

Algebraic Geometry

# Endomorphism rings and isogenies classes for Drinfeld $A$ -modules of rank 2 over finite fields

Mohamed-Saadbouh Mohamed-Ahmed

*Département de mathématiques, université du Maine, avenue Olivier-Messiaen, 72085 Le Mans cedex 9, France*

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## Abstract

For a Drinfeld module of rank 2, we discuss many analogy points with elliptic curves. More precisely, we study the characteristic polynomial of a Drinfeld module of rank 2 and use it to calculate the number of isogeny classes for such modules. **To cite this article:** *M.-S. Mohamed-Ahmed, C. R. Acad. Sci. Paris, Ser. I 343 (2006).*

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## Résumé

**Anneaux d'endomorphismes et classes d'isogénies de modules de Drinfeld de rang 2 sur un corps fini.** Pour un module de Drinfeld de rang 2, on étudie plusieurs points d'analogie avec les courbes elliptiques. Plus précisément, on étudie la caractéristique polynômiale d'un module de Drinfeld de rang 2 et en l'utilisant, on calcule le nombre de classes d'isogénies d'un module de Drinfeld de rang 2 sur un corps fini. **Pour citer cet article :** *M.-S. Mohamed-Ahmed, C. R. Acad. Sci. Paris, Ser. I 343 (2006).*

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## 1. Introduction

Let  $K$  be a non-empty global field of characteristic  $p$  (namely a rational functions field of one indeterminate over a finite field) together with a constant field, the finite field  $\mathbf{F}_q$  with  $p^s$  elements. We fix one place of  $K$ , denoted by  $\infty$ , and call  $A$  the ring of regular elements away from the place  $\infty$ . Let  $L$  be a commutative field of characteristic  $p$ ,  $\gamma : A \rightarrow L$  be a ring  $A$ -homomorphism. The kernel of this  $A$ -homomorphism is denoted by  $P$ . We put  $m = [L, A/P]$ , the extension degrees of  $L$  over  $A/P$ .

We denote by  $L\{\tau\}$  the Ore polynomial ring, namely, the polynomial ring of  $\tau$ , where  $\tau$  is the Frobenius of  $\mathbf{F}_q$  with the usual addition and where the product is given by the commutation rule: for every  $\lambda \in L$ , we have  $\tau\lambda = \lambda^q\tau$ . A Drinfeld  $A$ -module  $\Phi : A \rightarrow L\{\tau\}$  is a non-trivial ring homomorphism and a non-trivial embedding of  $A$  into  $L\{\tau\}$  different from  $\gamma$ . This homomorphism  $\Phi$ , once defined, defines an  $A$ -module structure over the  $A$ -field  $L$ , noted  $L^\Phi$ , where is come the name of a Drinfeld  $A$ -module for a homomorphism  $\Phi$ . This structure of  $A$ -module depends on  $\Phi$  and, especially, on his rank.

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*E-mail address:* [mohamed-saadbouh.mohamed-ahmed@univ-lemans.fr](mailto:mohamed-saadbouh.mohamed-ahmed@univ-lemans.fr) (M.-S. Mohamed-Ahmed).

Let  $\chi$  be the Euler–Poincaré characteristic (i.e. it is an ideal from  $A$ ). So we can speak about the ideal  $\chi(L^\Phi)$ , denoted henceforth by  $\chi_\Phi$ , which is by definition a divisor of  $A$ , corresponding for the elliptic curves to a number of points of the variety over their base field. In this paper, we will work on the special case  $K = \mathbf{F}_q(T)$ ,  $A = \mathbf{F}_q[T]$ . Let  $P_\Phi(X)$  be the characteristic polynomial of the  $A$ -module  $\Phi$ , which is also a characteristic polynomial of the Frobenius  $F$  of  $L$ . We can prove that this polynomial can be given as:  $P_\Phi(X) = X^2 - cX + \mu P^m$ , such that  $\mu \in \mathbf{F}_q^*$ , and  $c \in A$ , where  $\deg c \leq \frac{m \cdot d}{2}$  by the Hasse–Weil analogue in this case. We will be interested in the endomorphism ring and the number of isogeny classes of Drinfeld  $A$ -modules of rank 2. For more information see [1,2,5], and [3].

### 1.1. The endomorphism ring

A Drinfeld  $A$ -module of rank 2 is of the form  $\Phi(T) = a_1 + a_2\tau + a_3\tau^2$ , where  $a_i \in L$ ,  $1 \leq i \leq 2$ , and  $a_3 \in L^*$ . Let  $\Phi$  and  $\Psi$  be two Drinfeld modules over an  $A$ -field  $L$ . A morphism from  $\Phi$  to  $\Psi$  over  $L$  is an element  $p(\tau) \in L\{\tau\}$  such that  $p\Phi_a = \Psi_a p$  for all  $a \in A$ . A non-zero morphism is called an isogeny. We note that this is possible only between two Drinfeld modules having the same rank. The set of all morphisms between  $\Phi$  and  $\Psi$  forms an  $A$ -module denoted by  $\text{Hom}_E(\Phi, \Psi)$ .

In particular, if  $\Phi = \Psi$  the  $L$ -endomorphism ring ( $\text{End}_L \Phi = \text{Hom}_L(\Phi, \Phi)$ ) is a subring of  $L\{\tau\}$  and an  $A$ -module contained in  $\Phi(A)$ . Let  $F$  be the Frobenius of  $L$  we have:  $\Phi(A) \subset \text{End}_L \Phi$  and  $F \in \text{End}_L \Phi$ .

Let  $\bar{L}$  be a fix algebraic closure of  $L$ ,  $\Phi_a(\bar{L}) := \Phi[a](\bar{L}) = \{x \in \bar{L}, \Phi_a(x) = 0\}$ , and  $\Phi_P(\bar{L}) = \bigcap_{a \in P} \Phi_a(\bar{L})$ . We say that  $\Phi$  is supersingular if and only if the  $A$ -module constituted by a  $P$ -division points  $\Phi_P(\bar{L})$  is trivial, otherwise  $\Phi$  is said a ordinary module.

**Proposition 1.1.** *Let  $P_\Phi(X) = X^2 - cX + \mu P^m$  be the characteristic polynomial of the Frobenius  $F$  of a finite field  $L$  and let  $\Delta = c^2 - 4\mu P^m$  be the discriminant of  $P_\Phi$ , and  $O_{K(F)}$  the maximal  $A$ -order of the algebra  $K(F)$ .*

- (i) *For every  $g \in A$  such that  $\Delta = g^2 \cdot \omega$ , there exists a Drinfeld  $A$ -module  $\Phi$  over  $L$  of rank 2 such that  $O_{K(F)} = A[\sqrt{\omega}]$  and:  $\text{End}_L \Phi = A + g \cdot A[\sqrt{\omega}]$ .*
- (ii) *If there is no polynomial  $g$  of  $A$  such that  $g^2$  divide  $\Delta$ , then there exists an ordinary Drinfeld  $A$ -module  $\Phi$  over  $L$  of rank 2 such that  $\text{End}_L \Phi = O_{K(F)}$ .*

### 1.2. Isogeny classes

Let  $\bar{K}$  be an algebraic closure of  $K$  and let  $\infty$  be a place of  $K$  which divides  $\frac{1}{T}$ . Let us put  $K_\infty = F_q((\frac{1}{T}))$  and denote by  $\mathbb{C}_\infty$  the completude of the algebraic closure of  $K_\infty$ . We fix an embedding  $\bar{K} \hookrightarrow \mathbb{C}_\infty$ . For every  $\alpha \in \mathbb{C}_\infty$ , we denote by  $|\alpha|_\infty$  the normalized valuation of  $\alpha$  ( $|\frac{1}{T}|_\infty = \frac{1}{q}$ ).

Let  $\theta \in \bar{K}$ , we say that  $\theta$  is an ordinary number if:

- (i)  $\theta$  is integral over  $A$ ;
- (ii)  $|\theta|_\infty = q^{md/2}$ ;
- (iii)  $K(\theta)/K$  is imaginary and  $[K(\theta), K] = 2$ ;
- (iv) there is only one place of  $K(\theta)$  which divides  $\theta$  and  $\text{Tr}_{K(\theta)/K}(\theta) \neq 0(P)$ .

We say that  $\theta$  is an ordinary Weil number if  $\theta^\sigma$  is an ordinary number for all  $\sigma \in \text{col}(\bar{K}/K)$ . We denote by  $W^{\text{ord}}$  the set of conjugacy class of ordinary Weil numbers of rank 2. We have the important result, for a proof see [6]:

**Theorem 1.2.** *There exists a bijection between  $W^{\text{ord}}$  and isogeny classes of ordinary Drinfeld  $A$ -modules of rank 2 defined over  $L$ .*

Let  $\theta$  be an ordinary Weil number. We put  $P(x) = Hr(\theta, K; x)$ . By using (1), (2), (3) and (4) we have  $P(x) = x^2 - cx + \mu P^m$ , where  $\mu \in \mathbf{F}_q^*$  and  $c \in A$  but  $c \neq 0(P)$  and also  $\deg_T c \leq \frac{md}{2}$ . Let us put

$$\Gamma = \left\{ c \in A \text{ such that } c \neq 0(P) \text{ and } \deg_T c \leq \frac{md}{2} \right\}.$$

We need the following lemma:

**Lemma 1.3.** For  $\mu \in \mathbf{F}_q^*$ ,  $c \in \Gamma$  denote by  $E$  the field of decomposition of  $P(x) = x^2 - cx + \mu P^m$  over  $K$ . Let  $\theta$  be a root of  $P(x)$ . Then  $\theta$  verifies (1), (2), (3) and (4) together with  $[K(\theta), K] = 2$ .

**Corollary 1.4.**

- (1) Let  $\mu \in \mathbf{F}_q^*$  and  $c \in \Gamma$  and let  $\theta$  be a root of  $x^2 - cx + \mu P^m$ . Then  $\theta$  is an ordinary Weil number if and only if  $K(\theta)/K$  is imaginary.
- (2) If  $md \equiv 1(2)$ , then the roots of  $x^2 - cx + \mu P^m$  are Weil numbers for all  $\mu \in \mathbf{F}_q^*$  and for all  $c \in \Gamma$ .

To simplify, let us suppose  $p \neq 2$  and put  $md \equiv 0(2)$ .

**Lemma 1.5.** Let  $\mu \in \mathbf{F}_q^*$  and  $c \in \Gamma$  with  $\deg_T c \leq \frac{md}{2}$ . Let  $\theta$  be a root of  $x^2 - cx + \mu P^m$ . Then  $\theta$  is a Weil number if and only if  $-\mu \notin (\mathbf{F}_q^*)^2$ .

**Lemma 1.6.** Let  $\mu \in \mathbf{F}_q^*$  and  $c \in \Gamma$  with  $\deg_T c = \frac{md}{2}$ . Denote by  $c_0$  the term of higher degree of  $c$ . We suppose that  $c_0^2 \neq -4\mu$ . Let  $\theta$  be a root of  $x^2 - cx + \mu P^m$ . Then  $\theta$  is a Weil number if and only if  $x^2 - c_0x + \mu$  is irreducible in  $\mathbf{F}_q[X]$ .

The roots of the characteristic polynomial are a Weil numbers, so we need this result, for a proof see [6]:

**Proposition 1.7.** Let  $\Phi$  be a Drinfeld  $A$ -module of rank 2 over the finite field  $L = \mathbf{F}_{q^n}$  and let  $P$  be the characteristic of  $L$ . We put  $m = [L : A/P]$  and  $d = \deg P$ . The characteristic polynomial  $P_\Phi$  can take only the following forms:

- (i) In the case of ordinary Drinfeld  $A$ -modules, we have  $P_\Phi(X) = X^2 - cX + \mu P^m$ , where  $c^2 - 4\mu P^m$  is imaginary,  $c \in A$ ,  $(c, P) = 1$  and  $\mu \in \mathbf{F}_q^*$ .
- (ii) In the case of supersingular  $A$ -modules, we distinguish three cases:
  - (a) If  $m$  is odd, then  $P_\Phi(X) = X^2 + \mu P^m$ , with  $\mu \in \mathbf{F}_q^*$ .
  - (b) If  $m$  is even and  $d = \deg P$  is odd, then  $P_\Phi(X) = X^2 + c_0X + \mu P^m$ , with  $\mu \in \mathbf{F}_q^*$  and  $c_0 \in \mathbf{F}_q$ .
  - (c) If  $m$  is even, then  $P_\Phi(X) = (X + \mu P^{\frac{m}{2}})^2$ .

We can recapitulate all the cases above as follows:

- (i) For the ordinary case, the characteristic polynomial is of the form :  $P_\Phi(X) = X^2 - cX + \mu P^m$ , such that  $2 \deg c < \deg P \cdot m$  or  $2 \deg c = \deg P \cdot m$  and  $X^2 - a_0X + \mu$  is irreducible over  $\mathbf{F}_{q^n}$  where  $a_0$  is the coefficient of the greatest degree of  $c$ . For the supersingular case, we have the two following cases:
- (ii) The  $\deg P$  is even or  $-\mu \notin (\mathbf{F}_q^*)^2$ .
- (iii) The polynomial  $X^2 + c_0X + \mu$  is irreducible over  $\mathbf{F}_q$ .

We are in position to compute the number of characteristic polynomials which corresponds to the number of isogeny classes, for proof see [4].

**Lemma 1.8.**  $\#\{\text{Isogeny classes}\} = \#\{P_\Phi\}$ .

So we can compute the cardinal of the isogeny classes of Drinfeld modules of rank 2 as follows:

**Proposition 1.9.** Let  $\Phi$  a Drinfeld  $A$ -module of rank 2 over a finite field  $L = \mathbf{F}_{q^n}$  and let  $P$  be the  $A$ -characteristic of  $L$ . We put  $m = [L : A/P]$  and  $d = \deg P$ :

- (i) If  $m$  and  $d$  are both odd, then  $\#\{P_\Phi\} = (q - 1)(q^{\lfloor \frac{m}{2}d \rfloor + 1} - q^{\lfloor \frac{m-2}{2}d \rfloor + 1} + 1)$ .

- (ii) If  $m$  is even and  $d$  is odd, then  $\#\{P_\Phi\} = (q-1)\left[\frac{q-1}{2}q^{\frac{m}{2}d} - q^{\frac{m-2}{2}d+1} + q\right]$ .  
 (iii) If  $m$  and  $d$  are both even, then  $\#\{P_\Phi\} = (q-1)\left[\frac{q-1}{2}q^{\frac{m}{2}d} - q^{\frac{m-2}{2}d} + 1\right]$ .

### 1.2.1. Euler–Poincaré characteristic

Let  $\Phi$  be a Drinfeld  $A$ -module of rank 2 over a finite field  $L = \mathbf{F}_{q^n}$  and denote by  $P_\Phi$  the characteristic polynomial. Let  $\chi_\Phi = (P_\Phi(1))$ . This is the Euler–Poincaré characteristic.

We can have an expression for the cardinal of the set of Euler–Poincaré characteristic as follows:

**Proposition 1.10.** *Let  $\Phi$  be a Drinfeld  $A$ -module of rank 2 over the finite field  $L = \mathbf{F}_{q^n}$ , and let  $P$  be the characteristic of  $L$ . We put  $m = [L : A/P]$  and  $d = \deg P$ . There exists  $H, B \in L$ , such that  $\#\{\chi_\Phi\} = H + B$ , where  $H$  and  $B$  satisfies  $\#\{P_\Phi\} = (q-1)H + (q-2)B$ .*

The value of  $\#\{\chi_\Phi\}$  can be deduced accordingly:

**Proposition 1.11.** *Let  $\Phi$  be a Drinfeld  $A$ -module of rank 2 over a finite field  $L = \mathbf{F}_{q^n}$  and let  $P$  be the  $A$ -characteristic of  $L$ . We put  $m = [L : A/P]$  and  $d = \deg P$ . We have:*

- (i) If  $m$  and  $d$  are both odd, then  $\#\{\chi_\Phi\} = \frac{q}{q-1}q^{\lfloor \frac{m}{2}d \rfloor + 1} - \frac{q}{q-1}q^{\lfloor \frac{m-2}{2}d \rfloor + 1} + 1$ .  
 (ii) If  $m$  is even and  $d$  is odd, then  $\#\{\chi_\Phi\} = \frac{q^2+1}{2q-2}q^{\frac{m}{2}d} - \frac{q}{q-1}q^{\frac{m-2}{2}d+1} + q$ .  
 (iii) If  $m$  and  $d$  are both even, then  $\#\{\chi_\Phi\} = \frac{q^2+1}{2q-2}q^{\frac{m}{2}d} - \frac{q}{q-1}q^{\frac{m-2}{2}d+1} + 1$ .

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