \mathcal{O}^\times(\mathbb{A}^{1,\mathrm{an}} \setminus \{0\})$. As g is not constant we may assume that G_0 is non-constant and that G_1 is not a scalar multiple of G_0. We may assume by [16, Theorem 2.7.6] that G_0 and G_1 have no common zeros. As a consequence, the map defined on the image of g by

$$\pi : [G_0(z) : \cdots : G_N(z)] \longmapsto [G_0(z) : G_1(z)]$$

is well-defined and analytic. By construction $\pi \circ g$ is non-constant and analytic. By the previous case we may find $x_n \in k^\times$ such that no subsequence of $\{\pi \circ g(x_{n!}z^{n!})\}$ has a continuous limit, and thus neither $\{g(x_{n!}z^{n!})\}$.   □

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