

BULLETIN DE LA S. M. F.

H. SUBRAMANIAN

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Bulletin de la S. M. F., tome 97 (1969), p. 275-283

http://www.numdam.org/item?id=BSMF_1969__97__275_0

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INTEGER-VALUED CONTINUOUS FUNCTIONS

BY

H. SUBRAMANIAN.

Let $C(X, Z)$ denote the \mathfrak{f} -ring of all integer-valued continuous functions on a topological space X . $C^*(X, Z)$ stands for the sub- \mathfrak{f} -ring of all bounded functions in $C(X, Z)$. PIERCE [7] and ALLING [1] study $C(X, Z)$ from the point of view of the algebra of clopen sets of X . We investigate $C(X, Z)$ as an \mathfrak{f} -ring, and, therefore, from the point of view of its maximal l -ideals.

We prove the equivalence of the ring, the lattice and the (multiplicative) semigroup structures in $C^*(X, Z)$. We also give characterizations of $C^*(X, Z)$ as a lattice-ordered (l.-o.) ring, as a lattice-ordered (l.-o.) group and as a ring. We obtain these characterizations as soon as we observe that there exist sufficiently many characteristic functions with which every function in $C^*(X, Z)$ is expressed in a natural way. But, it is not clear, at present, how to characterize $C^*(X, Z)$ as a lattice or as a semigroup. Also, all these problems for $C(X, Z)$ remain open.

We consider only commutative rings with unit element. The notion of *hull-kernel* topology in any given collection of prime ideals of a ring is assumed to be known [1], [4], [5], [6], [7], [9], [10]. An \mathfrak{f} -ring is a l.-o. ring which is a subdirect product of totally-ordered (t.-o.) rings. An l -ideal I of a l.-o. ring is a (ring) ideal satisfying the property: $|x| \leq |y|, y \in I$ implies that $x \in I$. A maximal l -ideal of an \mathfrak{f} -ring is always prime [2]. If the intersection of all maximal l -ideals of a l.-o. ring is zero, the l.-o. ring is said to be l -semisimple. Thus an l -semisimple \mathfrak{f} -ring has no nonzero nilpotent elements.

We fix some notations for the rest of the paper: X and Y for arbitrary topological spaces; R for a ring or an \mathfrak{f} -ring; Z for the t.-o. ring of integers; \mathfrak{A} for the hull-kernel space of all minimal prime ideals of the ring R ; \mathfrak{M} for the hull-kernel space of all maximal l -ideals of the \mathfrak{f} -ring R ; δX for the Boolean space of the algebra of all clopen sets of X and σX for the space \mathfrak{M} of $C(X, Z)$.

We lose nothing in the study of the ring-lattice structure of a $C(X, Z)$ if we assume that X is Hausdorff and has a base of clopen sets [7]. Therefore we consider only such spaces in this paper.

REMARK 1. — For any point $x \in X$, let M_x denote $\{f \in C(X, Z) \mid f(x) = 0\}$. It is easy to verify that M_x is a maximal l -ideal of $C(X, Z)$. Since $\bigcap_{x \in X} M_x$

is the zero ideal, $\{M_x\}_{x \in X}$ is a dense subspace of σX . ALLING [1] has noted that X is homeomorphic to $\{M_x\}_{x \in X}$ under the correspondence $x \rightarrow M_x$. Obviously, X is compact if, and only if, every maximal l -ideal of $C(X, Z)$ is of the form M_x for $x \in X$; that is, X is homeomorphic to σX . Thus $\tau(\sigma X) = \sigma X$.

THEOREM 1. — A subset P of $C(X, Z)$ is a maximal l -ideal if, and only if, it is a minimal prime ideal.

Proof. — For any $f \in C(X, Z)$, we denote by $\zeta(f)$ the zero set $\{x \in X \mid f(x) = 0\}$ of f . If F is a clopen set of X , χ_F stands for the characteristic function on F . Now, if P is a maximal l -ideal, it is necessarily prime [2]. To show that it is also minimal prime, consider any $f \in P$. $\zeta(f)$ is a clopen set of X , and $f \cdot \chi_{\zeta(f)} = 0$. Since $1 \leq |f + \chi_{\zeta(f)}|$, it follows that $\chi_{\zeta(f)} \notin P$. Therefore, P is minimal prime [5], [6]. Conversely, let P be minimal prime. Surely then, P is an l -ideal [10]; so, it is contained in a maximal l -ideal, but which is also minimal prime. The desired result follows.

REMARK 2. — The space \mathfrak{N} of any \mathfrak{f} -ring is always compact Hausdorff [4], [9], and the space \mathfrak{X} of any ring is always totally-disconnected [5], [6]. Thus σX is compact Hausdorff totally-disconnected (abbreviated in the sequel at CHT). ALLING [1] shows that the space \mathfrak{X} of $C(X, Z)$ is homeomorphic to δX . Therefore, σX is homeomorphic to δX . PIERCE [7] has shown that $C^*(X, Z)$ and $C(\delta X, Z)$ are isomorphic as rings. They are indeed isomorphic as \mathfrak{f} -rings. It follows that any general theorem concerning $C(X, Z)$'s as \mathfrak{f} -rings will also be true of $C^*(X, Z)$'s. Theorem 1 above is a case in instance. We also note that the space \mathfrak{X} or \mathfrak{N} of $C^*(X, Z)$ ($\approx C(\delta X, Z) \approx C(\sigma X, Z)$) is σX .

The effective content of the following theorem has been announced by SANKARAN [8], but no proof seems to have been published so far.

THEOREM 2. — The following are equivalent :

- (1) $C^*(X, Z)$ and $C^*(Y, Z)$ are isomorphic as rings;
- (2) $C^*(X, Z)$ and $C^*(Y, Z)$ are isomorphic as lattices;
- (3) $C^*(X, Z)$ and $C^*(Y, Z)$ are isomorphic as p -o. groups;
- (4) $C^*(X, Z)$ and $C^*(Y, Z)$ are isomorphic as semigroups;
- (5) σX and σY are homeomorphic.

Proof. — A ring (resp. lattice) isomorphism between two l -semisimple \mathfrak{f} -rings induces a homeomorphism between their spaces of maximal l -ideals [4] (resp. [9]). Hence each of (1) and (2) implies (5). (3) implies (2) because any order-group isomorphism between two l.-o. groups preserves the lattice structures also. A semigroup ideal in a ring is minimal prime if, and only if, it is a minimal prime (ring) ideal [6]. Thus, by Remark 2, (4) implies (5). Finally, (5) implies that $C(\sigma X, Z)$ and $C(\sigma Y, Z)$ are isomorphic as \mathfrak{f} -rings. Remark 2 completes the proof.

REMARK 3. — Whether the above theorem is true with $C^*(X, Z)$ and $C^*(Y, Z)$ replaced respectively by $C(X, Z)$ and $C(Y, Z)$ is not known. We may refer the analogous case for $C(X)$ shown to be true by HENRIKSEN [3]. Similar to the realcompact spaces (the crucial point in [3]) in the theory of $C(X)$, ALLING [1] considers the space

$$\delta_0 X = \{P \in \sigma X \mid C(X, Z)/P \approx Z\}.$$

It can be proved that $C(X, Z)$ and $C(\delta_0 X, Z)$ are isomorphic as \mathfrak{f} -rings. Only, we have to observe that, in $\delta_0 X$, the hull-kernel topology and the weak topology generated by the functions in $C(X, Z)$ are same. Now $C(X, Z)$ and $C(Y, Z)$ are isomorphic as rings if, and only if, $\delta_0 X$ and $\delta_0 Y$ are homeomorphic. Such a result with respect to the lattice (resp. semigroup) structure can be brought about if only we can show that any $P \in \delta_0 X$ can be obtained purely from the lattice (resp. semigroup) structure of $C(X, Z)$. The following examples counter any hasty predictions on a global generalization of Theorem 2 to all \mathfrak{f} -rings.

EXAMPLE 1 [9]. — The t.-o. field Q of rational numbers and the non-archimedean ordered ring $Q[x]$ of polynomials over Q are order-isomorphic, but not ring-isomorphic (not semigroup isomorphic also).

EXAMPLE 2 [9]. — The non-archimedean ordered ring $Z[x]$ of polynomials over Z and the subring $Z[\theta]$ of the real number field generated by Z and a transcendental number θ (with induced order) are ring-isomorphic, but not order isomorphic.

EXAMPLE 3. — Z and $Z[x]$ are semigroup isomorphic, but not ring isomorphic.

A semigroup isomorphism between Z and $Z[x]$ can be constructed as follows: Consider the set of all nonzero irreducible polynomials in x over Z , the coefficients of whose highest degree are positive. This is a countable set which can be put in one-to-one correspondence with the set of all prime numbers. This one-to-one correspondence is extended to a semigroup isomorphism between Z and $Z[x]$ in the natural way, making use of the fact that both Z and $Z[x]$ are unique factorization domains with exactly two unit elements, viz. 1 and -1 . But, in any ring

isomorphism between Z and $Z[x]$, x should correspond to some integer, which means x equals the same integer, a contradiction.

However, the following theorem and corollaries are interesting in the face of the above remark and “ warning post ” examples. Before stating the theorem, we recall from [9] a definition.

DEFINITION. — Let R be an \mathfrak{f} -ring, M a maximal l -ideal of R and P a (proper) lattice-prime ideal of R . P is said to be associated with M if $y \in P, x(M) < y(M)$ imply that $x \in P$. [$x(M)$ denotes the homomorphic image of $x \in R$ in R/M .]

THEOREM 3. — Let R be an \mathfrak{f} -ring, and M a maximal l -ideal of R . R/M is non-archimedean if, and only if, there exists a lattice-prime ideal P of R , associated with M , such that P contains all of $\{1, 2, 3, \dots\}$.

Proof. — If R/M is non-archimedean, there is an $f \in R$ such that $f(M) > n$ for every natural number n . Consider now

$$P = \{g \in R \mid g(M) < f(M)\}.$$

P is a lattice-prime ideal of R , associated with M [9]. Evidently, P contains all of $\{1, 2, 3, \dots\}$. Conversely, if such a P exists, choose $f \notin P$. Since P is associated with M , $f(M) > n$ for every natural number n . Surely then, R/M is non-archimedean.

COROLLARY 1. — $C(X, Z)$ and $C(Y, Z)$ are isomorphic as rings if, and only if, they are Z -isomorphic (i. e. mapping constant functions into the same constant functions) as lattices.

Proof. — The lattice structure of an \mathfrak{f} -ring R determines the space \mathfrak{R} of R [9]. If $M \in \sigma X$, $C(X, Z)/M$ is either Z or a near η_1 -set (which is not archimedean) [1]. Therefore, by Theorem 3, a Z -isomorphism between $C(X, Z)$ and $C(Y, Z)$ induces a homeomorphism between $\delta_0 X$ and $\delta_0 Y$. The result follows by Remark 3.

COROLLARY 2. — $C(X)$ and $C(Y)$ are isomorphic as rings if, and only if, they are R -isomorphic as lattices [$C(X)$ denotes the \mathfrak{f} -ring of all real- (R) -valued continuous functions on X].

Proof. — The same as in Corollary 1, with $\delta_0 X$ and $\delta_0 Y$ replaced respectively by νX and νY (νX stands for the realcompactification of X).

For use in the following two lemmas, we fix some terminology. If R is an \mathfrak{f} -ring, let us suppose that R is the subdirect product of the t -o. rings R_α ; that is, if we denote the projection from R onto R_α by φ_α with

the l -ideal P_α as the kernel $\bigcap P_\alpha$ is (o) .

LEMMA 1. — Any idempotent e in a t -o. ring R is either o or 1 .

Proof. — Since $e = e^2$, $e \geq 0$. Either $e \leq (1 - e)$ or $(1 - e) \leq e$. In the first case, $e = e^2 \leq e(1 - e) = 0$. Thus $e = 0$. Since also $(1 - e)^2 = (1 - e)$, the second case shows that $e = 1$.

COROLLARY. — *If e is any idempotent in an \mathfrak{f} -ring, R , $e \wedge (1 - e) = 0$.*

Proof. — Follows by observing that $\varphi_\alpha(e) = 0$ or 1 in R_α .

LEMMA 2. — *An \mathfrak{f} -ring R in which every element is a finite integral combination of idempotents is l -semisimple.*

Proof. — If $\{M_\beta\}$ is the collection of all maximal l -ideals of R , consider any $x \in \bigcap M_\beta$. Let $y = |x| \wedge 1$. Since y is an integral combination of idempotents, by Lemma 1, $\varphi_\alpha(y)$ is an integer in R_α . Since also $0 \leq y \leq 1$, $\varphi_\alpha(y)$ is 0 or 1 ; and, every P_α contains either y or $(1 - y)$. If some P_{α_0} contains $(1 - y)$, we get $(1 - y) \in M_{\beta_0}$, where M_{β_0} is a maximal l -ideal containing P_{α_0} . Since also $y \in M_{\beta_0}$, this is a contradiction. Therefore $y \in \bigcap P_\alpha = (0)$. Now $|x| \wedge 1 = 0$ implies that $x = 0$, because $|\varphi_\alpha(x)| \wedge 1 = 0$.

THEOREM 4. — *An \mathfrak{f} -ring R as described in Lemma 2 is ring-lattice isomorphic to a $C(X, Z)$ for some (unique upto homeomorphism) CHT space X .*

Proof. — The obvious choice for the space X should be the space \mathfrak{N} of R . Given $x \in R$ and $M \in \mathfrak{N}$, we have

$$x = n_0 \cdot 1 + n_1 e_1 + \dots + n_r e_r$$

where $n_k \in Z$ and $e_k^2 = e_k \in M$. For,

$$x = \sum m_k i_k, \quad m_k \in Z, \quad i_k^2 = i_k$$

can be rewritten by changing an i_k into $1 - (1 - i_k)$ whenever $i_k \notin M$. If possible, let also

$$x = n'_0 1 + n'_1 e'_1 + \dots + n'_s e'_s, \quad n'_k \in Z, \quad e'^2_k = e'_k \in M.$$

If $n_0 > n'_0$, then $1 \leq (n_0 - n'_0)1 \in M$; thus $1 \in M$, which is a contradiction. $n_0 < n'_0$ is ruled out likewise. Thus $n_0 = n'_0$. Every $M \in \mathfrak{N}$ now induces a map $\psi_M: R \rightarrow Z$, $\psi_M(x)$ being the integer n_0 as we have just obtained.

It is easily seen that ψ_M is a ring homomorphism from R onto Z with M as the kernel. Since M is a maximal l -ideal, there is a canonical total order in the ring R/M , i. e., Z . The uniqueness of a compatible total order in the ring Z shows that ψ_M preserves lattice structure also. Because of l -semisimplicity, by Lemma 2, R is thus lattice-ring isomorphic [by the transform $x \rightarrow \tilde{x}$; $\tilde{x}(M) = \psi_M(x)$] to a sublattice-subring of the \mathfrak{f} -ring of all integer-valued functions on \mathfrak{N} .

If $x = \sum n_k e_k$, $n_k \in Z$ and $e_k^2 = e_k$, then $|\tilde{x}| \leq \sum |n_k|$. So x has finite range which effects a finite partition of the space \mathfrak{N} . Each coset, x being a constant in it, is exactly the collection of all maximal l -ideals containing $x - k \cdot 1$ for some $k \in Z$; and so it is closed. It follows that each coset is open. Hence \tilde{x} is continuous because the preimage of every single point open set in the discrete space Z is open.

The map $x \rightarrow \tilde{x}$ from R to $C(\mathfrak{N}, Z)$ is also onto. Since \mathfrak{N} is always compact Hausdorff [4], [9], any $f \in C(\mathfrak{N}, Z)$ is a finite sum $\sum n_k \chi_{E_k}$, where each E_k is a clopen set of \mathfrak{N} . But E_k is the hull of a direct summand of R , and therefore the hull of an idempotent $i_k \in R$. Obviously, $\tilde{j}_k = \chi_{E_k}$, where $j_k = 1 - i_k$. Thus if $x = \sum n_k j_k$, then $\tilde{x} = f$.

The proof is complete on showing that \mathfrak{N} is a totally disconnected space. Every $x \in M \in \mathfrak{N}$ is a finite integral combination of idempotents within M ; so distinct elements of \mathfrak{N} contain in them different collections of idempotents. Therefore any two points of \mathfrak{N} are separated by a clopen set, and \mathfrak{N} is totally disconnected. The uniqueness follows from Theorem 2 and Remark 1.

Let G denote a l.-o. Abelian group with strong order unit 1 (i. e. an element contained in no maximal l -subgroup). We define an *idempotent* in G to be a relatively complemented element in the interval $(0, 1)$. The property of being a strong order unit is preserved under any group-lattice homomorphism. Since G is a subdirect product of t.-o. groups, $e \in (G, 1)$ is an idempotent if, and only if, $e \wedge (1 - e) = 0$. Any maximal l -subgroup of G is the kernel of a group-lattice homomorphism of G onto a t.-o. group, and contains one, and only one, of the conjugate idempotents e and $(1 - e)$. Any clopen set in the hull-kernel space (certainly compact T_1) of maximal l -ideals of $(G, 1)$ is given by the hull of an idempotent. In Summary, we obtain the following theorem.

THEOREM 5. — *Lemma 2 and Theorem 4 are true in the set up of a l.-o. Abelian group with strong order unit in the place of an \bar{f} -ring.*

LEMMA 3. — *A ring R , whose additive group is torsion-free, and whose elements are finite integral combinations of idempotents, does not have any nonzero nilpotent elements.*

Proof. — Let R be the subdirect product of subdirectly irreducible rings R_α . If we denote by φ_α the projection $R \rightarrow R_\alpha$ with kernel K_α , $\bigcap K_\alpha$ is (0) . Consider any $x \in R$ such that $x^n = 0$, $n \neq 1$. Let

$$x = n_1 e_1 + \dots + n_k e_k,$$

where $n_k \in Z$ and $e_k^2 = e_k$. Since a subdirectly irreducible ring cannot contain any idempotent except 0 and 1 , we have either $x \in K_\alpha$

or $x \equiv m_a \pmod{K_a}$ ($m_a \neq 0$) for each K_a , where $m_a \in Z$. But each m_a so obtained is a sum of some or all of n_1, \dots, n_k . Thus there are only finitely many among the m_a 's which are distinct; if they are m_1, m_2, \dots, m_r , we see that $m_1^n \dots m_r^n \cdot x \in \bigcap K_a = (0)$. This means x is 0, because the additive group is torsion-free.

In all the following lemmas, let R denote a ring as described in Lemma 3. We proceed to prove that such a ring R characterizes a $C(X, Z)$ for some CHT space X .

LEMMA 4. — *R is isomorphic to a subring of a $C^*(X, Z)$.*

Proof. — Choose X to be the space \mathcal{X} of R . For any $x \in R$ and $P \in \mathcal{X}$, obtain $n_0 \in Z$ exactly as in Theorem 4. If this n_0 is not unique, there exists $n \in Z^+$ such that $n \cdot 1 \in P$. P being minimal prime, $nx = (n \cdot 1)x = 0$ for some $x \notin P$ [5], [6]. This contradicts the assumption that the additive group of R is torsion-free. The result of the proof is exactly like in Theorem 4 using Lemma 3 in place of Lemma 2.

LEMMA 5. — *If $P, Q \in \mathcal{X}$ are distinct, then $P + Q = R$.*

Proof. — Choose $x \in P \sim Q$. Express x as a sum of idempotents within P , as in Theorem 4. One of these idempotents, say e , is necessarily not in Q . Thus $(1 - e) \in Q$, and $P + Q = R$.

COROLLARY. — *Any maximal ideal of R contains a unique minimal prime ideal.*

For every maximal ideal M of R , let $\pi(M)$ denote

$$\{x \in R \mid xy = 0 \text{ for some } y \notin M\}.$$

It is easily seen that $\pi(M)$ is an ideal of R and $\pi(M) \subset M$.

LEMMA 6. — *For any $P \in \mathcal{X}$, $P = \pi(M)$ for any maximal ideal M of R such that $P \subset M$.*

Proof. — If $x \in \pi(M)$, let $xy = 0, y \notin M$. Then $y \notin P$, implying that $x \in P$. Conversely, let $x \notin \pi(M)$. Consider the multiplicatively closed subset $S = \{x^n y \mid y \notin M\} \cup \{R \sim M\}$. It is easily checked that $\pi(M)$ is disjoint with S . Therefore, by Zorn's Lemma, there exists a prime ideal Q containing $\pi(M)$ and maximally disjoint with S . Since $Q \subset M, P$ should be, by Corollary to Lemma 5, the only minimal prime ideal contained in Q . Since $x \in S, x \notin P$.

COROLLARY. — *For any $P \in \mathcal{X}, x \in P$ if, and only if, $x^* + P = R$, where x^* denotes the annihilator ideal $\{y \in R \mid yx = 0\}$ of x .*

Proof. — Let $x \in P$. If M is any maximal ideal containing P, M cannot contain x^* by Lemma 6. Thus the ideal generated by x^* and P together is R . The converse is obvious.

LEMMA 7. — $\{h(y) | y \in R\}$ is a base for open sets in \mathfrak{X} , where for any $A \subseteq R$, $h(A) = \{P \in \mathfrak{X} | A \subseteq P\}$, the hull of A in \mathfrak{X} .

Proof. — By Lemma 6, $h(y) = h'(y^*)$ for any $y \in R$, where $h'(A)$ denotes the complement of the hull of A in \mathfrak{X} . Choose any $x \in R$ and $P \in \mathfrak{X}$. Let n_1, n_2, \dots, n_k be all the nonzero values of the function \tilde{x} , defined as in Lemma 4. Consider $y = (x - n_1) \dots (x - n_k)$. Suppose that $x \notin P$. Then $y \in P$; and, for any $Q \in \mathfrak{X}$ such that $y \in Q$, $x \notin Q$. Thus $P \in h(y) \subseteq h'(x)$. Since $\{h'(x) | x \in R\}$ forms a base for open sets in \mathfrak{X} , the result follows.

By Corollary to Lemma 5 and Lemma 6, π is a map defined from the hull-kernel space \mathfrak{M} of all maximal ideals of R onto \mathfrak{X} . We establish :

LEMMA 8. — π is continuous.

Proof. — For any $y \in R$, $\pi^{-1}(h(y)) = \{M \in \mathfrak{M} | y \in \pi(M)\}$. By definition of $\pi(M)$, it follows that $\pi^{-1}(h(y)) = \{M \in \mathfrak{M} | y^* \notin M\}$, which is an open set in \mathfrak{M} . Since $h(y)$ is a basic open set in \mathfrak{X} , π is continuous.

Since \mathfrak{M} is always compact, we have :

COROLLARY. — The space \mathfrak{X} of R is compact.

LEMMA 9. — If F is any clopen set in the space \mathfrak{X} of R , then $F = h(e)$, where e is an idempotent in R .

Proof. — $\pi^{-1}(F)$ is clopen in \mathfrak{M} ; therefore, there exists $e \in R$ such that $e = e^2$ and $\pi^{-1}(F) = \{M \in \mathfrak{M} | e \in M\}$. If $P \in h(e)$ and $P \subseteq M \in \mathfrak{M}$, then $P = \pi(M)$ and $M \in \pi^{-1}(F)$. Therefore $P \in F$. Conversely, if $P \in F$, let $P = \pi(M)$ for some $M \in \mathfrak{M}$. Then $e \in M$. Since $e = e^2$ and $1 - e \notin P$, $e \in P$. That is, $P \in h(e)$.

We now state :

THEOREM 6. — A ring R , as described in Lemma 3 is ring isomorphic to a $C(X, Z)$ for some (unique upto homeomorphism) CHT space X .

Proof. — Choose \mathfrak{X} to be the space X , Using Lemma 4, Corollary to Lemma 8, and Lemma 9, modify the proof of Theorem 4 accordingly.

REMARK 4. — The converse of each of Theorems 4, 5 and 6 are clearly true. In fact, for any $f \in C^*(X, Z)$, we have

$$f = \sum_{n \in f(x) - \{0\}} n \chi_{f^{-1}(n)}$$

and this is the unique representation of f as an integral combination with the fewest number of orthogonal idempotents. We could simplify the proofs of Theorems 4, 5 and 6 with such a strong representation of

elements. But a weaker hypothesis as we have assumed in these Theorems are enough.

REMARK 5. — Neither Lemma 2 (hence Theorem 4) nor Lemma 3 (hence Theorem 6) extends to non-commutative cases. The necessary example to illustrate this is given below.

EXAMPLE 4. — In the additive group $Z \times Z$, define $(a, b)(c, d) = (ac, ad)$ and give the lexicographic order. This non-commutative (\bar{f} -)ring is additively generated by the idempotents $(1, b)$. $(0, 1)$ is nilpotent and generates the only maximal l -ideal in $Z \times Z$.

The author thanks John R. ISBELL who provided the examples 3 and 4.

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(Texte reçu le 9 janvier 1969.)

H. SUBRAMANIAN,
 Department of Mathematics,
 State University of New-York,
 Buffalo, N. Y. 14226-U. S. A.
 (États-Unis).