



Partial Differential Equations/Mathematical Problems in Mechanics

# Lyapunov analysis and stabilization to the rest state for solutions to the 1D-barotropic compressible Navier–Stokes equations

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## Abstract

In this Note, we establish new estimates for the long time behavior of the solutions to the Navier–Stokes Equations for a compressible barotropic fluid in 1D, with homogeneous Dirichlet boundary conditions, with large initial data, and under the influence of a large mass force in the case when the stationary density admits vacua: a highly singular problem. As a consequence we bring new answers to the question of the stabilizing rate of convergence. *To cite this article: P. Penel, I. Straškraba, C. R. Acad. Sci. Paris, Ser. I 345 (2007).*

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## Résumé

**Analyse de Lyapunov et stabilisation vers l'état d'équilibre pour les solutions des équations de Navier–Stokes compressibles unidimensionnelles.** Dans cette Note, nous établissons de nouvelles estimées pour le comportement asymptotique en temps des solutions des équations unidimensionnelles de Navier–Stokes pour un fluide compressible barotrope, associées à des conditions aux limites homogènes de Dirichlet, pour de larges conditions initiales, sous l'influence de larges forces externes telles que la densité stationnaire peut s'annuler : un problème fortement singulier. Comme conséquence nous apportons une réponse nouvelle à la question du taux de convergence. *Pour citer cet article : P. Penel, I. Straškraba, C. R. Acad. Sci. Paris, Ser. I 345 (2007).*

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## Version française abrégée

La procédure de construction d'une fonctionnelle de Lyapunov est connue dans le cas de densité stationnaire globalement minorée [8]. En modifiant soigneusement la procédure, on démontre qu'elle est opportune même si l'on perd toute borne inférieure uniforme pour la densité (ce qui est le cas ici puisque l'on s'intéresse à la situation où l'unique équilibre présente un ensemble de mesure nulle où la densité peut s'annuler : un exemple sera donné dans le texte anglais).

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Les résultats obtenus conduisent à une estimation du taux de convergence vers l'équilibre. Ils prennent en compte une classe assez large de fonctions d'état descriptives de la pression, incluant les cas  $p(\rho) = \rho^\gamma$  avec  $\gamma > 1$  quelconque. Ils sont énoncés de façon précise au théorème 7, avec l'inégalité d'énergie généralisée

$$\begin{aligned} & \|\sqrt{\rho}u\|_2^2 + \|\rho - \rho_\infty\|_\beta^\beta + \|p(\rho) - p(\bar{\rho})\|_2^2 \\ & \leq c \left\{ e^{-\alpha(t-t_0)} \left( 1 + \int_{t_0}^t e^{\alpha s} \|g(s, \cdot)\|_2^2 ds \right) + \int_t^\infty \|g(s, \cdot)\|_2^2 ds \right\} \text{ pour tous } t > t_0 \geq 0. \end{aligned}$$

Les notations, les hypothèses et les idées-clés de démonstration seront donnés dans le texte anglais, pour les détails nous renvoyons à l'article [6]. L'introduction d'une densité quasi-stationnaire, notée  $\bar{\rho}$ , (voir (11), (12)) (voir aussi [4,8]), avec une contrainte pour la valeur moyenne de  $p(\bar{\rho})$ , nous semble essentielle.

**1. Introduction**

We deal with the following Navier–Stokes initial-boundary value problem in the domain  $Q_T = (0, T) \times (0, l)$ ,  $0 < T \leq \infty$

$$\rho_t + (\rho u)_x = 0 \quad \text{in } Q_\infty, \tag{1}$$

$$(\rho u)_t + (\rho u^2)_x - (\mu u_x - p(\rho))_x = \rho f \quad \text{in } Q_\infty, \tag{2}$$

$$u|_{x=0,l} = 0 \quad \text{in } (0, \infty), \tag{3}$$

$$\rho|_{t=0} = \rho^0 \quad \text{and} \quad u|_{t=0} = u^0 \quad \text{in } (0, l), \tag{4}$$

$u$  denotes the velocity,  $\rho$  the density,  $\mu > 0$  the viscosity coefficient.

Let the initial functions  $\rho^0$  and  $u^0$  be given in  $H^1(0, l)$  and satisfy  $\rho^0 > 0$  and  $u^0|_{x=0,l} = 0$ ;  $m = \int_0^l \rho^0(x) dx > 0$  is assumed to be also given.

Our main requirements on the state function  $p(\cdot)$  are as follows:

$$\begin{cases} p(\cdot) \text{ continuous, increasing on } [0, \infty), p(0) = 0, p(\infty) = \infty, \\ p'(\cdot) \in L_{loc}^\infty(0, \infty), p'(r) > 0 \text{ when } r > 0, p(r) \sim r^\gamma \text{ as } r \rightarrow 0^+ \text{ with a certain } \gamma > 0, \\ rp'(r) \leq cste \text{ as } r \rightarrow 0^+. \end{cases}$$

It is standard that the system (1)–(4) has a strong solution  $(u, \rho)$  for any  $T$

$$u \in H^1(Q_T) \cap L^2(0, T; H_0^1(0, l) \cap H^2(0, l)), \tag{5}$$

$$\rho \in C^0(Q_T), \quad \rho_t, \rho_x \in L^{\infty,2}(Q_T), \quad \rho > 0 \tag{6}$$

with the mass conservation

$$\int_0^l \rho(t, x) dx = \int_0^l \rho^0(x) dx = m,$$

and the energy equality

$$d_t E(t) + \mu \int_0^l (u_x)^2 dx = \int_0^l \rho g u dx \tag{7}$$

denoting  $E(t) = \int_0^l (1/2 \rho u^2 + P(\rho) - \rho F) dx$  where  $F(x) = \int_0^x f_\infty(y) dy$ ,  $P(r) = r \int_1^r \frac{p(s)-p(1)}{s^2} ds$ , and assuming a natural structure for  $f$ ,  $f = f_\infty + g$  with  $f_\infty \in W^{1,\infty}(0, l)$ , and  $g \in L^{2,\infty}(Q_\infty)$  expected to tend to zero.

As a consequence of (7), the following three properties are well-known and easily established either in the Lagrangian mass coordinates or in the Eulerian ones:

- (i) estimates for  $\|\sqrt{\rho}u\|_{L^{\infty,2}}, \|P(\rho)\|_{L^{\infty,1}}$  and  $\|u_x\|_{L^2(Q_\infty)}$ ,

- (ii) uniform upper bound for the density,
- (iii) convergence to zero as  $t \rightarrow \infty$  for the total kinetic energy  $\|\sqrt{\rho}u(t, \cdot)\|_{L^2(0,l)}$ .

There are many results about the behaviour of solutions to Eqs. (1), (2) under different boundary conditions, we refer e.g. [7] and [5] and the references therein.

**Remark 1.** Another form of the energy equality (7) is

$$d_t \tilde{E}(t) + \mu \int_0^l (u_x)^2 dx = \int_0^l \rho g u dx \tag{8}$$

where  $\tilde{E}(t) = \int_0^l (1/2 \rho u^2 + \rho \Pi(\rho, \rho_\infty)) dx$ , a nice equivalent formulation because of

$$\rho \Pi(\rho, \rho_\infty) = \rho \int_{\rho_\infty}^{\rho} (p(s) - p(\rho_\infty))/s^2 ds \geq k |\rho - \rho_\infty|^\beta$$

which holds with a suitable constant  $k = k(\beta)$ ,  $\beta \geq \max(2, \gamma)$ .

The rest states are now  $(u_\infty, \rho_\infty) = (0, \rho_\infty)$  and the related stationary model is

$$p(\rho_\infty)_x = \rho_\infty f_\infty \quad \text{in } (0, l), \tag{9}$$

$$\rho_\infty \geq 0 \quad \text{and} \quad \int_0^l \rho_\infty(x) dx = m. \tag{10}$$

Eq. (9) can be rewritten in the form  $(\int_1^{\rho_\infty} p'(r)/r dr)_x = f_\infty$ ; various assumptions on  $f_\infty$  and  $p$  have been studied to solve (9), (10).

Let us recall the following preliminary theorem devoted to necessary and sufficient conditions for the solution  $\rho_\infty$ : Denoting  $C_p = \int_0^1 p(r)/r^2 dr \leq +\infty$ ,  $F_{\min} = \min(F(x): 0 \leq x \leq l)$ ,  $F_{\max} = \max(F(x): 0 \leq x \leq l)$ , and  $\Psi(r) = p(r)/r + \int_0^r p(s)/s ds$  for  $r > 0$ ,  $\Psi(0) = 0$  (one can observe that for  $C_p < +\infty$  the function  $\Psi(\cdot)$  is continuous and increasing on  $\mathbb{R}^+$ ), we get:

**Theorem 2. Part 1.** A positive solution  $\rho_\infty$  to the problem (9), (10) exists if and only if one has either the three inequalities  $C_p < +\infty$ ,  $F_{\max} - F_{\min} < \Psi(\infty)$  and  $1/m \int_0^l \Psi^{-1}(F(x) - F_{\min}) dx < 1$  where  $\Psi^{-1}(\cdot)$  is the inverse of  $\Psi(\cdot)$  or  $C_p = +\infty$ .

**Part 2.** For  $p(\cdot)$  of class  $C^1$  on  $(0, \infty)$  and  $F(\cdot)$  locally Lipschitz continuous on  $(0, l)$ , if  $C_p < +\infty$  and if the upper level sets  $\{x \in (0, l): If_\infty(x) > \kappa\}$  are connected in  $(0, l)$  for any  $\kappa \in \mathbb{R}$ , then there is at most one solution  $\rho_\infty \in L^\infty_{\text{loc}}(0, l)$  satisfying (9), (10). In case of existence, it is explicit  $\rho_\infty(x) = \Psi^{-1}(\max(If_\infty(x) - k_l, 0))$  for a certain constant  $k_l$ .

We refer to [1] for Part 1, to [2,3] for Part 2.

**Remark 3.** One can observe that if  $p(r) = ar^\gamma$  then the conditions of Theorem 2 for the function  $p(\cdot)$  are automatically satisfied: either  $C_p < +\infty$  for  $\gamma > 1$  and  $\Psi(r) = a\gamma/(\gamma - 1)r^{\gamma-1}$ ,  $\Psi(\infty) = \infty$ , or  $C_p = +\infty$  for  $0 < \gamma \leq 1$ , which correspond to regular cases, i.e.  $\rho_\infty > 0$ .

## 2. New energy functionals and the main result

As one shall see, the introduction of a quasi-stationary density  $\bar{\rho} = \bar{\rho}(t, x)$  defined by

$$p(\bar{\rho})_x = \rho f_\infty \quad \text{in } (0, l), \quad (11)$$

$$\int_0^l p(\bar{\rho}(t, x)) \, dx = \int_0^l p(\rho(t, x)) \, dx \quad \text{for all } t > 0, \quad (12)$$

is one key of our approach.

It may be checked by a direct computation that (11), (12) determine explicitly  $p(\bar{\rho})$  in the form

$$p(\bar{\rho}(t, x)) = c(t) - \int_x^l \rho(t, y) f_\infty(y) \, dy, \quad (13)$$

$$\text{with } c(t) = 1/l \int_0^l p(\rho(t, x)) \, dx + \frac{1}{l} \int_0^l \left( \int_x^l \rho(t, y) f_\infty(y) \, dy \right) \, dx. \quad (14)$$

In the same way as in [8], one can show that:

**Theorem 4.** For  $p(\cdot)$ ,  $f_\infty$  in  $BV([0, l])$  and chosen such that there is a unique solution to (9), (10), we have the stabilizing properties in  $L^q(0, l)$ -norm,  $1 \leq q < \infty$ ,

$$\begin{aligned} \|\rho(t) - \rho_\infty\|_q &\rightarrow 0 \quad \text{as } t \rightarrow \infty, \\ \|p(\rho(t, \cdot)) - p(\bar{\rho}(t, \cdot))\|_q &\rightarrow 0 \quad \text{as } t \rightarrow \infty, \\ \sup_x |p(\bar{\rho}(t, x)) - p(\rho_\infty)(x)| &\rightarrow 0 \quad \text{as } t \rightarrow \infty. \end{aligned}$$

In view of the definition of new energy functionals, the question now is how do  $\|p(\rho) - p(\bar{\rho})\|_2^2$  and  $\|\rho - \rho_\infty\|_\alpha^\alpha$  (with  $\alpha = 2$  or  $\beta$ ) play same roles under the operator  $d/dt$ . To this end, we can describe the time–space evolution of  $p(\rho) - p(\bar{\rho})$  using an appropriate renormalized equation of continuity for  $p(\rho)$ , see hereafter (two words about the proof of Theorem 8).

Coming back to Eq. (2), we have

$$(\rho u)_t + (\rho u^2)_x - \mu u_{xx} + p(\rho)_x - p(\bar{\rho})_x = \rho g. \quad (15)$$

This equation is interesting since, taking the product by  $u$  and integrating over  $(0, l)$ , we can compare with the energy equality (8) and conjecture

$$d_t \int_0^l \rho \Pi(\rho, \rho_\infty) \, dx + \int_0^l (p(\rho) - p(\bar{\rho})) u_x \, dx = 0,$$

a result which is true (see [6]) under the assumptions

(V) the set  $\{x \in (0, l): \rho_\infty(x) = 0\}$  is of measure zero, and (H)  $\limsup_{r \rightarrow 0^+} \int_0^r \frac{p'(s)}{s} \, ds < \infty$ .

**Example.** In this example we show simple solutions of (9), (10) which satisfy or do not satisfy the condition (V) although they are limits of the evolution solutions to (1)–(4) with a strictly positive initial density.

Let  $l = 1$ ,  $p(\rho) = a\rho^\gamma$  with  $a > 0$ ,  $\gamma > 1$  constants  $f_\infty(x) = f_0 = \text{const} > 0$  for  $x \in [0, 1/2]$ ,  $f_\infty(x) = -f_0$  for  $x \in (1/2, 1]$ . Put also  $F(x) = f_0 x$  in  $[0, 1/2]$  and  $F(x) = f_0(1 - x)$  in  $(1/2, 1]$ . According to Theorem 2 the unique solution of the problem (9), (10) is given by  $\rho_\infty(x) = \Psi^{-1}(\max(F(x) - k, 0))$  with a suitable constant  $k$ . Consider the case when  $k \in [0, f_0/2]$ . Then elementary calculations yield  $\rho_\infty(x) = 0$  for  $x \in [0, k/f_0] \cup [1 - \frac{k}{f_0}, 1]$ , and  $\rho_\infty(x) = (\frac{\gamma-1}{a\gamma})^{\frac{1}{\gamma-1}} (f_0 x - k)^{\frac{1}{\gamma-1}}$ , if  $x \in (k/f_0, 1/2]$  and  $\rho_\infty(x) = (\frac{\gamma-1}{a\gamma})^{\frac{1}{\gamma-1}} [f_0(1 - x) - k]^{\frac{1}{\gamma-1}}$ , if  $x \in [1/2, 1 - \frac{k}{f_0}]$ . The condition (10) is satisfied by the constant  $k = f_0/2 - a^{1/\gamma} \frac{\gamma}{\gamma-1} (mf_0/2)^{1/\gamma}$ . The condition  $k \geq 0$  yields  $f_0 \geq a(\frac{\gamma}{\gamma-1})^\gamma m^{\gamma-1}$ . We see that if  $k > 0$ , the assumption (V) is *not* satisfied while for  $k = 0$  it is satisfied since then there are only two points,  $x = 0, 1$ , where  $\rho_\infty = 0$ . The latter case leads to the compatibility condition among the total mass, the external force, the adiabatic exponent  $\gamma$  and the physical constant  $a$ , namely,  $f_0 = a(\frac{\gamma}{\gamma-1})^\gamma m^{\gamma-1}$ .

**Definition 5.** Define for strictly positive parameters  $\varepsilon, \delta, \eta$ , the following energy-like functionals

$$E_{\varepsilon,\delta}(t) = \frac{1}{2} \int_0^l [\rho u^2 + \delta(p(\rho) - p(\bar{\rho}))^2 - 2\varepsilon\rho u I(p(\rho) - p(\bar{\rho}))] dx, \tag{16}$$

$$\tilde{E}_{\varepsilon,\delta,\eta}(t) = \frac{1}{2} \int_0^l [\eta(\rho u^2 + 2\rho\Pi(\rho, \rho_\infty)) + \delta(p(\rho) - p(\bar{\rho}))^2 - 2\varepsilon\rho u I(p(\rho) - p(\bar{\rho}))] dx. \tag{17}$$

It is not difficult to see

**Lemma 6.**  $E_{\varepsilon,\delta}(t) \geq \min((1 - 2\varepsilon m\beta), (\delta - 2\varepsilon ml/\beta))E_{0,1}(t)$ . For positivity, given  $m$  and  $l$ , one get some constraints in the choice of  $\beta$  and  $\varepsilon, \delta$ .

Then we claim our main result:

**Theorem 7.** For all  $t_0 \geq 0$ , for some  $\alpha > 0$  and  $c > 0$ , one has the decay rate estimate

$$\begin{aligned} & \|\sqrt{\rho}u\|_2^2 + \|\rho - \rho_\infty\|_\beta^2 + \|p(\rho) - p(\bar{\rho})\|_2^2 \\ & \leq c \left\{ e^{-\alpha(t-t_0)} \left( 1 + \int_{t_0}^t e^{\alpha s} \|g(s, \cdot)\|_2^2 ds \right) + \int_t^\infty \|g(s, \cdot)\|_2^2 ds \right\} \quad \text{for all } t > t_0 \end{aligned}$$

with the assumptions above,  $\beta \geq \max(\gamma, 2)$ ,  $\bar{\rho}$  defined by (11), (12), the hypothesis (V) and (H), the uniqueness conditions for  $\rho_\infty$  as in Theorem 2,  $f = f_\infty + g$ , the initial data and all properties for  $p(\cdot)$  as precised in the introduction.

Therefore, if  $g = 0$  or if one controls the  $L^2(Q)$ -norm of  $e^{bt}g(t, x)$  for some  $b \in (0, \alpha)$ , the decay rate is exponential.

### 3. Main steps of the proof

We intend to perform a Lyapunov analysis, yielding for the  $\varepsilon$ - $\delta$ - $\eta$ -family of functionals both appropriate choices of the parameters, especially  $\varepsilon_*, \delta_*, \eta_*$ , and ordinary differential inequalities in the successive forms

$$\begin{aligned} d_t \tilde{E}_{\varepsilon,\delta,\eta}(t) + \tilde{W}(t) & \leq \tilde{K} \|g\| |E_{\varepsilon,\delta}(t)| \quad \text{with } \tilde{K} = \tilde{K}(l, m, \varepsilon, \delta, \eta, \mu, E(0), \|f_\infty\|, \dots), \\ d_t E_{\varepsilon_*,\delta_*}(t) + W(t) & \leq K \|g\|^2 \quad \text{with } W(t) \geq \alpha E_{\varepsilon_*,\delta_*}(t). \end{aligned} \tag{18}$$

The construction procedure for a Lyapunov functional was known in the case of a density solution which are globally away from zero [8]. A careful nontrivial modification of the procedure leads to our result, so convenient now even if one misses a uniform lower bound for the density by a strictly positive constant. The elaboration of an adapted functional  $\tilde{E}_{\varepsilon_*,\delta_*,\eta_*}(\cdot)$  relates to a series of technical lemmas and to concrete refined estimates. A major point is a good description of all constants and an examination of their compatibility.

Let us stress some facts, collected together in

**Theorem 8.**

$$\begin{aligned} d_t E_{\varepsilon,\delta}(t) + \eta\mu \|u_x\|_2^2 + \eta \int_0^l (p(\bar{\rho}) - p(\rho))u_x dx \\ + \varepsilon \int_0^l \rho u I(p(\rho)_t - p(\bar{\rho})_t) dx + \varepsilon \int_0^l (\rho u^2 - \mu u_x)(p(\rho) - p(\bar{\rho})) dx + \varepsilon \|p(\rho) - p(\bar{\rho})\|_2^2 \end{aligned}$$

$$\begin{aligned}
& + \delta/2 \int_0^l (p(\bar{\rho})^2 - p(\rho)^2) u_x \, dx + \delta \int_0^l (p(\rho) - p(\bar{\rho}))(p(\rho) - \rho p'(\rho)) u_x \, dx \\
& + \delta \int_0^l (p(\rho) - p(\bar{\rho})) \left( \int_x^l \rho u f'_\infty \, dy \right) dx = \eta \int_0^l \rho g u \, dx + \varepsilon \int_0^l \rho g I(p(\bar{\rho}) - p(\rho)) \, dx.
\end{aligned}$$

Two words about the proof : We integrate over  $(0, l)$  the product of Eq. (15) by  $-\varepsilon I(p(\rho) - p(\bar{\rho}))$ , and we integrate the product of

$$p(\rho)_t - p(\bar{\rho})_t = -p(\rho)_x - p(\rho)u_x + (p(\rho) - \rho p'(\rho))u_x - c'(t) - \int_x^l (\rho u)_x f_\infty \, dy$$

by  $\delta(p(\rho) - p(\bar{\rho}))$ .

Next, all these new terms, on the left-hand side of equation given by Theorem 8, must be controlled in accordance with  $\|u_x\|_2$  and  $\|p(\rho) - p(\bar{\rho})\|_2$ .

Finally, there exist  $\varepsilon_* > 0$ ,  $\delta_* > 0$ ,  $\eta_* > 0$ , and  $\alpha_* > 0$  for which we arrive at the differential inequality (18). Precisely  $\delta_* = \varepsilon_*^{3/4}$ ,  $\varepsilon_* \sim \eta_*^{4/5}$ , and  $\alpha_*$  is inversely proportional to  $(\delta_* + ml(\eta_* + \varepsilon_*))$ ; these arguments are constructive. Moreover  $\varepsilon_*$ ,  $\delta_*$ ,  $\eta_*$ ,  $\alpha_*$  and  $K$  are locally bounded functions of  $l, m, \mu, E(0), \|f_\infty\|_{W^{1,\infty}(0,l)}$ , large data are available.

Thus  $E_{\varepsilon_*, \delta_*}$  is a Lyapunov functional, and the decay rate estimate immediately follows. The ‘a priori more natural’ generalized energy functional  $\tilde{E}_{\varepsilon, \delta, 1}(\cdot)$  seems not to enable us to obtain inequality (18).

The last step relies on the identity  $d_t \int_0^l \rho \Pi(\rho, \rho_\infty) \, dx + \int_0^l (p(\rho) - p(\bar{\rho})) u_x \, dx = 0$  under the assumption (V)  $\{x \in (0, l) : \rho_\infty(x) = 0\}$  of measure zero. So be the proof of Theorem 7 complete. The details of all proofs can be found in the paper [6].

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