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COMMENTS ON A PAPER OF BROWN AND GUIVARC'H

By Calvin C. MOORE (1) AND JONATHAN ROSENBERG (2)

In a recent paper [2], Brown and Guivarc'h announce a proof of the following conjecture from [1]: Let G be a connected Lie group with radical R such that G/R has finite center; then G is type T in the sense of [1] if and only if the eigenvalues of ad (X) restricted to the Lie algebra $\mathcal{L}(R)$ of R are purely imaginary for every X in the Lie algebra $\mathcal{L}(G)$ of G. The proof given, however, has a gap in it, and in particular the crucial Proposition 4 is clearly false as stated. The difficulty occurs in the next to last sentence of the proof of this Proposition for it is surely possible for $G \cap V$ to leave invariant a compact set in $\mathcal{G}_p(V)$, for instance a one point set consisting of an affine subspace containing $G \cap V$. We shall show how the difficulty can be repaired by modifying both Propositions 4 and 5; in the end, the modified version is a bit more direct than the original version. We also show that the condition in the theorem that G/R have finite center is necessary; in fact, we show that the universal covering group of $SL_2(R)$ fails to have property T.

Specifically, Proposition 4 should be modified to read as follows:

PROPOSITION 4'. — Let G be a connected Lie group contained in the affine group of a vector space V. If $G \supset V$, and if G is type T, then G is type R.

Proof. — The given proof applies directly except that the affine Grassmann manifold $\mathscr{G}_r(V)$ (use some letter other than p) must be chosen so that $0 < r < \dim V$ which is possible by the proof of Proposition 3. The next to last sentence of the proof must be changed; the point is that if a compact subset C of $\mathscr{G}_r(V)$ is invariant under a subspace V' of V, then C must consist of affine subspaces parallel to V'. In particular, if V' = V, we have an impossibility since $r < \dim V$. This completes the proof.

Now Proposition 5 has to be strenghtened as follows:

PROPOSITION 5'. — Let G be a connected Lie group with radical R (which is non-compact) and nil-radical N. Then there exists a homomorphism h of G onto a group h (G) such that

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the kernel of h operates unipotently on $\mathcal{L}(R)$ and such that either: (i) $h(G) \subset GA(V)$ for some vector space V and $h(N) \supset V$, or else, (ii) h(G) is a solvable group.

Proof. — Exactly as in the paper, one reduces to the case when N is a vector group. Let $\mathscr S$ be a Levi factor for $\mathscr L(G)$ so that $\mathscr L(G) = \mathscr L(R) + \mathscr S$. Now let $\mathscr L(N_0)$ be the subspace of $\mathscr L(N)$ where $\mathscr S$ acts trivially and let N_0 be the corresponding vector subgroup of N. If $N = N_0$, then as $\mathscr S$ acts trivially on $\mathscr L(R)/\mathscr L(N)$ and as $\mathscr S$ is semisimple, $\mathscr S$ acts trivially on $\mathscr L(R)$ so that $\mathscr L(G)$ is the Lie algebra direct sum of $\mathscr L(R)$ and $\mathscr S$. The commutator subalgebra of $\mathscr L(G)$ is $[\mathscr L(R),\mathscr L(R)] + \mathscr S = \mathscr L(N) + \mathscr S$ which acts nilpotently on the radical $\mathscr L(R)$. Hence, the commutator subgroup [G,G] of G acts unipotently on $\mathscr L(R)$ and hence so does its closure G_1 . In this case, we choose h to be the projection of G onto G/G_1 and (ii) holds.

Now if $N_0 \neq N$, we note that N_0 is a normal subgroup of G since N is abelian and since $\mathscr{L}(R/N)$ is central in $\mathscr{L}(G/N)$. Since \mathscr{L} is semisimple and acts trivially on $\mathscr{L}(R)/\mathscr{L}(N)$, we may find a subspace $\mathscr{L}(R)$ of $\mathscr{L}(R)$ complementary to $\mathscr{L}(N)$ which is centralized by $\mathscr{L}(N)$. Since $[\mathscr{L}(N)]$ is also centralized by $\mathscr{L}(N)$, it is contained in $\mathscr{L}(N_0)$. Dividing out by N_0 , let $G' = G/N_0$, $R' = R/N_0$, $N' = N/N_0$, and let $\mathscr{L}(N) = \mathscr{L}(N) = \mathscr{L}(N)$ be the images of $\mathscr{L}(N)$ and $\mathscr{L}(N)$. (Note that N' is an abelian subalgebra and $\mathscr{L}(N) = \mathscr{L}(N) = \mathscr{L}(N) = \mathscr{L}(N)$ is the semi-direct product of $\mathscr{L}(N) = \mathscr{L}(N) =$

We choose our vector space V to be N'; for $g \in G$, let g' be its image in G' and write $g' = \tau(g) \rho(g)$ with $\tau(g) \in V$, and $\rho(g) \in H$. Now we let $h(g) v = g' v g'^{-1} + \tau(g)$ for $v \in V$; then h is a homomorphism of G into GA(V). Moreover h(N) = V and the kernel of h consists of elements $g \in G$ whose projection in G' lies in H and which act trivially on V. Thus the kernel surely acts unipotently on $\mathcal{L}(R)$ and (i) holds. Proposition 5' is proved.

The proof of the main theorem now proceeds as in [2] if h satisfies (i) and is trivial if h satisfies (ii).

We turn now to the second point about necessity of the condition that G/R have finite center. Let G be semisimple with center Z. By Proposition V.1 of [1] G will fail to have property T if and only if there is an open semigroup S in G such that $S S^{-1} \cap Z$ has infinite index in Z. Now let G be universal covering group of $G_0 = SL_2(R)$, so that Z = Z, the integers, and let S_0 be the open semigroup of $SL_2(R)$ consisting of matrices with all entries strictly positive. It is known that $S_0 S_0^{-1}$ meets the center of G_0 in only one point. Now on page 46 of [3], there is constructed a very explicit cross section $s:G_0 \to G$ for the group extension so that the corresponding cocycle b from $G_0 \times G_0$ into Z defined

by s(g) s(h) = b(g, h) s(gh) is explicitly computable. The cross section s is continuous and hence a homeomorphism on a dense open set D, specifically, the dense double coset of the triangular subgroup of G_0 . It is clear that $S_0 \subset D$, and a direct calculation using the formulas on page 46 of [3] shows that the cocycle is trivial on $S_0 \times S_0$ and that $s(g^{-1}) = s(g)^{-1}$ for $g \in S_0$. It follows that s is a homomorphism on S_0 and that $S = s(S_0)$ is an open semigroup in G, and that $SS^{-1} \cap Z = \{e\}$. Thus G fails to have property T.

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