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# SURFACES OF GENERAL TYPE WITH $p_q=1$ AND (K, K)=1. I

By Andrei N. TODOROV

#### Introduction

The aim of this article is to describe all surfaces with  $p_g=1$  and (K, K)=1. The first examples of such surfaces were constructed by Kunev in [Ku]. Here we give the following description of all surfaces with  $p_g=1$  and (K, K)=1: every such surface is a complete intersection of two quasi-homogeneous polynomials in  $\mathbb{P}^4(1, 2, 2, 3, 3)$ . This fact was conjectured by M. Reid and I learned it from I. Dolgacev. From this description it follows that the moduli space of surfaces with  $p_g=1$  and (K, K)=1 consists of one component. These surfaces are interesting because they are simply connected and the local Torelli theorem is not true for some of them. Thus surfaces with  $p_g=1$  and (K, K)=1 that are canonical Galois coverings of  $\mathbb{P}^2$  give counter examples to a conjecture of P. Griffiths, which states that the local Torelli theorem is true for all simply-connected surfaces of general type with  $p_g \ge 1$ . Even more the auther recently proved that these surfaces give counter examples to global Torelli theorem. We give a complete description of all Galois coverings of  $\mathbb{P}^2$  with  $p_g=1$  and (K, K)=1. For surfaces with  $p_g=1$  and (K, K)=1 that are not a canonical Galois coverings of  $\mathbb{P}^2$  the local Torelli theorem is true.

The auther wants to express his gratitute to his sudent and friend V. Kunev for many valuable conversations during the preparation of this article. This resulted in improvements of some of the proofs. Part of these results were reported in the Mathematische Arbeitstagung 1978 in Bonn. The auther wants to express his gratitude to the organizers of this conference for the extremely stimulating atmosphere created during the conference.

#### 1. A description of all surfaces with $p_a=1$ and (K, K)=1

We need some definitions in order to formulate Theorem 1.

DEFINITION 1. — An weighted projective space of type  $(w_0, w_1, \ldots, w_n)$ , where  $w_i$  are positive integers, is defined as  $\text{Proj }\mathbb{C}(w_0, \ldots, w_n)$ , where  $\mathbb{C}(w_0, \ldots, w_n)$  is the polynomial ring with the following graduation,  $\deg x_i = w_i$ .

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DEFINITION 2. — We will say that  $f(x_0, ..., x_n) = \sum_k a_k x^k$  is a quasi-homogeneous polynomial of type  $(w_0, ..., w_n)$  of deg m iff  $k = (k_0, ..., k_n)$  and  $k_0 w_0 + ... + k_0 w_n = m$ .

Definition 3. — An weighted complete intersection in  $\mathbb{P}^n(w_0, \ldots, w_n)$  we will call a variety V, whose ideal in the graded ring  $\mathbb{C}(x_0, \ldots, x_n)$  is generated by a regular sequence of quasi-homogeneous polynomials  $f_{d_0}, \ldots, f_{d_n}$ , where  $d_i$  is the degree of  $f_{d_i}$ .

THEOREM 1. – Every surface with an ample canonical class,  $p_g = 1$  and (K, K) = 1 is a complete intersection of type (6, 6) in  $\mathbb{P}^4(1, 2, 2, 3, 3)$ .

*Proof.* — First I will give the reason for choosing  $\mathbb{P}^4(1, 2, 2, 3, 3)$  as a space of embedding surfaces with  $p_g = 1$  and (K, K) = 1. First I will recall some facts proved by V. Kunev for surfaces with  $p_g = 1$  and (K, K) = 1:

Theorem (see [Ku]). — Let S be a minimal model of a surface with  $p_g=1$  and (K, K)=1. Then (a) the complete linear system  $|2K_S|$  gives a holomorphic map  $f_{|2K_S|}: S \to P^2$ , (b) the complete linear system  $|3K_S|$  gives a holomorphic birational map.

Bombieri proved in [Bom] the following lemma: Let S be a minimal model of a surface with  $p_a = 1$  and (K, K) = 1, then the general element of  $|2K_S|$  is irreducible and nonsingular.

From the definition we know that dim  $H^0(S, \Omega_S^2) = 1$ . Let  $H^0(S, \Omega_S^2)$  be generated by  $s_0$ . From Riemann-Roch we get that dim  $H^0(S, O(2K_S)) = 3$ . Let  $H^0(S, O(2K_S))$  be generated by  $s_0^2$ ,  $s_1$ ,  $s_2$ . From Kunev's theorem and Bombieri's **lemma**, it follows that we can choose  $s_1$  and  $s_2$  in the following way; let  $C_1$  and  $C_2$  be the divisors of  $s_0$  and  $s_1$ , then we may suppose that  $C_1$  and  $C_2$  are nonsingular curves intersecting each other transversally. From Riemann-Roch theorem it follows that dim  $H^0(S, O(3K_S)) = 5$ . Let  $H^0(S, O(3K_S))$  be generated by  $s_0^3$ ,  $s_0 s_1$ ,  $s_0 s_2$ ,  $s_3$ ,  $s_4$ . From Kunev's theorem it follows that we can choose the divisors of  $s_3$  and  $s_4$ ,  $s_3$  that  $s_3$  intersects  $s_3$  transversally and both of them intersect  $s_3$  and  $s_4$ ,  $s_3$ ,  $s_4$ ,  $s_3$ ,  $s_4$ . The theorem of Kunev gives us a hope that  $s_3$  can be embedded in  $s_3$  and  $s_4$ ,  $s_3$ ,  $s_4$ , i.e. in  $s_3$ ,  $s_4$ , where deg  $s_3$ ,  $s_4$ , deg  $s_3$ , and deg  $s_4$ .

Remark. – From now on all curves  $C_i$  will be fixed, where  $C_i$  is the divisor of  $s_i$  for all i > 0 and  $C_i$  are nonsingular and have the properties described above.

In order to prove Theorem 1, we need the following construction:

The construction of  $X_4$ . — From the fact  $C_1 \in |2K_S|$  and the results of Wawrik [W] we can construct a  $\mathbb{Z}_2$  cyclic covering  $p_1: X_1 \to S$  ramified over  $C_1$ . Let me denote by  $|H_1|$  the complete linear system  $|p_1^*K_S|$ . Let  $p_2: X_2 \to X_1$  be a  $\mathbb{Z}_2$  covering of  $X_1$  ramified over  $p_1^*C_2$ . Let me denote by  $H_2 = p_2^*H_1$ . It is clear that  $(p_1p_2)^*C_3$  belongs to  $|3H_2|$  and we can construct a cyclic  $\mathbb{Z}_3$  covering  $p_3: X_3 \to X_2$  ramified over  $(p_2p_1)^*C_3$ . Again I will denote by  $H_3 = p_3^*H_2$ . We see immediately that  $(p_3p_2p_1)^*C_4$  belongs to  $|3H_3|$  so that we can construct a cyclic  $\mathbb{Z}_3$  covering  $p_4: X_4 \to X_3$  ramified over  $(p_3p_2p_1)^*C_4$ . From the fact that all  $C_i$  are nonsingular and transect each other transversally, we conclude that all  $X_i$  are nonsingular surfaces, i=1,2,3,4. If we can prove that  $X_4$  can be embedded as a

complete intersection of type (6, 6) in  $\mathbb{P}^4$ , Theorem 1 will be proved, because  $\mathbb{P}^4(1, 2, 2, 3, 3) = \mathbb{P}^4/G$ , where G is a group which acts in the following way

$$(g, (x_0: x_1: x_2: x_3: x_4) = (x_0 g_0: x_1 g_1: x_2 g_2: x_3 g_3: x_4 g_4)$$

$$g_i = \exp(2\pi b_i / w_i), \qquad 0 \le b_i < w_i.$$

The equivalence of these two definitions is proved in [D]. Thus our aim is to prove that  $X_4$  is a complete intersection of type (6, 6) in  $\mathbb{P}^4$ .

LEMMA 1. – (a) dim  $H^0(X_1, O(H_1)) = 2$ , (b)  $|H_1|$  does not have fixed components, (c)  $(H_1, H_1) = 2$ .

Proof. — The proof is based on the following remark:  $\mathbb{Z}_2 = (1, s)$  acts on  $H^0(X_1, O(H_1))$  and so  $H^0(X_1, O(H_1)) = H^0(O(H_1))^+ \oplus H^0(O(H_1))^-$ , where  $H^0(O(H_1))^+$  is the invariant and  $H^0(O(H_1))^+ = p_1^* H^0(O(K_s))$  and thus dim  $H^0(O(H_1))^+ = 1$ . Now we must compute dim  $H^0(O(H_1))^-$ . Notice that  $O(H_1) = p_1^* O(K_s)$  and it follows that the cocycle defining  $O(H_1)$  is of the form  $f_{ij} = p_1^* (g_{ij})$ . Let  $U_i$  be a covering of  $X_1$  by polycylinders. If  $f \in H^0(O(H_1))^-$  then it follows that  $f^s = -f$  and  $f^s = -f_i$ , where  $f_i = f_{U_i}$ . Indeed, from the definition of f it follows that  $f_i = f_{ij} f_j$  and so from  $f^s_{ij} = f_{ij}$  it follows that  $f^s = -f_i$ . Now let  $U_i$  contains the branch locus of  $p_1$ ,  $C_1'$ . It is a well-known fact that we can choose the local coordinate system  $(x_i, y_i)$  in  $U_i$  in such a manner that  $x_i^s = x_i$  and  $y_i^s = -y_i$ , where  $y_i$  is the local equation of  $C_1'$  in  $U_i$ . Now let

(1.1) 
$$f_i(x_i, y_i) = \sum a_{mn} x_i^m y_i^n$$
 and  $f_i^s = \sum (-1)^n a_{mn} x_i^m y_i^n$ ,

(1.2) 
$$f_i^s = -f_i$$
 iff  $f_i = \sum a_{mn} x_i^m y_i^{2n+1}$ , where  $m$  and  $n > 0$ .

So

(1.3) 
$$f_i = -f_i \quad \text{iff } f_i = y_i g_i(x_i, y_i^2).$$

From (1.3) it follows that if  $f^s = -f$  then  $(f) = C'_1 + D$ , where D is an effective divisor on  $X_1$ . If we can prove that  $C'_1$  is rationally equivalent to  $H_1$ , then from (1, 3) it will follows that dim  $H^0(O(H_1))^- = 1$ .

PROPOSITION 1.1. – The branch locus of  $C'_1$  is rationally equivalent to  $H_1$ . Proof. – See [W].

Q.E.D.

Proposition 1.1 proves (a) of Lemma 1.

Q.E.D.

 $|H_1|$  does not have fixed components because  $C'_1 \in |H_1|$  and it is a nonsingular curve. Thus (b) is proved.

On S we have  $(K_S, C_1) = (K_S, 2K_S) = 2$  and on  $X_1$  we have

$$(p_1^*K_S, p_1^*C_1) = \deg(p_1) \times (K_S, C_1) = 4 = (H_1, 2C_1') = (H_1, 2H_1).$$

So we obtain that  $(H_1, H_1) = 2$ .

Q.E.D.

LEMMA 2.  $-\dim H^0(O(H_2))=3$ . We can choose  $C_2$  in such a manner that the linear system  $|H_2|$  gives a holomorphic map  $X_2 \to \mathbb{P}^2$ ,  $(H_2, H_2)=4$ .

*Proof.* — We know that  $\mathbb{Z}_2 = (1, s)$  acts on  $X_2$  and  $X_2/s = X_1$  and so we can repeat the arguments of Lemma 1 and conclude that  $H^0(O(H_2)) = H^0(O(H_2))^+ + H^0(O(H_2))^-$ , where  $H^0(O(H_2))^+ = p_2^*(H^0(O(H_1))$  and  $H^0(O(H_2))^-$  is generated by f, where  $(f) = C_2'$  is the branch locus of  $p_2$ . From all these facts and Lemma 1 we get that dim  $H^0(O(H_2)) = 3$ .

(b) If  $|H_1|$  has base points, these points can be at most two because of  $(H_1, H_1) = 2$ . Let these two points be  $P_1$  and  $P_2$ . From Kunev's theorem it follows that we can choose  $C_2$  in a such a manner that  $C_2$  does not contain the images of  $P_1$  and  $P_2$  on S. Now our result follows from the decomposition

$$H^{0}(O(H_{2})) = H^{0}(O(H_{2}))^{+} \oplus H^{0}(O(H_{2}))^{-} = p_{2}^{*}H^{0}(O(H_{1})) + \mathbb{C}f,$$

where  $(f) = C'_2$  the branch locus of  $p_2$  and  $(p_2 p_1)^* C_2 = 2C'_2$ .

(c) The proof of  $(H_2, H_2) = 4$  is the same as the proof of  $(H_1, H_1) = 2$ .

Q.E.D.

Lemma 3. – (a) dim  $H^0(X_3, O(H_3)) = 4$ , (b) the complete system  $|H_3|$  gives a holomorphic map  $g_3: X_3 \to Y \subseteq \mathbb{P}^3$ , Y is a hypersurface of degree 6,  $X_3$  is a double covering of Y ramified over a curve rationally equivalent to 6H, H is the hypersurface section on Y. (c)  $(H_3, H_3) = 12$ .

*Proof.* – The proof is based on several steps.

STEP 1.  $-\dim H^0(O(H_3))=4$ .

*Proof.*  $-\mathbb{Z}_3 = (1, s, s^2)$  acts on  $X_3$  and thus on  $H^0(O(H_3))$ . From here it follows that  $H^0(O(H_3)) = H^0(O(H_3))^+ \oplus H^0(O(H_3))^* \oplus H^0(O(H_3))^{*2}$ .

where  $H^0(O(H_3))^+$  is the invariant subspace and  $H^0(O(H_3))^{\epsilon}$  and  $H^0(O(H_3))^{\epsilon'}$  are eigen subspaces with eigen values  $\epsilon$  and  $\epsilon^2$ , where  $\epsilon^3 = 1$  and  $\epsilon \neq 0$ . From  $H^0(O(H_3))^+ = p_3^* H^0(O(H_2))$  follows that dim  $H^0(O(H_3))^+ = 3$  (this is Lemma 2).

PROPOSITION 3.1. 
$$-\dim H^{0}(O(H_{3}))^{\epsilon} = 1$$
 and  $\dim H^{0}(O(H_{3}))^{\epsilon^{2}} = 0$ .

*Proof.* – Let  $U_i$  be a covering of  $X_3$ . Let f and g be elements of  $H^0(O(H_3))^{\epsilon}$  and  $H^0(O(H_3))^{\epsilon^2}$  respectively. Let me denote by  $f_i$  and  $g_i$ ,  $f|_{U_i}$  and  $g|_{U_i}$ . If  $U_i \cap C_3' \neq \emptyset$ , where  $C_3'$  is the branch locus of  $p_3$ , then we can choose the coordinates in  $U_i$  in the following manner:  $x_i^s = x_i$  and  $y_i^s = \epsilon y_i$ , where  $y_i$  is the local equation of  $C_3'$  in  $U_i$ . Repeating the same arguments as in Lemma 1 we get

(3.2) 
$$f_i^s = \varepsilon f_i$$
 and  $g_i^s = \varepsilon^2 g_i$  if  $f^s = \varepsilon f$  and  $g^s = \varepsilon^2 g$ .

Let

(3.3) 
$$f_i = \sum a_{mn} x_i^m y_i^n$$
 and  $g_i = \sum b_{mn} x_i^m y_i^n$ .

From (3.2) and (3.3) we obtain:

(3.4) 
$$f_i^s = \varepsilon f_i$$
 iff  $f_i = y_i f_i'(x_i, y_i^3)$  and  $g_i = \varepsilon^2 g_i$  iff  $g_i = y_i^2 g_i'(x_i, y_i^3)$ .

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From (3.4) it follows that if f and g are elements of  $H^0(O(H_3))^{\epsilon}$  and  $H^0(O(H_3))^{\epsilon^2}$  respectively, then  $(f) = C_3' + D$  and  $(g) = 2C_3' + D_1$ . Proposition 3.1 follows from the fact that  $C_3'$  is rationally equivalent to  $H_3$ . For the proof of this fact, see [W].

O.E.D

Remark. — Notice that we have proved that  $H^0(O(H_3)) = p_3^* H^0(O(H_2)) + \mathbb{C} y$ , where  $\mathbb{C}$  is the complex number field and  $(y) = C_3'$ .

STEP 2. 
$$- (H_3, H_3) = 12$$
.

*Proof.* – The proof is the same as the proof for  $(H_1, H_1) = 2$ .

Q.E.D.

Step 3.  $- \deg g_3(X_3)$  is one of the following numbers: 2, 3, 4, 6 and 12.

*Proof.* — It follows from Lemma 2 and the remark after Step 1 that the complete linear system  $|H_3|$  gives a holomorphic map  $g_3: X_3 \to Y \subseteq P^3$ . Now Step 3 follows from the following formula:  $(H_3, H_3) = \deg g_3 \times (H, H)_Y$ , where  $(H, H)_Y$  is the selfintersection number of the hyperplane section on Y.

Q.E.D.

Step 4. — Let  $x_1$ ,  $x_2$ ,  $x_3$  and  $x_4$  be sections of  $H^0(O(H_3))$ , which are linearly independent and generate  $H^0(O(H_3))$ . Then all monomials formed from  $x_1$ ,  $x_2$ ,  $x_3$  and  $x_4$  and having degree 4 are linearly independent in  $H^0(O(4H_3))$ . We suppose that deg  $x_i = 1$  for all i.

*Proof.* – The proof is based on several propositions.

Proposition 3.2:

$$H^{0}(O(4H_{3})) = p_{3}^{*}H^{0}(O(H_{2})) + x_{4}p_{3}^{*}H^{0}(O(3H_{2})) + x_{4}^{2}p_{3}^{*}H^{0}(O(2H_{2})),$$

where  $x_4$  is such that  $(x_4) = C'_3$ , the branch locus of  $p_3$ .

*Proof.* – From the way we constructed  $X_3$  we know that  $\mathbb{Z}_3$  acts on  $X_3$ . From here it follows that  $\mathbb{Z}_3$  acts on  $H^0(O(H_3))$ . From this action we get the following decomposition

$$H^{0}(O(4H_{3})) = H^{0}(O(4H_{3}))^{+} + H^{0}(O(4H_{3}))^{\varepsilon} + H^{0}(O(4H_{3}))^{\varepsilon^{2}}$$

where  $H^0(O(4H_3))^+$  is the invariant subspace,  $H^0(O(4H_3))^{\epsilon}$  and  $H^0(O(4H_3))^{\epsilon^2}$  are eigen subspaces with eigen values  $\epsilon$  and  $\epsilon^2$ . Repeating the same arguments as in Step 1, we get

(3.5) 
$$\begin{cases} f \in H^{0}(O(4H_{3}))^{\varepsilon} & \text{iff } f|_{U_{i}} = f_{i}(x_{i}, y_{i}) = y_{i}f'_{i}(x_{i}, y_{i}^{3}), \\ g \in H^{0}(O(4H_{3}))^{\varepsilon^{2}} & \text{iff } g|_{U_{i}} = g_{i}(x_{i}, y_{i}) = y_{i}^{2}g'_{i}(x_{i}, y_{i}^{3}), \end{cases}$$

where  $(x_i, y_i)$  is a local coordinate system in  $U_i$  such that  $x_i^s = x_i yn dy_i^s = y_i$ ,  $y_i$  is the local equation of  $C_3'$  in  $U_i$ . From (3.5) and the fact that  $C_3'$  is rationally equivalent to  $H_3$  we obtain:

(3.6) 
$$\begin{cases} f \in \mathrm{H}^{0}(\mathrm{O}(4\,\mathrm{H}_{3}))^{\varepsilon} & \text{iff } f = x_{4}\,f', \quad \text{where} \quad (x_{4}) = \mathrm{C}'_{3} \quad \text{and} \quad f' \in p_{3}^{*}\,\mathrm{H}^{0}(\mathrm{O}(3\,\mathrm{H}_{2})), \\ g \in \mathrm{H}^{0}(\mathrm{O}(4\,\mathrm{H}_{3}))^{\varepsilon^{2}} & \text{iff} \quad g = x_{4}^{2}\,g', \quad \text{where} \quad g' \in p_{3}^{*}\,\mathrm{H}^{0}(\mathrm{O}(2\,\mathrm{H}_{2})). \end{cases}$$

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PROPOSITION 3.2. – Follows from (3.6) and the fact  $H^0(O(4 H_3))^+ = p_3^* H^0(O(4 H_2))$ .

Proposition 3.3:

$$H^{0}(O(4H_{2})) = (p_{2}p_{1})^{*}H^{0}(O(4K_{S})) + y_{2}(p_{2}p_{1})^{*}H^{0}(O(3K_{S})) + y_{1}(p_{2}p_{1})^{*}H^{0}(O(3K_{S})) + y_{2}y_{1}(p_{2}p_{1})^{*}H^{0}(O(2K_{S})),$$

where  $(y_2) = C'_2$  (the branch locus of  $p_2$ ) and  $y_1 = (p_2)^* z_1$ ,  $(z_1) = C'_1$ , the branch locus of  $p_1$ .

*Proof.*  $-\mathbb{Z}_2$  acts on  $X_2$  and so it acts on  $H^0(O(4H_2))$ . Thus we have

$$H^{0}(O(4H_{2})) = H^{0}(O(4H_{2}))^{+} + H^{0}(O(4H_{2}))^{-}$$

We know that

$$H^{0}(O(4H_{2}))^{+} = p_{2}^{*}H^{0}(O(4H_{1})).$$

Repeating the same arguments as in Proposition 4.1 we will get that

$$H^{0}(O(4 H_{2}))^{-} = y_{2} p_{2}^{*} H^{0}(O(3 H_{1})),$$

where  $(y_2) = C'_2$ . So we get

(3.7) 
$$H^{0}(O(4H_{2})) = p_{2}^{*}H^{0}(O(4H_{1})) + y_{2}p_{2}^{*}H^{0}(O(3H_{1})).$$

We know that  $X_1$  is a double covering of S ramified over  $C_1$ .  $\mathbb{Z}_2$  acts on  $X_1$ . From this action we get

(3.8) 
$$H^{0}(O(4H_{1})) = p_{2}^{*}H^{0}(O(4H_{1}))^{+} + H^{0}(O(4H_{1}))^{-}.$$

Repeating the arguments of Remark 2 we obtain:

(3.9) 
$$H^{0}(O(4H_{1}))^{+} = p_{1}^{*}H^{0}(O(4K_{s})),$$

(3.10) 
$$H^{0}(O(4H_{1}))^{-} = z_{1} p_{1}^{*} H^{0}(O(3K_{S})),$$

where  $(z_1) = C_1'$  the branch locus of  $p_1$  and  $z_1 \in H^0(O(H_1))$ .

Repeating the same discussion for  $H^0(O(3H_1))$  we get that

(3.11) 
$$H^{0}(O(3H_{1})) = p_{1}^{*}H^{0}(O(3K_{s})) + z_{1}p_{1}^{*}H^{0}(O(2K_{s})).$$

Combining (3.8), (3.9) and (3.10) we get

(3.12) 
$$H^{0}(O(4H_{1})) = p_{1}^{*}H^{0}(O(4K_{s})) + z_{1}p_{1}^{*}H^{0}(O(3K_{s})).$$

Putting (3.11) and (3.12) in (3.7) leads us to

(3.13) 
$$H^0(O(4H_2)) = (p_2 p_1)^* H^0(O(4K_S)) + p_2(z_1)(p_2 p_1)^* H^0(O(3K_S))$$
  
  $+ y_2(p_2 p_1)^* H^0(O(2K_S)) + y_2 p_2^*(z_1) H^0(O(2K_S)).$ 

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(3.13) Proves Proposition 3.3 if we take into account that  $y_1 = p_2^*(z_1)$ .

Q.E.D.

Remark. — We can choose  $x_1$ ,  $x_2$ ,  $x_3$  and  $x_4$  (a basis of  $H^0(X_3, O(H_3))$ ) in a such way that  $x_1^2 = (p_3 p_2 p_1)^*(s_1)$ ,  $x_2^2 = (p_3 p_2 p_1)^*(s_2)$ ,  $x_3 = (p_3 p_2 p_1)^*(s_0)$  and  $x_3^4 = (p_3 p_2 p_1)^*(s_3)$ , where  $(s_0) = K_S$ ,  $(s_1) = C_1$ ,  $(s_2) = C_2$  and  $(s_3) = C_3$ .

*Proof.* — In Lemma 1 we proved that  $H^0(O(H_1)) = p_1^* H^0(O(K_S)) + \mathbb{C} z_1$ , where  $(z_1) = C_1'$ , the branch locus of  $p_1$ . From the fact that  $X_1$  is a double covering of S ramified over  $C_1$ , it follows that  $p_1^*(s_1) = z_1^2$ . In Lemma 2 we proved that

$$H^{0}(O(H_{2})) = p_{2}^{*}H^{0}(O(H_{1})) + \mathbb{C}y_{2},$$

where  $(y_2) = C'_2$ , the branch locus of  $p_2$ . From the fact that  $X_2$  is a double covering of  $X_1$  ramified over  $p_1^*(C_2)$ , it follows that  $(p_2 p_1)^*(s_2) = y_2^2$ . In Lemma 3 we proved that

$$H^{0}(O(H_{3})) = p_{3}^{*}H^{0}(O(H_{2})) + \mathbb{C}x_{4},$$

where  $(x_4) = C_3'$ . From the fact that  $X_3$  is a cyclic  $\mathbb{Z}_3$  covering of  $X_2$  ramified over  $(p_2 p_1)^*(C_3)$  it follows that  $(p_3 p_2 p_1)^*(s_3) = x_4^3$ . Combining all these facts we conclude that

$$H^{0}(O(H_{3})) = (p_{3}p_{2}p_{1})^{*}H^{0}(O(K_{s})) + C(p_{2}p_{1})^{*}(z_{1}) + Cp_{3}^{*}(y_{2}) + Cx_{4}.$$

Now taking into acount that  $H^0(O(K_S)) = \mathbb{C} s_0$  and denoting by  $x_1 = (p_3 p_2)^*(z_1)$ ,  $x_2 = p_3^*(y_2)$ ,  $x_3 = (p_3 p_2 p_1)^*(s_0)$  we can state that  $H^0(O(H_3))$  is generated by  $x_1, x_2, x_3$  and  $x_4$ .

Q.E.D.

Proposition 3.4:

(a) 
$$H^0(O(3H_2)) = (p_2p_1)^* H^0(O(3K_S)) + y_2(p_2p_1)^* H^0(O(2K_S)) + y_1(p_2p_1)^* H^0(O(2K_S)) y_1 y_2(p_2p_1)^* H^0(O(K_S)).$$

 $y_1$  and  $y_2$  have the same meaning as in Proposition 3.4.

(b) 
$$H^0(O(2H_2)) = (p_2 p_1)^* H^0(O(2K_S)) + y_1(p_2 p_1)^* H^0(O(K_S)) + y_2(p_2 p_1)^* H^0(O(K_S)) + \mathbb{C} y_1 y_2.$$

*Proof.* – Repeat the proof of Proposition 3.3.

Q.E.D.

PROPOSITION 3.5. —  $H^0(O(4K_S))$  is generated by  $s_0^4$ ,  $s_0^2s_1$ ,  $s_0^2s_2$ ,  $s_0s_3$ ,  $s_0s_4$ ,  $s_1^2$ ,  $s_2^2$  and  $s_1s_2$ . The  $s_i$  are chosen in the way pointed out on Paragraph 1.

Proof. - From the exact sequence

$$0 \rightarrow O(3 K_S) \xrightarrow{\otimes s_0} O(4 K_S) \rightarrow O(4 K_S)|_{K_S} \rightarrow 0$$

we get the following inclusion

$$0 \rightarrow H^0(O(3 K_s)) \xrightarrow{\otimes s_0} H^0(O(4 K_s)).$$

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From this inclusion it follows that  $s_0^4$ ,  $s_0^2 s_1$ ,  $s_0^2 s_2$ ,  $s_0 s_3$ ,  $s_0 s_4$  are linearly independent. Let me denote the vector space spanned by these linearly independent vectors by  $V_1$ . The subspace  $V_1$  has dimension 5. Let me denote the subspace spanned by  $s_1^2$ ,  $s_2^2$  and  $s_1 s_2$  by  $V_2$ . We will show that dim  $V_2 = 3$ . If dim  $V_2 < 3$  then we will have  $a_1 s_1^2 + a_2 s_2^2 + a_3 s_1 s_2 = 0$ . From this equation we get  $a_1 s_1^2 = s_2 (a_2 s_2 + a_3 s_1)$ . From the last equation it follows that  $C_2$  is contained in  $C_1$ . This is impossible. If  $V_1 \cap V_2 = \emptyset$ , then Proposition 3.5 will be proved. Suppose that  $V_1 \cap V_2 \neq \emptyset$  and let  $v \in V_1 \cap V_2$  and  $v \neq 0$ . Thus

$$v = b_1 s_1 + b_2 s_2 + b_3 s_1 s_2 = s_0 (c_1 s_0^3 + c_2 s_0 s_1 + c_3 s_3 + c_4 s_0 s_2 + c_5 s_4).$$

From this formula we obtain:

(3.14) 
$$b_1 s_1^2 + b_2 s_2^2 + b_3 s_1 s_2 \equiv 0$$
 on  $K_S$ .

Notice that it is impossible. Indeed, let U be a neighborhood of a point on  $K_s$ . Let  $s_1|_U = f_1$  and  $s_2|_U = f_2$ . From the definition of  $s_1$  and  $s_2$  and Kunev's theorem it follows that we can find a point  $P \in K_s \cap U$  such that  $f_1(P) \neq 0$  and  $f_2(P) \neq 0$ . This fact contradicts (3.14). Proposition 3.5 is thus proved.

Q.E.D.

The end of the proof of Step 4. — Let me denote by  $P_3 = p_3 p_2 p_1$ . Combining Propositions 3.2, 3.3 and 3.4 and taking into account the remark after Proposition 3.3, we will obtain the following formula

(3.15) 
$$H^{0}(O(4H_{3})) = P_{3}^{*}H^{0}(O(4K_{S})) + x_{1}P_{3}^{*}H^{0}(O(3K_{S})) + x_{2}P_{3}^{*}H^{0}(O(3K_{S}))$$
  
 $+x_{1}x_{2}P_{3}^{*}H^{0}(O(2K_{S})) + x_{4}x_{1}P_{3}^{*}H^{0}(O(2K_{S})) + x_{4}x_{2}P_{3}^{*}H^{0}(O(2K_{S}))$   
 $+x_{4}P_{3}^{*}H^{0}(O(3K_{S})) + x_{4}x_{1}x_{2}P_{3}^{*}H^{0}(O(K_{S})) + x_{4}^{2}P_{3}^{*}H^{0}(O(K_{S}))$   
 $+x_{4}^{2}x_{1}P_{3}^{*}H^{0}(O(K_{S})) + x_{4}^{2}x_{2}P_{3}^{*}H^{0}(O(K_{S})) + x_{4}^{2}x_{1}x_{2}\mathbb{C}.$ 

Note that this is a decomposition into a direct sum. From (3.15) we come to:

PROPOSITION 3.6. – The basis of  $H^0(X_3, O(3H_3))$  consists of all monomials of degree 4 formed of  $x_1$ ,  $x_2$ ,  $x_3$  and  $x_4$  plus  $x_1 P_3(s_4)$ ,  $x_2 P_3(s_4)$ ,  $x_3 P(s_4)$  and  $x_4 P_3(s_4)$ .

Step 5. 
$$-\deg g_3(X_3) = 6$$
, i.e.  $g_3(X_3)$  is a hypersurface of degree 6 in  $\mathbb{P}^3$ .

*Proof.* – From Step 4 we see that  $Y = g_3(X_3)$  cannot be a hypersurface of degree less or equal to 6. From Step 3 it follows that deg Y is either 6 or 12. Suppose that deg Y = 12. From this fact it follows that all monomials of degree 6 formed of  $x_1$ ,  $x_2$ ,  $x_3$  and  $x_4$  are linearly independent in  $H^0(X_3, O(6H_3))$ . It is clear that we have the following inclusion;  $P_3^* : H^0(S, O(6K_S)) \subseteq H^0(X_3, O(6H_3))$ . From this inclusion and the fact that all monomials of degree 6 formed of  $x_1$ ,  $x_2$ ,  $x_3$  and  $x_4$  are linearly independent it follows that  $s_0^6$ ,  $s_0 s_1 s_3$ ,  $s_0 s_2 s_3$ ,  $s_0^4 s_1$ ,  $s_0^4 s_2$ ,  $s_0^3 s_3$ ,  $s_0^2 s_1^2$ ,  $s_0^2 s_2^2$ ,  $s_0^2 s_1 s_2$ ,  $s_3^2$ ,  $s_1^3$ ,  $s_2^3$ ,  $s_1^2 s_2$  and  $s_1 s_2^2$  are linearly independent vectors in  $H^0(S, O(6K_S))$  and spanned a vector subspace V of dimension 14. (Formally the proof of the fact that dim V = 14 follows from the remark on Paragraph 7 and the above inclusion.) We have the following standart exact sequence

$$0 \rightarrow H^0(O(3K_S)) \xrightarrow{\otimes s_4} H^0(O(6K_S)) \xrightarrow{r} H^0(O(6K_S)|_{C_4}).$$

From this exact sequence it follows that if  $v \neq 0$  and  $v \in V$ , then  $r(v) \neq 0$ , so  $V \cap s_4 \otimes H^0(O(3K_S)) = \emptyset$ . From this fact we obtain that

$$\dim H^0(O(6K_S)) \ge \dim V + \dim H^0(O(3K_S)) = 19.$$

From Kodaira vanishing theorem for surfaces of general type, i. e. dim  $H^i(S, O(nK_S)) = 0$  it i and n are greater then 0, and Riemann-Roch theorem, we get that dim  $H^0(O(6K_S)) = 17$ . This contradiction proves Proposition 3.6.

Step 6. – Suppose that  $K_s$  is an ample divisor. Then Y is a nonsingular variety.

*Proof.* — Mumford proved that  $Proj(\oplus H^0(S, O(nK_S)))$  is a nonsingular model of a surface of general type S if  $K_S$  is an ample divisor.  $K_S$  is the canonical class of S. From this result it follows that:

Proposition 3.7. – Proj  $(\bigoplus H^0(X_3, O(nH_3)))$  is a nonsingular model of  $X_3$ .

*Proof.* – From Lemma 3 it follows that  $q(X_4) = Y$  is a surface of degree 6 in  $\mathbb{P}^3$ . If  $X_1$ . Let me denote by  $R_1$  the ring  $\oplus$  H<sup>0</sup> ( $X_1$ , O (n H<sub>1</sub>)) and by R the canonical ring of S, i. e.  $R = H^0(S, O(nK_S))$ . We must prove that for any maximal ideal m in  $R_1$ , the local ring  $R_{1 (m)}$  is regular. Notice that  $R_1 = R[X]/(X^2 - s_1)$ , so  $m' = m \cap R$  is a maximal ideal in R if m is maximal one in R<sub>1</sub>. For the proof of this fact look at Zariski and Samuel book Commutative Algebra. If the ideal m' does not contain the ideal  $(s_0)$  then  $\hat{R}_{(m')} \cong \hat{R}_{1 (m)}$ . For the proof of this see Zariski and Samuel (the sign  $\wedge$  means the completion in the m-adic topology). Now it is a standart fact from the local algebra that if the completion of a local ring is a regular one then the local ring is also regular. So in this case Proposition 3.7 is proved. Now suppose that  $(s_1) \subset m'$ . It is clear that we have the following isomorphism:  $R_{1 (m)} = R_{(m')}[X]/(X^2 - s_1)$ . The ring  $R_{(m')}[X]/(X^2 - s_1)$  is regular iff  $s_1 \neq 0 \mod m'^2$ , i.e.  $s_1$  is a local parameter in  $R_{(m)}$ . The last condition is fulfilled because the divisor of  $s_1$ ,  $C_1$ , is a nonsingular curve. So from here Proposition 6.1 follows. The criterium we used is proved in Serre book Local Algebra in Springer Lecture Notes. If we repeat the same arguments for the rings  $R_i = H^0(X_i, O(nH_i))$ , i = 2, 3 and 4 we will get that  $Proj(R_i)$  is a nonsingular model of  $X_i$ .

Q.E.D.

Proposition 3.8. – Y is a nonsingular hypersurface in  $P^3$ .

*Proof.* — First we will prove that  $Z_2 = (1, s)$  acts on  $X_3$  and  $X_3/s = Y$ , i. e.  $g_3 : X_3 \rightarrow Y$  is the natural map  $X_3 \rightarrow X_3/s$ . Let me denote by  $K(X_3)$  the field of rational functions on  $X_3$  and by K(Y) the field of rational functions on Y. We have the natural inclusion:  $K(Y) \subseteq K(X_3)$ . From Step 5, i. e. deg  $g_3 = 2$ , we get that deg  $(K(X_3) : K(Y)) = 2$ . So  $K(X_3)$  is a Galois extension of K(Y) with a Galois group  $G = Z_2$ , i. e.  $K(Y) = K(X_3)^G$ . From the fact that K(Y) is the quotion field of the subring  $R' \subseteq R_3$  generated by  $x_1, x_2, x_3$  and  $x_4$ , it follows that  $K(Y) \cap R_3 = R'$ . From this fact we get immediately that  $R' = R_3^G$ . That G acts on  $R_3$  follows from the following theorem: Every birational automorphism is a biregular one on the minimal model of a surface of general type. From the definition of R' it follows that  $Proj(R') = Y = g_3(X_3)$ . Now it is clear that

 $Y = X_3/s$  and since Y is a factor of a nonsingular surface  $X_3$  by the action of a group  $Z_2$ , it follows that Y is a normal hypersurface in  $\mathbb{P}^3$ . This fact leads us to conclude that Y can have at most isolated singular points. These singular points can be ordinary double points because  $Y = X_3/\mathbb{Z}_2$  and their number is equal to the number of the fixed points by the action of  $Z_2$ . Let me denote the fixed points by the action of  $\mathbb{Z}_2$  by  $p_i$ . To obtain a nonsingular model Y of Y, we first blow  $X_3$  at all fixed points  $p_i$  and obtain a surface  $\hat{X}_3$ . It is easy to see that the involution s can be lifted to an involution  $\hat{s}$  on  $\hat{X}_3$ . Let p be the canonical map  $p: \hat{X}_3 \to X_3$ . Let  $E_i' = p^{-1}(p_i)$ , then  $s|_{E_i'} = id$ . This implies that the quotient space  $\hat{Y}$  of  $\hat{X}_3$  by the involution s is nonsingular. Moreover, the morphism p induces a morphism  $\hat{p}: \hat{X}_3 \to \hat{Y}$  which gives a resolution of singularities of Y. From this whole discussion it follows that we have a map  $\hat{g}_3: \hat{X}_3 \to \hat{Y}$ , where  $\hat{X}_3$  and  $\hat{Y}$  are nonsingular varieties and  $\hat{Y} = \hat{X}_3/\mathbb{Z}_2$ . These facts shows us that the ramification divisor of  $\hat{g}_3$  consists of the disjoint union of nonsingular curves. Now let me compute the canonical class of  $X_3$ . We will use the following lemma proved in [M] on p. 110.

Lemma. — Let  $f: X^r \to Y^r$  be a regular dominating map of smooth r-dimensional varieties with a branch locus B. Then for all rational r-forms w on Y:

$$(3.16) (f*w) = B + f^{-1}((w)).$$

From this formula we immediately get

$$(3.17) K_{x_3} = 5 K_3.$$

Note that  $K_Y = 2H$ . Let the branch locus of  $g_3$  be  $C + \sum E_i'$ . It is a standart fact that  $K_{X_3} = p^* K_{X_3} + \sum E_i'$ . From formula (3.16) we obtain:

(3.18) 
$$5 H_3 + \sum E_i' = 2 H_3 + C + \sum E_i'.$$

From (3.18) we deduce that C is rationally equivalent to  $3\,\mathrm{H}_3$ . Let R be the ramification divisor of  $g_3$ . From the fact that  $X_3$  is a double covering of Y ramified over R, it follows that  $g_3^*(R) = 2\,\mathrm{C} \sim 6\,\mathrm{H}_3$ , where  $\sim$  means rationally equivelent. Thus we get

$$(3.19)$$
  $R \sim 6 H.$ 

Next we will prove that Y is a nonsingular surface. If we prove that  $\mathbb{Z}_2$  acts without isolated fixed points, then Y will automatically be nonsingular. Let me denote by n the number of fixed points on  $X_3$ . The proof of the fact that Y is a nonsingular surface is based on the following formula, connecting the topological Euler characteristics of X and Y, where X is a  $\mathbb{Z}_n$  cyclic covering of X ramified over R:

(3.20) 
$$\chi(X) = n \chi(Y) - (n-1) \chi(R),$$

where are the topological Euler characteristics.

Using (3.20) it is very easy to compute  $\chi(X_3)$  and we will get that

$$\chi(X_3) = 504.$$

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Notice that

(3.21) 
$$\chi(\hat{X}_3) = \chi(X_3) + n,$$

where n is the number of fixed points of the action of  $\mathbb{Z}_2$  on  $X_3$ .

From (3.20) we obtain:

(3.23) 
$$\chi(X_3) = 2\chi(Y) - \chi(R)$$
,

where R is the ramification divisor of  $g_3$ .

Let us compute  $\chi(Y)$  and  $\chi(R)$ . Because Y has only ordinary double points, then from the results of Briescorn it follows that the minimal nonsingular model Y of Y is diffeomorphic to a nonsingular hypersurface of degree 6 in  $\mathbb{P}^3$ . Let Z be a hypersurface of degree 6 in  $\mathbb{P}^3$ . Then from the well known formula:  $12(p_g-q+1)=(K_Z, K_Z)+\chi(Z)$  we can conclude that

$$\chi(Z) = 108.$$

Notice that  $R = C + \sum E_i$ , where C is rationally equivalent to 6 H and  $E_i$  is an exceptional curve of the second type and as all  $E_i$  are  $P^1$  we get that  $\chi(E_i) = 2$ . From the adjunctional formula on Y we get that  $2p_g(C) - 2 = (C, C + K_Y) = (6 H, 6 H + 2 H) = 6 \times 6 \times 8 = 288$ . So  $\chi(C) = -288$ :

(3.25) 
$$\chi(R) = \chi(C) + \sum \chi(E_i) = -288 + 2 n.$$

From (3.22), (3.23), (3.24) and (3.25) we get

$$\chi(X_3) + n = 2 \times 108 + 288 - 2n.$$

Combining (3.21) and (3.26) we see that n=0, so thus proving Step 6 and Lemma 3.

Q.E.D.

Lemma 4. — Let  $X_4$  be a  $Z_3$  cyclic covering of  $X_3$  ramified over  $(p_3 p_2 p_1)^*$   $(C_4)$ , where  $C_4 = (s_4) \in [3 K_5]$ . Then: (a) dim  $H^0(H_4, O(H_4)) = 5$  and  $(H_4, H_4) = 36$ , (b) the complete linear system  $|H_4|$  gives a map  $g_4: X_4 \to P^4$ , deg  $g_4 = 1$  and  $g_4(X_4)$  is a nonsingular variety, which is a complete intersection of type (6, 6).

*Proof.* – The proof of (a) is the same as proof of Lemma 3. Notice that we have  $H^0(O(H_4)) = p_4^* H^0(O(H_3)) + \mathbb{C} x_5$ , where  $(x_5) = C_4'$ , the branch locus of  $p_4 : X_4 \to X_3$ .

The proof of (b).

Proposition 4.1.  $- \deg q_4 = 1$ .

*Proof.* – Let me consider the composition of maps  $X_4 \stackrel{p_4}{\to} X_3 \stackrel{g_3}{\to} Y$  and let me denote this composition by q, i.e.  $q: X_4 \to Y$ . Notice that q is given by the linear system  $p_4^* H^0(O(H_3)) \subset H^0(X_4, O(H_4))$ . Let  $x_1, x_2, x_3$  and  $x_4$  be a basis for  $p_4^* H^0(O(H_3))$ . From condition (a) it follows that  $x_1, x_2, x_3, x_4$  and  $x_5$  is a basis of  $H^0(O(H_4))$ , where  $(x_5) = C_4'$ , the

branch locus of  $p_4$ . Suppose that x and  $y \in X_4$  and  $q(x) \neq q(y)$ , then it follows that  $g_4(x) \neq g_4(y)$ . Now suppose that a point  $P \in Y$ ,  $P \notin R$  (the ramification divisor of  $g_3$ ) and  $P \notin g_3(D_4)$  (the image of the ramification divisor of  $p_4$ ). From these two conditions, it follows that (a)  $g_3^{-1}(P) = (Q_1, Q_2)$  and  $Q_1 \neq Q_2$ , (b)  $p_4^{-1}(Q_1) = (P_{11}, P_{12}, P_{13})$ ,  $p_4^{-1}(Q_2) = (P_{21}, P_{22}, P_{23})$ , where  $P_{1i} \neq P_{1j}$  for  $1 \leq i$ ,  $j \leq 3$  and  $P_{2i} \neq P_{2j}$  for all  $1 \leq i$ ,  $j \leq 3$ . Note that  $q(P_{ki}) = P$  for all k and k. First we will prove that  $s_4(Q_1) \neq s_4(Q_2)$ . If for all  $(Q_1, Q_2) = g_3^{-1}(P) s_4(Q_1) = s_4(Q_2)$ , then it will follows that  $s_4$  is invariant under the action of  $\mathbb{Z}_2(\mathbb{Z}_2$  acts on  $\mathbb{Z}_3$  and  $\mathbb{Z}_3 = g_3(\mathbb{Z}_3) = q(\mathbb{Z}_4)$ . On the other hand because  $\mathbb{Z}_3 = g_3(\mathbb{Z}_3)$  and thus

$$H^0(O(H_3)) = H^0(O(H_3))^+ \oplus H^0(O(H_3))^-.$$

From the fact that

(a) 
$$H^0(O(H_3))^+ = g_3^* H^0(Y, O(H))$$
 and (b)  $H^0(O(3H_3))^+$ 

Q.E.D.

Proposition 4.2.  $-g_4(X_4)$  is a nonsingular surface in  $\mathbb{P}^4$ .

*Proof.* – The proof is based on the following sublemma:

Sublemma. — Let x be any point on  $X_4$  and let U be a neighborhood of x, then we can find two sections  $s_1$  and  $s_2 \in H^0(X_4, O(H_4))$  such that the curves  $(s_1)$  and  $(s_2)$  are nonsingular in U and  $x \in (s_1) \cap (s_2)$ .

*Proof.* — We will consider two different cases: (a)  $x \notin (x_5) = C_5'$ . Let me consider  $q(x) \in Y$ . For the definition of q, see Proposition 4.6, i.e.  $q = g_3 \circ p_4$ . From the Bertinni theorem it follows that we can find two hyperplane sections  $H_1$  and  $H_2$  such that (1)  $H_1$  and  $H_2$  are nonsingular curves (2)  $q(x) \in H_1 \cap H_2$ , (3)  $H_1$  and  $H_2$  transect R, the ramification divisor, transversally. From condition (1) and (3) it follows that  $g_3(H_1)$  and  $g_3(H_2)$  are nonsingular curves on  $X_3$ . From the fact that  $p_4$  is a local isomorphism around  $q_3(H_2)$  are nonsingular curves in some neighborhood of  $q_3(H_1)$ . For this case the sublemma is proved.

(b)  $x \in C_4'$ . Let me consider again  $q(x) \in Y$  and  $p_4(x)$ . For  $p_4(x)$  we have two possibilities: (1)  $p_4(x) \notin R' \cap D_4$ , where  $D_4$  is the ramification divisor of  $p_4$ . In a

neighborhood of  $p_4(x)$ ,  $g_3$  is a local isomorphism, so that  $g_3(D_4) = q(C'_4)$  is a nonsingular curve in some neighborhood of q(x). Now let H be a nonsingular hyperplane section of Y such that H intersects  $q(C'_4)$  transversally in q(x). From this review it follows that  $q^*(H)$  is a nonsingular curve transecting  $C'_4$  transversally.  $C'_4$  and  $q^*(H)$  are then nonsingular curves in some neighborhood of x containing x.

(2)  $p_4(x) \in \mathbb{R}' \cap \mathbb{D}_4$ . In this case we have two possibilities (a)  $\mathbb{R}'$  and  $\mathbb{D}_4$  intersect each other transversally, then in a neighborhood of q(x),  $g_3(\mathbb{D}_4)$  is a nonsingular curve. Now let H be a nonsingular hyperplane section intersecting  $\mathbb{R}$  and  $g_3(\mathbb{D}_4)$  transversally. Then q(H) is a nonsingular curve such that q(H) intersects  $\mathbb{C}'_4$  transversally in x. (b) Let  $\mathbb{D}_4$  and  $\mathbb{R}'$  be tangent at  $p_4(x)$ . Now let H be a nonsingular hyperplane section of Y transversal to  $\mathbb{R}$  at q(x). Then  $q^*(H)$  is a nonsingular curve in a neighborhood of x intersecting transversally  $\mathbb{C}'_4$ . Thus  $q^*(H)$  and  $\mathbb{C}'_4$  are the with the required properties.

Q.E.D.

Remark. — We have proved even more, namely, that through any point  $x \in X_4$  we can find two sections  $s_1$  and  $s_2$  of  $H^0(X_4, O(H_4))$  such that  $(s_1)$  and  $(s_2)$  are nonsingular curves meeting in x transversally.

Now let me prove Proposition 4.2. The map  $g_4$  is given by

$$x \rightarrow (q_0(x), \ldots, q_4(x)).$$

Now we may suppose that in a neighborhood of x,  $q_0 \neq 0$  and  $q_1$  and  $q_2$  have the properties stated in the remark after the sublemma. From this remark it follows that  $q_1/q_0$  and  $q_2/q_0$  are local coordinates in U. Let me denote these local coordinates by x and y. The map  $g_{4U}: U \to \mathbb{C}^4 = (t_1, t_2, t_3, t_4)$ , where  $t_1 = x$  and  $t_2 = y$ ,  $t_3 = q_3/q_0$  and  $t_4 = q_4/q_0$ . From the fact that x and y are local coordinates in U it follows that  $q_3/q_0 = F(x, y)$  and  $q_4/q_0 = G(x, y)$ , so the image of U in  $\mathbb{C}^4$ , i.e.  $g_4(U)$  in  $\mathbb{C}^4$  is given by the following equations:  $t_3 = F(t_1, t_2)$  and  $t_4 = G(t_1, t_2)$ . From these two equations immediately come to the conclusion that  $g_4(X_4)$  is a nonsingular variety.

Q.E.D.

**PROPOSITION** 4.3.  $-g_4(X_4)$  is a complete intersection of type (6, 6) in  $\mathbb{P}^4$ .

*Proof.* – From Lemma 3 it follows that  $q(X_4) = Y$  is a surface of degree 6 in  $\mathbb{P}^3$ . If  $x_1, x_2, x_3$  and  $x_4$  is a basis of  $p_4^* H^0(X_3, O(H_3)) = q^* H^0(Y, O(H))$ , then there is a relation of degree 6 among  $x_1, x_2, x_3, x_4$ , i.e.  $h_6(x_1, x_2, x_3, x_4) = O$  in  $H^0(X_4, O(H_4))$ . From here it follows that  $g_4^*(X_4)$  is contained in a hypersurface of degree 6 in  $\mathbb{P}^4$ . In Step 4 of lemma 3 we proved that  $H^0(X_3, O(3H_3))$  is generated by  $x_1, x_2, x_3, x_4$  and  $s_4$ , i.e. from all monomials of degree 3 formed from  $x_1, x_2, x_3, x_4$  and  $(p_3 p_2 p_1)^* s_4$ . Notice that the branch locus of  $g_3 R'$  is an element of  $|3H_3|$ . It follows Lemma 3. Let (z) = R', where  $z \in H^0(O(3H_3))$ , so that  $z = g(x_1, x_2, x_3, x_4, s_4)$ . On the band, we have  $R \sim 6H$  and  $g_3^*(R) = 2R'$ , so from  $R \sim 6H$  it follows that R is given by the equation  $f(x_1, x_2, x_3, x_4)$ . From  $g_3^*R = 2R'$  we get

$$z^2 = q^2(x_1, x_2, x_3, x_4, s_4) = f(x_1, x_2, x_3, x_4).$$

From this equation we obtain a second relation of deg=6 in  $H^0(X_4, O(6H_4))$  among monomials of deg=6 formed from  $x_1, x_2, x_3, x_4$  and  $x_5$ . From here we conclude that  $g_4(X_4)$ 

is contained in the intersection of two hypersurfaces of degree 6 in  $\mathbb{P}^4$ . From the fact that  $(H_4, H_4) = 36$  we immediately understand that  $g_4(X_4)$  is a complete intersection of type (6, 6) in  $\mathbb{P}^4$ .

Q.E.D.

Theorem 1 is proved.

Q.E.D.

Remarks. – (1) From Theorem 1 it follows that the moduli space of all surfaces with  $p_g = 1$  and (K, K) = 1 consists of one component. (2) All surfaces with  $p_g = 1$  and (K, K) = 1 are simply connected. (3) The moduli space of surfaces with  $p_g = 1$  (K, K) = 1 is a rational variety.

#### 2. Deformation theory of surfaces with $p_q = 1$ and (K, K) = 1

THEOREM 2. — Let S be a surface with  $p_g = 1$  and (K, K) = 1 for which  $K_S$  is an ample divisor. Then  $H^2(S, \Theta_S) = 0$  and dim  $H^1(S, \Theta_S) = 18$ , where  $\Theta_S$  is the tangent bundle sheaf.

Proof. – From the Serre duality it follows that  $H^2(S, \Theta_S)^* = H^0(S, \Omega_S^1(K_S))$ . If we can prove that  $H^0(S, \Omega_S^1(K_S)) = 0$ , then we will get that  $H^2(S, \Theta_S) = 0$ . That dim  $H^1(S, \Theta_S) = 18$  follows directly from Riemann-Roch-Hirzebruch theorem and the fact that for surfaces of general type we have  $H^0(S, \Theta_S) = 0$ . Our theorem then will be proved. In Theorem 1 we have proved that a surface X can be constructed, which is a complete intersection of type (6, 6) in  $\mathbb{P}^4$  and on X there ares a group  $G = \mathbb{Z}_6 \oplus \mathbb{Z}_6$  in such a way that X/G = S. From this fact we can deduce that  $H^0(S, \Omega_S^1(K_S)) = H^0(X, \Omega_X^1(H))^G$ . Notice that we have proved that  $p^*(K_S) = H$ , the hyperplane section of X. where  $p: X \to X/G = S$ . So if we prove that  $H^0(X, \Omega_X^1(H)) = 0$ , then Theorem 2 will be proved.

LEMMA 2.1. - 
$$H^0(X, \Omega_X^1(H)) = 0$$
.

*Proof.* - The proof will be given in several steps.

STEP 1. 
$$-H^0(X, \Omega_X^1(H)) = H^0(X, \Omega_{P^4}^1(H)|_X)$$
.

*Proof.* - We have the following exact sequence

$$(2.2) 0 \to \Theta_{\mathbf{X}} \to \Theta_{\mathbf{p}^4} |_{\mathbf{X}} \to N_{\mathbf{p}^4/\mathbf{X}} \to 0.$$

We will take the dual of (2.2), multiply it by  $O_X(H)$  and take into account that  $N_{P^4/X}^* = O_X(-6H) \oplus O_X(-6H)$ , thus obtaining:

(2.3) 
$$0 \to O_X(-6H) \oplus O_X(-6H) \to \Omega^1_{\mathbf{P}^4}(H)|_X \to \Omega^1_X(H) \to 0.$$

From (2.3) we get

(2.4) 
$$0 \to H^0(X, \Omega^1_{P^4}(H)|_X) \to H^0(X, \Omega^1_X(H)) \to H^1(X, O_X(-5H)).$$

Proposition 2.5. -  $H^{1}(X, O(-5H))=0$ .

*Proof.* - This follows immediately from Mumford vanishing theorem. See [M].

Q.E.D.

From (2.4) and (2.5) we get Step 1.

Q.E.D.

STEP 2. 
$$- H^0(X, \Omega_{P^4}^1(H)|_X) = 0.$$

*Proof.* – From the Serre duality we get that  $H^0(X, \Omega_{\mathbb{P}^4}^1(H)|_X)^* = H^2(X, \Theta_{\mathbb{P}^4}(6H))$ . We must prove then that  $H^2(\Theta_{\mathbb{P}^4}(6H)|_X) = 0$ . From the fact that X is a complete intersection in  $\mathbb{P}^4$  we receive the following exact sequence

(2.9) 
$$0 \to J_X = O_{P^4} (-6 H) \oplus O_{P^4} (-6 H) \to O_{P^4} \to O_X \to 0,$$

 $J_X$  the sheaf of ideals that define X in  $\mathbb{P}^4$ . Let us multiply (2.9) by  $\Theta_{\mathbb{P}^4}$  (6 H) then

$$(2.10) 0 \rightarrow \Theta_{\mathbb{P}^4} \oplus \Theta_{\mathbb{P}^4} \rightarrow \Theta_{\mathbb{P}^4}(6) \rightarrow \Theta_{\mathbb{P}^4}(6)|_{X} \rightarrow 0.$$

From (2.10) we obtain:

From Bott's results we get that  $H^2(P^4, \Theta_{P^4}(6)) = H^3(P^4, \Theta_{P^4}) = 0$ , see [B]. From here it follows that  $H^2(X, \Theta_{P^4}(6H))|_{X}) = 0$ .

Q.E.D.

From Step 1 and Step 2 we get that  $H^0(X, \Omega_X^1(H)) = 0$  and, as we have seen, Theorem 2 follows from here.

Q.E.D.

#### 3. Canonical Galois coverings of $\mathbb{P}^2$ , that are surfaces with $p_q = 1$ and (K, K) = 1

The aim of this chapter is to describe all surfaces with  $p_g = 1$  and (K, K) = 1 for which the map  $f_{|2K_S|}: S \to \mathbb{P}^2$  is a Galois covering with the following additional properties: (1)  $K_S$  is an ample divisor (2)  $K_S$  is a nonsingular curve.

Theorem 3. — Let S be a surface with  $p_g = 1$  and (K, K) = 1 with the properties described above, i.e.  $K_S$  is an ample divisor,  $K_S$  is a nonsingular curve and  $f_{|2K_S|}: S \to \mathbb{P}^2$  is a Galois covering. Then:

- (a)  $\operatorname{Gal}(S/\mathbb{P}^2) = \mathbb{Z}_2 \oplus \mathbb{Z}_2$ ;
- (b) one of the involutions, say  $s_1$ , restricted to  $K_s$  is the identity map.

Proof. - Proof of (a).

Proposition 3.1.  $-\deg f_{|2K_S|} = 4$ .

*Proof.* — Let p be a point outside the ramification divisor of  $f_{|2K_S|}$ . Let  $L_1$  and  $L_2$  be two lines intersecting in p. By the definition of deg of a map we have

$$\deg f_{|2K_S|} = (f_{|2K_S|}^{-1}(L_1), f_{|2K_S|}^{-1}(L_2)) = (2K_S, 2K_S) = 4.$$

Q.E.D.

Proposition 3.2. – Gal(S/ $\mathbb{P}^2$ ) is either  $\mathbb{Z}_4$  or  $\mathbb{Z}_2 \times \mathbb{Z}_2$ .

*Proof.* – The order of  $Gal(S/\mathbb{P}^2)$  must be 4 because  $\deg f_{|2K_S|} = 4$ . There are only two groups of order 4 and they are  $\mathbb{Z}_2 \times \mathbb{Z}_2$  and  $\mathbb{Z}_4$ .

Q.E.D.

PROPOSITION 3.3. –  $f_{|2K_S|}$  restricted to the canonical divisor  $K_S$  is the canonical map  $K_S \to P^1$ , i.e.  $p_g(K_S) = 2$  and so  $\deg f_{|2K_S|}|_{K_S} = 2$ .

*Proof.* – Let me consider the exact sequence

$$(3.4) 0 \rightarrow \Omega_{S}^{2} \rightarrow \Omega_{S}^{2}(K_{S}) \xrightarrow{res} \Omega_{K_{S}}^{1} \rightarrow 0.$$

Res is the Poincaré residue map. From (3.4) we have

$$(3.5) 0 \to H^0(\Omega_S^2) \to H^0(\Omega_S^2(K_S)) \to H^0(\Omega_{K_S}^1) \to H^1(\Omega_S^2) = 0 (q(S) = 0).$$

From (3.5) we get that the restriction of  $f_{|2K_S|}$  on  $K_S$  is the canonical map. Note that  $K_S$  is a nonsingular curve of genus 2 and so it is a hyperelliptic curve and so the canonical map has degree 2.

Q.E.D.

Proposition 3.4. – Gal(S/ $\mathbb{P}^2$ )= $\mathbb{Z}_2 \times \mathbb{Z}_2$ .

*Proof.* – Suppose that  $Gal(S/\mathbb{P}^2) = \mathbb{Z}_4$ .

Sublemma. – Let s be the generator of  $\mathbb{Z}_4$ , then  $s(K_s) = K_s$ .

*Proof.* – Notice that  $\mathbb{Z}_4$  acts on  $H^0(S, \Omega_S^2)$ . Which leads to the following possibilities: (a)  $w^s = \pm w$ , (b)  $w^s = \pm iw$ , where w is an element of  $H^0(S, \Omega_S^2) = \mathbb{C} w$ . From these two possibilities and the fact that  $K_S$  is the divisor of w, we get what is necessary.

O.E.D

From this sublemma it follows that we can find 6 different points on  $K_s$  such that  $s(p_i) = p_i$ ;  $i = 1, 2, \ldots, 6$  and  $p_i$  are the Weierstrass points on the hyperelliptic curve of genus two  $K_s$ . From the fact that  $s(p_i) = p_i$  we get a representation of  $\mathbb{Z}_4$  to the tangent space at  $p_i$ . This means that we have a map  $g: \mathbb{Z}_4 \to \operatorname{Aut}(T_{p_i,s})$ . Let M be the matrix equal to g(s). Notice that  $M^4 = E$ ,  $M^2 \neq E$  and  $M^3 \neq E$ . If M = E or  $M^2 = E$ , it will mean that in a neighborhood of  $p_i$ ,  $f_{|2K_s|}$  will have degree 1 or 2 and this contradicts proposition 3.1. Because  $M^3 \neq E$  and  $M^4 = E$  and from the Jordan decomposition of any linear operator it follows that we can find a basis in  $T_{p_i,s}$  for which M will be diagonal. The matrix will be one of the following types

$$(1)\begin{pmatrix}1&0\\0&i\end{pmatrix}, \qquad (2)\begin{pmatrix}1&0\\0&-i\end{pmatrix}, \qquad (3)\begin{pmatrix}i&0\\0&i\end{pmatrix},$$

$$(4)\begin{pmatrix}i&0\\0&-i\end{pmatrix}, \qquad (5)\begin{pmatrix}-1&0\\0&-i\end{pmatrix}, \qquad (6)\begin{pmatrix}-1&0\\0&i\end{pmatrix}, \qquad (7)\begin{pmatrix}-i&0\\0&-i\end{pmatrix}.$$

All these matrixes correspond to the fact that we can choose the local coordinates (u, v) around  $p_i$  such that  $(1) u^s = u, v^s = iv, (2) u^s = u, v^s = -iv, (3) u^s = iu, v^s = iv, (4) u^s = iu, v^s = -iv, (5) u^s = -u, v^s = -iv, (6) u^s = u, v^s = iv$  and  $(7) u^s = iu, v^s = -iv$ . It would be an easy exercise to find the invariants, to see that if  $\mathbb{Z}_4$  acts as in cases (3), (4), (5), (6) and (7) then  $\mathbb{S}/\mathbb{Z}_4$  will have isolated singularities, but this is impossible because we know that  $f_{|2K_S|}: \mathbb{S} \to \mathbb{S}/\mathbb{Z}_4 = \mathbb{P}^2$ . If  $\mathbb{Z}_4$  acts as in cases (1) and (2), then we will see that the action of  $\mathbb{Z}_4$  restricted to a curve defined

by v=0 in a neighborhood of  $p_i$  is the identity. So from here we get that the map  $f_{|2K_S|}: S \to S/\mathbb{Z}_4$  is either one to one or four to one set theoretically. This contradicts the fact that on  $K_S$ , which contains  $p_i$ , the map  $S \to S/\mathbb{Z}_4$  is set theoretically two to one. From here we conclude that  $Gal(S/\mathbb{P}^2) = \mathbb{Z}_2 \times \mathbb{Z}_2$ .

Q.E.D.

Proposition 3.5. — Let s be an element of  $Gal(S/\mathbb{P}^2)$ , then either  $s|_{K_S} = id$  or it has 6 fixed points.

Proof. — From the Hurwitz formula (see [H]) it follows that either s has 6 fixed points or s has two fixed points or s is the identity, when we restrict s on  $K_S$ . Suppose that s has two fixed points on  $K_S$ . From the Hurwitz formula it follows that  $K_S/s = C$  is an elliptic curve. Let  $s_1 \in Gal(S/P^2) = \mathbb{Z}_2 \times \mathbb{Z}_2$  and such that  $s \circ s_1 \neq id$ . Notice that if Y = S/s, then  $Y/s_1 = \mathbb{P}^2$ , i. e. the composition map  $S \to Y \to \mathbb{P}^2$  is  $f_{|2K_S|}$ . From the fact that  $f_{|2K_S|}(K_S) = P^1$  it follows that  $g_2(C) = g_2(g_1(K_S)) = \mathbb{P}^1 = C/s_1$ . The map  $g_2 : C \to \mathbb{P}^1$  has degree 2 and from here it follows that set theoretically, the map  $f_{|2K_S|} : K_S \to \mathbb{P}^1$  has degree 4. This contradicts proposition 3.3. So we have the following possibilities: either  $s|_{K_S}$  is the identity map or  $s|_{K_S}$  is the canonical involution of the hyperelliptic curve.

Q.E.D

Let  $s_1$  and  $s_2$  be elements of Gal(S/P<sup>2</sup>) such that  $s_1 \circ s_2 \neq \text{id}$ . Such elements exist because Gal(S/P<sup>2</sup>) =  $\mathbb{Z}_2 \times \mathbb{Z}_2$ . From proposition 3.7 it follows that  $s_1 \mid_{K_S}$  is either the identity or is the canonical involution.

If both  $s_1$  and  $s_2$  restricted to  $K_s$  are the canonical involutions, then  $s_1 s_2$  restricted to  $K_s$  will be the identity map and  $s_1 s_2 \neq id$  on S. So it follows that one of the involutions of  $Gal(S/\mathbb{P}^2)$  restricted to  $K_s$  is the identity map.

Q.E.D.

Theorem 4 (due to Kunev). — Suppose that S is a surface with  $p_g = 1$  and (K, K) = 1 with (a) an ample nonsingular canonical class, (b) S is a canonical Galois covering of  $\mathbb{P}^2$ . Then local Torelli theorem is not true for S.

*Proof.* — Griffiths proved the following criterion in [G] for proving the period map to be local isomorphism: The period map is a local isomorphism iff the natural pairing

$$(4.1) H1(\Omega_{S}^{1}) \otimes H0(\Omega_{S}^{2}) \to H1(\Omega_{S}^{1} \otimes \Omega_{S}^{2})$$

is surjective. We have the following exact sequence

$$(4.2) 0 \to \Omega_{S}^{1} \xrightarrow{\otimes w} \Omega_{S}^{1}(K_{S}) \to \Omega_{S}^{1}(K_{S})|_{K_{S}} \to 0$$

and

$$(4.3) \qquad \qquad H^{1}\left(\Omega_{S}^{1}\right) \stackrel{\otimes w}{\longrightarrow} H^{1}\left(\Omega_{S}^{1}\left(K_{S}\right)\right) \rightarrow H^{1}\left(\Omega_{S}^{1}\left(K_{S}\right)\big|_{K_{S}}\right) \rightarrow H^{2}\left(S,\,\Omega_{S}^{1}\right) = 0.$$

From (4.3) it follows that if  $H^1(\Omega_S^1(K_S)|_{K_S}) \neq 0$ , then the local Torelli is not true.

Proposition 4.1. - 
$$H^1(\Omega_S^1(K_S)|_{K_S}) \neq 0$$
.

$$4^{e}$$
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Proof. – From Theorem 3 condition (b) it follows that there exists  $s \in \operatorname{Gal}(S/\mathbb{P}^2)$  such that  $s \mid_{K_S} = \operatorname{id}$ . From this fact it follows that s acts on  $\Omega^1_s(K_S) \mid_{K_S}$ , so s acts on  $\Omega^1_s \mid_{K_S}$  and  $\Omega^1_s \mid_{K_S} = \Omega^1_s \mid_{K_S}^+ + \Omega^1_s \mid_{K_S}^-$ , where  $\Omega^1_s \mid_{K_S}^+ = \Omega^1_{K_S}$  and  $\Omega^1_s \mid_{K_S}^- = N^*_{S/K_S}$  (the conormal bundle).

Thus

$$\Omega_{S}^{1}\left|_{K_{S}}(K_{S}) \!=\! \Omega_{S}^{1}\left|_{K_{S}} \leftarrow N_{S/K_{S}} \quad [\text{because } O_{S}(K_{S})_{K_{S}} \!=\! N_{S/K_{S}}]$$

$$=\!\Omega^1_{K_S}\otimes N_{S/K_S}\oplus N_{S/K_S}^*\otimes N_{S/K_S}\!=\!\Omega^1_{K_S}\otimes N_{S/K_S}\oplus O_{K_S}.$$

From this decomposition we get that  $H^1(\Omega_S^1(K_S)|_{K_S}) = H^1(O_{K_S}) = \mathbb{C}^2 \neq 0$ . This is because  $K_S$  is a nonsingular curve of genus two.

Q.E.D.

THEOREM 5. — Let S be a surface with the following properties: (a)  $p_g(S)=1$  and  $(K_S, K_S)=1$ . (b)  $K_S$  is a nonsingular curve. (c) S is a canonical Galois covering of  $\mathbb{P}^2$ .

Suppose that  $s \in \text{Gal}(S/\mathbb{P}^2) = \mathbb{Z}_2 \times \mathbb{Z}_2$ , such that  $s|_{K_S} = \text{id}$  and  $s \neq \text{id}$  (such an automorphism exists according to Theorem 3).

Then S/s = Y is a K-3 surface, which is a double covering of  $P^2$  and s has 9 fixed points outside  $K_s$ .

**Proof**:

Proposition 5.1. – s acts on  $H^0(\Omega_s^2)$  as the identity.

*Proof.* — Let  $w \neq 0$  and  $w \in H^0(\Omega_S^2)$ . We must prove that  $w^s = w$ . Let U be a neighborhood of a point x on  $K_S$ . In U we can choose a local coordinate system (x, y) such that  $x^s = x$  and  $y^s = -y$ . Notice that y is the local equation of  $K_S$  in U. From the fact that the divisor of w is  $K_S$ , we obtain

$$w_{\rm U} = y \, dx \wedge dy$$
, so  $w_{\rm U}^s = -y \, dx \wedge d(-y) = y \, dx \wedge dy$ .

Proposition 5.1 is thus proved.

Q.E.D.

Proposition 5.2. – s can have only isolated fixed points outside  $K_s$ .

*Proof.* – Suppose that s(p) = p and  $p \notin K_s$ . Let  $U_p$  be a neighborhood of p. From (5.1) it follows that s preserve w, i. e.  $w^s = w$ . From this fact it follows that the representation of  $Z_2$  in  $T_{p,s}$  must preserve the skewsymmetric form w. This representation must be a  $SL_2(\mathbb{C})$  representation. From this fact it follows that we can find a local coordinate system in  $U_p(x, y)$  such that  $x^s = -x$  and  $y^s = -y$ . So p must be an isolated fixed point.

Q.L.D.

Let me blow up all isolated fixed points of s. We will denote by S' the modified S. Let  $p: S' \to S$  be the morphism that blows down all exceptional curves of the first kind. It is a well known fact that  $K_{S'} = p(K_S) + \sum \mathbb{P}^1_i$ . See [H]. We can continue the action of s on S'. An easy calculation shows that  $s|_{\mathbb{P}^1_i} = \operatorname{id}$  and S'/s = Y is a nonsingular variety. These are standart facts. From Proposition 5.1 we get that  $H^0(S, \Omega_S^2)^G = H^0(Y, \Omega_Y^2) \cong \mathbb{C}$ , so that  $p_g(Y) = 1$ . We know that  $s|_{K_{S'}} = \operatorname{id}$ . Let x be a point on  $K_{S'}$ . In a neighborhood of  $x \in U$ ,  $w|_U = u \, du \wedge dv$ , where u is the local equation of  $K_{S'}$  in U and  $u^s = -u$  and  $v^s = v$ . Arround q(x) the local coordinates are  $u^2$  and  $v, q: S' \to S'/s = Y$ . So  $w_Y|_{q(U)} = du^2 \wedge dv$  is a globally

defined form on Y such that  $q^*(w_Y) = w$ . Notice that the divisor of  $w_Y$  is zero. From the fact that q(S') = 0 it follows that q(Y) = 0, so from the classification theory of algebraic surfaces it follows that Y is a K-3 surface. See  $[\check{S}]$ .

Now let me calculate the number of the fixed points of s. The following formula is true

(5.3) 
$$\chi(S') = 2\chi(Y) - \chi(D),$$

where  $\gamma$  is the topological Euler characteristics and D is the ramification divisor of q.

It is a well known fact that the Euler characteristics of a K-3 surface  $\chi(Y)=24$ , see [S]. From the Noether formula:  $12(p_g-q+1)=(K_S,K_S)+\chi(S)$  we get that  $\chi(S)=23$ . Now let me denote by n the number of blown up points on S. We get

(5.4) 
$$\chi(S') = 23 + n, \quad \chi(Y) = 24.$$

Notice that  $D = K_s + \sum_{i=1}^{n} P_i^1$ ,  $\chi(K_s) = -2$  and  $\chi(P_i^1) = 2$ . So

(5.5) 
$$\chi(D) = -2 + 2n.$$

Now from (5.3), (5.4) and (5.5) we get that 3n=27 so n=9.

Q.E.D.

### 4. Examples and the description of surfaces with $p_g = 1$ and (K, K) = 1 that are canonical Galois coverings of $P^2$

Theorem 6. — Let  $S \subseteq \mathbb{P}^4(1, 2, 2, 3, 3)$  which is complete intersection of type (6, 6) with the following properties: (a) the equations that define S contain  $s_0$  in even degrees, (b)  $K_S$  is a nonsingular curve. (deg  $s_0 = 1$ ), where  $\mathbb{P}^4(1, 2, 2, 3, 3) \subseteq \mathbb{P}^4(s_0, s_1, s_2, s_3, s_4)$ . Then S is a canonical Galois covering of  $\mathbb{P}^2$ . The ramification divisor of  $f_{|2K_S|}$  consists of two nonsingular curves of degree 3 in  $\mathbb{P}^2$  meeting in 9 distinct points and a line.

Proof. — Theorem 1 shows that  $p_g(S) = 1$  and  $(K_S, K_S) = 1$ . Let  $\beta$  is an automorphism of  $\mathbb{P}^4(1, 2, 2, 3, 3)$  and  $\beta(s_0, s_1, s_2, s_3, s_4) = (-s_0, s_1, s_2, s_3, s_4)$ . From condition (a) it follows that  $\beta$  is an involution on S and  $\beta|_{K_S} = \mathrm{id}$ . It is not difficult to prove that the fixed points of  $\beta$  outside  $K_S$  are the points  $(s_0, s_1, s_2, 0, 0)$  on S. These points are exactly the intersection points of the curves  $(s_3) = C_3$  and  $(s_4) = C_4$ . We are supposing that we have chosen  $s_1, s_2, s_3$  and  $s_4$  exactly in the same way as in theorem 1, i.e.  $(s_1) = C_1$ ,  $(s_2) = C_2$ ,  $(s_3) = C_3$  and  $(s_4) = C_4$  are nonsingular curves intersecting each other transversally. From the fact that  $C_1$  for i = 3 and 4 birrationally equivalent to  $3K_S$  we get that the number of the fixed points of  $\beta$  outside  $K_S$  is equal to 9. Now let me denote by  $Y = S/\beta$ . From Theorem 5 we know that Y is a K - 3 surface.

Proposition 6.1. — Y is a double covering of  $P^2$  ramified over two cubic curves meeting each other in 9 distinct points.

*Proof.* — It is a well-known fact that if C is a nonsingular curve on a K-3 surface, then the complete linear system |C| gives a holomorphic map if  $p_g(C) \ge 1$ . See [\$], Chapter 10. Let me denote by C the image of  $K_S$  on Y. Because  $K_S$  is fixed by the

involution  $\beta$ , it follows that C is isomorphic to  $K_s$ , so that  $p_q(C)=2$ . By the theorem mentioned above the complete linear system |C| gives a holomorphic map. From Riemann-Roch theorem it follows that we have a holomorphic map  $f_{|C|}: Y \to \mathbb{P}^2$ . Because Y is a K – 3 surface and the map  $f_{|C|}$  has degree 2, the ramification divisor of  $f_{|C|}$  is rationally equivalent to 6 L, L is a line in  $\mathbb{P}^2$ . For the proof of this see [W]. Now I claim that the branch locus of  $f_{|C|}$  consists of the images of  $C_3$  and  $C_4$  in Y. Let me denote these two images by  $D_3$  and  $D_4$ . From the definition of  $\beta$  it follows that  $\beta$  leaves  $C_3$  and  $C_4$ invariant. Let me compute the number of the fixed points of the action of  $\beta$  on  $D_3$  and  $D_4$ . We have 9 points that are the fixed points of  $\beta$  outside  $K_s$  and these 9 points are  $C_3 \cap C_4$ . On the other hand  $\beta|_{K_S} = id$ , so that  $K_S \cap C_i$  (i = 3 and 4) are fixed points on  $C_3$ and  $C_4$ . From  $(C_i, K_S) = (3 K_S, K_S) = 3$  for i = 3 and 4, we get that the number of fixed points of  $\beta$  on  $C_3$  and  $C_4$  is equal to 9+3=12. Of course we have 12 fixed points on each of  $C_i$ , i=3 and 4. From the adjunctional formula we get that  $p_a(C_i)=7$  for i=3and 4. From the Hurwitz formula it follows that  $p_a(D_i) = 1$  for i = 3 and 4. We need to compute  $(C, C_i)$  on Y. Let me denote by p the natural map  $p: S \to S/\beta = Y$ . From the formula  $(p * D_3, p * C) = (3 K_S, 2 K_S) = \text{deg } p$ .  $(D_3, C) = 2$ .  $(D_3, C) = 6$  we get  $(C, D_3) = (C, D_4) = 3$ . From these calculations we get that the degree of the line bundle  $O_Y(C)$  restricted to both elliptic curves  $D_3$  and  $D_4$  is 3. So  $f_{|C|}$  restricted to  $D_3$  and  $D_4$  gives one to one map, i.e.  $f_{|C|}:D_i \pm P^2$  for i=3 and 4. This a standart fact about elliptic curves. See [H]. From this discussion we conclude that the images of D<sub>3</sub> and D<sub>4</sub> are contained in the ramification divisor of  $Y \to \mathbb{P}^2$ . From the fact that the ramification divisor is rationally equivalent to 6 L, we get what is necessary.

Q.E.D.

Theorem 6 follows from Proposition 6.1 and Propositions (3.1) and (3.3).

Q.E.D

Remark. — From Theorem 6 we get an explicit description of all Galois (canonical) coverings of  $\mathbb{P}^2$  in terms of the equations of S in  $\mathbb{P}^4$  ( $s_0$ ,  $s_1$ ,  $s_2$ ,  $s_3$ ,  $s_4$ ) =  $\mathbb{P}^4$  (1, 2, 2, 3, 3), i. e. these are all surfaces in  $\mathbb{P}^4$  (1, 2, 2, 3, 3) that are complete intersections of type (6, 6) and the equations that define S must contain  $s_0$  in even degree.

This is one way of describing the canonical Galois coverings of  $\mathbb{P}^2$ . The other way is the following one and it is due to H. Clemens. Let Y be a double covering of  $\mathbb{P}^2$ , ramified over two elliptic curves meeting in 9 different points. Let Y' be the surface obtained by blowing up all 9 double points on Y. Let  $E_1, \ldots, E_9$  be the exceptional curves of the second type on Y'. Let C be the praimage of the line L that does not contain any of the intersection points of the ramification divisor. It is not very difficult to prove that  $C + E_1 + \ldots + E_9$  is divisible by two in  $H_2(Y', \mathbb{Z})$ . Indeed, it is not difficult to see that  $3C \sim 2D_3 + E_1 + \ldots + E_9$  or  $C \sim 2D_3 - 2C + E_1 + \ldots + E_9$  and so  $C + E_1 + \ldots + E_9 \sim 2D_3 - 2C + 2(E_1 + \ldots + E_9)$ . Now let me define S' as a double covering of Y' ramified over  $C + E_1 + \ldots + E_9$ . It is not very difficult to prove that the minimal model of S', S is a surface with  $p_g = 1$  and  $(K_S, K_S) = 1$ . From here we can compute the number of moduli of all canonical Galois coverings of  $\mathbb{P}^2$ . First one can prove that if Y is a K - 3 surface which contains 9 exceptional curves of the second type and a curve of genus two not intersecting these 9 projective lines, then the curve of genus two plus the 9 lines are divisible by two in the second

homology group. Thus repeating the second construction of Galois coverings of  $\mathbb{P}^2$ . Note that the number of moduli of K-3 surfaces with the above properties is equal to 10. Two more moduli are obtained from the choice of C. So the number of the moduli of all canonical Galois coverings of  $\mathbb{P}^2$  is 12.

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