

# MÉMOIRES DE LA S. M. F.

HANS-JÖRG REIFFEN

## **Frobenius' theorem for differential forms on analytic spaces**

*Mémoires de la S. M. F.*, tome 38 (1974), p. 69-72

<[http://www.numdam.org/item?id=MSMF\\_1974\\_\\_38\\_\\_69\\_0](http://www.numdam.org/item?id=MSMF_1974__38__69_0)>

© Mémoires de la S. M. F., 1974, tous droits réservés.

L'accès aux archives de la revue « Mémoires de la S. M. F. » (<http://smf.emath.fr/Publications/Memoires/Presentation.html>) implique l'accord avec les conditions générales d'utilisation (<http://www.numdam.org/conditions>). Toute utilisation commerciale ou impression systématique est constitutive d'une infraction pénale. Toute copie ou impression de ce fichier doit contenir la présente mention de copyright.

NUMDAM

Article numérisé dans le cadre du programme  
Numérisation de documents anciens mathématiques

<http://www.numdam.org/>

FROBENIUS' THEOREM FOR DIFFERENTIAL FORMS  
 ON ANALYTIC SPACES

Hans - Jörg REIFFEN

Let be  $A = \mathbb{C}\{Z\}_{k/\mathcal{A}}$ ,  $B = \mathbb{C}\{X\}_{m/\mathcal{B}}$ ,  $C = \mathbb{C}\{Y\}_{n/\mathcal{C}}$ , where  $\mathbb{C}\{.\}$  is the ring of all convergent power series. The images of  $Z, X, Y$  in  $A, B, C$  will be denoted by  $z, x, y$ .  $\Omega^1(A)$  is the finite differential module of  $A$  over  $\mathbb{C}$ . The module  $\Omega^r(A)$  of all differential forms of degree  $r$  is denoted by  $\Omega^r(A)$ .  $d : \Omega^r(A) \rightarrow \Omega^{r+1}(A)$  is the natural derivation.

The rings  $B, C$  are called a decomposition of  $A$  if  $A$  is the analytic tensor product of  $B$  and  $C$  :

$$A = B \hat{\otimes} C = \mathbb{C}\{X, Y\} / \mathbb{C}\{X, Y\} \cdot (\mathcal{B}, \mathcal{C}).$$

Let  $M_0, N_0$  be germs of complex analytic varieties and let  $B = \mathcal{O}(M_0)$ ,  $C = \mathcal{O}(N_0)$  be the (reduced) structure rings of the germs, then we have

$$B \hat{\otimes} C = \mathcal{O}(M_0 \times N_0).$$

If  $B, C$  are a decomposition of  $A$ , the module  $\Omega^r(A)$  is a direct sum :

$$\Omega^r(A) = \sum_{p+q=r} \Omega^{p,q}(B,C),$$

where  $\Omega^{p,q}(B,C)$  is the module generated by

$$\left\{ dx_{\mu_1} \wedge \dots \wedge dx_{\mu_p} \wedge dy_{\nu_1} \wedge \dots \wedge dy_{\nu_q} : 1 \leq \mu_1 < \dots < \mu_p \leq m, 1 \leq \nu_1 < \dots < \nu_q \leq n \right\}.$$

We have  $d = d_B + d_C$ , where  $d_B, d_C$  are the derivations relatively to  $B$  resp.  $C$ , and we have a differential sequence

$$0 \rightarrow \Omega^p(B) \xrightarrow{\epsilon} \Omega^{p,0}(B,C) \xrightarrow{d_C} \Omega^{p,1}(B,C) \rightarrow \dots,$$

where  $\epsilon$  is the natural injection. If  $C$  is contractible, then this sequence is exact. If  $A$  is reduced, the sequence

$$0 \rightarrow B \rightarrow A \rightarrow \Omega^{0,1}(B,C)$$

is exact, and we have

$$B = d^{-1}(\Omega^{1,0}(B,C)), C = d^{-1}(\Omega^{0,1}(B,C)).$$

In this case the sum  $\Omega^1(A) = \Omega^{1,0}(B,C) + \Omega^{0,1}(B,C)$  determines the rings  $B, C$ .

We now will study the following problem : Given a direct sum  $\Omega^1(A) = \Omega' + \Omega''$  , can it be obtained from a decomposition of  $A$  ?

**THEOREM 1.** Let  $A$  be a domain and let the summands  $\Omega'$  ,  $\Omega''$  of the direct sum  $\Omega^1(A) = \Omega' + \Omega''$  be generated by elements  $df$  ,  $f \in A$  . Then there are rings  $B$  ,  $C$  such that  $A = B \hat{\otimes} C$  ,  $\Omega' = \Omega^{1,0}(B,C)$  ,  $\Omega'' = \Omega^{0,1}(B,C)$  .-

Proof. We have

$$A = \mathbb{C}\{X, Y\} / \alpha, \Omega' = A.(dx_1, \dots, dx_m), \\ \Omega'' = A.(dy_1, \dots, dy_n).$$

If  $A$  is regular, then there is an isomorphism  $\varphi : A \rightarrow R$  , where  $R$  is a ring of power series. By  $\varphi$  we have an isomorphism  $\varphi^1 : \Omega^1(A) \rightarrow \Omega^1(R)$  . We may suppose, that

$$R = \mathbb{C}\{U, V\}, \varphi^1(\Omega') = R.(dU_1, \dots, dU_p), \\ \varphi^1(\Omega'') = R.(dV_1, \dots, dV_q).$$

If  $\varphi$  is given by the substitution of  $\Phi = (\Phi', \Phi'')$  ,  $\Phi' = (\Phi'_1, \dots, \Phi'_m)$  ,  $\Phi'' = (\Phi''_1, \dots, \Phi''_n)$  , we have  $d\Phi'_\mu = d\varphi(x_\mu) \in R.(dU_1, \dots, dU_p)$  ,  $\Phi'_\mu \in \mathbb{C}\{U\}$  and  $\Phi''_\nu \in \mathbb{C}\{V\}$  . Then  $\Phi'$  ,  $\Phi''$  are biholomorphic mappings of the germs  $\mathbb{C}_0^p, \mathbb{C}_0^q$  onto germs  $M_0 \subset \mathbb{C}_0^m, N_0 \subset \mathbb{C}_0^n$  . We have  $A = \mathcal{O}(M_0 \times N_0)$  .

In the general case  $A$  is the structure ring of an irreducible germ  $K_0$  . Let  $K$  represent  $K_0$  in an open neighbourhood  $W = W' \times W''$  ,  $W' \subset \mathbb{C}^m$  ,  $W'' \subset \mathbb{C}^n$  , of  $0$  . We use the following notations.

$\mathcal{O}$  ,  $\tilde{\mathcal{O}}$  are the structure sheaves of  $W$  resp.  $K$  ,  $\mathcal{I}$  is the ideal sheaf of  $K$  ,  $\tilde{\Omega}^1$  is the sheaf of differential forms of degree 1 on  $K$ . We set  $\tilde{\Omega}' := \tilde{\sigma}.(dx_1, \dots, dx_m)$  ,  $\tilde{\Omega}'' := \tilde{\sigma}.(dy_1, \dots, dy_n)$  .

We may suppose, that the sum  $\tilde{\Omega}^1 = \tilde{\Omega}' + \tilde{\Omega}''$  is direct and that  $\mathcal{I}$  is generated by holomorphic functions  $h_1, \dots, h_t$  on  $U$  .

If  $w^0 \in K$  is a regular point, we have

$$\mathcal{I}_{w^0} = \mathcal{O}_{w^0}.(h_1, \dots, h_t) = \mathcal{O}_{w^0}.(f_1, \dots, f_r, g_1, \dots, g_s) , f_g \in \mathbb{C}\{X\}, g_s \in \mathbb{C}\{Y\} .$$

Setting

$$M := \{w' \in W' : h_\tau(w', w_{m+1}^0, \dots, w_{m+n}^0) = 0 , \tau = 1, \dots, t\},$$

$$N := \{w'' \in W'' : h_\tau(w_1^0, \dots, w_m^0, w'') = 0 , \tau = 1, \dots, t\}$$

we get  $K_{w^0} = (M \times N)_{w^0}$  . Then  $K_0$  must be an irreducible component of  $(M \times N)_0$  .-

STORCH has given an algebraic proof for theorem 1 ([3]). By STORCH's proof theorem 1 is valid in the complete case too.

If  $A$  is regular, the theorem of FROBENIUS gives a condition for  $\Omega'$  being generated by elements  $df$ ,  $f \in A$  :

Let  $A$  be regular and let  $\Omega^1(A) = \Omega' + \Omega''$  be a direct sum. Then we have :  $\Omega'$  is generated by elements  $df$ ,  $f \in A$  iff  $d\Omega' \subset \Omega^1 \wedge \Omega'$ .

In the singular case we have :

THEOREM 2. Let  $A$  and the direct sum  $\Omega^1(A) = \Omega' + \Omega''$  satisfy the following conditions :  $A$  is a domain,  $\Omega^1(A)$  is torsionless, there is a contraction vector field  $v$  on  $A$  such that  $v(\Omega') = 0$ ,  $\text{emdim } A/v(\Omega^1(A)) = \dim \Omega' / \Omega'$ . Then we have :  $\Omega'$  is generated by elements  $df$ ,  $f \in A$  iff  $d\Omega' \subset \Omega^1 \wedge \Omega'$ .

An  $A$ -module  $M$  is called torsionless if the natural mapping  $M \rightarrow M^{**}$  ( $M^{**}$  bidual module) is injective. For a reduced complete intersection the following are equivalent :

- (i)  $\Omega^1(A)$  is torsionless.
- (ii) The codimension of the singular locus of  $A$  is  $\geq 2$ .
- (iii)  $A$  is normal.

A contraction vector field  $v$  on  $A$  is a vector field on  $A$ , which in an appropriate coordinate system  $Z_1, \dots, Z_k$  can be represented by a vector field  $\sum m_\nu Z_\nu \partial/\partial Z_\nu$ ,  $m_\nu \geq 0$  integer. For the embedding dimension of  $A_v := A/v(\Omega^1(A))$  we have the formula  $\text{emdim } A_v = \text{emdim } A - \text{rank } dv$ , where  $dv$  is the linear mapping in the tangent space given by the matrix

$$\begin{bmatrix} m_1 & 0 & \dots & 0 \\ & \cdot & & \\ & & \cdot & \\ & & & \cdot \\ 0 & \dots & 0 & m_k \end{bmatrix}.$$

For the proof of theorem 2, see [2].

We give an application ([1], [2]).

The analytic ring  $A = \mathbb{C}\{U\}/\mathcal{A}$  is called real if we have  $\bar{f} := \sum \bar{a}_\alpha U^\alpha \in \mathcal{A}$  for all  $f = \sum a_\alpha U^\alpha \in \mathcal{A}$ . The morphisms in the category of real analytic rings are given by substitutions of real power series.

If  $K_0 \subset \mathbb{R}_0^k$  is the germ of a real analytic variety, the ring  $A = \mathcal{R}(K_0)$  of all germs of complex-valued real analytic functions on  $K_0$  is a real analytic ring. We have  $A = \sigma(\bar{K}_0)$ , where  $\bar{K}_0$  is the complexification of  $K_0$ .

A direct sum  $\Omega^1(A) = \Omega' + \Omega''$  is called an almost holomorphic structure on the real analytic ring  $A$  if we have  $\overline{\Omega'} = \Omega''$ . The quasi-local ring  $H(A) := d^{-1}(\Omega')$  is called the ring of almost holomorphic functions. In general  $H(A)$  is no analytic ring.

The germ  $K_0 \subset \mathbb{K}_0^k$  ( $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$ ) of a  $\mathbb{K}$ -analytic variety is called a cone if there is a coordinate system such that the ideal of  $K_0$  in this coordinate system is homogeneous of a type  $(m_1, \dots, m_\ell)$ ,  $m_\lambda > 0$ .

We have ([2]):

Let  $K_0 \subset \mathbb{C}_0^k$  be an irreducible germ of a complex analytic variety with an isolated singularity. Then  $K_0$  is a complex cone iff  $K_0$  is a real cone.

Hereby and by theorem 2 we have ([2]):

THEOREM 3. Let  $K_0 \subset \mathbb{R}_0^k$  be an irreducible real cone with an isolated singularity and let  $\Omega^1(A)$ ,  $A := \mathcal{R}(K_0)$ , be torsionless. Then for an almost holomorphic structure  $\Omega^1(A) = \Omega' + \Omega''$  the following are equivalent:

- (i)  $K_0$  is complex analytic with holomorphic structure ring  $H(A)$ .
- (ii) We have  $d\Omega' \subset \Omega^1 \wedge \Omega'$ , and there is a contraction vector field  $v$  on  $A$  such that  $v(\Omega') = 0$ ,  $\text{rank } dv = 1/2 \text{ emdim } A$ .

#### BIBLIOGRAPHY

- [1] REIFFEN (H.J.) - Fastholomorphe Algebren. Manuscripta Math. 3, 271-287 (1970).
- [2] REIFFEN (H.J.) - Zum Frobenius' Theorem auf Komplexen Räumen. Erscheint demnächst.
- [3] STORCH (U.) - Über das Verhalten der Divisorenklassengruppen normaler Algebren bei nichtausgearteten Erweiterungen und über endliche Derivationen analytischer Algebren. Habilitationsschrift Bochum (1972).

(Texte reçu le 18/VII/1972)

Mathematisches Institut der  
Ruhruniversität  
463 Bochum  
Buscheystraße  
Bundesrepublik Deutschland