



Differential Geometry

Regularity of the Kähler–Ricci flow

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ABSTRACT

In this short note, we announce a regularity theorem for the Kähler–Ricci flow on a compact Fano manifold (Kähler manifold with positive first Chern class) and its application to the limiting behavior of the Kähler–Ricci flow on Fano 3-manifolds. Moreover, we also present a partial C^0 estimate of the Kähler–Ricci flow under the regularity assumption, which extends previous works on Kähler–Einstein metrics and shrinking Kähler–Ricci solitons. The detailed proof will appear elsewhere.

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R É S U M É

Dans cette courte note, nous annonçons un théorème de régularité pour le flot de Kähler–Ricci sur une variété compacte de Fano (c'est-à-dire une variété kählérienne à première classe de Chern positive) et son application à l'étude du comportement limite du flot de Kähler–Ricci sur les variétés de Fano de dimension 3. Par ailleurs, nous présentons une estimation C^0 partielle du flot de Kähler–Ricci sous l'hypothèse de régularité, qui étend des travaux antérieurs concernant les métriques de Kähler–Einstein et les solitons de Kähler–Ricci régressifs. La preuve détaillée paraîtra ailleurs.

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1. Introduction

Let M be a Fano n -manifold and g_0 be any Kähler metric with Kähler class $2\pi c_1(M)$. Consider the normalized Kähler–Ricci flow:

$$\frac{\partial g}{\partial t} = g - \text{Ric}(g), \quad g(0) = g_0. \quad (1)$$

It was proved in [1] that (1) has a global solution $g(t)$ for $t \geq 0$. The main problem is to understand the limit of $g(t)$ as t tends to ∞ .

By Perelman's non-collapsing result [12], there exists $\kappa = \kappa(g_0) > 0$ such that:

$$\text{vol}_{g(t)}(B_{g(t)}(x, r)) \geq \kappa r^{2n}, \quad \forall t \geq 0, r \leq 1. \quad (2)$$

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For any sequence $t_i \rightarrow \infty$, by taking a subsequence if necessary, $(M, g(t_i))$ converge to a limiting length space (M_∞, d) in the Gromov–Hausdorff topology:

$$(M, g(t_i)) \xrightarrow{d_{GH}} (M_\infty, d). \quad (3)$$

The question is the regularity of M_∞ . A desirable picture is given in the following folklore conjecture.¹

Conjecture 1.1. (See [18], also see [9].) $(M, g(t))$ converges (at least along a subsequence) to a shrinking Kähler–Ricci soliton with mild singularities.

Here, “mild singularities” may be understood in two ways: (i) a singular set of codimension at least 4, and (ii) a singular set of a normal variety. By extending the partial C^0 -estimate conjecture [19] to the Kähler–Ricci flow, one can show that these two approaches are actually equivalent (see Section 3 or [22]).

As pointed out by the first named author, this conjecture implies the Yau–Tian–Donaldson conjecture. The conjecture states that a Fano manifold M admits a Kähler–Einstein metrics if it is K-stable. Recently, solutions were provided for this conjecture in the case of Fano manifolds ([20], also see [6–8]).

2. Regularity of the Kähler–Ricci flow

Let $g(t)$ be a normalized Kähler–Ricci flow on a Fano manifold M and (M_∞, d) be a sequential limit as phrased in (3). The main regularity result is:

Theorem 2.1. (See [22].) Suppose that for some uniform $p > n$ and $\Lambda < \infty$,

$$\int_M |\text{Ric}(g(t))|^p dv_{g(t)} \leq \Lambda. \quad (4)$$

Then the limit M_∞ is smooth outside a closed subset S of (real) codimension ≥ 4 and d is induced by a smooth Kähler–Ricci soliton g_∞ on $M_\infty \setminus S$. Moreover, $g(t_i)$ converges to g_∞ in the C^∞ -topology outside S .²

The proof of the theorem relies on Perelman’s pseudolocality theorem [12] of Ricci flow and a regularity theory for manifolds with L^p bounded Ricci curvature (p bigger than half dimension) and uniformly local volume non-collapsing condition (2). This is a generalization of the regularity theories of Cheeger–Colding [2–4] and Cheeger–Colding–Tian [5]. The proof can be carried out following the lines given in these papers under the framework established by Petersen and Wei [13,14] on the geometry of manifolds with integral bounded Ricci curvature.

We shall show in [22] a uniform L^4 bound on the Ricci curvature along the Kähler–Ricci flow on any Fano manifold. The above regularity result implies:

Corollary 2.2. (See [22].) Conjecture 1.1, i.e., the Hamilton–Tian conjecture, holds for dimension $n \leq 3$.

In the case of Del-Pezzo surfaces, Conjecture 1.1 follows from [23] and [10].

3. Partial C^0 estimate of the Kähler–Ricci flow

The partial C^0 estimate of Kähler–Einstein manifolds plays the key role in Tian’s program to resolve the Yau–Tian–Donaldson conjecture, see [17–20,11], for example. An extension of the partial C^0 estimate to shrinking Kähler–Ricci solitons was given in [15]. These works are based on the compactness of Cheeger–Colding–Tian [5] and its generalizations to solitons by [21]. We shall generalize these to the Kähler–Ricci flow on Fano manifolds in [22] under the regularity assumption of the limit M_∞ .

Let $u(t)$ denote the Ricci potentials of the Kähler–Ricci flow $g(t)$ that satisfy:

$$\text{Ric}(g(t)) + \partial\bar{\partial}u(t) = g(t), \quad \int e^{-u(t)} dv_{g(t)} = \text{vol}(M). \quad (5)$$

The Hermitian metrics $\tilde{g}(t) = e^{-\frac{1}{n}u(t)}g(t)$ have $\omega(t)$, the Kähler forms of $g(t)$, as their Chern curvature forms. Let $H(t)$ be the induced metric on K_M^{-1} , the l -th power of the anti-canonical bundle ($l \geq 1$). Let ∇ and $\bar{\nabla}$ denote the $(1,0)$ and $(0,1)$ part of the Levi-Civita connection, respectively. Then, at any time t , we have the Bochner-type formula for $\sigma \in H^0(M, K_M^{-1})$:

¹ It is often referred to as the Hamilton–Tian conjecture (see [18]).

² The convergence with these properties is usually referred to as the convergence in the Cheeger–Gromov topology, see [17] for instance.

$$\Delta|\nabla\sigma|^2 = |\nabla\nabla\sigma|^2 + |\bar{\nabla}\nabla\sigma|^2 - ((n+2)l-1)|\nabla\sigma|^2 - \langle\partial\bar{\partial}u(\nabla\sigma, \cdot), \nabla\sigma\rangle \tag{6}$$

and the Weitzenböck-type formulas for $\xi \in C^\infty(M, T^{1,0}M \otimes K_M^{-l})$:

$$\Delta_{\bar{\partial}}\xi = \bar{\nabla}^*\bar{\nabla}\xi + (l+1)\xi - \partial\bar{\partial}u(\xi, \cdot), \tag{7}$$

$$\Delta_{\bar{\partial}}\xi = \nabla^*\nabla\xi - (n-1)l\xi, \tag{8}$$

where $\Delta_{\bar{\partial}}$ is the Hodge Laplacian of $\bar{\partial}$. Since the Sobolev constant under the Kähler–Ricci flow is uniformly bounded [24], the Moser iteration gives the gradient estimate to $\sigma \in H^0(M, K_M^{-l})$ and L^2 estimate to solutions $\bar{\partial}\vartheta = \xi \in C^\infty(M, T^{1,0}M \otimes K_M^{-l})$; compare Lemmas 4.1 and 5.4 of [20]. Perelman’s C^1 estimate to $u(t)$ [16] will be used in the iteration arguments.

Now, let $\{s_{t,l,i}\}_{i=1}^{N_{t,l}}$ be an orthonormal basis of $H^0(M, K_M^{-l})$ with respect to the L^2 norm defined by $H(t)$ and Riemannian volume form, and put:

$$\rho_{t,l}(x) = \sum_{i=1}^{N_{t,l}} |s_{t,l,i}|_H^2(x), \quad \forall x \in M. \tag{9}$$

By using arguments similar to those in [11] or [20], we can prove:

Theorem 3.1 (Partial C^0 estimate). (See [22].) If $(M, g(t_i)) \xrightarrow{d_{GH}} (M_\infty, g_\infty)$ as phrased in Theorem 2.1, then the partial C^0 estimate:

$$\inf_{t_j} \inf_{x \in M} \rho_{t_j,l}(x) > 0 \tag{10}$$

holds for a sequence of $l \rightarrow \infty$.

A direct corollary of this is to refine the regularity in Theorem 2.1.

Theorem 3.2. (See [22].) Suppose $(M, g(t_i)) \xrightarrow{d_{GH}} (M_\infty, g_\infty)$ as above. Then M_∞ is a normal projective variety and S is a subvariety of complex codimension at least 2.

Finally, let us indicate how to deduce the Yau–Tian–Donaldson conjecture from the Hamilton–Tian conjecture. Suppose M is K-stable as defined in [18]. Then, under the Kähler–Ricci flow $g(t)$, we get a shrinking Kähler–Ricci soliton. From this, together with the uniqueness theorem on shrinking solitons, we can conclude that the Lie algebra of holomorphic vector fields on M_∞ is reductive. Then the K-stability implies the vanishing of Futaki invariant of M_∞ , consequently, the limit (M_∞, g_∞) is Kähler–Einstein. If M_∞ is not biholomorphic to M , then the eigenspaces of the first eigenvalues of $-\Delta_{g(t)} + g^{i\bar{j}}(t)\partial_{i\bar{u}}(t)\partial_{\bar{j}}$ will converge to a subspace of potential functions on M_∞ whose complex gradients are nontrivial holomorphic vector fields, cf. [25]. These vector fields induce the required degeneration of M to M_∞ , with vanishing Futaki invariants. This gives a contradiction to the K-stability of M . So we have:

Theorem 3.3. (See [22].) Suppose M is K-stable. If $(M, g(t_i)) \xrightarrow{d_{GH}} (M_\infty, g_\infty)$ as phrased in Theorem 2.1, then M coincides with M_∞ and admits a Kähler–Einstein g_∞ .

In view of the regularity of low dimensional Kähler–Ricci flow in Section 2 we have:

Corollary 3.4. (See [22].) The Yau–Tian–Donaldson conjecture holds for dimension $n \leq 3$.

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